

Lec 14, Jun 21, 2010

Note Title

21/06/2010

Capacity of transmitting quantum / classical data,  
using  $N$  (many times) as the only nonlocal resource:

$$\text{LSB: } Q(N) = \sup_n \frac{1}{n} \max_{|\psi\rangle_{RA^{\otimes n}}} I_c(R; B^{\otimes n})_{I \otimes N^{\otimes n}(|\psi\rangle\langle\psi|)}$$

$$\text{HSW: } C(N) = \sup_n \frac{1}{n} \max_{\underbrace{\sum_x p_x |x\rangle\langle x|_R \otimes |\psi_x\rangle\langle\psi_x|_{A^{\otimes n}}}_{p_{in}}} S(R; B^{\otimes n})_{I \otimes N^{\otimes n}(p_{in})}$$

- Consider "assisted" capacities instead, when some extra unlimited nonlocal resource is available for free.

eg  $C_E(N)$ ,  $Q_E(N)$ ,  $Q_{\rightarrow}(N)$ ,  $Q_{\leftarrow}(N)$ ,  $Q_{\leftrightarrow}(N)$ ,  $C_{\leftarrow}(N)$

$\uparrow$  free entanglement       $\uparrow$  free forward       $\uparrow$  backward       $\nearrow$  two-way classical communication

- Assisting resources shouldn't trivialize the task:

eg. entanglement is static - it's independent of the message

eg. classical communication by itself has no quantum capacity

eg. backward comm by itself cannot forward data.

- Motivations -
  - upper bounds for unassisted capacities
  - operationally interesting (eg  $Q_2(N)$ )
  - pieces of a bigger simpler theory
  - inspires unexpected results (like superactivation of  $Q$ )

$$\text{LSB: } Q(N) = \sup_n \frac{1}{n} \max_{|\psi\rangle_{RA^{\otimes n}}} I_c(R>B^{\otimes n})_{I \otimes N^{\otimes n}(|\psi\rangle\langle\psi|)}$$

$$\text{HSW: } C(N) = \sup_n \frac{1}{n} \max_{\sum_x p_x |x\rangle\langle x|_R \otimes |\psi_x\rangle\langle\psi_x|_{A^{\otimes n}}} S(R=B^{\otimes n})_{I \otimes N^{\otimes n}(p_{in})}$$

$$\text{BSST: } C_E(N) = \sup_n \frac{1}{n} \max_{|\psi\rangle_{RA^{\otimes n}}} S(R=B^{\otimes n})_{I \otimes N^{\otimes n}(|\psi\rangle\langle\psi|)}$$

Bennett

Shor

Smolin

Thapliyal

0106052

$$= \max_{|\psi\rangle_{RA}} S(R=B)_{I \otimes N(|\psi\rangle\langle\psi|)}$$

BSST

Cerf & Adami 9609024

Childs, L, Lo 0506039

$$\text{Shannon = } C(N) = \max_{\sum_x p_x |x\rangle\langle x| \otimes |x\rangle\langle x|} S(R=B)_{I \otimes N(p_{in})}$$

Classical

# ① Additivity:

[Recall lec 11, p18-19, in the proof of additivity of coherent info for degradable channels:  
 $S(B_1 B_2 | E_1 E_2) \leq S(B_1 | E_1) + S(B_2 | E_2)$  (due to mono QMI)]

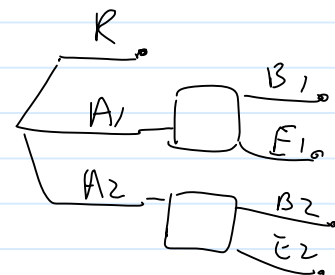
$$\text{Let } f(N) = \max_{|\psi\rangle_{RA}} S(R:B)_{\mathbb{I} \otimes N(|\psi\rangle\langle\psi|)}$$

$$\text{Claim: } f(N_1 \otimes N_2) = f(N_1) + f(N_2)$$

$$\text{pf: LHS} = \max_{|\psi\rangle_{RA_1 A_2}} S(R:B_1 B_2)_{\mathbb{I} \otimes N_1 \otimes N_2(|\psi\rangle\langle\psi|)}$$

$$= \max_{|\psi\rangle_{RA_1 A_2}} S(R) + S(B_1 B_2) - S(R B_1 B_2)$$

$$= \max_{|\psi\rangle_{RA_1 A_2}} S(B_1 B_2 \bar{E}_1 \bar{E}_2) + S(B_1 B_2) - S(\bar{E}_1 \bar{E}_2)$$



$$\stackrel{(*)}{=} \max_{14) R A_1 A_2} S(B_1 \bar{B}_2 | \bar{E}_1 \bar{E}_2) + S(B_1 B_2)$$

$$\leq \max_{14) R A_1 A_2} S(B_1 | E_1) + S(B_2 | E_2) + S(B_1) + S(B_2)$$

$$= \max_{14) R A_1 A_2}$$

$$S(R_1 \dot{=} B_1) + S(R_2 \dot{=} B_2)$$

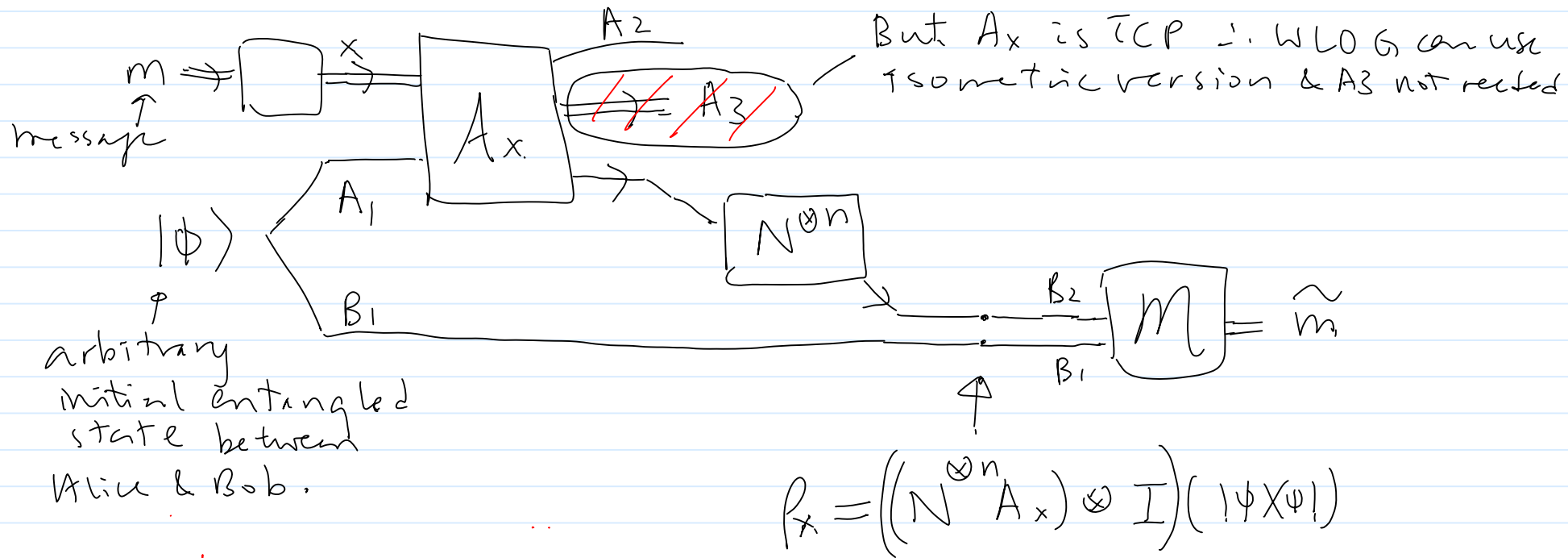
$$\uparrow$$
  
 $R B_2 \bar{E}_2$

$$\uparrow$$
  
 $R B_1 E_1$

similar to (\*)  
reversed

$$\leq f(N_1) + f(N_2)$$

## (2) General protocol for entanglement assisted classical comm



(without  $A_2 A_3 A_1 B_1$ , above reduces to an unassisted protocol)

NB. Lack of feedback from Bob to Alice gives the simple structure of the protocol.

③ Converse:

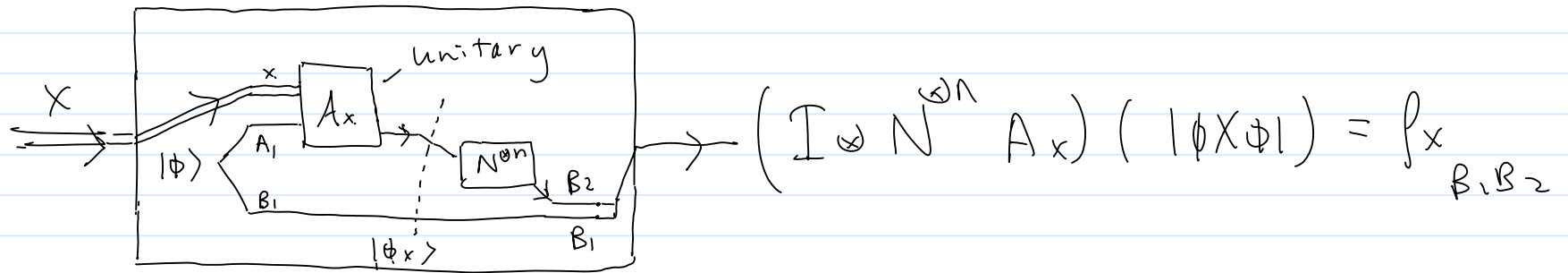
- ① Prove sufficiency of considering <sup>if no  $A_2$</sup>  unitary encoding only
- ② Prove converse for unitary encoding

Pf ① For Bob, the most general protocol is same as one in which  $A_2$  is sent to him via  $R$  (the completely randomizing rep).

By additivity,  $C_E(N \otimes R) = C_E(N) + C_E(R)$

∴ We can always analyze  $N \otimes R$  instead & focus on unitary encodings

⑥ The most general protocol with  $n$  uses gives a Q-box:



By the HSW theorem, the classical capacity

$$= \sup_n \frac{1}{n} \max_{A_x, p_x} \chi \{ p_x, \rho_x \} \quad \forall x, (\rho_x)_{B_1} = \rho$$

Facts useful later:

$$\forall x, \rho_{x, B_1} = \text{tr}_{A_1} |\phi X \phi|, \quad \text{unitarity of } A_x$$

$$S(\rho_{x, B_1}) = S(\text{tr}_{A_1} |\phi X \phi|) \stackrel{!}{=} S(\text{tr}_{B_2} |\phi_x X \phi_x|)$$

$$\chi(\{p_x, p_{x|B_1 B_2}\}) = S(\sum_x p_x p_{x|B_1 B_2}) - \sum_x p_x S(p_{x|B_1 B_2})$$

$$\stackrel{\text{sub add}}{\leq} S(\sum_x p_x p_{x|B_1}) + S(\sum_x p_x p_{x|B_2}) - \sum_x p_x S(p_{x|B_1 B_2})$$

$$\stackrel{\text{fact}}{=} \underbrace{\sum_x p_x S(p_{x|B_1}) - \sum_x p_x S(p_{x|B_1 B_2})}_{\text{Lemma to be proved}} + S(\sum_x p_x p_{x|B_2})$$

$$\stackrel{\text{Lemma to be proved}}{\leq} S(\sum_x p_x p_{x|B_1}) - S(\sum_x p_x p_{x|B_1 B_2}) + S(\sum_x p_x p_{x|B_2})$$

$$\textcircled{3} \operatorname{tr}_2 |\phi\rangle\langle\phi|$$

but also  $\operatorname{tr}_2 f$

$$\textcircled{1} \text{ this is } I \otimes N^{\otimes n}(f) \text{ for}$$

$$f = \sum_x p_x I \otimes A_x |\phi\rangle\langle\phi| I \otimes A_x^\dagger$$

$$\textcircled{2} \text{ this is } N^{\otimes n}(\operatorname{tr}_{B_1} \rho)$$

$$\therefore \text{Classical capacity} \leq \sup_n \frac{1}{n} \max_f S(\operatorname{tr}_2 \rho) + S(N^{\otimes n}(\operatorname{tr}_{B_1} \rho)) - S(I \otimes N^{\otimes n}(f))$$

(replacing  $\max p_x, p_x$ )

$$= \sup_n \frac{1}{n} \max_f S(R: B^{\otimes n})_{I \otimes N^{\otimes n}(f)}$$

Finally, by additivity, replace  $N^{\otimes n}$  by  $N$

Also, can max over pure  $f$  (a mixed  $f$  can be purified & including purification is reverse of discarding  $\therefore$  QMI non decreasing).

$$\therefore I(E, N) \leq \max_{(\psi)_{RA}} S(R=B)_{I \otimes N(|\psi\rangle\langle\psi|_{RA})}.$$

④ Direct coding (immediate after proving the "father" next class)

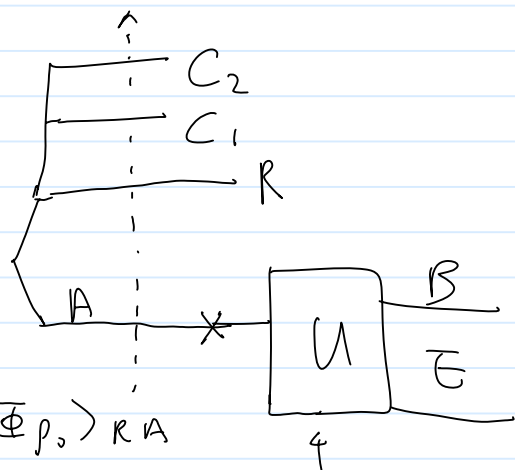
Lemma:

Let  $p_0, p_1$  be density matrices,  $0 \leq p_0 \leq 1$ ,  $p_1 = 1 - p_0$ ,  $\rho = p_0 p_0 + p_1 p_1$

$$\text{Then } S(\rho) - S(\mathbb{I} \otimes N(\Phi_\rho)) \geq \sum_{i=0}^1 p_i \left[ S(p_i) - S(\mathbb{I} \otimes N(\Phi_{p_i})) \right]$$

purifies  $\rho$  purifies  $p_i$

Pf



$$\sqrt{p_0} |00\rangle_{C_2 C_1} |\Phi_{p_0}\rangle_{R A} \\ + \sqrt{p_1} |11\rangle_{C_2 C_1} |\Phi_{p_1}\rangle_{R A}$$

isometric  
extension  
for  $N$

Consider the pure state on  $C_2 C_1 R B E$   
(after the channel acts).

LHS ①:  $\rho =$  state on  $A$  prior to  $U$

$$S(\rho) = S(C_2 C_1 R) \quad \because C_2 C_1 R A \text{ pure}$$

$$\text{LHS ②} = S(\mathbb{I} \otimes N(\Phi_\rho)) = S(C_2 C_1 R B)$$

Same for all purifications  
so use  $C_2 C_1 R$  as described

$$\begin{aligned}
 \text{RHS } ①: S(C_1 R) &= S(C_1) + \sum_{i=0}^1 p_i S(\text{tr}_A(\Phi_{p_i})) \\
 &= S(C_1) + \sum_{i=0}^1 p_i S(p_i) \\
 &\because R \text{ purifies } p_i
 \end{aligned}$$

$$\therefore \sum_{i=1}^1 p_i S(p_i) = S(C_1 R) - S(C_1)$$

$$\text{RHS } ②: S(C_1 R B) = S(C_1) + \sum_{i=0}^1 p_i S(\text{tr}_A(\Phi_{p_i}))$$

$$\therefore \sum_{i=1}^1 p_i S(\text{tr}_A(\Phi_{p_i})) = S(C_1 R B) - S(C_1)$$

$$\begin{aligned}
 \text{LHS} - \text{RHS of claim} &= [S(C_2 C_1 R) - S(C_2 C_1 R B)] \\
 &\quad - [S(C_1 R) - S(C_1)] - [S(C_1 R B) - S(C_1)] \\
 &= S(C_2 C_1 R) - S(C_2 C_1 R B) - S(C_1 R) + S(C_1 R B) \\
 &\geq 0 \quad \text{SSA on } C_2, C_1 R, B,
 \end{aligned}$$

egs (for using  $C(N) = \max_{(\psi)_{RA}} S(R:B)_{I \otimes N((\psi)(\psi))}$ )

① If  $N = I$ ,  $(\psi)_{RA} = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$  is optimal.

We recover superdense coding

② If  $N =$  erasure channel w/ error prob  $p$ :

$$I \otimes N((\psi)_{RA}) = (1-p) (\psi)_{RB} + p \text{tr}_B((\psi)_{RA}) \otimes |2 \times 2\rangle$$

$$S(R:B) = S(R) + S(B) - S(RB)$$

$$= S(\text{tr}_B((\psi)_{RA})) + H(p) + (1-p) S(\text{tr}_R((\psi)_{RA}))$$

$$- [H(p) + p S(\text{tr}_B((\psi)_{RA}))]$$

$$= 2(1-p) S(\text{tr}_B((\psi)_{RA}))$$

Again,  $(\psi) = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$  optimal,  $C(N) = 2(1-p)$ .

(3) If  $N$  is an arbitrary classical channel,  $C(N) = C_E(N)$  (save for  $A_3$ )

From (1)-(3), tempting to suspect  $C_E(N) = C(N)$  for entanglement breaking channels or  $C_E(N)/C(N) \leq 2 \forall N$ .

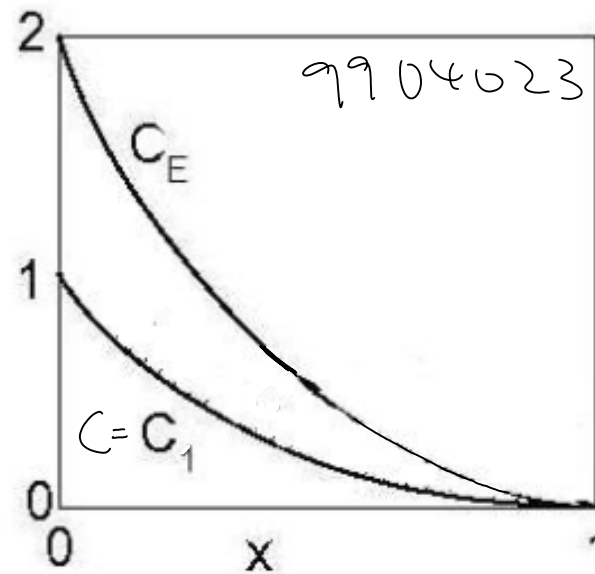
Both refuted by:

(4) If  $N$  depolarizing channel with prob error  $q$ ,

as  $q \rightarrow 1$

both  $C(N), C_E(N) \rightarrow 0$

but  $C_E/C \rightarrow \infty$ !



Remarks on  $C_E$ :

①  $C_E(N) = 0 \iff N$  trivial ( $N(f)$  indep of  $f$ )

② The most general protocol can use ebits instead of arbitrary entangled state  $|\phi\rangle$  by the following argument:

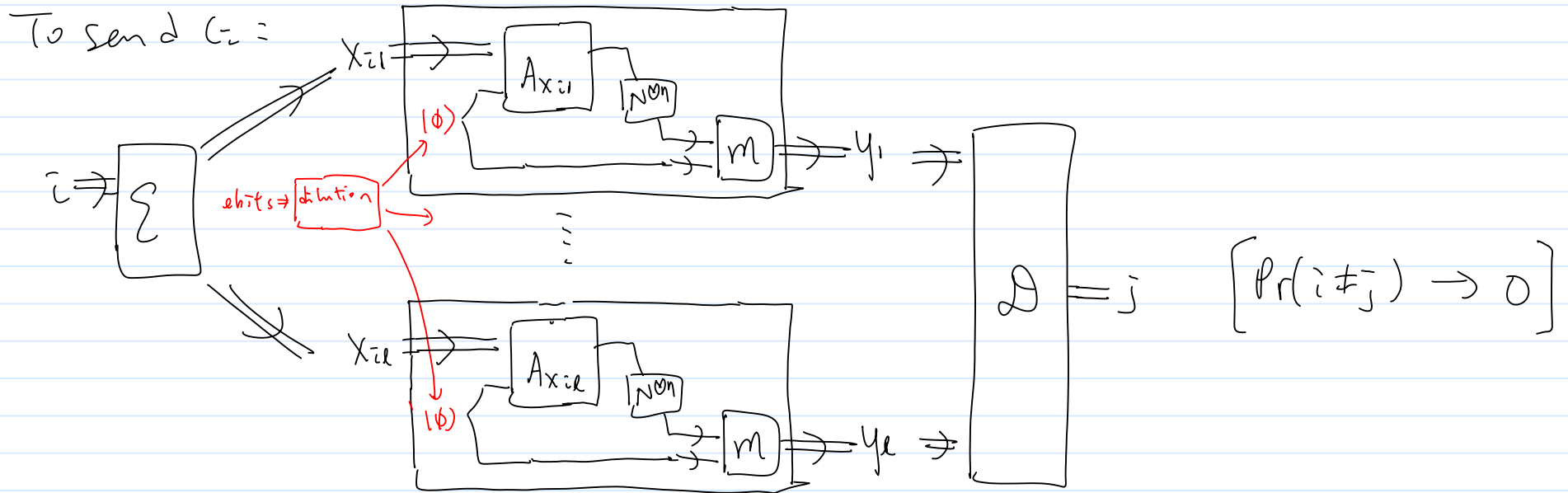
Say, we use a special  $|\phi\rangle$  in some  $(M, n)$  code with error  $\delta_n$ .

We can make  $l$  copies of  $|\phi\rangle$  using  $\approx S(\text{tr}_1 |\phi\rangle\langle\phi|)$  ebits and  $\mathcal{O}(\sqrt{l})$  cbits, w/error  $\epsilon'_l \rightarrow 0$  as  $l \rightarrow \infty$ , run the  $(M, n)$  code  $l$  times in parallel. Each code block is a giant classical channel with prob of error  $\delta_n$ .

due to  $\epsilon_l'$  &  $\epsilon_n$

The error can be suppressed by using classical error correcting code. When  $\epsilon_n \rightarrow 0$ ,  $n, l$  are large, rate of classical wk  $\rightarrow 1$ .

Say, code words of classical code =  $C_i = X_{i1} X_{i2} \dots X_{il}$   
 $\vdots$   
 $C_l = X_{l1} X_{l2} \dots X_{le}$



If  $l \sim \sqrt{n}$ , extra cbits needed for dilation

can be produced by  $\text{const} \times \sqrt{n}$  channel uses

$\therefore$  Approx  $lM$  messages send via  $ln + \text{const} \sqrt{n}$   
channel uses, As  $n \rightarrow \infty$ , rate  $\approx \frac{\log M}{n}$

Same as original code,

This method is called "double blocking" (& error reduction is needed in the end).

$$(3) \quad 2Q_E = C_E$$

Pf ( $\geq$ ): if  $n(C_E - \delta_n)$  cbits can be communicated with error  $\epsilon_n \rightarrow 0$  as  $n \rightarrow \infty$ , use these Cbits to teleport  $\frac{n}{2} (C_E - \delta_n)$  qubits (also with prob error  $\leq \epsilon_n$ )  
 $\therefore Q_E \geq \frac{C_E}{2}$

Pf ( $\leq$ ): if  $n(Q_E - \delta_n)$  qubits comm w/ error  $\epsilon_n \rightarrow 0$   
 use these qubits to (super)dense-code  $2n(Q_E - \delta_n)$  Cbits  
 $\therefore C_E \geq 2Q_E$

#### ④ Reverse Shannon theorem:

① Consider classical channels.

Think of direct coding as simulating  $n(N)$  uses of the identity channel  $I_2$  by using  $N$   $n$  times.

Turns out simulating  $n$  uses of  $N$  takes about  $n(N)$  uses of identity channel — the “reverse Shannon theorem” (assuming shared randomness free).

Why such a perverted idea? Then  $n_1$  uses of  $N_1$  simulates  $n_2$  uses of  $N_2$  for  $\frac{n_1}{n_2} \approx \frac{C(N_2)}{C(N_1)}$  —  $C(N)$  the only relevant parameter.

## ⑥ quantum channels

Conceptually, only 1 parameter to describe a channel in the asymptotic setting w/ free ent

- if ebits are free, then  $n$  uses of  $N$  simulates  $n C_E$  cbits.

- turns out if ARBITRARY entangled states are free (not just ebits) then  $n C_E$  cbits also simulates  $n$  uses of  $N$  — the "quantum reverse Shannon Thm"

0912.5537 Bennett Devetak Harrow Shor Winter (starting 2000)

Alt proof: 0912.3805 Berta, Christandl, Renner

(2 guest lectures by Will Matthews in July),

Now consider  $Q \leftrightarrow (N)$  (q. capacity assisted by 2-way free classical communication (cc)).

Claim  $Q \leftrightarrow (N) = E \leftrightarrow (N)$  (ent capacity of  $N$  given 2-way cc).

Pf idea " $\geq$ ": If Alice & Bob can create  $n E \leftrightarrow (N)$

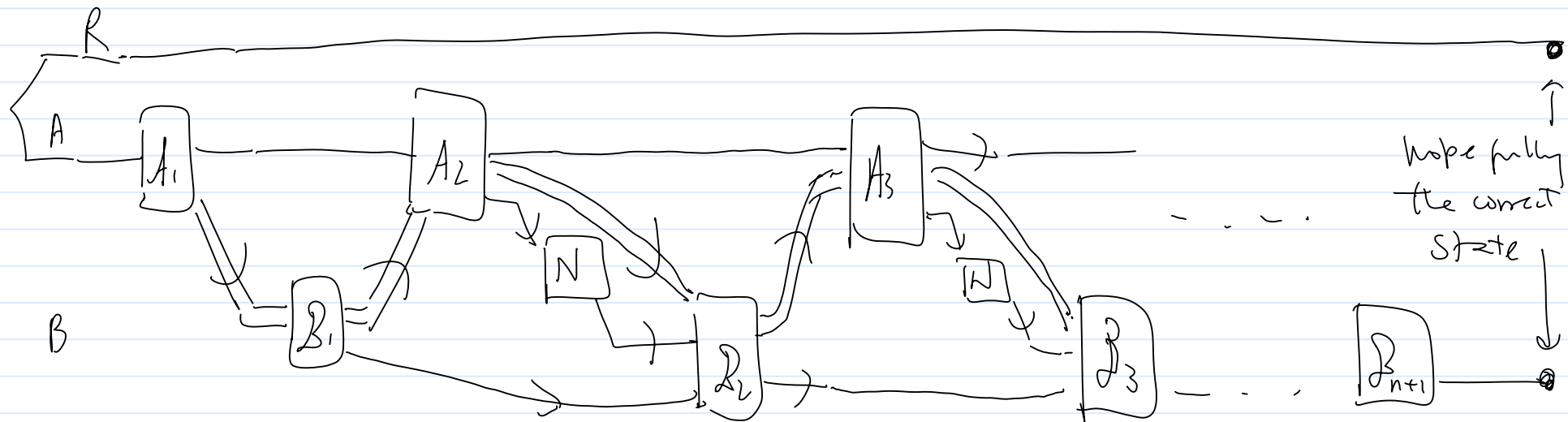
intra distance  $\leftarrow$  ebits w/ error  $\leq \epsilon_n$  by using  $N$   $n$  times & 2-way cc

Then Alice can teleport  $n E \leftrightarrow (N)$  ebits with  $2n E \leftrightarrow (N)$  extra ebits w/ same error.

Of idea " $\leq$ " : If  $n Q(\epsilon) (n)$  qubits can be comm  
 from Alice to Bob w/ error (including  
 references)  $\leq \epsilon n$

she can transmit halves of  $n Q(\epsilon) (n)$   
 ebits w/ same error bound.

General protocol for  $Q(\epsilon)$ :



General protocol for  $E \leftrightarrow$ :

Same as above but Alice also holds  $R$  & only max ent state is seeked at the output.

eg. If  $N$  = erasure channel w/ prob error  $p$

$$\text{then } Q(E)(N) = 1 - p$$

$$\left( \begin{array}{l} \text{cf } Q(N) = 1 - 2p \\ C(N) = 1 - p \\ E(N) = 2(1 - p) \end{array} \right)$$

How?

- ① Alice sends  $n$  halves of  $n$  ebit, using  $N^{\otimes n}$ .
- ② Bob tells Alice which of the  $n$  transmissions are erased.
- ③ They discard the erased ebits
- ④ They use the ebits + forward CC to teleport  $\approx n(1-p)$  qubits.

Unfortunately, neither  $Q_{\leftarrow}$  nor  $\bar{E}_{\leftarrow}$  are known for most channels.

If we aren't impressed with our regularized capacity expressions  $Q(N)$  or  $C(N)$

we don't even have any expression for  $Q_{\leftarrow}(N)$ .

What about  $Q_{\leftarrow}(N)$ ?

Worse ... no expression & no link to  $\bar{E}_{\leftarrow}$

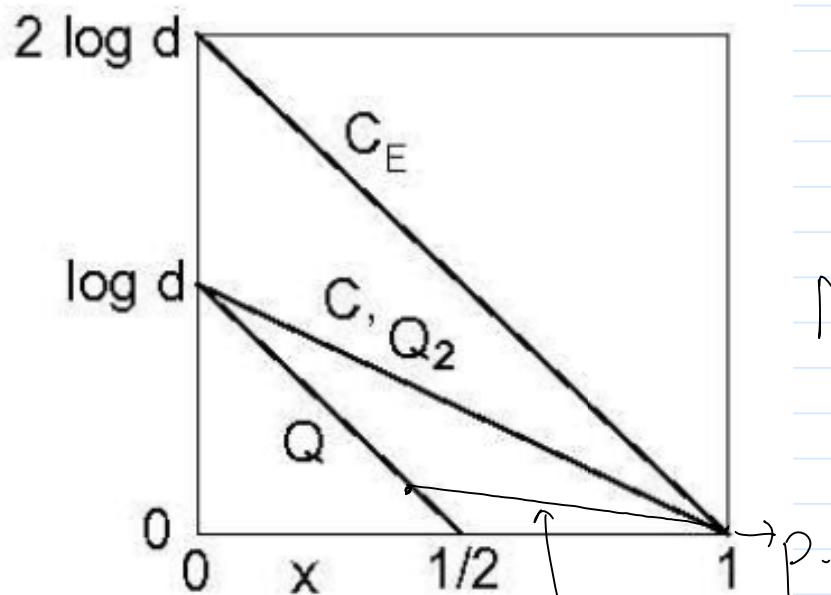
ex.  $N =$  erasure channel w/ prob erasure  $p$ .

We can lower bound  $Q_{\leftarrow}(N)$  by  $\frac{1-p}{3}$  by protocol =  
Same as that for  $Q_{\leftrightarrow}$  but since there's no free  
forward cc, use  $N$  to send classical data for  
teleportation.

Say  $n$  uses  $\rightarrow \approx n(1-p)$  ebits  
 $2n$  uses  $\rightarrow \approx 2n(1-p)$  cbits  $\left. \vphantom{\begin{matrix} n \\ 2n \end{matrix}} \right\} \Rightarrow n(1-p)$  qbits  
telep

$$\text{Rate} = \frac{n(1-p)}{3n} = \frac{1-p}{3}.$$

Capacities for erasure channel as of 9904023



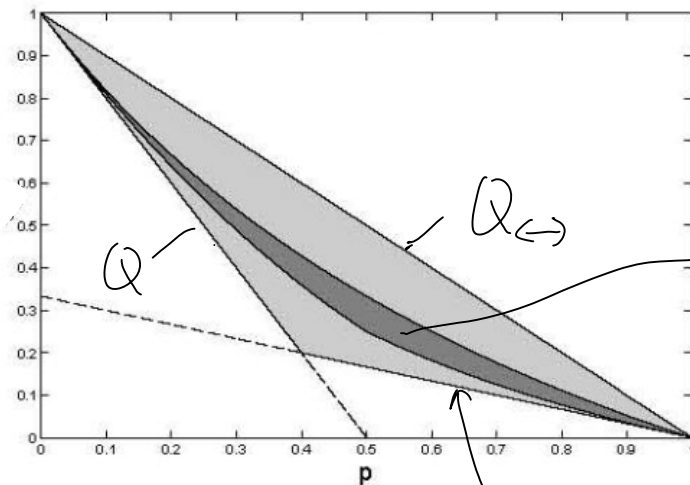
$$\text{NB. } Q(N) \leq Q_{\leftarrow}(N) \leq Q_{\rightarrow}(N)$$

lower bound for  $Q_{\leftarrow}$

∴ for some channels  $Q_{\leftarrow}(N) \neq Q(N)$

It turns out whether  $\exists N$  s.t.  $Q_{\leftarrow}(N) \neq Q_{\rightarrow}(N)$   
was not easy to determine.

In 0710.5943 (L. Lim, Shor), again for the erasure  
channel =



$Q_{\leftarrow}$  some where  
in the dark region

old lower bdd for  $Q_{\leftarrow}$

- Note that for classical channels, free back comm does not increase the classical capacity.

How can this be?

Can prove the SAME converse with feed back

— see Cover & Thomas (if 1st edition Lec 8.12)

- Classical feedback also doesn't increase  $C_E(N)$  } ? Winter  
for a quantum channel  $N$  } or BSST

- Quantum feedback (free Q channel from Bob to Alice)

Increase  $C$  to  $C_E$ ,  $Q$  to  $Q_E$  but no further.

(G. Bowen)

What about  $Q_{\rightarrow}$ ?

Claim:  $\forall N \quad Q_{\rightarrow}(N) = Q(N)$  (so free forward CC does not increase  $Q(N)$ )

P.f. =