

Capacity of transmitting quantum/classical data, using N (many times) as the only nonlocal resource:

$$\text{LSB: } Q(N) = \sup_n \frac{1}{n} \max_{|\psi\rangle_{RA^{2n}}} I_c(R; B^{2n})_{I \otimes N^{\otimes n}(|\psi\rangle\langle\psi|)}$$

$$\text{HSW: } C(N) = \sup_n \frac{1}{n} \max_{\sum p_x |\psi_x\rangle_{R \otimes (A \otimes X \otimes Y)}_{A^{2n}}} S(R; B^{2n})_{I \otimes N^{\otimes n}(p_n)}$$

Consider "assisted" capacities instead, when some extra unlimited nonlocal resource is available for free.

eg $C_E(N)$, $Q_E(N)$, $Q_{\rightarrow}(N)$, $Q_{\leftarrow}(N)$, $Q_{\leftrightarrow}(N)$, $C_{\leftarrow}(N)$

$\uparrow$ free entanglement $\uparrow$ free forward $\uparrow$ backward $\uparrow$ two-way classical communication

Assisting resources shouldn't trivialize the task:

eg. entanglement is static - it's independent of the message

eg. classical communication by itself has no quantum capacity

eg. backward comm by itself cannot forward data.

Motivations - upper bounds for unassisted capacities

- operationally interesting (eg $Q_2(N)$)

- pieces of a bigger simpler theory

- inspires unexpected results (like superactivation)

$$\text{LSB: } Q(N) = \sup_n \frac{1}{n} \max_{|\psi\rangle_{RA^{2n}}} I_c(R; B^{2n})_{I \otimes N^{\otimes n}(|\psi\rangle\langle\psi|)}$$

$$\text{HSW: } C(N) = \sup_n \frac{1}{n} \max_{\sum p_x |\psi_x\rangle_{R \otimes (A \otimes X \otimes Y)}_{A^{2n}}} S(R; B^{2n})_{I \otimes N^{\otimes n}(p_n)}$$

$$\text{BSST: } C_E(N) = \sup_n \frac{1}{n} \max_{|\psi\rangle_{RA^{2n}}} S(R; B^{2n})_{I \otimes N^{\otimes n}(|\psi\rangle\langle\psi|)}$$

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$$\text{Shannon: } C(N) = \max_{\text{classical}} S(R; B)_{I \otimes N(p_n)}$$

① Additivity:

[Recall lec 11, p18-19, in the proof of additivity of coherent info for degradable channels:
 $S(B_1 B_2 | E_1 E_2) \leq S(B_1 | E_1) + S(B_2 | E_2)$ (due to mono QMI)]

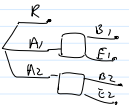
$$\text{let } f(N) = \max_{|\psi\rangle_{RA}} S(R; B)_{I \otimes N(|\psi\rangle\langle\psi|)}$$

$$\text{claim: } f(N_1 \otimes N_2) = f(N_1) + f(N_2)$$

$$\text{pf: LHS} = \max_{|\psi\rangle_{RA_1 A_2}} S(R; B_1 B_2)_{I \otimes N_1 \otimes N_2(|\psi\rangle\langle\psi|)}$$

$$= \max_{|\psi\rangle_{RA_1 A_2}} S(R) + S(B_1 B_2) - S(R B_1 B_2)$$

$$= \max_{|\psi\rangle_{RA_1 A_2}} S(B_1 B_2 | \bar{E}_1 \bar{E}_2) + S(R; B_2) - S(R; B_2)$$



$$\stackrel{(*)}{=} \max_{|\psi\rangle_{RA_1 A_2}} S(B_1 B_2 | \bar{E}_1 \bar{E}_2) + S(R; B_2)$$

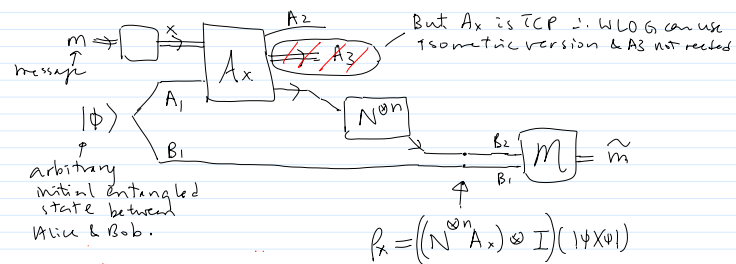
$$\leq \max_{|\psi\rangle_{RA_1 A_2}} S(B_1 | \bar{E}_1) + S(B_2 | \bar{E}_2) + S(B_1) + S(B_2)$$

$$= \max_{|\psi\rangle_{RA_1 A_2}} S(R_1; B_1) + S(R_2; B_2)$$

similar to (*) reversed

$$\leq f(N_1) + f(N_2)$$

② General protocol for entanglement assisted classical comm



$$\rho_x = (N^{\otimes n} A_x \otimes I)(|\psi\rangle\langle\psi|)$$

(Without $A_2 A_3 A_1 B_1$, above reduces to an unassisted protocol)

NB. Lack of feedback from Bob to Alice gives the simple structure of the protocol.

③ Converse:

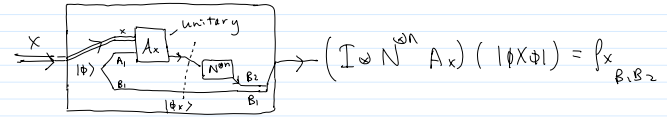
- ① Prove sufficiency of considering unitary encoding only
 ② Prove converse for unitary encoding

Pf ① For Bob, the most general protocol is same as one in which A_2 is sent to him via R (the completely randomizing rep).

By additivity, $C_E(N \otimes R) = C_E(N) + C_E(R)$

$\therefore$ We can always analyze $N \otimes R$ instead & focus on unitary encodings

② The most general protocol with u uses gives a Q-box:



By the HSW theorem, the classical capacity
 $= \sup_n \frac{1}{n} \max_{A_x, p_x} \chi \{ p_x, p_x \} \forall x, (p_x)_{B_1} = \rho$

Facts useful later:

$$\forall x, \rho_{x B_1} = \text{tr}_{A_1} |\phi X \phi|, \quad \text{unitarity of } A_x$$

$$S(\rho_{x B_1}) = S(\text{tr}_{A_1} |\phi X \phi|) = S(\text{tr}_{B_2} |\phi X \phi|)$$

$$\chi(\{p_x, \rho_{x B_1, B_2}\}) = S(\sum_x p_x \rho_{x B_1 B_2}) - \sum_x p_x S(\rho_{x B_1, B_2})$$

$$\stackrel{\text{sub add}}{\leq} S(\sum_x p_x \rho_{x B_1}) + S(\sum_x p_x \rho_{x B_2}) - \sum_x p_x S(\rho_{x B_1, B_2})$$

$$\stackrel{\text{fact}}{=} \underbrace{\sum_x p_x S(\rho_{x B_1}) - \sum_x p_x S(\rho_{x B_1, B_2})}_{\downarrow} + S(\sum_x p_x \rho_{x B_2})$$

$$\stackrel{\text{Lemma to be proved}}{\leq} S(\sum_x p_x \rho_{x B_1}) - S(\sum_x p_x \rho_{x B_1, B_2}) + S(\sum_x p_x \rho_{x B_2})$$

$$\text{③ } \text{tr}_2 |\phi X \phi| \quad \text{① this is } I \otimes N^{\otimes n}(p) \text{ for } p = \sum_x p_x I \otimes A_x |\phi X \phi| I \otimes A_x^\dagger$$

but also $\text{tr}_2 p$

$$\text{② this is } N^{\otimes n}(\text{tr}_{B_1} p)$$

$$\therefore \text{Classical capacity} \leq \sup_n \frac{1}{n} \max_p S(\text{tr}_2 p) + S(N^{\otimes n}(\text{tr}_{B_1} p)) - S(I \otimes N^{\otimes n}(p))$$

(replacing $\max p_x, p_x$)

$$= \sup_n \frac{1}{n} \max_p S(R: B^{\otimes n})_{I \otimes N^{\otimes n}(p)}$$

Finally, by additivity, replace $N^{\otimes n}$ by N

Also, can max over pure p (a mixed p can be purified & including purification is reverse of this coding $\therefore$ QMI non-decreasing).

$$\therefore C_E(N) \leq \max_{(p)}_{RA} S(R=B)_{I \otimes N(I \otimes p \otimes |R\rangle\langle R|)}$$

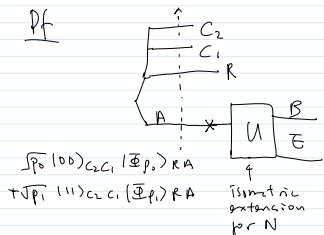
④ Direct coding (immediate after proving the "father" next class)

Lemma:

Let p_0, p_i be density matrices, $0 \leq p_0 \leq 1$, $p_i = 1 - p_0$, $p = p_0 p_0 + p_i p_i$

$$\text{Then } S(p) - S(I \otimes N(\bar{p}_p)) \geq \sum_{i=0}^1 p_i [S(p_i) - S(I \otimes N(\bar{p}_p))] \quad \text{purifies } p$$

Pf



Consider the pure state on $C_2 C_1 R B E$ (after the channel acts).

LHS ①: p_0 state on A known to u

$$S(p) = S(C_2 C_1 R) \quad \because C_2 C_1 R A \text{ pure}$$

$$\text{LHS ②: } S(I \otimes N(\bar{p}_p)) = S(C_2 C_1 R B)$$

Same for both purifications so use $C_2 C_1 R$ as desired

$$\text{RHS ①: } S(C_1 R) = S(C_1) + \sum_{i=0}^1 p_i S(\text{tr}_A(\bar{p}_p))$$

$$= S(C_1) + \sum_{i=0}^1 p_i S(p_i)$$

$\therefore R$ purifies p_i

$$\therefore \sum_{i=0}^1 p_i S(p_i) = S(C_1 R) - S(C_1)$$

$$\text{RHS ②: } S(C_1 R B) = S(C_1) + \sum_{i=0}^1 p_i S(I \otimes N(\bar{p}_p))$$

$$\therefore \sum_{i=0}^1 p_i S(I \otimes N(\bar{p}_p)) = S(C_1 R B) - S(C_1)$$

$$\begin{aligned} \text{LHS} - \text{RHS of claim} &= [S(C_2 C_1 R) - S(C_2 C_1 R B)] \\ &= [S(C_1 R) - S(C_1)] - [S(C_1 R B) - S(C_1)] \\ &= S(C_2 C_1 R) - S(C_2 C_1 R B) - S(C_1 R) + S(C_1 R B) \\ &\geq 0 \quad \text{SSA on } C_2, C_1 R, B, \end{aligned}$$

egs (for using $C_E(N) = \max_{\psi_{RA}} S(R:B)_{I \otimes N(\psi_{RA})}$)

① If $N = \mathbb{I}$, $\psi_{RA} = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$ is optimal.

We recover superdense coding

② If N is erasure channel w/ error prob p :

$$I \otimes N(\psi_{RA}) = (1-p) \psi_{RA} + p \text{tr}_B(\psi_{RA}) \otimes |2 \times 2 \rangle$$

$$S(R:B) = S(R) + S(B) - S(RB)$$

$$= S(\text{tr}_B(\psi_{RA})) + H(p) + (1-p) S(\text{tr}_R(\psi_{RA}))$$

$$= [H(p) + p S(\text{tr}_B(\psi_{RA}))]$$

$$= 2(1-p) S(\text{tr}_B(\psi_{RA}))$$

Again, $\psi_{RA} = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$ optimal, $C_E(N) = 2(1-p)$.

③ If N is an arbitrary classical channel, $C_E(N) = C(N)$ (save for A_3)

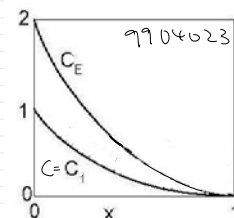
From ①-③, tempting to suspect $C_E(N) = C(N)$ for entanglement breaking channels or $C_E(N)/C(N) \leq 2 \forall N$.

Both refuted by:

④ If N depolarizing channel with prob error q , as $q \rightarrow 1$

both $C(N), C_E(N) \rightarrow 0$

but $C_E/C \rightarrow \infty$!



Remarks on C_E :

① $C_E(N) = 0 \Leftrightarrow N$ trivial ($N(f)$ indep of f)

② The most general protocol can use ebits instead of arbitrary entangled state $|\psi\rangle$ by the following argument.

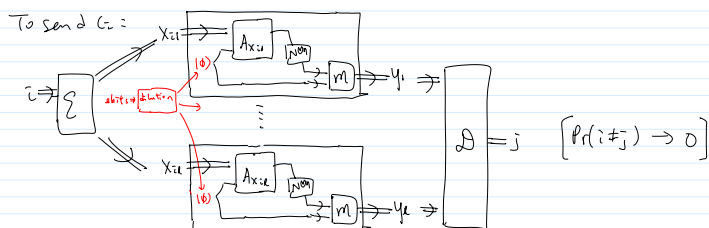
Say, we use a special $|\psi\rangle$ in some (M, n) code with error ϵ_n .

We can make l copies of $|\psi\rangle$ using $\approx S(\text{tr}_B \psi_{AB})$ ebits and $O(ln)$ cbits, w/ error $\epsilon'_l \rightarrow 0$ as $l \rightarrow \infty$, run the (M, n) code l times in parallel. Each code block is a giant classical channel with prob of error ϵ_n .

due to ϵ'_l & ϵ_n

The error can be suppressed by using classical error correcting code. When $\epsilon_n \rightarrow 0$, n, l are large, rate of classical code $\rightarrow 1$.

Say, code words of classical code $= C_1 = X_{11} X_{12} \dots X_{1l}$
 $C_2 = X_{21} X_{22} \dots X_{2l}$



If $l \sim \sqrt{n}$, extra cbits needed for dilation can be produced by const $\times \sqrt{n}$ channel uses

i. Approx lM messages send via $ln + \text{const}$ channel uses, as $n \rightarrow \infty$, rate $\approx \frac{\log M}{n}$

same as original code,

This method is called "double blocking" (2 error reduction is needed in the end).

③ $2Q_E = C_E$

$P(\geq)$: if $n(C_E - d_n)$ cbits can be communicated with error $\epsilon_n \rightarrow 0$ as $n \rightarrow \infty$, use these C bits to teleport $\frac{n}{2}(C_E - d_n)$ qubits (also with prob error $\leq \epsilon_n$)
 $\therefore Q_E \geq \frac{C_E}{2}$

$P(\leq)$: if $n(Q_E - d_n)$ qubits comm w/ error $\epsilon_n \rightarrow 0$ use these qubits to (super)dense-code $2n(Q_E - d_n)$ cbits
 $\therefore C_E \geq 2Q_E$

(a) Consider classical channels.

Turns out simulating n uses of N takes about $n(N)$ uses of identity channel — the "reverse Shannon theorem" (assuming shared randomness free).

Why such a perverted idea? Then n_1 uses of N_1 simulates N_2 uses of N_2 for $\frac{n_1}{n_2} \approx \frac{C(N_2)}{C(N_1)}$ - $C(N)$ the only relevant parameter.

Claim $Q_{\leftrightarrow}(N) = E_{\leftrightarrow}(N)$ (int capacity of N given 2-way CC).

infinite distance $\hookrightarrow$ ebits w/ error $\leq \epsilon_n$ by using N n times & 2-way CC
Then Alice can teleport $nE_{\text{eb}}(N)$ ebits with $2nE_{\text{eb}}(N)$ extra ebits w/ same error.

General protocol for Eos:

eg. If N = erasure channel w/ prob error p
 then $Q_{G \rightarrow}(N) = 1-p$ $\left(\begin{array}{l} \text{cf } Q(N) = 1-2p \\ C(N) = 1-p \\ C_{\varepsilon}(N) = 2(1-p) \end{array} \right)$

- ① Alice sends n halves of n ebits, using $N^{\otimes n}$.
- ② Bob tells Alice which of the n transmissions are erased.
- ③ They discard the erased ebits
- ④ They use the ebits + forward CC to teleport $\approx n$ (wp) qubits.

⑥ quantum channels

Conceptually, only 1 parameter to describe a channel in the asymptotic setting w/ feedback

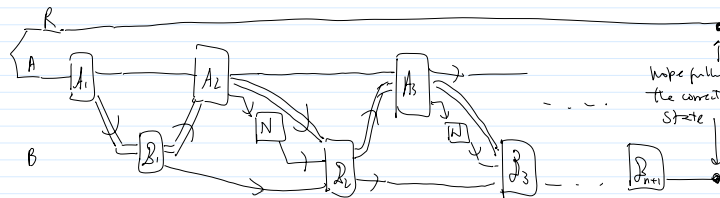
- turns out if ARBITRARY entangled states are free (not just ebits) then n Cbits also simulates n uses of N - the "quantum reverse Shannon Thm"

0912.5537 Bennett Devetak Harrow Shor Winter (starting 2000)

Alt prof: 0912.3805 Barta, Christandl, Renner
(2 guest lectures by Wilf Matthews in July).

she can transmit halves of $n(Q_2(n))$ ebits w/ same error bound.

General protocol for $Q(\leftarrow)$:



If we aren't impressed with our regularized capacity expressions $Q(N)$ or $C(N)$

we don't even have any expression for $(Q \hookrightarrow (N))$.

What about $Q \leftarrow (N)$?

Worse... no expression & no link to $\bar{t}_k$

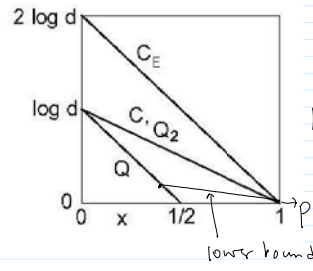
eg. N = erasure channel w/ prob erasure p .

We can lower bound $Q_{\leftarrow}(N)$ by $\frac{1-p}{3}$ by protocol = Same as that for $Q_{\leftrightarrow}$ but since there's no free forward cc, use N to send classical data for teleportation.

Say n uses $\rightarrow \approx n(1-p)$ ebits
 $2n$ uses $\rightarrow \approx 2n(1-p)$ cbits $\Rightarrow n(1-p)$ qbits telep

$$\text{Rate} = \frac{n(1-p)}{3n} = \frac{1-p}{3}.$$

Capacities for erasure channel as of 9/20/023

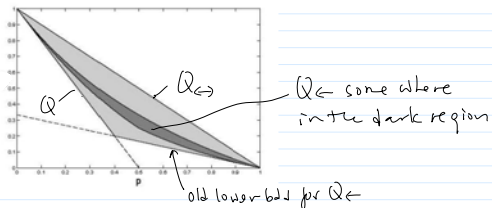


$$\text{NB. } Q(N) \leq Q_{\leftarrow}(N) \leq Q_{\leftrightarrow}(N)$$

$\therefore$ for some channels $Q_{\leftarrow}(N) \neq Q(N)$

It turns out whether $\exists N$ s.t. $Q_{\leftarrow}(N) < Q_{\leftrightarrow}(N)$ was not easy to determine.

In 0710.5943 (L. Lim, Shor), again for the erasure channel =



• Note that for classical channels, free back comm does not increase the classical capacity.

How can this be?

Can prove the SAME converse with feedback

- see Cover & Thomas (if 1st edition lec 8.12)

• Classical feedback also doesn't increase $C(N)$? Winter for a quantum channel N

• Quantum feedback (free a channel from Bob to Alice) increase C to C_E , Q to Q_E but no further. (G. Bowen)

What about $Q_{\rightarrow}$?

Claim: $\forall N$ $Q_{\rightarrow}(N) = Q(N)$ (so free forward cc does not increase $Q(N)$)

Pf: