

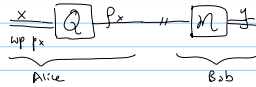
Lec 6, May 25, 2010, dtd May 27

Note Title

24/05/2010

Asymptotic classical communication capacity of quantum states & channels.

Recall given an ensemble $\mathcal{E} = \{p_x, \rho_x\}$



Treating the $x \rightarrow y$ process as a classical channel, the capacity is Iacc of the ensemble.

But we can do better given the Q box -- not because of Alice but because of Bob!

We can do even better given a channel --

$$I_{\text{acc}}(\mathcal{E}) = \max_m I(X:Y) \leq \chi(\mathcal{E}) = S\left(\sum_x p_x \rho_x\right) - \sum_x p_x S(\rho_x)$$

Q1 How much can Alice comm to Bob if

- ① She decides what "x" instead of drawing $x \sim p(x)$
- ② She can use Q many times? (Bob measures collectively)

Q2 Same question, but a channel N (instead of Q) is available instead?

Results:

$$C(N) = \sup_n \frac{1}{n} \chi(\eta^{\otimes n})$$

where $\chi(\eta) = \max_{\{p_x, \rho_x\}} \chi(\{p_x, \rho_x\})$

Direct coding uses the fact:
Asymptotic comm rate of Q
 $= \max_{\{p_x, \rho_x\}} \chi(\{p_x, \rho_x\})$

Converse uses:
• upper bound on # outcomes in optimal measurements
• classical Fano's inequality

Uses random quantum codewords + pretty good measurements

Conditional
fidelity

Conditions for gentle meas lemma
& packing lemma

① Pretty good measurement

P. Hausladen '93 and W. K. Wootters,
J. Mod. Optics, 41, 2385 (1994).

Suppose a Q system is prepared in one of the possible states $\rho_1, \rho_2, \dots, \rho_K$.

The PGM is given by the POVM:

$$M_i = \Lambda^{-\frac{1}{2}} \rho_i \Lambda^{-\frac{1}{2}} \text{ for } i=1, \dots, K$$

$$M_{K+1} = I - \sum_{i=1}^K M_i$$

$$\Lambda = \sum_{i=1}^K \rho_i, \text{ and } \Lambda^{-\frac{1}{2}} \text{ performed only on } \text{supp}(\Lambda).$$

Note that the PGM is still well defined if $\rho_i \geq 0$ but otherwise unconstraint (OK if $\text{tr} \rho_i \neq 1$ or $\rho_i \notin I$)

Elaborating the notations:

Since $\Lambda = \sum_{i=1}^K \rho_i$ is positive semidef.

let $\Lambda = \sum_j \lambda_j |\phi_j\rangle\langle\phi_j|$ be its spectral decomposition

$$\Lambda^{-\frac{1}{2}} = \sum_j \lambda_j^{-\frac{1}{2}} |\phi_j\rangle\langle\phi_j|, \quad \text{I}_{\text{supp}(\Lambda)} = \sum_j |\phi_j\rangle\langle\phi_j|$$

Thus:

$$M_i \geq 0, \quad \sum_{i=1}^K M_i = \Lambda^{-\frac{1}{2}} \Lambda \Lambda^{-\frac{1}{2}} = \text{I}_{\text{supp}(\Lambda)}$$

$$M_{K+1} = I - \text{I}_{\text{supp}(\Lambda)} = \text{I}_{\text{complement}(\text{supp}(\Lambda))}$$

$$\forall i, 0 \leq \text{tr}(\rho_i M_{K+1}) \leq \text{tr}(\Lambda M_{K+1}) = 0 \quad (i=1, \dots, K)$$

Intuitively, the measurement has an error if the state is ρ_i but the outcome is $j \neq i$.

How good is the "pretty good" meas?

- If ρ_i 's are orthogonal, it is perfect.
- Upon "googling" many hits on PGM being optimal in specific applications.
- For pure states $\rho_i = |\psi_i\rangle\langle\psi_i|$ given equiprobably, are prob error $\leq \frac{1}{K} \sum_{i \neq j} |\langle\psi_i|\psi_j\rangle|^2$

We'll use packing lemma to bound error prob.

② Gentle measurement lemma (Winter ...):

Let $\rho \geq 0, \text{tr}(\rho) \leq 1, 0 \leq E \leq I$

If $\text{tr}(\rho M) \geq 1 - \epsilon$

$$\text{then } \exists U \text{ s.t. } \|U E^{\frac{1}{2}} \rho E^{\frac{1}{2}} U^\dagger - \rho\|_{\text{tr}} \leq \sqrt{8\epsilon}$$

best possible post meas state for the outcome corr to E

Interpretations:

Given a state ρ , if meas yields 1 outcome whp then the state is hardly changed by the meas.

③ Def: for a set of states $S = \{\rho_1, \rho_2, \dots, \rho_K\}$

let distinguishability error of S be

$$d_e(S) = \min_{\text{meas}} \max_j \Pr(\text{outcome} = j \mid \text{state} = \rho_j)$$

NB can upper bound $d_e(S)$ by considering specific measurements.

④ The packing lemma:

Let $p_x = \Pr(x)$, ρ_x states, $\rho = \sum_x p_x \rho_x$

If projectors Π , Π_x exist s.t. $\forall x$:

① $\text{Tr}(\rho_x \Pi) \geq 1 - \epsilon$

② $\text{Tr}(\rho_x \Pi_x) \geq 1 - \epsilon$

③ $\text{Tr}(\Pi_x) \leq d_1$

④ $\Pi \rho \Pi \leq \frac{\Pi}{d_0}$

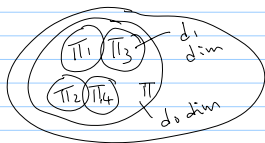
tr fraction

* ⑤ $S = \{\rho_1, \dots, \rho_K\}$, each $\rho_x = \rho_x$ w.p. p_x (iid), $K = \frac{d_0}{d_1} f$

Then $\mathbb{E}_S d_e(S) \leq 2(\epsilon + \sqrt{\epsilon}) + 4f$ (achieved w/ "PGM")

What does this lemma mean?

Conditions ② & ③ say each ρ_x lives in some d_1 -dim space (up to ϵ approx) defined by ρ_x . Condition ③ says all ρ_x lives in a space defined by Π .



Condition ④ says $\Pi \rho \Pi \leq \frac{\Pi}{d_0}$

Since $\text{tr}(\rho_x \Pi) \geq 1 - \epsilon$, $\Pi \rho_x \Pi \approx \rho_x$
& $\Pi \rho \Pi \approx \rho$

\therefore Condition ④ bounds the max eigen value of ρ to be no more than $\frac{1}{d_0}$, or $d_0 = \frac{1}{\lambda_{\max}(\rho)}$

where $\lambda_{\max}(\rho)$ denotes the max eigen value of ρ .

In general: for 2 rank pure states $|\psi\rangle$ & $|\phi\rangle$

$\lambda_{\max}(|\psi\rangle\langle\psi| + |\phi\rangle\langle\phi|)$ is large when $|\psi\rangle$ & $|\phi\rangle$ have high overlap.

In the current problem: $\rho = \sum_x p_x \rho_x$

We expect $\lambda_{\max}(\rho)$ to be small if the ρ_x 's are distinguishable but having mixed state ρ_x complicates things.

eg $\rho_1 = \left(\frac{1}{4} |0\rangle\langle 0| + \dots\right)$

$\rho_2 = \left(\frac{1}{4} |0\rangle\langle 0| + \dots\right)$

Here \dots are smaller terms that do not enter the calculation of $\lambda_{\max}(\rho_1 + \rho_2)$

None the less, \dots still affect their distinguishability.

This problem is worse if $\text{rank}(\rho_{1,2})$ are large.

The packing lemma tells us, if we're communicating using quantum states S_x and we know little about them except each lives under Π_x of dim d_1 , then we can send $k = \frac{d_0}{d_1}$ message w/o much error

the fraction of space we're willing to leave blank

this comes from how distinguishable S_x 's are.

(Also $d_0 \geq \text{rank}(\Pi)$, $d_1 \approx \text{size of } S_x$ i.e. $\frac{d_0}{d_1}$ sounds right.)

eg. $|Y_0\rangle = \sqrt{1-p}|0\rangle + \sqrt{p}|1\rangle$, $S_0 = |Y_0\rangle\langle Y_0|$, $P_0 = \frac{1}{2}$
 $|Y_1\rangle = \sqrt{1-p}|0\rangle - \sqrt{p}|1\rangle$, $S_1 = |Y_1\rangle\langle Y_1|$, $P_1 = \frac{1}{2}$
 $\xi = \begin{bmatrix} 1-p & 0 \\ 0 & p \end{bmatrix}$

Choose $\Pi_0 = |Y_0\rangle\langle Y_0|$, $\Pi_1 = |Y_1\rangle\langle Y_1|$ $\therefore d_1 = 1$

If we choose $\Pi = |0\rangle\langle 0|$ (when p large)

$\Pi \xi \Pi \leq \frac{\Pi}{d_0}$, $d_0 = 1$ Then $k = 1$

If we choose $\Pi = I$ (when $p = \frac{1}{2}$)

$\Pi \xi \Pi \leq \frac{\Pi}{d_0}$ for $d_0 = 2$ Then $k = 2$

Proof: let $Y_i = S_{X_i}$. Define a "PGM" using $\Pi \Pi_{X_i} \Pi$ & lower the expected prob of error.

$\Lambda_i = \Pi \Pi_{X_i} \Pi$, $\Lambda = \sum_{i=1}^K \Lambda_i$

$M_i = \Lambda^{-\frac{1}{2}} \Lambda_i \Lambda^{-\frac{1}{2}}$, $M_{K+1} = I - \sum_{i=1}^K M_i$

$\text{de}(S) \leq \frac{1}{K} \sum_i \text{Tr } Y_i (I - M_i)$

The $\Lambda^{-\frac{1}{2}}$ in M_i is nasty....

Use $I - M_i = I - \Lambda^{-\frac{1}{2}} \Lambda_i \Lambda^{-\frac{1}{2}} = I - \left(\Lambda_i + \sum_{j \neq i} \Lambda_j \right)^{-\frac{1}{2}} \Lambda_i \left(\Lambda_i + \sum_{j \neq i} \Lambda_j \right)^{-\frac{1}{2}}$

Opineq: $I - (X+Y)^{-1} X (X+Y)^{-1} \leq 2(I-X) + 4Y$

$\leq 2(I - \Lambda_i) + 4 \sum_{j \neq i} \Lambda_j$

sensible approx to $I - M_i$ if $\Lambda^{-\frac{1}{2}} \approx I$

Since $Y_i \geq 0$,

$\text{de}(S) \leq \frac{1}{K} \sum_i \text{Tr } Y_i (I - M_i)$

$\leq \frac{2}{K} \sum_i \text{Tr } Y_i (I - \Lambda_i) + \frac{4}{K} \sum_{j \neq i} \text{Tr } (Y_i \Lambda_j)$

$1 - \text{Tr}(S_{X_i} \Pi \Pi_{X_i} \Pi)$

$= 1 - \text{Tr}(\Pi S_{X_i} \Pi \Pi_{X_i})$

$\leq 1 - \text{Tr}(S_{X_i} \Pi_{X_i}) + \sqrt{8} \sum$

$\leq 2 + \sqrt{8} \sum$ (indep of i $\therefore \frac{1}{K} \sum$ cancel out)

+ gentle meas + ① by ②

→ from previous page, seeking a bound for $\sum_j \text{Tr}(Y_i \Lambda_j)$ for $j \neq i$

Here use the fact $Y_i = S_x$ w/ x , drawn iid.

$\mathbb{E} \sum_j \text{Tr}(Y_i \Lambda_j) = \mathbb{E} \sum_{(j \neq i)} \text{Tr} \left[\left(\mathbb{E} S_{X_i} \right) \Pi \left(\mathbb{E} \Pi_{X_j} \right) \Pi \right]$

$\leq \sum_j \text{Tr}(\Pi \xi \Pi) (\mathbb{E} \Pi_{X_j})$

③ $\leq \sum_j \text{Tr} \left(\frac{\Pi}{d_0} \right) (\mathbb{E} \Pi_{X_j})$ ④

$= \mathbb{E} \sum_j \text{Tr} \left(\frac{\Pi}{d_0} \right) \Pi_{X_j}$ (rank $\leq d_1$, eigenvalues $\leq 0, 1$)

$\leq \mathbb{E} \sum_j \frac{d_1}{d_0} = K \frac{d_1}{d_0} \leq f$

Back to earlier Qn:

Let \mathcal{Q} be a box that emits p_x to Bob if Alice inputs x .

For any n , consider an (M, n) code transmitting $\log M$ bits to Bob by n uses of \mathcal{Q} .

Let P_e = worst prob error, $\mathbb{E} P_e$ expected prob error

R achievable if there are $(2^{nR}, n)$ codes w/ $P_e \rightarrow 0$

Call capacity of \mathcal{Q} , $C(\mathcal{Q}) = \sup R$ achievable.

Again, need a direct coding proof
& a converse proof.

Converse:

Consider the state $\sum_{x_1, x_2, \dots, x_n} p(x_1, x_2, \dots, x_n) |x_1 \dots x_n\rangle \langle x_1 \dots x_n| \otimes p_{x_1} \dots \otimes p_{x_n}$

system labels x_1, x_2, \dots, x_n B_1, \dots, B_n

Need β_1, \dots, β_n in product state $\Rightarrow \sum_{i=1}^n S(\beta_i) - \sum_{X_1, \dots, X_n} p(X_1, \dots, X_n) \sum_{i=1}^n S(p_{X_i})$ arbitrary probs
 ↳ Short Holevo info
 $= \sum_{i=1}^n S(\beta_i) - \sum_{i=1}^n p(X_i) S(p_{X_i}) \leq n I(X_i; B_i)$
 marginal

$C_1 = X_{11} X_{12} \dots X_{1n}$
 $C_2 = X_{21} X_{22} \dots X_{2n}$
 \vdots
 $C_M = X_{M1} X_{M2} \dots X_{Mn}$

$X_{ij} \sim p_X \quad \forall i, j$
 whp these are typical sequences
 (prob of outcome $\approx 2^{-nH(X)}$)

i. States to be distinguished by Bob :

HW: Σ -strongly typical sequences are Σ' typical

$$C = 33344 \quad 21 \} 22 \quad 24 \} 43 \quad 4 \} 443$$

$\|p - q\|_1 = 0.2$. So C is 0.2-strongly typical.

Given $C = X_1, \dots, X_n$ ϵ -strongly typical
 What do we know about $X_i = p_{X_i} \otimes \dots \otimes p_{X_n}$? empirical prob
 Up to reordering the n systems, it's $\bigotimes_x p_{X_i}$ $\otimes n q(x)$

For each x , by discussion leading to quantum data compression, there's a projector Π_x s.t.

$$\text{Tr}(\bar{\pi}_x f_x^{\otimes n_{f(x)}}) \geq 1 - \delta_1$$

$$\dim \pi_x \leq 2^{-ng(x)} (S(p_x) + \varepsilon_1)$$

\therefore Given C , let $\overline{\Pi}_C = \bigotimes_x \overline{\Pi}_x \leftarrow$ acting on the correct systems

$$\text{tr} [\prod_{i=1}^n f_{x_i} \otimes \dots \otimes f_{x_n}] > 1 - \delta_2$$

$$\dim \Pi_C \leq 2^{-n} \left[\sum_x q(x) S(p_x) + C_2 \right]$$

eg. when $C = 33344213222434342443$

7 systems compressed by Π_4

$$\text{state } \gamma_C = \underbrace{\beta_3 \otimes \beta_3 \otimes \beta_3 \otimes \beta_4 \otimes \beta_4 \otimes \dots \otimes \beta_4 \otimes \beta_3}_{\text{compressed by } \Pi_3}$$

for $x=1$, Π_1 acts on sys 7

$x=2$, Π_2 acts on sys 6, 9, 10, 11, 17

$x=3$, Π_3 acts on sys 1, 2, 3, 8, 13, 15, 20

$x=4$, Π_4 acts on sys 4, 5, 12, 14, 16, 18, 19

more precisely,

for each n , for C, γ, Π_C defined earlier,

& let $\rho = \sum p_x \rho_x$, Π projector onto typical space of $\rho^{\otimes n}$

$\exists \epsilon_n, \delta_n$ s.t.

$$(1) \text{Tr}(\gamma_C \Pi_C) \geq 1 - \delta_n$$

$$(2) \text{Tr}(\Pi_C) \leq 2^{n[\sum p_x S(\rho_x) + \epsilon_n]}$$

$$\text{proof ex } (3) \text{Tr}(\gamma_C \Pi) \geq 1 - \delta_n$$

$$(4) \Pi \rho^{\otimes n} \Pi \leq 2^{-n[S(\rho) - \epsilon_n]} \Pi$$

(and $\epsilon_n, \delta_n \rightarrow 0$ as $n \rightarrow \infty$)

proof outlined already

finally, back to direct coding proof for $C(Q)$:

The M messages are encoded as: $\gamma_1, \gamma_2, \dots, \gamma_M$

where $\gamma_i = \beta_{x_{i1}} \otimes \beta_{x_{i2}} \otimes \dots \otimes \beta_{x_{in}} =: \beta_{C_i}$

for $C_i = x_{i1} x_{i2} \dots x_{in}$ a randomly chosen strongly typical sequence

The packing lemma now applies

γ_x being β_C , C strongly typical

Π_x being Π_C	$\therefore M$ can be dec. f $\approx 2^{n[\chi(\{p_i, p_j\}) - \delta_3]}$ takes care of ϵ & δ_n
$d_i \dots \sum_{x=1}^M n[\sum p_x S(\rho_x) + \epsilon_n]$	
$\Pi \dots \Pi$	
$d_o \dots \sum_{x=1}^M n[S(\sum p_x \rho_x) + \epsilon_n]$	
$K \dots M$	

eg. Consider the states

$$\rho_1 = \frac{1}{2} X \frac{1}{2} (0.9 + 0.1 \frac{1}{2}) \text{ for } |\psi_1\rangle = |0\rangle$$

$$\rho_2 = \frac{1}{2} X \frac{1}{2} (0.9 + 0.1 \frac{1}{2}) \text{ for } |\psi_2\rangle = \cos \theta |0\rangle + \sin \theta |1\rangle$$

$$\rho_3 = \frac{1}{2} X \frac{1}{2} (0.9 + 0.1 \frac{1}{2}) \text{ for } |\psi_3\rangle = \cos \theta |0\rangle - \sin \theta |1\rangle$$

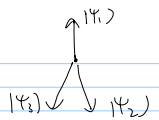
$$\text{s.t. } 0.4 \rho_1 + 0.3 \rho_2 + 0.3 \rho_3 = \frac{1}{2}$$

So, the Q box emits one of ρ_1, ρ_2, ρ_3 on demand.

Applying what we proved, $C(Q) = \max_{p_1, p_2, p_3} \chi(\{p_i, p_j\})$

Since $S(\rho_i)$ same, $\chi(\{p_i, p_j\}) = S(\sum p_i \rho_i) - H(0.05)$

max by $\sum p_i \rho_i = \frac{1}{2}$, or $p_1 = 0.4, p_2 = p_3 = 0.3$
 $= 0.29$



What should Alice send to Bob?

Consider all strings of 1 2 3's of length n

with $\approx 40\%$ 1's, 30% 2's, 30% 3's.

$$H(\{0.4, 0.3, 0.3\}) = 1.571$$

$$\text{There are } \approx \sum_{x=1}^n \frac{n(1.571 + \epsilon)}{n(0.71 - \delta)} \approx 2.971^n \text{ such strings.}$$

Alice is drawing 2 strings from this pool

(with replacement). If $\delta = 0.02$, There are $120 = M$ messages.

Say $C_1 = 2112312133$

$C_2 = 1321321213$

\vdots

$C_{120} = 2131231123$

$n=10$ for illustration
 Side Q: lower bound I_{acc} for $n=10$

$$\text{So } \gamma_1 = \rho_2 \rho_1 \rho_1 \rho_2 \rho_3 \rho_1 \rho_2 \rho_1 \rho_3 \rho_3$$

$$\gamma_2 = \rho_1 \rho_3 \rho_2 \rho_1 \rho_3 \rho_2 \rho_1 \rho_2 \rho_1 \rho_3$$

\vdots

$$\gamma_{120} = \rho_2 \rho_1 \rho_3 \rho_1 \rho_2 \rho_3 \rho_1 \rho_1 \rho_2 \rho_3$$

product states, but now classically correlated, once C_1, C_2, \dots, C_{120} fixed.

Bob's measurement:

$$\Lambda_i = \Pi \Pi_{X_i} \Pi, \quad \Lambda = \sum_{i=1}^K \Lambda_i,$$

$$M_i = \Lambda^{-\frac{1}{2}} \Lambda_i \Lambda^{-\frac{1}{2}}, \quad M_{K+1} = I - \sum_{i=1}^K M_i$$

eg. $\gamma_{1000} = \rho_2 \rho_1 \rho_3 \rho_1 \rho_2 \rho_3 \rho_1 \rho_1 \rho_2 \rho_3$

compress these 4 down to $4(0.29 + 0.1) \approx 3 \text{ dim}$

compress to $2^{3(0.29+0.1)} \approx 2.25 \text{ dims}$

$\therefore \Pi_{120} = \otimes$ of the 3 projections

Tr or rank of $\Lambda_{120} \approx "2.25" \times 3 \approx 14.5$

$\approx 15 \text{ dims} \rightarrow d_1$

Theoretically, should be $2^{10(0.29+0.1)} \approx 15 \text{ also}$.

Π projection onto typical space of $(\sum p_i p_i)^{\otimes 10}$

which is the full space. $d_0 \approx 2^{10}$

"Can send" $2^{10(\frac{1-0.29-0.2}{1-0.2})} \approx 120 \text{ messages}$.

So $\Pi \Pi_{120} \Pi \approx \Pi_{120}$.

Same for $\Pi_1 \dots \Pi_{119}$.

$\Lambda = \sum_{i=1}^{120} \Pi_i$ ← highly collective

$\therefore \{M_i = \Lambda^{-\frac{1}{2}} \Pi_i \Lambda^{-\frac{1}{2}}\}$ defines a highly collective measurement

What is the I_{acc} of the ensemble

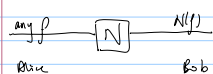
$\sum_i \{p_i, f_i\}$ in the above example?

That of the \otimes time state ≈ 0.585

I_{acc} for the current ensemble should be even lower.

Now, classical capacity of a quantum channel.

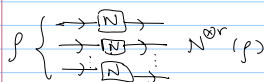
Basic use:



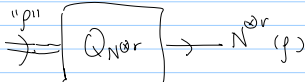
This is like our "Q" box:



Alice can also use $N^{\otimes r}$:



Corresponding Q box:



It follows from the capacity discussion of the Q box that

$$C(N) \geq \sup_r \frac{1}{r} \chi(N^{\otimes r})$$

and

\leq

defined as

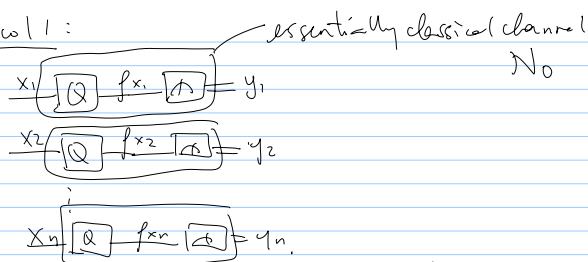
$$\max_{\{p_i, f_i\}} \chi(\{p_x, N^{\otimes r}(p_x)\})$$

↑
input to n channels

This is called the

HSW theorem, after Holevo, Schumacher & Westmoreland, PRA 56, 131 (1997), IEEE TIT 44, 269 (1998).

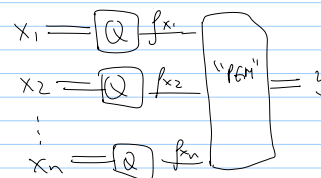
Protocol 1:



Alice chooses $p(x)$ to max $I_{acc}(\{p(x), p_y\})$
(the comm capacity of N_0)

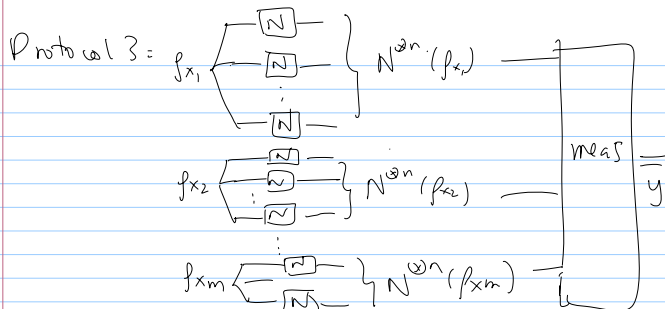
Protocol = Shannon's direct coding method for N_0

Protocol 2:



Alice chooses $p(x)$ to max $\chi(\{p(x), p_y\})$
and this is the capacity $C(N)$

Protocol = code words randomly chosen from strongly typical sequences.



Max over $\{p_x, p_y\}$ n use input, n use output

Capacity = $C(N) = \sup_n \frac{1}{n} \chi(p_x, N^{\otimes n}(p_x))$

Note that the capacity expression has an optimization over p_y — called a "regularized" expression in contrast to the capacity expression for the classical channel (or the Q -box which involve only 1 copy of the resource (then are called single lettered expression).

The step $S(B_1 \dots B_n) = S(B_1) + S(B_2) + \dots + S(B_n)$ in the converse of capacity of Q -box breaks down when output state $N^{\otimes n}(p)$ is entangled in the channel setting.

To do:

- Some examples
- problems like not having upper bounds to capacity of AD channel
- Additivity results vs non additivity (this really says where the problem comes from)
- brief discussion on the non additivity examples