## Chapter 6. Homotopy, and The Fundamental Group

Homotopy and The Fundamental Group

**6.1 Definition:** Let X be a topological space and let  $\alpha, \beta : [0,1] \to X$  be paths from a to b in X. An (endpoint-fixing) **homotopy** from  $\alpha$  to  $\beta$  in X is a continuous map  $F: [0,1] \times [0,1] \to X$  such that  $F(0,t) = \alpha(t)$  and  $F(1,t) = \beta(t)$  for all  $t \in [0,1]$ , and F(s,0) = a and F(s,1) = b for all  $\in [0,1]$ . Note that, in this case, for each  $s \in [0,1]$  the map  $f_s: [0,1] \to X$  given by  $f_s(t) = F(s,t)$  is a path from a to b in X. We say that  $\alpha$  is **homotopic** to  $\beta$  (or that  $\alpha$  is **homotopy-equivalent** to  $\beta$ ) in X, and we write  $\alpha \sim \beta$  in X, when there exists a homotopy from  $\alpha$  to  $\beta$  in X.

**6.2 Theorem:** Let X be a topological space, and let  $a, b \in X$ . Then homotopy-equivalence is an equivalence relation on the set of all paths from a to b in X.

Proof: Let  $\alpha, \beta, \gamma$  be paths from a to b in X. Note that  $\alpha \sim \alpha$ : indeed the map  $F: [0,l1] \times [0,1] \to X$  given by  $F(s,t) = \alpha(t)$  is a homotopy from  $\alpha$  to  $\alpha$  in X. Note that if  $\alpha \sim \beta$  then  $\beta \sim \alpha$ : indeed if F is a homotopy from  $\alpha$  to  $\beta$  in X then the map  $G: [0,1] \times [0,1] \to X$  given by G(s,t) = F(1-s,t) is a homotopy from  $\beta$  to  $\alpha$  in X. Finally note that if  $\alpha \sim \beta$  in X and  $\beta \sim \gamma$  in X then  $\alpha \sim \gamma$  in X: indeed, if F is a homotopy from  $\alpha$  to  $\beta$  in X and G is a homotopy from  $\beta$  to  $\gamma$  in X, then the map  $H: [0,1] \times [0,1] \to X$  given by

$$H(s,t) = \left\{ \begin{array}{l} F(2s,t) & \text{, if } 0 \le s \le \frac{1}{2} \\ G(2s-1,t) & \text{, if } \frac{1}{2} \le s \le 1 \end{array} \right\}$$

is a homotopy from  $\alpha$  to  $\gamma$  in X.

**6.3 Notation:** Given a topological space X and a point  $a \in X$ , we denote the set of homotopy-equivalence classes of loops at a in X by  $\pi_1(X, a)$ , that is

$$\pi_1(X,a) = \big\{ [\alpha] \, \big| \, \alpha \text{ is a loop at } a \text{ in } X \big\} \text{ , where}$$
$$[\alpha] = \big\{ \beta \, \big| \, \beta \text{ is a loop at } a \text{ in } X \text{ with } \beta \sim \alpha \text{ in } X \big\}.$$

**6.4 Notation:** Let X be a topological space. When  $a \in X$ , we write  $\kappa_a$  to denote the **constant loop** at a given by

$$\kappa_a(t) = a$$

for all  $t \in [0,1]$ . When  $\alpha$  is a path from a to b in X, we write  $\alpha^{-1}$  to denote the **inverse** path from b to a in X given by

$$\alpha^{-1}(t) = \alpha(1-t).$$

When  $\alpha$  is a path from a to b in X and  $\beta$  is a path from b to c in X, we write  $\alpha\beta$  to denote the **product path** from a to c in X given by

$$(\alpha\beta)(t) = \left\{ \begin{array}{l} \alpha(2t) & \text{, if } 0 \le t \le \frac{1}{2} \\ \beta(2t-1) & \text{, if } \frac{1}{2} \le t \le 1 \end{array} \right\}$$

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- **6.5 Theorem:** Let X be a topological space
- (1) When  $\alpha$  and  $\beta$  are paths from a to b in X, if  $\alpha \sim \beta$  in X then  $\alpha^{-1} \sim \beta^{-1}$  in X.
- (2) When  $\alpha$  and  $\beta$  are paths from a to b in X, and  $\gamma$  and  $\delta$  are paths from b to c in X, if  $\alpha \sim \gamma$  in X and  $\beta \sim \delta$  in X then  $\alpha \gamma \sim \beta \delta$  in X.
- (3) When  $\alpha$  is a path from a to b in X, we have  $\kappa_a \alpha \sim \alpha$  in X and  $\alpha \kappa_b \sim \alpha$  in X.
- (4) When  $\alpha$  is a path from a to b in X, we have  $\alpha \alpha^{-1} \sim \kappa_a$  in X and  $\alpha^{-1} \alpha \sim \kappa_b$  in X.
- (5) When  $\alpha$  is a path from a to b in X and  $\beta$  is a path from b to c in X, and  $\gamma$  is a path from c to d in X, we have  $(\alpha\beta)\gamma \sim \alpha(\beta\gamma)$  in X.

Proof: The proof is left as an exercise.

- **6.6 Definition:** Let X be a topological space and let  $a \in X$ . By the above theorem, the set  $\pi_1(X, a)$ , of homotopy-equivalence classes of loops at a in X, is a group under the operation given by  $[\alpha][\beta] = [\alpha\beta]$ , with identity element  $e = [\kappa_a]$  and with the inverse given by  $[\alpha]^{-1} = [\alpha^{-1}]$ . This group  $\pi_1(X, a)$  is called the **fundamental group** of X at a.
- **6.7 Example:** When X is a convex set in a normed linear space and  $a \in X$ , we have  $\pi_1(X, a) = \{e\}$  where  $e = [\kappa_a]$ . Indeed, for a loop  $\alpha$  at a in X, the map  $F : [0, 1] \times [0, 1] \to X$  given by  $F(s, t) = \alpha(t) = s(a \alpha(t))$  is a homotopy from  $\alpha$  to  $\kappa_a$  in X.

## The Fundamental Group of the Circle

**6.8 Theorem:** Let X be a compact metric space and let S be an open cover of X. The there exists a number  $\lambda > 0$ , called a **Lebesgue number** for the open cover S, such that for every  $a \in X$  the ball  $B(a, \lambda)$  is contained in one of the sets in S.

Proof: The proof is left as an exercise (this is often proven in an analysis course).

**6.9 Theorem:** Let  $\alpha$  be a path from a to b in  $\mathbb{C}^*$ . Choose  $\theta_0 \in \mathbb{R}$  such that  $a = |a|e^{i\theta_0}$ . Then there exist unique continuous fuctions  $r, \theta : [0,1] \to \mathbb{R}$ , with r(t) > 0 for all t and  $\theta(0) = \theta_0$ , such that  $\alpha(t) = r(t)e^{i\theta(t)}$  for all t. Moreover, if  $\alpha$  is differentiable (or  $\mathcal{C}^1$  or smooth) then so are r and  $\theta$ .

Proof: Write  $\alpha(t) = (x(t), y(t)) = x(t) + iy(t)$  where  $x, y : [0, 1] \to \mathbb{R}$ , and note that x and y are continuous (and indeed differentiable or  $\mathcal{C}^1$  or smooth, if  $\alpha$  is). It is clear that the map r must be given by  $r(t) = |\alpha(t)| = \sqrt{x(t)^2 + y(t)^2}$  which is continuous (and differentiable,  $\mathcal{C}^1$ , or smooth, if  $\alpha$  is). Let us explain how to construct the map  $\theta$ . Let  $U_1 = \{x+iy \mid x>0\}$ ,  $U_2 = \{x+iy \mid y>0\}$ ,  $U_3 = \{x+iy \mid x<0\}$  and  $U_4 = \{x+iy \mid y<0\}$  and, for k=1,2,3,4, define  $\theta_k: U_k \to \mathbb{R}$  by  $\theta_1(x,y) = \sin^{-1}\frac{y}{\sqrt{x^2+y^2}}$ ,  $\theta_2(x,y) = \cos^{-1}\frac{x}{\sqrt{x^2+y^2}}$ ,  $\theta_3(x,y) = \pi - \sin^{-1}\frac{y}{\sqrt{x^2+y^2}}$  and  $\theta_4(x,y) = 2\pi - \cos^{-1}\frac{x}{\sqrt{x^2+y^2}}$ . Note that when  $\alpha(t) \in U_k$ , we must have  $\theta(t) = \theta(\alpha(t)) + 2\pi n_k$  for some  $n_k = n_k(t) \in \mathbb{Z}$ . In order that  $\theta$  is continuous, the map  $n_k(t)$  must be continuous. Since  $n_k(t)$  takes values in the discrete set  $\mathbb{Z}$ , it must be locally constant (that is constant when t lies in an interval  $I \subseteq [0,1]$  and  $\alpha(t) \in U_k$  for all  $t \in I$ ). The open sets  $\alpha^{-1}(U_k)$  cover the interval [0,1]. Choose a Lebesgue number  $\lambda > 0$  for this cover. Choose  $\ell \in \mathbb{Z}^+$  large enough so that  $\frac{1}{\ell} < \lambda$ , and note that each subinterval  $I_j = \begin{bmatrix} \frac{j-1}{\ell}, \frac{j}{\ell} \end{bmatrix}$  is contained in the open ball  $B_j = B(x_j, \lambda)$  in [0,1], and  $B_j$  is contained in one of the four open sets  $\alpha^{-1}(U_k)$ , say  $B_j \subseteq \alpha^{-1}(U_{k_j})$ . It follows that (in order for the required continuous map  $\theta(t)$  to exist) there must exist constants  $n_j \in \mathbb{Z}$  such that when  $t \in B_j$  we have  $\theta(t) = \theta_{k_j}(\alpha(t)) + 2\pi n_j$ .

Finally we note that the constants  $n_j$  can be determined, in a unique way, so that the resulting map  $\theta(t)$  is continuous with  $\theta(0) = \theta_0$ : indeed the value of  $n_1$  is uniquely determined so that  $\theta_{k_1}(\alpha(0)) + 2\pi n_1 = \theta(0) = \theta_0$ , then the value of  $n_2$  is uniquely determined so that  $\theta_{k_2}(\alpha(\frac{1}{\ell})) + 2\pi n_2 = \theta(\frac{1}{\ell}) = \theta_{k_1}(\alpha(\frac{1}{\ell})) + 2\pi n_1$ , then the value of  $n_3$  is uniquely determined so that  $\theta_{k_3}(\alpha(\frac{2}{\ell})) + 2\pi n_3 = \theta(\frac{2}{\ell}) = \theta_{k_2}(\alpha(\frac{2}{\ell})) + 2\pi n_2$ , and so on. The values  $n_j$  are uniquely determined, and the resulting map  $\theta: [0,1] \to \mathbb{R}$  given by  $\theta(t) = \theta_{k_j}(\alpha(t)) + 2\pi n_j$  when  $t \in I_j$  (or when  $t \in B_j$ ) is continuous (by the Glueing Lemma). Moreover, we note that if  $\alpha$  is differentiable (or  $\mathcal{C}^1$  or smooth) then so is  $\theta$ .

**6.10 Definition:** Let  $\alpha$  be a path in  $\mathbb{C} \setminus \{a\}$  from b to c. Given  $\theta_0 \in \mathbb{R}$  such that  $b = a + |b - a|e^{i\theta_0}$ , since the path given by  $\beta(t) = \alpha(t) - a$  is a path in  $\mathbb{C}^*$  from b - a to c - a, it follows from the above theorem that there exist unique continuous maps  $r, \theta : [0, 1] \to \mathbb{R}$  with  $\theta(0) = \theta_0$  and with r(t) > 0 for all t, such that  $\alpha(t) = a + r(t)e^{i\theta(t)}$ . This expression for  $\alpha$  is called the **polar representation** of  $\alpha$  about a (with initial angle  $\theta_0$ ). We define the **winding number** of  $\alpha$  about a to be

wind
$$(\alpha, a) = \frac{\theta(1) - \theta(0)}{2\pi}$$
.

Note that this does not depend on the choice of  $\theta_0$ : indeed, if  $\alpha(t) = a + r(t)e^{i\phi(t)}$  with  $\phi(0) = \phi_0 = \theta_0 + 2\pi k$ , then since  $a + re^{i\theta(t)} = a + r(t)e^{i(\theta(t) + 2\pi k)}$  for all t, we have  $\phi(t) = \theta(t) + 2\pi k$  for all t (by the uniqueness of the polar representation with initial angle  $\phi_0$ ), so that  $\phi(1) - \phi(0) = \theta(1) - \theta(0)$ . Also note that in the case that  $\alpha$  is a loop at b in  $\mathbb{C} \setminus \{a\}$ , we have wind $(\alpha, a) \in \mathbb{Z}$ .

**6.11 Note:** Recall that when a continuous (or piecewise continuous) map  $f:[0,1] \to \mathbb{C}$  is given by f(t) = (x(t), y(t)) = x(t) + i y(t) with  $x, y:[0,1] \to \mathbb{R}$ , we define the **integral**  $\int_0^1 f$  to be given by  $\int_0^1 f = \int_0^1 f(t) dt = \int_0^1 x(t) dt + i \int_0^1 y(t) dt$ .

Also recall that when  $\alpha$  is a  $\mathcal{C}^1$  (or a continuous and piecewise  $\mathcal{C}^1$ ) path in an open

Also recall that when  $\alpha$  is a  $\mathcal{C}^1$  (or a continuous and piecewise  $\mathcal{C}^1$ ) path in an open set U in  $\mathbb{C}$ , and f is a continuous map  $f:U\subseteq\mathbb{C}\to\mathbb{C}$ , we define the **path integral**  $\int_{\alpha} f$  to be  $\int_{\alpha} f = \int_{\alpha} f(z) dz = \int_{0}^{1} f(\alpha(t))\alpha'(t) dt$ .

**6.12 Theorem:** Let  $\alpha$  be a  $\mathcal{C}^1$  (or a continuous and piecewise  $\mathcal{C}^1$ ) path from b to c in  $\mathbb{C} \setminus \{a\}$ . Choose  $\theta_0 \in \mathbb{R}$  such that  $b-a=|b-a|e^{i\theta_0}$ . Let  $\alpha(t)=a+r(t)e^{i\theta(t)}$  be the polar representation of  $\alpha$  about a. Then

$$\int_{\Omega} \frac{dz}{z-a} = \ln \frac{r(1)}{r(0)} + i \left(\theta(1) - \theta(0)\right) = \ln \frac{|b-a|}{|c-a|} + 2\pi i \operatorname{wind}(\alpha, a).$$

Proof: The proof is a straightforward calculation: we have

$$\int_{\alpha} \frac{dz}{z-a} = \int_{t=0}^{1} \frac{\alpha'(t) dt}{\alpha(t) - a} = \int_{t=0}^{1} \frac{r'(t)e^{i\theta(t)} + r(t)e^{i\theta(t)} \cdot i\theta'(t)}{r(t)e^{i\theta(t)}} dt$$
$$= \int_{t=0}^{1} \frac{r'(t)}{r(t)} + i\theta'(t) dt = \left[\ln r(t) + i\theta(t)\right]_{0}^{1} = \ln \frac{r(1)}{r(0)} + i(\theta(1) - \theta(0)).$$

**6.13 Theorem:** We have  $\pi_1(\mathbb{C}^*, 1) = \langle [\sigma] \rangle \cong \mathbb{Z}$  and  $\pi_1(\mathbb{S}^1, 1) = \langle [\sigma] \rangle \cong \mathbb{Z}$ , where  $\sigma : [0, 1] \to \mathbb{S}^1 \subseteq \mathbb{C}^*$  is the loop at 1 given by  $\sigma(t) = e^{i \, 2\pi t}$ .

Proof: We give the proof for  $\mathbb{C}^*$  (the proof for  $\mathbb{S}^1$  is identical but with r(t) = 1 for all t). Let  $\alpha$  be a loop at 1 in  $\mathbb{C}^*$ . Let  $\alpha(t) = r(t)e^{i\theta(t)}$  be the polar representation of  $\alpha$  about 0 with the initial angle  $\theta(0) = 0$ . Let  $n = \text{wind}(\alpha, 0)$ . Let  $\tau_n : [0, 1] \to \mathbb{S}^1 \subseteq \mathbb{C}^*$  be the loop given by  $\tau_n(t) = e^{i 2\pi nt}$ , and note that  $\text{wind}(\tau_n, 0) = n$ . Then we have  $\alpha \sim \tau_n$  in  $\mathbb{C}^*$ : indeed the map  $F : [0, 1] \times [0, 1] \to \mathbb{C}^*$  given by

$$F(s,t) = \left(r(t) + s(1 - r(t))\right) e^{i(\theta(t) + s(2\pi nt - \theta(t)))}$$

is a homotopy from  $\alpha$  to  $\tau_n$  in  $\mathbb{C}^*$  (we used a straight-line homotopy in the  $(r,\theta)$  plane with  $(r,\theta) \in \mathbb{R}^+ \times \mathbb{R}$ , which is convex). This shows that every loop  $\alpha$  at 1 in  $\mathbb{C}^*$  with wind $(\alpha,0) = n$  is homotopic to  $\tau_n$  in  $\mathbb{C}^*$ .

Also note that, for two loops  $\alpha, \beta$  at 1 in  $\mathbb{C}^*$  with wind $(\alpha, 0) = n$  and wind $(\beta, 0) = m$ , we have wind $(\alpha\beta, 0) = n + m$ : indeed for  $\alpha(t) = r(t)e^{i\theta(t)}$  and  $\beta(t) = s(t)e^{i\phi(t)}$  with  $\theta(0) = \phi(t) = 0$  and  $\theta(1) = n$  and  $\phi(t) = m$ , then the polar representation of  $\alpha\beta$  about 0 with initial angle 0 is given by  $(\alpha\beta)(t) = R(t)e^{i\Psi(t)}$  where

$$R(t) = \left\{ \begin{array}{l} r(2t) & \text{, if } 0 \le t \le \frac{1}{2} \\ s(2t-1) & \text{, if } \frac{1}{2} \le t \le 1 \end{array} \right\} \text{ and } \Phi(t) = \left\{ \begin{array}{l} \theta(2t) & \text{, if } 0 \le t \le \frac{1}{2} \\ 2\pi n + \phi(2t-1) & \text{, if } \frac{1}{2} \le t \le 1 \end{array} \right\}$$

and we have  $\Psi(1) = 2\pi n + 2\pi m$ .

In particular, if we write  $\sigma^1 = \sigma$  and  $\sigma^n = \sigma^{n-1}\sigma$ , so that for example  $\sigma^4 = \sigma^3\sigma = (\sigma^2\sigma)\sigma = ((\sigma\sigma)\sigma)\sigma$ , then wind $(\sigma^n,0) = n$ . Thus, when  $\alpha$  is any loop at 1 in  $\mathbb{C}^*$  with wind $(\alpha,0) = n$ , we have  $\alpha \sim \tau_n \sim \sigma^n$ , and hence  $[\alpha] = [\sigma]^n$  in  $\pi_1(\mathbb{C}^*,1)$ . This proves that  $\pi_1(\mathbb{C}^*,1) = \langle [\sigma] \rangle$  (the cyclic group generated by  $[\sigma]$ ).

It remains to show that when  $n \neq m$  we have  $\sigma^n \not\sim \sigma^m$  (so that the cyclic group  $\langle [\sigma] \rangle$  is infinite). This follows directly from Cauchy's Theorem for Paths, from complex analysis, which states that when  $\alpha$  and  $\beta$  are two  $\mathcal{C}^1$  (or continuous and piecewise  $\mathcal{C}^1$ ) paths in an open set U in  $\mathbb{C}$ , and  $f: U \subseteq \mathbb{C} \to \mathbb{C}$  is holomorphic in U, if  $\alpha \sim \beta$  in U then  $\int_{\alpha} f = \int_{\beta} f$ . It follows that if  $\sigma^n \sim \sigma^m$ , or equivalently if  $\tau_n \sim \tau_m$ , then  $n = \frac{1}{2\pi i} \int_{\tau_n} \frac{dz}{z} = \frac{1}{2\pi i} \int_{\tau_m} \frac{dz}{z} = m$ .

For those students who have not seen Cauchy's Theorem for Paths, we briefly describe an alternate method for proving that if  $\alpha \sim \beta$  in  $\mathbb{C}^*$  then wind $(\alpha,0) \neq \text{wind}(\beta,0)$ . Let  $\alpha$  and  $\beta$  be paths from a to b in  $\mathbb{C}^*$ . Suppose that  $\alpha \sim \beta$  in  $\mathbb{C}^*$  and let  $F:[0,1] \times [0,1] \to \mathbb{C}^*$  be a homotopy from  $\alpha$  to  $\beta$  in  $\mathbb{C}^*$ . By imitating the proof of Theorem 6.9, one can show that, given a choice of  $\theta_0 \in \mathbb{R}$  such that  $a = |a|e^{i\theta_0}$ , there exist unique continuous functions  $r, \theta: [0,1] \to \mathbb{R}$ , with r(s,t) > 0 for all s,t and  $\theta(0,0) = \theta_0$ , such that  $F(s,t) = r(s,t)e^{i\theta(s,t)}$  for all s,t (we will provide the details of this proof later, in a more general context, when we discuss homotopy lifting for covering spaces). Since  $\theta(s,0)$  is continuous and takes values in  $\mathbb{Z}$ , it is constant, so we have  $\theta(1,0) = \theta(0,0) = \theta_0$ , and since  $\theta(s,1)$  is continuous and takes values in  $\mathbb{Z}$ , it is constant, so we have  $\theta(1,1) = \theta(0,1)$ . It follows that

wind
$$(\beta, 0) = \frac{\theta(1,1) - \theta(1,0)}{2\pi} = \frac{\theta(0,1) - \theta(0,0)}{2\pi} = \text{wind}(\alpha, 0).$$

## Basic Properties

- **6.14 Note:** When X is path-connected and P is the path-component of X which contains the point  $a \in X$ , we have  $\pi_1(X, a) = \pi_1(P, a)$ . Indeed, every loop  $\alpha$  at a in X also lies in P, and when  $\alpha$  and  $\beta$  are homotopic loops at a in X, every homotopy F from  $\alpha$  to  $\beta$  in X takes values in P so that it is also a homotopy from  $\alpha$  to  $\beta$  in P.
- **6.15 Note:** When  $\gamma$  is a path from a to b in X, the map  $\phi_{\gamma}: \pi_1(X, a) \to \pi_1(X, b)$  given by  $\phi_{\gamma}([\alpha]) = [\gamma^{-1}\alpha\gamma]$  is a well-defined group isomorphism: It is well-defined because for loops  $\alpha, \beta$  at a in X, if  $\alpha \sim \beta$  then  $\gamma^{-1}\alpha\gamma \sim \gamma^{-1}\beta\gamma$  in X. It is a group homomorphism because for loops  $\alpha, \beta$  at a in X, we have  $\gamma^{-1}\alpha\beta\gamma \sim \gamma^{-1}\alpha\gamma\gamma^{-1}\beta\gamma$  in X. It is bijective because it has an inverse  $\phi_{\gamma}^{-1} = \phi_{\gamma^{-1}}: \pi_1(X, b) \to \pi_1(X, a)$  which is given by  $\phi_{\gamma^{-1}}([\beta]) = \gamma\beta\gamma^{-1}$ .
- **6.16 Notation:** When X is path-connected and  $a \in X$ , it is fairly common to write  $\pi_1(X, a)$  simply as  $\pi_1(X)$ .
- **6.17 Definition:** A based topological space (or a pointed topological space) is a pair (X, a) where X is a topological space and  $a \in X$  (the point a is called the base **point**). A (continuous) **map of based spaces**  $f: (X, a) \to (Y, b)$  is a continuous map  $f: X \to Y$  with f(a) = b. A **homeomorphism** from  $(X, a) \to (Y, b)$  is a continuous map  $f: (X, a) \to (Y, b)$  with a continuous inverse map  $f^{-1}: (Y, b) \to (X, a)$ . We say that (X, a) is **homeomorphic** to (Y, b), and write  $(X, a) \cong (Y, b)$ , when there exists a homeomorphism  $f: (X, a) \to (Y, b)$ .
- **6.18 Definition:** Given a map  $f:(X,a) \to (Y,b)$  of based spaces, we define the **induced** group homomorphism  $f_*: \pi_1(X,a) \to \pi_1(Y,b)$  by  $f_*([\alpha]) = [f \circ \alpha]$ . Note that  $f_*$  is well-defined because, for loops  $\alpha$  and  $\beta$  at a in X, if F is a homotopy from  $\alpha$  to  $\beta$  in X then  $G = f \circ F$  is a homotopy from  $f \circ \alpha$  to  $f \circ \beta$  in Y. Also note that  $f_*$  is a group homomorphism because, for loops  $\alpha$  and  $\beta$  at a in X, we have  $f \circ (\alpha\beta) = (f \circ \alpha)(f \circ \beta)$ .
- **6.19 Note:** Note that  $id_* = id$ , meaning that when  $id : (X, a) \to (X, a)$  is the identity map (given by id(x) = x for all  $x \in X$ , the induced map  $id_* : \pi_1(X, a) \to \pi_1(X, a)$  is also the identity map. Also note that when  $f : (X, a) \to (Y, b)$  and  $g : (Y, b) \to (Z, c)$  we have  $(g \circ f)_* = g_* \circ f_*$  because  $(g \circ f) \circ \alpha = g \circ (f \circ \alpha)$  for all loops  $\alpha$  at a in X.
- **6.20 Remark:** The above note can be summarized by saying the we have a (covariant) functor F, from the category of based topological spaces to the category of groups, given by  $F(X, a) = \pi_1(X, a)$  with  $F(f) = f_*$  when  $f: (X, a) \to (Y, b)$ .
- **6.21 Theorem:** When  $(X, a) \cong (Y, b)$  we have  $\pi_1(X, a) \cong \pi_1(Y, b)$ .

Proof: This is immediate from the above note: indeed when  $f:(X,a) \to (Y,b)$  is a homeomorphism with inverse  $g = f^{-1}:(Y,b) \to (X,a)$ , we have  $g \circ f = \operatorname{id}$  and  $f \circ g = \operatorname{id}$ , and hence  $g_* \circ f_* = (g \circ f)_* = \operatorname{id}_* = \operatorname{id}$  and  $f_* \circ g_* = (f \circ g)_* = \operatorname{id}_* = \operatorname{id}$ , so that  $f_*$  is invertible with inverse  $g_* = (f_*)^{-1}$ .

**6.22 Theorem:**  $\pi_1(X \times Y, (a, b)) \cong \pi_1(X, a) \times \pi_1(Y, b)$ .

Proof: Let  $p: X \times Y \to X$  and  $q: X \times Y \to Y$  be the projection maps. Since every loop  $\gamma$  at (a,b) in  $X \times Y$  is of the form  $\gamma(t) = (\alpha(t), \beta(t))$  where  $\alpha = p \circ \gamma$  (which is a loop at a in X) and  $\beta = q \circ \gamma$  (which is a loop at b in Y), the map  $\phi: \pi_1(X \times Y, (a,b)) \to \pi_1(X,a) \times \pi_1(Y,b)$  given by  $\phi([\gamma]) = ([p \circ \gamma], [q \circ \gamma]) = (p_*([\gamma]), q_*([\gamma]))$  is a surjective group homorphism, and  $\phi$  is injective because if F is a homotopy from  $\alpha$  to  $\kappa_a$  in X and G is a homotopy from  $\beta$  to  $\kappa_b$  in Y, then (F, G) is a homotopy from  $(\alpha, \beta)$  to  $\kappa_{(a,b)}$  in  $X \times Y$ .

- **6.23 Corollary:**  $\pi_1(\mathbb{T}^n) \cong \mathbb{Z}^n$ .
- **6.24 Definition:** Let  $f, g: (X, a) \to (Y, b)$  be continuous maps of based topological spaces. A **homotopy** from f to g relative to a, is a continuous map  $F: [0,1] \times X \to Y$  such that F(0,x) = f(x) and F(1,x) = g(x) for all  $x \in X$ , and F(s,a) = b for all  $s \in [0,1]$ . When such a homotopy exists, we say that f is **homotopic** to g (relative to g), and we write  $f \sim g$  (rel g). Verify, as an exercise, that this is an equivalence relation.
- **6.25 Theorem:** Let  $f, g: (X, a) \to (Y, b)$  be continuous maps of based topological spaces. Suppose that  $f \sim g$  (rel a). Then  $f_* = g_* : \pi_1(X, a) \to \pi_1(Y, b)$ .
- Proof: If  $\alpha:[0,1] \to X$  is a loop at a in X, and  $F:[0,1] \times X \to Y$  is a homotopy from f to g relative to a, then the map  $G:[0,1] \times [0,1] \to Y$  given by  $G(s,t) = F(s,\alpha(t))$  is an (endpoint-fixing) homotopy from  $f \circ \alpha$  to  $g \circ \alpha$ , so we have  $f_*(\alpha) = [f \circ \alpha] = [g \circ \alpha] = g_*(\alpha)$ .
- **6.26 Corollary:** Let  $f:(X,a) \to (Y,b)$  and  $g:(Y,b) \to (X,a)$  be continuous maps of based topological spaces. Suppose that  $g \circ f \sim \operatorname{id}_X$  (rel a) and  $f \circ g \sim \operatorname{id}_Y$  (rel b). Then  $f_*$  and  $g_*$  are inverses of each other, so we have  $\pi_1(X,a) \cong \pi_1(Y,b)$ .
- **6.27 Definition:** Let (X, a) and (Y, b) be based topological spaces. When there exist continuous maps  $f: (X, a) \to (Y, b)$  and  $g: (Y, b) \to (X, a)$  such that  $g \circ f \sim \operatorname{id}_X$  (rel a) and  $f \circ g \sim \operatorname{id}_Y$  (rel b), we say that the based spaces (X, a) and (Y, b) are **homotopic**, and we write  $(X, a) \sim (Y, b)$ . You can verify, if you want, that this is an equivalence relation (on the class of based topological spaces). By the above corollary, when  $(X, a) \sim (Y, b)$  we have  $\pi_1(X, a) \cong \pi_1(Y, b)$ .
- **6.28 Definition:** Let  $f, g: X \to Y$  be continuous maps of topological spaces, let  $A \subseteq X$ , and suppose that f(a) = g(a) for all  $a \in A$ . A **homotopy** from f to g, relative to A, is a continuous map  $F: [0,1] \times X \to Y$  such that F(0,x) = f(x) and F(1,x) = g(x) for all  $x \in X$ , and F(s,a) = f(a) = g(a) for all  $s \in [0,1]$  and all  $a \in A$ . When such a homotopy exists, we say that f is **homotopic** to g relative to g, and we write  $g \in G(x)$  note that when  $g \in G(x)$  if  $g \in G(x)$  then  $g \in G(x)$  to  $g \in G(x)$  then  $g \in$
- **6.29 Definition:** Let X be a topological space, let  $A \subseteq X$ , and let  $i: A \to X$  be the inclusion map. A **retraction** from X to A is a continuous map  $f: X \to A$  such that f(a) = a for all  $a \in A$ , or equivalently, such that  $f \circ i = \mathrm{id}_A$ . When such a retraction exists, we say that A is a **retract** of X. A **strong deformation retraction** from X to A is a continuous map  $f: X \to A$  such that  $f \circ i = \mathrm{id}_A$  and  $i \circ f \sim \mathrm{id}_X$  (rel A). When such a strong deformation retraction exists, we say that A is a **strong deformation retract** of X. Note that every strong deformation retract is also a retract.
- **6.30 Example:** The origin  $\{0\}$  is a strong deformation retract of  $\mathbb{R}^n$ . The sphere  $\mathbb{S}^{n-1}$  is a strong deformation retract of  $\mathbb{R}^n \setminus \{0\}$ . When p is the north pole  $p = (0, \dots, 0, 1) \in \mathbb{S}^n$ , the one-point set  $\{-p\}$  is a strong deformation retract of the punctured sphere  $\mathbb{S}^n \setminus \{p\}$  (which is homeomorphic, via stereographic projection, to  $\mathbb{R}^n$ ). Also,  $\mathbb{S}^{n-1}$  is a strong deformation retract of  $\mathbb{S}^n \setminus \{\pm p\}$  (which is homeomorphic, via stereographic projection, to  $\mathbb{R}^n \setminus \{0\}$ ). The **figure eight space**  $E = C \cup (-C)$ , where C is the circle  $(x-1)^2 + y^2 = 1$ , is a strong deformation retract of  $\mathbb{R}^2 \setminus \{\pm (1,0)\}$ .
- **6.31 Note:** When  $f: X \to A$  is a retraction and  $a \in A$ , we have  $f \circ i = \mathrm{id}_A$  so that  $f_* \circ i_* = \mathrm{id}_{\pi_1(X,a)}$ , hence  $f_* : \pi_1(X,a) \to \pi_1(A,a)$  is surjective and  $i_* : \pi_1(A,a) \to \pi_1(X,a)$  is injective. When  $f: X \to A$  is a strong deformation retraction, since  $f \circ i = \mathrm{id}_A$  and  $i \circ f \sim \mathrm{id}_X$  (rel a), we have  $(X,a) \sim (A,a)$  and the maps  $f_* : \pi_1(X,a) \to \pi_1(A,a)$  and  $i_* : \pi_1(A,a) \to \pi_1(X,a)$  are inverses of one another.