

## Chapter 10. Ring Homomorphisms, Ideals and Quotient Rings

**10.1 Definition:** Let  $R$  and  $S$  be rings. A **ring homomorphism** from  $R$  to  $S$  is a map  $\phi : R \rightarrow S$  such that

$$\begin{aligned}\phi(a + b) &= \phi(a) + \phi(b) \text{ and} \\ \phi(ab) &= \phi(a)\phi(b)\end{aligned}$$

for all  $a, b \in R$ . The **kernel** of  $\phi$  is the set

$$\text{Ker}(\phi) = \phi^{-1}(0) = \{a \in R \mid \phi(a) = 0\}$$

and the **image** (or **range**) of  $\phi$  is the set

$$\text{Image}(\phi) = \phi(R) = \{\phi(a) \mid a \in R\}.$$

A ring **isomorphism** from  $R$  to  $S$  is a bijective ring homomorphism from  $R$  to  $S$ . For two rings  $R$  and  $S$ , we say that  $R$  and  $S$  are **isomorphic**, and we write  $R \cong S$ , when there exists an isomorphism  $\phi : R \rightarrow S$ .

**10.2 Theorem:** Let  $\phi : R \rightarrow S$  be a ring homomorphism. Then

- (1)  $\phi(0) = 0$ ,
- (2) for  $a \in R$  we have  $\phi(ka) = k\phi(a)$  for all  $k \in \mathbb{Z}$ ,
- (3) if  $R$  has a 1 and  $\phi$  is surjective, then  $S$  has a 1 and  $\phi(1) = 1$ ,
- (4) for  $a \in R$  we have  $\phi(a^k) = \phi(a)^k$  for all  $k \in \mathbb{Z}^+$ , and
- (5) if  $R$  has a 1,  $\phi$  is surjective, and  $a \in R$  is a unit, then  $\phi(a^k) = \phi(a)^k$  for all  $k \in \mathbb{Z}$ .

**10.3 Theorem:** Let  $\phi : R \rightarrow S$  and  $\psi : S \rightarrow T$  be ring homomorphisms. Then

- (1) the identity map  $I : R \rightarrow R$  is a ring homomorphism,
- (2) the composite  $\psi \circ \phi : R \rightarrow T$  is a ring homomorphism, and
- (3) if  $\phi$  is bijective then the inverse  $\phi^{-1} : S \rightarrow R$  is a ring homomorphism.

**10.4 Corollary:** Isomorphism is an equivalence relation on the class of rings.

**10.5 Theorem:** Let  $\phi : R \rightarrow S$  be a ring homomorphism. Then

- (1) If  $K$  is a subring of  $R$  then  $\phi(K)$  is a subring of  $S$ . In particular,  $\text{Image}(\phi)$  is a subring of  $S$ .
- (2) if  $L$  is a subring of  $S$  then  $\phi^{-1}(L)$  is a subring of  $R$ . In particular,  $\text{Ker}(\phi)$  is a subring of  $R$ .

**10.6 Theorem:** Let  $\phi : R \rightarrow S$  be a ring homomorphism. Then

- (1)  $\phi$  is injective if and only if  $\text{Ker}(\phi) = \{0\}$ , and
- (2)  $\phi$  is surjective if and only if  $\text{Image}(\phi) = S$ .

**10.7 Example:** For rings  $R$  and  $S$ , the **zero function**  $0 : R \rightarrow S$ , given by  $0(x) = 0$  for all  $x \in R$ , is a ring homomorphism. For a ring  $R$ , the **identity function**  $I : R \rightarrow R$ , given by  $I(x) = x$  for all  $x \in R$ , is a ring homomorphism.

**10.8 Example:** Let  $R$  be a ring. For  $a \in R$ , define  $\phi_a : \mathbb{Z} \rightarrow R$  by  $\phi_a(k) = ka$ . Show that the ring homomorphisms  $\phi : \mathbb{Z} \rightarrow R$  are the maps  $\phi = \phi_a$  with  $a \in R$  such that  $a^2 = a$ .

Solution: For  $a \in R$ , let  $\phi_a : \mathbb{Z} \rightarrow R$  be the map given by  $\phi_a(k) = ka$ . Note that for any ring homomorphism  $\phi : \mathbb{Z} \rightarrow R$ , if we let  $a = \phi(1)$  then for all  $k \in \mathbb{Z}$  we have  $\phi(k) = \phi(k \cdot 1) = k \cdot \phi(1) = ka = \phi_a(k)$ . Thus every ring homomorphism  $\phi : \mathbb{Z} \rightarrow R$  is of the form  $\phi = \phi_a$  for some  $a \in R$ . Also note that in order for  $\phi_a$  to be a ring homomorphism, we must have  $a^2 = \phi(1)^2 = \phi(1^2) = \phi(1) = a$ . Finally, note that given  $a \in R$  with  $a^2 = a$ , the map  $\phi_a$  is a ring homomorphism because  $\phi_a(k+l) = (k+l)a = ka + la = \phi_a(k) + \phi_a(l)$  and  $\phi_a(kl) = (kl)a = (kl)a^2 = (ka)(la) = \phi_a(k)\phi_a(l)$ . Thus the ring homomorphisms from  $\mathbb{Z}$  to  $R$  are precisely the maps  $\phi_a$  where  $a \in R$  with  $a^2 = a$ .

**10.9 Example:** Let  $R$  be a ring. For  $a, b \in R$ , define the map  $\phi_{a,b} : \mathbb{Z} \times \mathbb{Z} \rightarrow R$  by  $\phi_{a,b}(k, l) = (ka)(lb)$ . As an exercise, show that the ring homomorphisms  $\phi : \mathbb{Z} \times \mathbb{Z} \rightarrow R$  are the maps  $\phi = \phi_{a,b}$  with  $a, b \in R$  such that  $a^2 = a$ ,  $b^2 = b$  and  $ab = ba = 0$ .

**10.10 Definition:** An element  $a$  in a ring  $R$  is called **idempotent** when  $a^2 = a$ .

**10.11 Example:** The complex conjugation map  $\phi : \mathbb{C} \rightarrow \mathbb{C}$  given by  $\phi(z) = \bar{z}$  is a ring homomorphism since  $\overline{z+w} = \bar{z} + \bar{w}$  and  $\overline{zw} = \bar{z}\bar{w}$ , but the norm map  $\psi(z) = ||z||$  is not a ring homomorphism because, in general, we do not have  $||z+w|| = ||z|| + ||w||$ .

**10.12 Definition:** Let  $R$  be a ring. For  $a \in R$ , the map  $\phi_a : R[x] \rightarrow R$  given by  $\phi_a(f(x)) = f(a)$ , that is by

$$\phi_a\left(\sum_{i=0}^n c_i x^i\right) = \sum_{i=0}^n c_i a^i,$$

is called the **evaluation map** at  $a$ . If  $a \in Z(R)$  then  $\phi_a$  is a homomorphism because for  $f = \sum b_i x^i$  and  $g = \sum c_i x^i$  we have

$$\phi_a(f+g) = \phi_a\left(\sum_i (b_i + c_i)x^i\right) = \sum_i (b_i + c_i)a^i = \sum_i b_i a^i + \sum_i c_i a^i = \phi_a(f) + \phi_a(g)$$

$$\phi_a(fg) = \phi_a\left(\sum_{i,j} b_i c_j x^{i+j}\right) = \sum_{i,j} b_i c_j a^{i+j} = \sum_{i,j} b_i a^i c_j a^j = \sum_i b_i a^i \sum_j c_j a^j = \phi_a(f)\phi_a(g).$$

The **evaluation map**  $\phi : R[x] \rightarrow \text{Func}(R, R)$  is then given by  $\phi(f)(a) = \phi_a(f) = f(a)$ , in other words  $\phi$  sends the polynomial  $f(x) = \sum c_i x^i$  to the function  $f(x) = \sum c_i x^i$ . If  $R$  is commutative, then the above calculation shows that this map  $\phi$  is a homomorphism. If  $R$  is not commutative, then the multiplication operations in  $R[x]$  and in  $\text{Func}(R, R)$  are different and the evaluation map is not a homomorphism (in fact we are usually only interested in the polynomial ring  $R[x]$  in the case that  $R$  is commutative).

**10.13 Example:** Show that  $\mathbb{R} \not\cong \mathbb{C}$  (as rings).

Solution: If  $\phi : \mathbb{R} \rightarrow \mathbb{C}$  was a ring isomorphism, then the restriction of  $\phi$  to  $\mathbb{R}^*$  would be a group isomorphism  $\phi : \mathbb{R}^* \rightarrow \mathbb{C}^*$ . But we know that the groups  $\mathbb{R}^*$  and  $\mathbb{C}^*$  are not isomorphic.

**10.14 Example:** Show that  $2\mathbb{Z} \not\cong 3\mathbb{Z}$  (as rings).

Solution: In  $2\mathbb{Z}$  we have  $2 \cdot 2 = 4 = 2 + 2$ , but there is no element  $0 \neq a \in 3\mathbb{Z}$  with  $a \cdot a = a + a$ .

**10.15 Theorem:** (*Ideals and Quotient Rings*) Let  $S$  be a subring of a ring  $R$ . Note that  $S$  is a subgroup of  $R$  under addition. Let  $R/S$  be the quotient group  $R/S = \{a + S \mid a \in R\}$  with addition operation given by  $(a + S) + (b + S) = (a + b) + S$ . We can define a multiplication operation on  $R/S$  by

$$(a + S)(b + S) = ab + S$$

if and only if  $S$  has the property that for all  $r \in R$  and  $s \in S$  we have

$$rs \in S \text{ and } sr \in S.$$

In this case  $R/S$  is a ring under the above addition and multiplication operations. If  $R$  has identity 1, then  $R/S$  has identity  $1 + S$ .

Proof: Suppose the formula  $(a + S)(b + S) = ab + S$  gives a well-defined operation on  $R/S$ . Then for all  $a_1, a_2, b_1, b_2 \in R$ , if  $a_1 + S = a_2 + S$  and  $b_1 + S = b_2 + S$  then  $a_1 b_1 + S = a_2 b_2 + S$ . Equivalently, for all  $a_1, b_1, a_2, b_2 \in R$ , if  $a_1 - a_2 \in S$  and  $b_1 - b_2 \in S$  then  $a_1 b_1 - a_2 b_2 \in S$ . Let  $r \in R$  and  $s \in S$ . Taking  $a_1 = a_2 = r$ ,  $b_1 = s$  and  $b_2 = 0$ , we have  $a_1 - a_2 = 0 \in S$  and  $b_1 - b_2 = s \in S$  and so  $rs = a_1 b_1 - a_2 b_2 \in S$ . Similarly, taking  $a_1 = s$ ,  $a_2 = 0$  and  $b_1 = b_2 = r$  we see that  $sr \in S$ .

Conversely, suppose that for all  $r \in R$  and  $s \in S$  we have  $rs \in S$  and  $sr \in S$ . Let  $a_1, a_2, b_1, b_2 \in R$  with  $a_1 - a_2 \in S$  and  $b_1 - b_2 \in S$ . Say  $a_1 - a_2 = s \in S$  and  $b_1 - b_2 = t \in S$ . Then  $a_1 b_1 - a_2 b_2 = a_1 b_1 - (a_1 - s)(b_1 - t) = a_1 b_1 - (a_1 b_1 - a_1 t - s b_1 + st) = a_1 t + s b_1 + st \in S$ . Thus the formula  $(a + S)(b + S) = ab + S$  gives a well-defined operation on  $R/S$ .

Now we suppose that  $S$  has the required property so that  $(a + S)(b + S) = ab + S$  does give a well-defined multiplication operation. This multiplication is associative because

$$\begin{aligned} ((a + S)(b + S))(c + S) &= (ab + S)(c + S) = (ab)c + S = a(bc) + S \\ &= (ab + S)(c + S) = (a + S)((b + S)(c + S)) \end{aligned}$$

and it is distributive over the addition operation on  $R/S$  because

$$\begin{aligned} (a + S)((b + S) + (c + S)) &= (a + S)((b + c) + S) = a(b + c) + S = ab + ac + S \\ &= (ab + S) + (ac + S) = (a + S)(b + S) + (a + S)(c + S) \end{aligned}$$

and similarly  $((a + S) + (b + S))(c + S) = (a + S)(c + S) + (b + S)(c + S)$ . Thus  $R/S$  is a ring under these two operations.

**10.16 Definition:** Let  $R$  be a ring. An **ideal** in  $R$  is a subring  $A \subseteq R$  with the property that for all  $r \in R$  and  $a \in A$  we have  $ra \in A$  and  $ar \in A$ . When  $A$  is an ideal in  $R$ , the ring  $R/A$ , equipped with the operations of the above theorem, is called the **quotient ring** of  $R$  by  $A$ . It is easy to check that the zero element in  $R/A$  is  $0 + A$ , the additive inverse of  $a + A$  in  $R/A$  is  $-(a + A) = -a + A$ , if  $R$  has identity 1 then  $R/A$  has identity  $1 + A$ , and if  $a \in R$  is a unit then  $a + A$  is a unit in  $R/A$  with  $(a + A)^{-1} = a^{-1} + A$ .

**10.17 Example:** In the cyclic group  $\mathbb{Z}$ , the subgroups are the groups  $\langle n \rangle = n\mathbb{Z}$  with  $n \geq 0$ . Each of these subgroups is also an ideal in the ring  $\mathbb{Z}$ . For  $n \in \mathbb{Z}^+$ , the ring  $\mathbb{Z}_n$  is the quotient ring  $\mathbb{Z}_n = \mathbb{Z}/\langle n \rangle = \mathbb{Z}/n\mathbb{Z}$ .

**10.18 Example:** In the group  $\mathbb{Z}_n$  the subgroups are the groups  $\langle d \rangle$  where  $d \mid n$ . Each of the subgroups is also an ideal in the ring  $\mathbb{Z}_n$ .

**10.19 Example:** In the group  $\mathbb{Q}$ , we have the subgroup  $\langle 2 \rangle = \{\dots, -2, 0, 2, 4, \dots\} = 2\mathbb{Z}$ . This subgroup is also a subring of  $\mathbb{Q}$  because it is closed under multiplication. But it is not an ideal in  $\mathbb{Q}$  because it is not closed under multiplication by elements in  $\mathbb{Q}$ , for example  $2 \in \langle 2 \rangle$  and  $\frac{1}{2} \in \mathbb{Q}$ , but  $1 = 2 \cdot \frac{1}{2} \notin \langle 2 \rangle$ .

**10.20 Definition:** Let  $R$  be a ring and let  $U \subseteq R$ . The **ideal in  $R$  generated by  $U$** , denoted by  $\langle U \rangle$ , is the smallest ideal in  $R$  which contains  $U$ , or equivalently, the intersection of all ideals in  $R$  which contain  $U$ . The elements in  $U$  are called **generators** of  $\langle U \rangle$ . When  $U$  is finite we often omit the set brackets, so for  $U = \{u_1, u_2, \dots, u_n\}$  we write  $\langle U \rangle = \langle u_1, u_2, \dots, u_n \rangle$ . An ideal of the form  $\langle u_1, u_2, \dots, u_n \rangle$  for some  $u_i \in R$  is said to be **finitely generated**. An ideal of the form  $\langle u \rangle$  for some  $u \in R$  is called a **principal ideal**.

**10.21 Theorem:** Let  $R$  be a ring and let  $U$  be a non-empty subset of  $R$ .

- (1) If  $R$  has a 1 then  $\langle U \rangle = \left\{ \sum_{i=1}^n r_i u_i s_i \mid n \in \mathbb{Z}^+, u_i \in U, r_i, s_i \in R \right\}$ .
- (2) If  $R$  is commutative with 1 then  $\langle U \rangle = \left\{ \sum_{i=1}^n u_i r_i \mid n \in \mathbb{Z}^+, u_i \in U, r_i \in R \right\}$ . In particular, for  $a \in R$  we have  $\langle a \rangle = \{ar \mid r \in R\}$ .

**10.22 Note:** In a field  $F$ , the only ideals are  $\{0\}$  and  $F$ . Indeed let  $A$  be an ideal in  $F$  with  $A \neq \{0\}$ . Choose  $0 \neq a \in A$ . Since  $a \in A$  and  $a^{-1} \in F$ , we must have  $1 = a a^{-1} \in A$ . Given any element  $x \in F$ , since  $1 \in A$  and  $x \in F$  we must have  $x = x \cdot 1 \in A$ . Thus  $A = F$ .

**10.23 Definition:** Let  $A$  and  $B$  be ideals in a ring  $R$ . The **intersection**, **sum** and the **product** of  $A$  and  $B$  are the sets

$$\begin{aligned} A \cap B &= \{a \in R \mid a \in A \text{ and } a \in B\}, \\ A + B &= \{a + b \mid a \in A, b \in B\}, \text{ and} \\ AB &= \left\{ \sum_{i=1}^n a_i b_i \mid n \in \mathbb{Z}^+, a_i \in A, b_i \in B \right\}. \end{aligned}$$

As an exercise, show that  $A \cap B$ ,  $A + B$  and  $AB$  are all ideals in  $R$ .

**10.24 Example:** In  $\mathbb{Z}$ , for  $k, l \in \mathbb{Z}^+$  verify that

$$\begin{aligned} \langle k \rangle \cap \langle l \rangle &= \langle m \rangle \text{ where } m = \text{lcm}(k, l) \\ \langle k \rangle + \langle l \rangle &= \langle d \rangle \text{ where } d = \text{gcd}(k, l), \text{ and} \\ \langle k \rangle \langle l \rangle &= \langle kl \rangle. \end{aligned}$$

**10.25 Theorem:** (*The First Isomorphism Theorem*) Let  $\phi : R \rightarrow S$  be a homomorphism of rings. Let  $K = \text{Ker}(\phi)$ . Then  $K$  is an ideal in  $R$  and we have  $R/K \cong \phi(R)$ . Indeed the map  $\Phi : R/K \rightarrow \phi(R)$  given by  $\Phi(a + K) = \phi(a)$  is a ring isomorphism.

**10.26 Theorem:** (*The Second Isomorphism Theorem*) Let  $A$  and  $B$  be ideals in a ring  $R$ . Then  $A$  is an ideal in  $A + B$ ,  $A \cap B$  is an ideal in  $B$ , and

$$(A + B)/A \cong B/(A \cap B).$$

**10.27 Theorem:** (*The Third Isomorphism Theorem*) Let  $A$  and  $B$  be ideals in a ring  $R$  with  $A \subseteq B \subseteq R$ . Then  $B/A$  is an ideal in  $R/A$  and

$$(R/A)/(B/A) \cong R/B.$$

**10.28 Example:** Let  $d, n \in \mathbb{Z}^+$  with  $d \mid n$ . Then the map  $\phi : \mathbb{Z}_n \rightarrow \mathbb{Z}_d$  given by  $\phi(k) = k$  is a ring homomorphism with  $\text{Ker}(\phi) = \langle d \rangle$ . By the First Isomorphism Theorem, we have  $\mathbb{Z}_n / \langle d \rangle \cong \mathbb{Z}_d$ .

**10.29 Example:** Define a map  $\phi : \mathbb{Q}[x] \rightarrow \mathbb{Q}[\sqrt{2}]$  by  $\phi(f) = f(\sqrt{2})$ . Then  $\phi$  is a homomorphism because  $\phi(f + g) = (f + g)(\sqrt{2}) = f(\sqrt{2}) + g(\sqrt{2}) = \phi(f) + \phi(g)$  and  $\phi(fg) = (fg)(\sqrt{2}) = f(\sqrt{2})g(\sqrt{2}) = \phi(f)\phi(g)$ . Also note that  $\phi$  is surjective because  $\phi(a + bx) = a + b\sqrt{2}$  for  $a, b \in \mathbb{Q}$ . Finally note that for  $f \in \mathbb{Q}[x]$  we have

$$\begin{aligned} f(x) \in \text{Ker}(\phi) &\iff f(\sqrt{2}) = 0 \in \mathbb{R} \iff f(\sqrt{2}) = f(-\sqrt{2}) = 0 \in \mathbb{R} \\ &\iff (x^2 - 2) \mid f(x) \iff f(x) \in \langle x^2 - 2 \rangle, \end{aligned}$$

where we used the fact that for  $f(x) = \sum c_i x^i \in \mathbb{Q}[x]$  we have

$$f(\pm\sqrt{2}) = \left( \sum c_{2k} 2^k \right) \pm \left( \sum c_{2k+1} 2^k \right) \sqrt{2}$$

so that  $f(\sqrt{2}) = 0 \iff f(-\sqrt{2}) = 0 \iff \sum c_{2k} 2^k = 0 = \sum c_{2k+1} 2^k$ . By the First Isomorphism Theorem, we have  $\mathbb{Q}[x] / \langle x^2 - 2 \rangle \cong \mathbb{Q}[\sqrt{2}]$ .

**10.30 Example:** Define  $\phi : \mathbb{R}[x] \rightarrow \mathbb{C}$  by  $\phi(f) = f(i)$ . Then  $\phi$  is a homomorphism since  $\phi(f+g) = (f+g)(i) = f(i)+g(i) = \phi(f)+\phi(g)$  and  $\phi(fg) = (fg)(i) = f(i)g(i) = \phi(f)\phi(g)$ . The map  $\phi$  is surjective because  $\phi(a + bx) = a + bi$  for  $a, b \in \mathbb{R}$ . Also, for  $f(x) \in \mathbb{R}[x]$ ,

$$f(x) \in \text{Ker}(\phi) \iff f(i) = 0 \in \mathbb{C} \iff (x^2 + 1) \mid f(x) \iff f(x) \in \langle x^2 + 1 \rangle \subseteq \mathbb{R}[x].$$

Thus by the First Isomorphism Theorem, we have  $\mathbb{R}[x] / \langle x^2 + 1 \rangle \cong \mathbb{C}$ .

**10.31 Example:** Define  $\phi : \mathbb{Z}[i] \rightarrow \mathbb{Z}_5$  by  $\phi(a + bi) = a + 2b$ . The map  $\phi$  is a ring homomorphism because

$$\begin{aligned} \phi((a + bi) + (c + di)) &= \phi((a + c) + (b + d)i) = (a + c) + 2(b + d) \\ &= (a + 2b) + (c + 2d) = \phi(a + bi) + \phi(c + di), \text{ and} \\ \phi((a + bi)(c + di)) &= \phi((ac - bd) + (ad + bc)i) = (ac - bd) + 2(ad + bc) \\ &= ac + 2ad + 2bc + 4bd = (a + 2b)(c + 2d) = \phi(a + bi)\phi(c + di). \end{aligned}$$

Also note that  $\phi$  is surjective because  $\phi(a + 0i) = a$ . We claim that  $\text{Ker } \phi = \langle 2 - i \rangle$ . Let  $a + ib \in \text{Ker } \phi$  where  $a, b \in \mathbb{Z}$ . Then  $a + 2b = 0 \in \mathbb{Z}_5$ , say  $a + 2b = 5t$  where  $t \in \mathbb{Z}$ . Then we have  $a + ib = 5t - 2b + ib = (2 - i)((2 + i)t - b) \in \langle 2 - i \rangle$ , and hence  $\text{Ker } \phi \subseteq \langle 2 - i \rangle$ . On the other hand, if  $a + ib \in \langle 2 - i \rangle$ , say  $a + ib = (2 - i)(x + iy) = (2x + y) + i(2y - x)$ , then we have  $\phi(a + ib) = a + 2b = (2x + y) + 2(2y - x) = 5y = 0 \in \mathbb{Z}_5$ , and hence  $\langle 2 - i \rangle \subseteq \text{Ker } \phi$ . Thus  $\text{Ker } \phi = \langle 2 - i \rangle$ , as claimed. By the First Isomorphism Theorem, it follows that  $\mathbb{Z}[i] / \langle 2 - i \rangle \cong \mathbb{Z}_5$ .

**10.32 Definition:** Let  $R$  be a commutative ring. Consider the evaluation homomorphism  $\phi : R[x] \rightarrow \text{Func}(R, R)$  given by  $\phi(f) = f$ , that is the map which sends the polynomial  $f(x)$  to the function  $f(x)$ . A polynomial  $f \in R[x]$  is equal to zero when all of its coefficients are equal to zero. A function  $f \in \text{Func}(R, R)$  is equal to zero when we have  $f(a) = 0$  for all  $a \in R$ . The kernel of the evaluation homomorphism is

$$\text{Ker}(\phi) = \{f \in R[x] \mid f(a) = 0 \text{ for all } a \in R\}.$$

The image  $\phi(R[x]) \subseteq \text{Func}(R, R)$  is called the **ring of polynomial functions** on  $R$ . By the First Isomorphism Theorem, it is isomorphic to the quotient ring  $R[x]/\text{Ker}(\phi)$ .

**10.33 Example:** If  $R$  is an infinite field, then  $\text{Ker}(\phi) = 0$  since for  $f(x) \in R[x]$ , if  $f(a) = 0$  for all  $a \in R$  then  $f(x)$  has infinitely many roots, and so  $f(x) = 0$  as a polynomial (a non-zero polynomial of degree  $n \geq 0$  over a field has at most  $n$  roots). In this case,  $\phi$  is injective so the polynomial ring  $R[x]$  is isomorphic to the ring of polynomial functions  $\phi(R[x]) \subseteq \text{Func}(R, R)$ , and we often identify  $R[x]$  with  $\phi(R[x])$ .

If  $R$  is a finite field, the situation is quite different. In this case  $R[x]$  is infinite but  $\text{Func}(R, R)$  is finite, so  $R[x]$  is certainly not isomorphic to a subring of  $\text{Func}(R, R)$ . Let us consider the case that  $R = \mathbb{Z}_p$  where  $p$  is prime. By Fermat's Little Theorem, we know that  $a^p = a$  for all  $a \in \mathbb{Z}_p$ , and so every  $a \in \mathbb{Z}_p$  is a root of the polynomial  $p(x) = x^p - x$ . Since there are exactly  $p$  elements in  $\mathbb{Z}_p$ , it follows that  $p(x)$  factors as

$$p(x) = x^p - x = (x - 0)(x - 1)(x - 2) \cdots (x - (p - 1)).$$

For a polynomial  $f(x) \in \mathbb{Z}_p[x]$  we have

$$\begin{aligned} f(x) \in \text{Ker}(\phi) &\iff f(a) = 0 \text{ for all } a \in \mathbb{Z}_p \iff (x - a) \mid f(x) \text{ for all } a \in \mathbb{Z}_p \\ &\iff p(x) \mid f(x) \iff f(x) \in \langle p(x) \rangle = \langle x^p - x \rangle. \end{aligned}$$

Furthermore, we claim that  $\phi$  is surjective. For  $a \in \mathbb{Z}_p$ , let  $g_a(x) \in \mathbb{Z}_p[x]$  be the polynomial

$$g_a(x) = \frac{\prod_{i \in \mathbb{Z}_p, i \neq a} (x - i)}{\prod_{i \in \mathbb{Z}_p, i \neq a} (a - i)}.$$

Notice that for all  $k \in \mathbb{Z}_p$  we have

$$g_a(k) = \delta_{a,k} = \begin{cases} 1 & \text{if } k = a, \\ 0 & \text{if } k \neq a. \end{cases}$$

Given any function  $f(x) \in \text{Func}(\mathbb{Z}_p, \mathbb{Z}_p)$ , for all  $k \in \mathbb{Z}_p$  we have

$$\sum_{a \in \mathbb{Z}_p} f(a)g_a(k) = \sum_{a \in \mathbb{Z}_p} f(a)\delta_{a,k} = f(k).$$

It follows that  $f(x) = \sum_{a \in \mathbb{Z}_p} f(a)g_a(x) \in \text{Func}(\mathbb{Z}_p, \mathbb{Z}_p)$ . Notice that  $\sum_{a \in \mathbb{Z}_p} f(a)g_a(x) \in \mathbb{Z}_p[x]$

and we have  $f(x) = \phi\left(\sum_{a \in \mathbb{Z}_p} f(a)g_a(x)\right)$ . Thus  $\phi$  is surjective, as claimed. Thus the ring

of polynomial functions  $\phi(\mathbb{Z}_p[x])$  is equal to the ring of all functions  $\text{Func}(\mathbb{Z}_p, \mathbb{Z}_p)$ , and by the First Isomorphism Theorem, we have  $\mathbb{Z}_p[x]/\langle x^p - x \rangle \cong \phi(\mathbb{Z}_p[x]) = \text{Func}(\mathbb{Z}_p, \mathbb{Z}_p)$ .