

## 6. Rational Maps and Birational Equivalence

**6.1 Definition:** Let  $X \subseteq \mathbf{F}^n$  be an irreducible variety. Let  $p, q \in \mathbf{F}[x_1, \dots, x_n]$  with  $q \notin I(X)$ . Alternatively let  $p, q \in A(X)$  with  $q \neq 0$ . Then  $p/q$  defines a map  $p/q : U_q \rightarrow \mathbf{F}$ , where  $U_q = \{x \in X \mid q(x) \neq 0\}$ . We consider two such maps  $p/q : U_q \rightarrow \mathbf{F}$  and  $r/s : U_s \rightarrow \mathbf{F}$  to be equivalent when  $\frac{p(x)}{q(x)} = \frac{r(x)}{s(x)}$  for all  $x \in U_q \cap U_s$ . Notice that since  $X$  is irreducible, we have  $\overline{U_q \cap U_s} = X$  so  $p/q$  is equivalent to  $r/s \iff p(x)s(x) = q(x)r(x)$  for all  $x \in U_q \cap U_s \iff ps - qr \in I(U_q \cap U_s) = I(X) \iff ps - qr = 0 \in A(X)$ . An equivalence class  $f$  of such maps determines a map  $f : U \subseteq X \rightarrow \mathbf{F}$ , where  $U = \bigcup_{p/q \in f} U_q$ . Such a map

is called a **rational map** on  $X$ , and the set  $U$  is called the **domain** of  $f$ . We use the notation  $f : X \rightarrow \mathbf{F}$  for a rational map on  $X$  (even when the domain of  $f$  is not all of  $X$ ), and we write  $p/q$  for the rational map determined by the equivalence class of  $p/q$ . The set of rational maps, denoted by  $K(X)$ , is a field, called the **field of rational maps** on  $X$  (or the **function field**) of  $X$ , which we can identify with the quotient field of the integral domain  $A(X)$ .

$$\begin{aligned} K(X) &= \left\{ \frac{p}{q} \mid p, q \in \mathbf{F}[x_1, \dots, x_n], q \notin I(X) \right\} \text{ with } \frac{p}{q} = \frac{r}{s} \in K(X) \iff ps - qr \in I(X) \\ &= \left\{ \frac{p}{q} \mid p, q \in A(X), q \neq 0 \right\} \text{ with } \frac{p}{q} = \frac{r}{s} \in K(X) \iff ps - qr = 0 \in A(X) \end{aligned}.$$

For  $a \in X$  we say that  $f$  is **defined** at  $a$  (or that  $f$  is **regular** at  $a$ ) when  $a$  is in the domain  $U = \bigcup_{p/q=f} U_q$ , that is when  $f$  can be written in the form  $f = p/q$  for some  $p, q$

with  $q(a) \neq 0$ . Otherwise we say that  $f$  has a **pole** at  $a$ . Note that the pole set of  $f$  is the closed set  $X \setminus U = \bigcap_{p/q=f} V(q) \cap X$ . We say that  $f$  has a **zero** at  $a$  when  $f$  is defined at

$a$  and can be written in the form  $f = p/q$  for some  $p, q$  with  $q(a) \neq 0$  and  $p(a) = 0$ . The zero set of  $f$  is not always a subvariety of  $X$ , but it is a closed subset of the domain  $U$ . On the other hand, note that if  $f$  can be written as  $f = p/q$  with  $p(a) \neq 0$  and  $q(a) = 0$ , then  $f$  must have a pole at  $a$  since if not, then we could write  $f = r/s$  where  $s(a) \neq 0$ , and then since  $p/q = r/s \in K(X)$  we would have  $p(a)s(a) = r(a)q(a)$ , but  $p(a)s(a) \neq 0$  while  $r(a)q(a) = 0$ .

**6.2 Example:** If  $\mathbf{F}$  is an infinite field, we have seen that  $A(\mathbf{F}^n) = \mathbf{F}[x_1, \dots, x_n]$ , so  $K(\mathbf{F}^n) = \mathbf{F}(x_1, \dots, x_n)$ . At the other extreme, if  $a \in \mathbf{F}^n$  then  $A(\{a\}) = K(\{a\}) = \mathbf{F}$ .

**6.3 Example:** Let  $\mathbf{F}$  be an infinite field. For each of the varieties  $V(y - x^2)$ ,  $V(y^2 - x^3)$ ,  $V(y^2 - x^3 - x^2)$  and  $V(x^2 + y^2 - y)$ , show that the variety is irreducible in  $\mathbf{F}^2$ , then find the pole set of the rational map  $g(x, y) = y/x$ .

**6.4 Example:** Let  $X$  be the parabola  $X = V(y - x^2) \subseteq \mathbf{F}^2$ . Then  $X$  is irreducible since  $y - x^2$  is irreducible in  $\mathbf{F}[x, y]$  and since  $X$  is infinite. The map  $g = \frac{y}{x}$  is defined at all points  $(x, y) \in X$  with  $x \neq 0$ , that is everywhere except perhaps at  $(0, 0)$ . But since  $y = x^2 \in K(X)$ , we have  $g = \frac{y}{x} = \frac{yx}{x^2} = \frac{yx}{y} = x \in K(X)$ , so  $g$  is also defined at  $(0, 0)$ . Indeed this shows that  $g$  is actually a polynomial map on  $X$ .

Now let  $X$  be the circle  $X = V(x^2 + y^2 - y)$ . Note that for every  $t \in \mathbf{F}$  we have  $(\frac{t}{1+t^2}, \frac{t^2}{1+t^2}) \in X$  and so  $X$  is infinite. Also  $x^2 + y^2 - y$  is irreducible in  $\mathbf{F}[x, y]$ , and so

$X$  is irreducible. The map  $g = \frac{y}{x}$  is defined at all points  $(x, y) \in X$  with  $x \neq 0$ , that is everywhere except possibly at  $(0, 0)$  and at  $(0, 1)$ . At the point  $(0, 1)$ , we have  $y \neq 0$  and  $x = 0$  so  $g$  must have a pole at  $(0, 1)$ . On the other hand, since  $x^2 = y - y^2 \in K(Y)$ , we have  $g = \frac{y}{x} = \frac{yx}{x^2} = \frac{yx}{y-y^2} = \frac{x}{1-y} \in K(Y)$ . Thus  $g$  is defined at  $(0, 0)$ , indeed  $f(0, 0) = 0$ .

Next, let  $X$  be the cusp curve  $X = V(y^2 - x^3)$ . Note that for every  $t \in \mathbf{F}$ , we have  $(t^2, t^3) \in X$  so  $X$  is infinite. Also,  $y^2 - x^3$  is irreducible in  $\mathbf{F}[x, y]$ , and so  $X$  is irreducible, and  $I(X) = \langle y^2 - x^3 \rangle$ . The map  $g = y/x$  is defined everywhere except perhaps when  $x = 0$ , that is at the point  $(0, 0)$ . We claim that  $g$  is not regular at  $(0, 0)$ . Suppose, for a contradiction, that  $g = p/q$  with  $q(0, 0) \neq 0$ . Then we would have  $\frac{y}{x} = \frac{p}{q} \in K(X)$  so  $yq - xp \in I(X) = \langle y^2 - x^3 \rangle$ , say  $yq(x, y) - xp(x, y) = (y^2 - x^3)k(x, y)$ , where  $k \in \mathbf{F}[x, y]$ . But notice that since  $q(0, 0) \neq 0$ , the coefficient of  $y$  in  $yq - xp$  is non-zero, but the coefficient of  $y$  in  $(y^2 - x^3)k$  is zero.

Finally, let  $X$  be the alpha curve  $X = V(y^2 - x^3 - x^2)$ . For each  $t \in \mathbf{F}$ , we have  $(t^2 - 1, t(t^2 - 1)) \in X$ , so  $X$  is infinite. Also,  $y^2 - x^3 - x^2$  is irreducible in  $\mathbf{F}[x, y]$ , so  $X$  is irreducible and  $I(X) = \langle y^2 - x^3 - x^2 \rangle$ . The map  $g = y/x$  is defined everywhere except perhaps at  $(0, 0)$ . We claim that  $g$  is not regular at  $(0, 0)$ . We could prove this as we did for the cusp curve, but it is amusing to give a more geometric argument, even though the argument only works in the case that  $\text{char}(\mathbf{F}) \neq 2$ . Geometric intuition tells us that  $g = y/x$  cannot be regular at  $(0, 0)$  because  $y/x$  is the slope of the vector  $(x, y)$  but the alpha curve has two slopes at  $(0, 0)$ . To express this idea precisely, we define  $f : \mathbf{F} \rightarrow X$  by  $f(t) = (t^2 - 1, t(t^2 - 1))$ . Note that  $f(-1) = f(1) = (0, 0)$  and that  $g(f(t)) = \frac{t(t^2-1)}{t^2-1} = t$ . If  $g$  were defined at  $(0, 0)$ , then we would have  $-1 = g(f(-1)) = g(0, 0) = g(f(1)) = 1$ .

**6.5 Definition:** Let  $X \subseteq \mathbf{F}^n$  and  $Y \subseteq \mathbf{F}^m$  be irreducible varieties. Let  $f = (f_1, \dots, f_m) \in K(X)^m$ . Then  $f$  defines a map  $f : U \rightarrow \mathbf{F}^m$  whose domain  $U$  is the intersection of the domains of the rational maps  $f_i$ . If  $f(U) \subseteq Y$ , then we say that  $f$  is a **rational map from  $X$  to  $Y$** , and we write  $f : X \rightarrow Y$ . Note that we can write each  $f_i$  as  $f_i = p_i/q$  (using a common denominator  $q$ ) and so we can write  $f = p/q$  where  $p \in A(X)^m$  and  $0 \neq q \in A(X)$ . For  $a \in X$  we say that  $f$  is **defined** at  $a$  (or that  $f$  is **regular** at  $a$ ) when  $f$  can be written in the form  $f = p/q$  for some  $p, q$  with  $q(a) \neq 0$ . Otherwise we say that  $f$  has a **pole** at  $a$ . The **domain** of  $f$ , that is the above set  $U$ , is the set of all points in  $X$  at which  $f$  is defined;  $U$  is an open dense subset of  $X$ . The **pole set** of  $f$ , which is the set of points in  $X$  at which  $f$  has a pole, is the proper subvariety  $X \setminus U$ . The set  $f(U)$  is called the **range** (or **image**) of  $f$ .

**6.6 Note:** Let  $f : X \rightarrow Y$  be a rational map of irreducible affine varieties with domain  $U \subseteq X$ . Then for any subvariety  $Z \subseteq Y$ , the inverse image  $f^{-1}(Z) = \{x \in U | f(x) \in Z\}$  is closed in  $U$ . In other words,  $f$  is continuous.

Proof: Let  $Z$  be a subvariety of  $Y \subseteq \mathbf{F}^m$ . Say  $Z = V(S)$  where  $S \subseteq \mathbf{F}[y_1, \dots, y_m]$ . Then for  $x \in U$  we have

$$\begin{aligned} x \in f^{-1}(Z) &\iff p(x)/q(x) \in Z \text{ for all } p, q \text{ with } f = p/q \text{ and } q(x) \neq 0 \\ &\iff g\left(\frac{p(x)}{q(x)}\right) = 0 \text{ for all } g \in S \text{ and all } p, q \text{ with } f = p/q, q(x) \neq 0 \\ &\iff g\left(\frac{p(x)}{q(x)}\right)q(x)^{\deg g} \text{ for all } g \in S \text{ and all } p, q \text{ with } f = p/q, q(x) \neq 0 \end{aligned}.$$

Write  $h_{g,p,q}(x) = g\left(\frac{p(x)}{q(x)}\right)q(x)^{\deg g} \in \mathbf{F}[x_1, \dots, x_n]$ . Notice that if  $f = p/q = r/s \in K(X)$  where  $q(a) \neq 0$  (and perhaps  $s(a) = 0$ ) then  $h_{g,p,q}(x) = h_{g,r,s}(x)$  for all  $x \in U_q \cap U_s$  and hence for all  $x \in X$ . So  $f^{-1}(Z) = U \cap V(T)$  where  $T = \{h_{g,p,q} | g \in S \text{ and } f = p/q\}$ .

**6.7 Definition:** Given rational maps  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  of irreducible varieties with domains  $U$  and  $V$  respectively, it is not always possible to compose them, because the range  $f(U)$  may be disjoint from the domain  $V$  of  $g$ . If  $f(U)$  is not disjoint from  $V$ , then we define the **composite**  $g \circ f : X \rightarrow Z$  as follows. Choose any point  $a \in f^{-1}(V)$ , and write  $f = p/q$  with  $q(a) \neq 0$  and write  $g = r/s$  with  $s(a) \neq 0$ . Then for all  $x \in U_q \cap f^{-1}(V_s)$ , which is open and dense in  $X$  (it is non-empty since it contains  $a$  and it is open by the above note, so it is dense since  $X$  is irreducible), we have

$$g(f(x)) = \frac{r\left(\frac{p(x)}{q(x)}\right)}{s\left(\frac{p(x)}{q(x)}\right)} = \frac{r\left(\frac{p(x)}{q(x)}\right)q(x)^d}{s\left(\frac{p(x)}{q(x)}\right)q(x)^d} = \frac{u(x)}{v(x)}$$

where  $d = \max\{\deg r, \deg s\}$ ,  $u(x) = r\left(\frac{p(x)}{q(x)}\right)q(x)^d$  and  $v(x) = s\left(\frac{p(x)}{q(x)}\right)q(x)^d$ . We define  $g \circ f$  to be the rational map  $u/v$ .

**6.8 Definition:** Let  $X$  and  $Y$  be irreducible affine varieties. A **rational isomorphism** (or a **birational map**) from  $X$  to  $Y$  is a rational map  $f : X \rightarrow Y$  which has a rational inverse, that is a rational map  $g : Y \rightarrow X$  such that  $g \circ f : X \rightarrow X$  is the identity on  $X$  and  $f \circ g : Y \rightarrow Y$  is the identity on  $Y$ . We say that  $X$  and  $Y$  are **birationally isomorphic** (or simply **birational**), and we write  $X \sim Y$ , if there exists a rational isomorphism from  $X$  to  $Y$ .

**6.9 Definition:** A rational map  $f : X \rightarrow Y$  of irreducible affine varieties is called **dominant** if the range of  $f$  is dense in  $Y$ .

**6.10 Note:** Let  $f : X \rightarrow Y$  be a rational map of irreducible affine varieties.

- (1) If  $f$  is dominant then  $f$  can be composed with any rational map  $g : Y \rightarrow Z$ .
- (2) If  $f : X \rightarrow Y$  is a rational isomorphism, then it must be dominant.

Proof: We leave the proof of (1) as an exercise and prove part (2). Suppose that  $f : X \rightarrow Y$  is a rational isomorphism with rational inverse  $g : Y \rightarrow X$ . Let  $U$  be the domain of  $f$  and let  $V$  be the domain of  $g$ . Since  $g$  is continuous and  $U$  is open,  $g^{-1}(U) = \{y \in V | g(y) \in U\}$  is open in  $V$  hence also in  $Y$ , and since the composite  $f \circ g$  exists,  $g^{-1}(U)$  is nonempty. So, since  $Y$  is irreducible,  $g^{-1}(U)$  is dense in  $Y$ . For  $y \in g^{-1}(U)$  we have  $g(y) \in U$  so  $f$  is defined at  $g(y)$  and  $y = f(g(y))$  is in the range of  $f$ . Thus the range of  $f$  contains  $g^{-1}(U)$ , which is dense in  $Y$ .

**6.11 Note:** Let  $f : X \rightarrow Y$  be a rational map of irreducible varieties with domain  $U$ . Then  $\overline{f(U)}$  is irreducible.

Proof: Suppose, for a contradiction, that  $\overline{f(U)}$  is reducible, say  $\overline{f(U)} = Z \cup W$  with  $Z, W \subsetneq \overline{f(U)}$  both closed. Note that  $f(U) \subseteq Z \cup W$ , so  $U = f^{-1}(Z) \cup f^{-1}(W)$  and hence  $X = \overline{U} = \overline{f^{-1}(Z)} \cup \overline{f^{-1}(W)}$ . Also, we have  $f(U) \not\subseteq Z$  (otherwise we would have  $f(U) \subseteq \overline{Z} = Z$ ), so  $f^{-1}(Z) \neq U$ . Since  $f^{-1}(Z)$  is closed in  $U$ , we have  $\overline{f^{-1}(Z)} \cap U = f^{-1}(Z) \cap U = f^{-1}(Z) \neq U$ , and so  $\overline{f^{-1}(Z)} \neq X$ . Similarly  $\overline{f^{-1}(W)} \neq X$ , so  $X$  is reducible.

**6.12 Definition:** Many useful rational maps can be obtained using the **projection from a point** in  $\mathbf{F}^n$  to a hyperplane in  $\mathbf{F}^n$  (that is, to an affine space of dimension  $n - 1$ ). The projection in  $\mathbf{F}^2$  from the origin to the line  $x = 1$  is given by  $g(x, y) = (1, y/x)$ . More generally, the projection from  $0 \in \mathbf{F}^n$  to the hyperplane  $x_k = 1$  is given by

$$g(x_1, \dots, x_n) = \left( \frac{x_1}{x_k}, \dots, \frac{x_k}{x_k}, \dots, \frac{x_n}{x_k} \right).$$

**6.13 Example:** Let  $\mathbf{F}$  be an infinite field. When  $X$  is any one of the varieties  $V(y - x^2)$ ,  $V(y^2 - x^3)$ ,  $V(y^2 - x^3 - x^2)$  or  $V(y^2 + x^2 - x)$ , the projection in  $\mathbf{F}^2$  from the origin to the line  $x = 1$ , given by  $g(x, y) = \frac{y}{x}$ , gives a birational map  $g : X \rightarrow \mathbf{F}$ . In each case, the inverse  $f : \mathbf{F} \rightarrow X$  gives a familiar parametric equation for the curve. Verify that when  $X = V(y - x^2)$  we have  $f(t) = (t, t^2)$ , when  $X = V(y^2 - x^3)$  we have  $f(t) = (t^2, t^3)$ , when  $X = V(y^2 - x^3 - x^2)$  we have  $f(t) = (t^2 - 1, t(t^2 - 1))$ , and when  $X = V(y^2 + x^2 - x)$  we have  $f(t) = (\frac{1}{t^2+1}, \frac{t}{t^2+1})$ .

**6.14 Example:** Let  $\mathbf{S}^2$  be the unit sphere  $\mathbf{S}^2 = V(x^2 + y^2 + z^2 - 1) \subseteq \mathbf{R}^3$ . Use the **stereographic projection** from the north pole, that is the projection from the point  $(0, 0, 1)$  to the plane  $z = 0$ , to show that  $\mathbf{S}^2$  is irreducible and that  $\mathbf{S}^2 \sim \mathbf{R}^2$ .

Solution: Given  $(x, y, z) \in \mathbf{R}^3$  with  $z \neq 1$ , the line from  $(0, 0, 1)$  to  $(x, y, z)$  is given by  $\alpha(t) = (0, 0, 1) + (x, y, z - 1)t$ . The point of intersection with the plane  $z = 0$  is given by  $1 + (z - 1)t = 0$ , that is  $t = \frac{1}{1-z}$ . Thus the projection  $g$  from  $(0, 0, 1)$  to the plane  $z = 0$  is given by  $g(x, y, z) = (\frac{x}{1-z}, \frac{y}{1-z})$ .

On the other hand, given a point  $(u, v, 0)$  in the plane  $z = 0$ , the line from  $(0, 0, 1)$  to  $(u, v, 0)$  is given by  $\beta(t) = (0, 0, 1) + (u, v, -1)t = (ut, vt, 1-t)$ , and we have  $\beta(t) \in \mathbf{S}^2 \iff (ut)^2 + (vt)^2 + (1-t)^2 = 1 \iff u^2t^2 + v^2t^2 + 1 - 2t + t^2 = 1 \iff t(u^2t + v^2t - 2 + t) = 0 \iff t = 0 \text{ or } t = \frac{2}{u^2+v^2+1}$ . We have  $\beta(0) = (0, 0, 1)$ , so we define a rational map  $f : \mathbf{R}^2 \rightarrow \mathbf{R}^3$  by  $f(u, v) = \beta(\frac{2}{u^2+v^2+1}) = (\frac{2u}{u^2+v^2+1}, \frac{2v}{u^2+v^2+1}, \frac{u^2+v^2-1}{u^2+v^2+1})$ .

Since  $\mathbf{R}^2$  is irreducible and since  $f(\mathbf{R}^2) = \mathbf{S}^2 \setminus \{(0, 0, 1)\}$ , which is dense in  $\mathbf{S}^2$ , the variety  $\mathbf{S}^2$  is irreducible. Furthermore, the rational maps  $f : \mathbf{R}^2 \rightarrow \mathbf{S}^2$  and  $g : \mathbf{S}^2 \rightarrow \mathbf{R}^2$  are rational inverses, so  $\mathbf{S}^2 \sim \mathbf{R}^2$ .

**6.15 Example:** When  $\mathbf{F} = \mathbf{R}$  we can use the birational maps of the above two examples to describe all the rational points on each of the varieties that were considered. For example, the birational map  $f : \mathbf{R} \rightarrow V(y^2 - x^3 - x^2) \subseteq \mathbf{R}^3$  given by  $f(t) = (t^2 - 1, t(t^2 - 1))$  sends the rational number  $\frac{a}{b} \in \mathbf{Q} \subseteq \mathbf{R}$  to the rational point  $f\left(\frac{a}{b}\right) = \left(\frac{a^2-b^2}{b^2}, \frac{a(a^2-b^2)}{b^3}\right)$ , so all the rational points on the alpha curve  $y^2 = x^3 + x^2$  are of this form where  $a, b \in \mathbf{Z}$  with  $b \neq 0$ .

Similarly, the birational map  $f : \mathbf{R} \rightarrow V(x^2 + y^2 - 1) \subseteq \mathbf{R}^2$  given by  $f(t) = (\frac{2t}{t^2+1}, \frac{t^2-1}{t^2+1})$  sends  $\frac{a}{b} \in \mathbf{Q}$  to  $f\left(\frac{a}{b}\right) = \left(\frac{2ab}{a^2+b^2}, \frac{a^2-b^2}{a^2+b^2}\right)$ , so all rational points on the unit circle  $x^2 + y^2 = 1$ , except the point  $(0, 1)$ , are of this form where  $a, b \in \mathbf{Z}$  with  $b \neq 0$ .

**6.16 Example:** When  $\mathbf{F}$  is a finite field, we can use birational maps to help count the number of points in a variety in  $\mathbf{F}^n$ . For example, let us count the number of points in  $X = V(y^2 - x^3 - x^2) \subseteq \mathbf{F}^2$  where  $\mathbf{F}$  is the finite field with  $n = p^k$  elements.

Solution: Let  $\mathbf{K}$  be the algebraic closure of  $\mathbf{F}$ . Then  $X = V(y^2 - x^3 - x^2) \subseteq \mathbf{K}^2$  is irreducible, and the rational map  $f : \mathbf{K} \rightarrow X$  given by  $f(t) = (t^2 - 1, t(t^2 - 1))$  determines a surjective map  $f : \mathbf{F} \rightarrow X \cap \mathbf{F}$  which is 1:1 except that  $f(1) = f(-1) = (0, 0)$  (when  $p = 2$ ,  $f$  is bijective). Thus when  $p = 2$  there are  $n$  points in  $X \cap \mathbf{F}^2$  and when  $p \neq 2$  there are  $n - 1$  points in  $X \cap \mathbf{F}^2$ .

**6.17 Example:** The **graph of a rational map**  $f : X \rightarrow Y$  with domain  $U$  is defined to be the closure in  $X \times Y$  of the graph of the map  $f : U \rightarrow Y$ , that is the closure of  $\{(x, f(x)) | x \in U\}$ . The natural **lift**  $l : X \rightarrow \text{graph}(f)$  given by  $l(x) = (x, f(x))$  and the natural **projection**  $p : \text{graph}(f) \rightarrow X$  given by  $p(x, y) = x$  are rational inverses, so  $\text{graph}(f) \sim X$ .

**6.18 Definition:** Let  $a \in \mathbf{F}^n$ . A **blow-up** of  $\mathbf{F}^n$  at  $a$  is the graph  $\widetilde{\mathbf{F}^n}$  of a projection  $g$  in  $\mathbf{F}^n$  from the point  $a$ . The natural projection and lift  $p : \widetilde{\mathbf{F}^n} \rightarrow \mathbf{F}^n$  and  $l : \mathbf{F}^n \rightarrow \widetilde{\mathbf{F}^n}$  are rational inverses, so  $\widetilde{\mathbf{F}^n} \sim \mathbf{F}^n$ . If  $X \subseteq \mathbf{F}^n$  is an irreducible variety with  $a \in X$ , then the corresponding **blow-up** of  $X$ , denoted by  $\widetilde{X}$ , is the graph of the restriction of  $g$  to  $X$ , or equivalently, the closure of  $l(X \setminus \{a\})$  in  $\widetilde{\mathbf{F}^n}$ .

**6.19 Example:** Find the blow-up  $\widetilde{\mathbf{F}^2}$  and the natural projection and lift obtained from the projection in  $\mathbf{F}^2$  from the origin to the line  $x = 1$ . Then find the induced blow-up of each of the two varieties  $V(y^2 - x^3)$  and  $V(y^2 - x^3 - x^2)$ .

Solution: The projection in  $\mathbf{F}^2$  from  $(0, 0)$  to the line  $x = 1$ , which we identify with  $\mathbf{F}$ , is the rational map  $g : \mathbf{F}^2 \rightarrow \mathbf{F}$  given by  $g(x, y) = \frac{y}{x}$ , with domain  $U = \{(x, y) | x \neq 0\}$ . The graph of  $g : U \rightarrow \mathbf{F}$  is the set  $\{(x, y, z) | x \neq 0, z = y/x\} = \{(x, y, z) | x \neq 0, y - xz = 0\}$ , and the corresponding blow-up of  $\mathbf{F}^2$  at the origin is the closure of this set,  $\widetilde{\mathbf{F}^2} = V(y - xz) \subseteq \mathbf{F}^3$ . The natural projection and lift  $p : \widetilde{\mathbf{F}^2} \rightarrow \mathbf{F}^2$  and  $l : \mathbf{F}^2 \rightarrow \widetilde{\mathbf{F}^2}$  are given by  $p(x, y, z) = (x, y)$  and  $l(x, y) = (x, y, \frac{y}{x})$ .

Let  $X = V(y^2 - x^3)$ , and let  $U = X \setminus \{(0, 0)\}$ . The points on  $X$  are of the form  $(t^2, t^3)$ , so  $l(U) = \{(t^2, t^3, t) | t \neq 0\}$ , and  $\widetilde{X} = \overline{l(U)} = \{(t^2, t^3, t) | t \in \mathbf{F}\} = V(x - z^2, y - z^3) \subseteq \mathbf{F}^3$ . Thus  $\widetilde{X}$  is the twisted cubic.

It is also worth considering the inverse image  $p^{-1}(X)$  where  $p(x, y, z) = (x, y)$ . Verify that  $p^{-1}(X) = V(y^2 - x^3, y - xz) = \widetilde{X} \cap V(x, y)$ .

Now, let  $X$  be the alpha curve  $X = V(y^2 - x^3 - x^2)$ , and let  $U = X \setminus \{(0, 0)\}$ . The points on  $X$  are of the form  $(t^2 - 1, t(t^2 - 1))$ , so we have  $l(U) = \{(t^2 - 1, t(t^2 - 1), t) | t \neq 0\}$  and  $\widetilde{X} = \overline{l(U)} = \{(t^2 - 1, t(t^2 - 1), t) | t \in \mathbf{F}\} = V(x - z^2 + 1, y - z^3 + z^2)$ .

**6.20 Remark:** Each of the two varieties  $X$  in the above example had a singularity at  $(0, 0)$ , but the blow-up  $\widetilde{X}$  was non-singular at  $(0, 0)$ . It can be shown that by performing repeated blow-ups on a curve  $X$  at singular points, one eventually obtains a non-singular curve, called the **desingularization** of  $X$ , which is birational to  $X$ .

**6.21 Definition:** Let  $X$  and  $Y$  be irreducible affine varieties. Given a dominant rational map  $f : X \rightarrow Y$ , we define the **pullback** of  $f$  to be the map  $f^* : K(Y) \rightarrow K(X)$  given by  $f^*(g) = g \circ f$ . Note that the composite  $g \circ f$  is defined for any  $g$  because  $f$  is dominant. It is straightforward to check that  $f^* : K(Y) \rightarrow K(X)$  is an  $\mathbf{F}$ -algebra homomorphism.

**6.22 Theorem:** Let  $X$  and  $Y$  be irreducible affine varieties.

- (1) The map  $f \mapsto f^*$  gives a bijective correspondence between the set of all dominant rational maps  $f : X \rightarrow Y$  and the set of all  $\mathbf{F}$ -algebra homomorphisms  $\phi : K(X) \rightarrow K(Y)$ .
- (2) If  $f : X \rightarrow X$  is the identity then  $f^* : K(X) \rightarrow K(X)$  is the identity, and if  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  then  $(g \circ f)^* = f^* \circ g^*$ .
- (3)  $X \sim Y \iff K(X) \cong K(Y)$ .

Proof: Let  $\phi : K(Y) \rightarrow K(X)$  be any  $\mathbf{F}$ -algebra homomorphism. We wish to construct a dominant rational map  $f$  such that  $f^* = \phi$ . If such a map  $f$  exists then we must have  $f^*(h) = \phi(h)$  for all  $h \in K(Y)$ , that is  $h \circ f = \phi(h)$  for all  $h \in K(Y)$ . In particular, if  $f$  is given by  $f = (f_1, \dots, f_m)$  then we must have  $f_i = y_i \circ f = \phi(y_i)$  for  $i = 1, \dots, m$ . And indeed, if we define  $f$  by  $f = (\phi(y_1), \dots, \phi(y_n))$  then for any  $g = \frac{p}{q} = \frac{\sum a_{i_1, \dots, i_m} y_1^{i_1} \dots y_m^{i_m}}{\sum b_{j_1, \dots, j_m} y_1^{j_1} \dots y_m^{j_m}}$  in  $K(Y)$  we have  $f^*(g) = g \circ f = \frac{\sum a_{i_1, \dots, i_m} \phi(y_1)^{i_1} \dots \phi(y_m)^{i_m}}{\sum b_{j_1, \dots, j_m} \phi(y_1)^{j_1} \dots \phi(y_m)^{j_m}} = \phi(g)$  so that  $f^* = \phi$ .

This determines  $f$  uniquely as a rational map  $f : X \rightarrow \mathbf{F}^m$  (and shows that  $f \mapsto f^*$  is 1:1). We must show that the range of  $f$  is contained in  $Y$  and is dense.

Let  $U$  be the domain of  $f$ . Let  $a \in U$ . Let  $g \in I(Y)$ . Then  $g = 0 \in K(Y)$ . Since  $\phi$  is an  $\mathbf{F}$ -algebra homomorphism, so that  $\phi(0) = 0$ , we have  $\phi(g) = 0 \in K(X)$ . Since  $\phi(g) = f^*(g) = g \circ f$ , we have  $g \circ f = 0 \in K(X)$ , so  $g(f(x)) = 0$  for all  $x \in U$ . In particular,  $g(f(a)) = 0$ . Since  $g \in I(Y)$  was arbitrary, we have  $g(f(a)) = 0$  for all  $g \in I(Y)$ , that is  $f(a) \in V(I(Y)) = Y$ . Since  $a \in U$  was arbitrary, the range of  $f$  is contained in  $Y$ .

It remains only to show that  $f(U)$  is dense in  $Y$ , that is  $\overline{f(U)} = Y$ . We showed above that  $f(U) \subseteq Y$  so we have  $\overline{f(U)} \subseteq Y$ , and we need to show that  $Y \subseteq \overline{f(U)} = V(I(f(U)))$ . Let  $b \in Y$ . Let  $g \in I(f(U))$ . Then  $g(y) = 0$  for all  $y \in f(U)$ , so  $g(f(x)) = 0$  for all  $x \in U$ . Writing  $f = \frac{p}{q}$ , where  $p \in \mathbf{F}[x_1, \dots, x_n]^m$  and  $q \in \mathbf{F}[x_1, \dots, x_n]$  with  $q \notin I(X)$ , we have  $0 = g(f(x)) = g\left(\frac{p(x)}{q(x)}\right)q(x)^{\deg g}$  for all  $x \in U \cap U_q$ . Thus  $(g \circ f)q^{\deg g} \in I(U \cap U_q) = I(X)$ , and so  $(g \circ f)q^{\deg g} = 0 \in K(X)$ , hence  $g \circ f = 0 \in K(X)$ . Since  $g \circ f = f^*\phi = \phi(g)$  we have  $\phi(g) = 0 \in K(X)$ . Since  $\phi$  is a homomorphism of fields, it must be injective, and so  $g = 0 \in K(Y)$ . This means that  $g(y) = 0$  for all  $y$  in the domain of  $g$ , but  $g$  is a polynomial, so  $g(y) = 0$  for all  $y \in Y$ . In particular,  $g(b) = 0$ . Since  $g \in I(f(U))$  was arbitrary, we have  $g(b) = 0$  for all  $g \in I(f(U))$  and so  $b \in V(I(f(U))) = \overline{f(U)}$ . Since  $b \in Y$  was arbitrary, we have  $Y \subseteq \overline{f(U)}$  as required.

Part (2) of the theorem is easy, and part (3) follows from parts (1) and (2).