

## 4. Affine Maps and Affine Equivalence

**4.1 Definition:** Recall again that an **affine space** in  $\mathbf{F}^n$  is a set of the form

$$p + V = \{p + v \mid v \in V\}$$

for some  $p \in \mathbf{F}^n$  and some vector space  $V$  in  $\mathbf{F}^n$ . Verify that for any points  $p, q \in \mathbf{F}^n$  and for any vector space  $V, W \subseteq \mathbf{F}^n$ , we have  $p + V = q + W \iff (q \in p + V \text{ and } V = W)$ . In particular, the vector space  $V$  is uniquely determined by the affine space  $p + V$ , so it makes sense to call  $V$  the **associated vector space** of the affine space  $p + V$ , and to define the **dimension** of the affine space  $X = p + V$ , denoted by  $\dim(X)$ , to be the dimension of the vector space  $V$  over the field  $\mathbf{F}$ . An affine space of dimension 0 is called a **point**, an affine space of dimension 1 is a **line**, and an affine space of dimension 2 is a **plane**.

**4.2 Definition:** An **affine map** from  $\mathbf{F}^n$  to  $\mathbf{F}^m$  is a function  $f : \mathbf{F}^n \rightarrow \mathbf{F}^m$  of the form

$$f(x) = Ax + b$$

for some  $m \times n$  matrix  $A$  with entries in  $\mathbf{F}$  and some vector  $b \in \mathbf{F}^m$ . Notice that the matrix  $A$  and the vector  $b$  are uniquely determined from  $f$ ; indeed  $b = f(0)$  and  $A$  is determined by  $Ax = f(x) - f(0)$  for all  $x$  (so  $A$  is the matrix with columns  $f(e_i) - f(0)$ , where the  $e_i$  are the standard basis vectors in  $\mathbf{F}^n$ ). We call the matrix  $A$  the **associated matrix** of the affine map  $f$ , and we define the **rank** of  $f$  to be the rank of the matrix  $A$ . Notice that an affine map  $f : \mathbf{F}^n \rightarrow \mathbf{F}^m$  is bijective if and only if  $n = m$  and its associated matrix is invertible. An affine equivalence  $f : \mathbf{F}^n \rightarrow \mathbf{F}^n$  is called an **affine change of coordinates**.

**4.3 Definition:** Let  $X \subseteq \mathbf{F}^n$  and  $Y \subseteq \mathbf{F}^m$  be varieties. If  $f : \mathbf{F}^n \rightarrow \mathbf{F}^m$  is an affine map and if  $f(X) \subseteq Y$  then  $f$  restricts to a map  $f : X \rightarrow Y$ , and such a map is called an **affine map** from  $X$  to  $Y$ . An **affine equivalence** from  $X$  to  $Y$  is a bijective affine map  $f : X \rightarrow Y$  whose inverse  $g : Y \rightarrow X$  is also affine. We say that  $X$  and  $Y$  are **(affinely) equivalent** and we write  $X \equiv Y$ , if there exists an affine equivalence from  $X$  to  $Y$ .

**4.4 Example:** Let  $X \subseteq \mathbf{F}^n$  and  $Y \subseteq \mathbf{F}^m$  be affine spaces. Show that  $X \equiv Y \iff \dim(X) = \dim(Y)$ .

Solution: Suppose that  $X \equiv Y$ . Let  $f : \mathbf{F}^n \rightarrow \mathbf{F}^m$  be an affine map inducing an equivalence  $f : X \rightarrow Y$ , and say  $f(x) = Ax + b$ . Let  $V$  and  $W$  be the vector spaces associated to  $X$  and  $Y$  respectively. Define  $g : \mathbf{F}^n \rightarrow \mathbf{F}^m$  by  $g(x) = Ax$ . Verify that the restriction of  $g$  to  $V$  gives a vector space isomorphism  $g : V \rightarrow W$ . Since  $V$  and  $W$  are isomorphic, they must have the same dimension. Thus  $\dim(X) = \dim(Y)$ .

Conversely, say  $X = p + V \subseteq \mathbf{F}^n$  and  $Y = q + W \subseteq \mathbf{F}^m$  and suppose that  $\dim(V) = \dim(W) = r$ . Choose an (ordered) basis  $\{v_1, \dots, v_r\}$  for  $V$  and extend it to a basis  $\{v_1, \dots, v_n\}$  for  $\mathbf{F}^n$ . Choose a basis  $\{w_1, \dots, w_r\}$  for  $W$  and extend it to a basis  $\{w_1, \dots, w_m\}$  for  $\mathbf{F}^m$ . Let  $A$  be any  $m \times n$  matrix such that  $Av_i = w_i$  for  $i = 1, \dots, r$  (if  $P$  is the  $n \times n$  matrix with columns  $v_i$  and if  $Q$  is any  $m \times n$  matrix whose first  $r$  columns are the vectors  $w_i$ , then  $A = QP^{-1}$  will work), and let  $B$  be any  $n \times m$  matrix such that  $Bw_i = v_i$  for  $i = 1, \dots, r$ . Define  $h : \mathbf{F}^n \rightarrow \mathbf{F}^m$  and  $k : \mathbf{F}^m \rightarrow \mathbf{F}^n$  by  $h(x) = Ax$  and  $k(y) = By$ . Then  $h : V \rightarrow W$  is a vector space isomorphism with inverse  $k : W \rightarrow V$ . Define  $f : \mathbf{F}^n \rightarrow \mathbf{F}^m$  and  $g : \mathbf{F}^m \rightarrow \mathbf{F}^n$  by  $f(x) = A(x - p) + q$  and  $g(y) = B(y - q) + p$ . Verify that  $f : X \rightarrow Y$  is bijective with inverse  $g : Y \rightarrow X$ .

**4.5 Example:** By the diagonalizability of symmetric bilinear forms and by Sylvester's Law of Inertia, it can be shown that for any polynomial  $f \in \mathbf{R}[x, y]$  of degree 2, the variety  $V(f) \subseteq \mathbf{R}^2$  is equivalent to one of the following varieties: the circle  $V(x^2 + y^2 - 1)$ , the hyperbola  $V(x^2 - y^2 - 1)$ , the parabola  $V(y - x^2)$ , the pair of intersecting lines  $V(x^2 - y^2)$ , the pair of parallel lines  $V(y^2 - 1)$ , the single line  $V(y^2) = V(y)$ , the single point  $V(x^2 + y^2) = \{(0, 0)\}$  or the empty set  $V(x^2 + y^2 + 1) = V(y^2 + 1) = \emptyset$ .

**4.6 Example:** Let  $X = V(y - x^2) \subseteq \mathbf{R}^2$  and let  $Y = V(u^2 + v^2 + 2uv - 2u - 3v + 1) \subseteq \mathbf{R}^2$ . Find an affine equivalence  $f : X \rightarrow Y$ .

Solution: Note that  $u^2 + v^2 + 2uv - 2u - 3v + 1 = (u + v - 1)^2 - v$ , and we have  $(x, y) = (u + v - 1, v)$  if and only if  $(u, v) = (x - y + 1, y)$ , so the affine maps  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  given by  $f(x, y) = (x - y + 1, y)$  and  $g(u, v) = (u + v - 1, v)$  are inverses.

**4.7 Example:** It can be shown, again by the diagonalizability of symmetric bilinear forms, that for any  $f \in \mathbf{C}[x, y]$  of degree 2 the variety  $V(f) \subseteq \mathbf{C}^2$  is equivalent to one of the following varieties: the circle  $V(x^2 + y^2 - 1)$ , the parabola  $V(y - x^2)$ , the pair of intersecting lines  $V(x^2 - y^2)$  and the single line  $V(y^2) = V(y)$ .

**4.8 Example:** Let  $X = V(x^2 + y^2 - 1) \subseteq \mathbf{C}^2$  and let  $Y = V(u^2 - v^2 + 1) \subseteq \mathbf{C}^2$ . Find an affine equivalence  $f : X \rightarrow Y$ .

Solution: Note that  $Y = V(-u^2 + v^2 - 1)$  and  $-u^2 + v^2 - 1 = (iu)^2 + v^2 - 1$ , so we can define affine equivalence  $f : X \rightarrow Y$  and its inverse  $g : Y \rightarrow X$  by  $f(x, y) = (ix, y)$  and  $g(u, v) = (iu, v)$ .