

## Chapter 5. Cyclotomic Extensions

**5.1 Definition:** For  $n \in \mathbb{Z}^+$ , the  $n^{\text{th}}$  **cyclotomic polynomial** is the polynomial

$$\Phi_n(x) = \prod_{k \in U_n} (x - w^k)$$

where  $w = e^{i 2\pi/n}$  and  $U_n = \{k \in \mathbb{Z}_n \mid \gcd(k, n) = 1\}$ .

**5.2 Theorem:** The cyclotomic polynomials have the following properties.

- (1)  $x^n - 1 = \prod_{d|n} \Phi_d(x)$ ,
- (2)  $\Phi_n(x) \in \mathbb{Z}[x]$ ,
- (3)  $\Phi_1(0) = -1$  and  $\Phi_n(0) = 1$  for  $n \geq 2$ ,
- (4) When  $p$  is prime and  $k \in \mathbb{Z}^+$ ,  $\Phi_p(x) = x^{p-1} + x^{p-2} + \cdots + x + 1$  and  $\Phi_{p^k}(x) = \Phi_p(x^{p^{k-1}})$  and hence  $\Phi_{p^k}(1) = p$ .

Proof: The roots of  $x^n - 1$  are the elements in the cyclic group  $C_n = \{w^k \mid k \in \mathbb{Z}_n\}$ . The subgroups of  $C_n$  are the cyclic groups  $(w^k) = \{1, w^k, w^{2k}, \dots, w^{n-k}\}$  where  $k|n$ . Each element of  $C_n$  (that is each root of  $x^n - 1$ ) is a generator of one of these cyclic subgroups. The roots of  $\Phi_d(x)$  are the generators of the subgroup  $(w^{n/d})$ . This proves Part (1).

We prove Part (2) by induction on  $n$ . We have  $\Phi_1(x) = x - 1 \in \mathbb{Z}[x]$ . Suppose, inductively, that  $\Phi_k(x) \in \mathbb{Z}[x]$  for all  $k < n$ . By Part (1),  $x^n - 1 = \prod_{d|n} \Phi_d(x) = \Phi_n(x)g(x)$

where  $g(x) = \prod_{d|n, d \neq n} \Phi_d(x)$ . By our induction hypothesis,  $g(x) \in \mathbb{Z}[x]$ . Since  $x^n - 1 \in \mathbb{Z}[x]$  and  $g(x) \in \mathbb{Z}[x]$  and  $g$  is monic, it follows that when we perform long division of  $x^n - 1$  by  $g(x)$ , the quotient  $\Phi_n(x)$  lies in  $\mathbb{Z}[x]$ . This proves Part (2).

A similar induction argument may be used to prove Part (3). We have  $\Phi_1(x) = x - 1$  and  $\Phi_2(x) = x + 1$  so that  $\Phi_1(0) = -1$  and  $\Phi_2(0) = 1$ . Suppose, inductively, that  $\Phi_k(0) = 1$  for  $1 < k < n$ . From Part (1) we have  $x^n - 1 = \Phi_n(x)\Phi_{-1}(x)h(x)$  where  $h(x) = \prod_{d|n, d \neq 1, d \neq n} \Phi_d(x)$ . Put in  $x = 0$  to get  $-1 = \Phi_n(0)(-1)(1)$  and so  $\Phi_n(0) = 1$ .

Let us prove Part (4). From Part (1) we know that  $x^p - 1 = \Phi_p(x)\Phi_1(x) = \Phi_p(x)(x - 1)$  and so

$$\Phi_p(x) = \frac{x^p - 1}{x - 1} = x^{p-1} + \cdots + x + 1.$$

Similarly,  $x^{p^k} - 1 = \Phi_{p^k} \prod_{d|p^{k-1}} \Phi_d(x) = \Phi_{p^k}(x)(x^{p^{k-1}} - 1)$  and so

$$\Phi_{p^k}(x) = \frac{x^{p^k} - 1}{x^{p^{k-1}} - 1} = x^{p^{k-1}(p-1)} + \cdots + x^{p^{k-1}} + 1 = \Phi_p(x^{p^{k-1}}).$$

**5.3 Theorem:** Let  $p$  be prime in  $\mathbb{Z}^+$  and let  $g \in \mathbb{Z}_p[x]$ . Then  $g(x)^p = g(x^p)$ .

Proof: Let  $g(x) = \sum_{i=0}^m c_i x^i \in \mathbb{Z}_p[x]$ . When  $m = 0$ , since  $c_0^p = c_0$  (by Fermat's Little Theorem), we have  $g(x)^p = c_0^p = c_0 = g(x^p)$ . Let  $m \geq 1$  and suppose, inductively, that for  $h(x) = \sum_{i=0}^{m-1} c_i x^i$  we have  $h(x)^p = h(x^p)$ . Then

$$\begin{aligned} g(x)^p &= (c_0 + c_1 x + \cdots + c_m x^m)^p = (c_0 + c_1 x + \cdots + c_{m-1} x^{m-1})^p + (c_m x^m)^p \\ &= (c_0 + c_1 x^p + c_2 x^{2p} + \cdots + c_{m-1} x^{(m-1)p}) + c_m^p x^{mp} \\ &= c_0 + c_1 x^p + c_2 x^{2p} + \cdots + c_{m-1} x^{(m-1)p} + c_m^p x^{mp} = g(x^p) \end{aligned}$$

where on the first line we used the Binomial Theorem, noting that all terms are 0 mod  $p$  except the first and last, and on the second line we used the inductive hypothesis, and on the third line we used the fact that  $c_m^p = c_m$  which follows from Fermat's Little Theorem.

**5.4 Theorem:** (Gauss) Let  $n \in \mathbb{Z}^+$ . Then  $\Phi_n(x)$  is irreducible in  $\mathbb{Q}[x]$ .

Proof: Let  $w$  be a root of  $\Phi_n(x)$ . Let  $f \in \mathbb{Q}[x]$  be the minimal polynomial of  $w$ . Note that  $f \mid \Phi_n$ . We shall show that  $\Phi_n \mid f$  by showing that every root of  $\Phi_n$  is also a root of  $f$ . Note that  $w$  is integral over  $\mathbb{Z}$ , since it is a root of the monic polynomial  $\Phi_n \in \mathbb{Z}[x]$ , and so we have  $f \in \mathbb{Z}[x]$ . Also since  $w$  is a root of  $x^n - 1$  we have  $f \mid x^n - 1$  in  $\mathbb{Q}[x]$ , say  $x^n - 1 = f(x)g(x)$  where  $g \in \mathbb{Q}[x]$ . Since  $x^n - 1 \in \mathbb{Z}[x]$  and  $f \in \mathbb{Z}[x]$  and  $f$  is monic, when we perform long division of  $x^n - 1$  by  $f(x)$ , the quotient  $g(x)$  lies in  $\mathbb{Z}[x]$ . Let  $u$  be a root of  $f$ . Since  $f \mid x^n - 1$ ,  $u$  is also a root of  $x^n - 1$ , and so  $u$  is an  $n^{\text{th}}$  root of 1. Let  $p$  be a prime in  $\mathbb{Z}^+$  with  $\gcd(p, n) = 1$ . Then  $u^p$  is also an  $n^{\text{th}}$  root of 1. Since  $u^p$  is a root of  $x^n - 1 = f(x)g(x)$ , we know that either  $f(u^p) = 0$  or  $g(u^p) = 0$ . Suppose, for a contradiction, that  $f(u^p) \neq 0$ . Then we must have  $g(u^p) = 0$ , so  $u$  is a root of the polynomial  $h(x) = g(x^p)$ . Since  $f$  is the minimal polynomial of  $u$  we have  $f \mid h$ , say  $h = fk \in \mathbb{Q}[x]$ . As above, since  $h, f \in \mathbb{Z}[x]$  with  $f$  monic, we have  $k \in \mathbb{Z}[x]$ . Reduce the coefficients of  $h, f$  and  $k$  modulo  $p$  to get  $\bar{h} = \bar{f} \bar{k} \in \mathbb{Z}_p[x]$ . Note that  $\bar{h}(x) = \bar{g}(x^p) = \bar{g}(x)^p$  from the above Lemma. Let  $\bar{\ell}$  be an irreducible factor of  $\bar{f}$  in  $\mathbb{Z}_p[x]$ . Since  $\bar{\ell} \mid \bar{f}$  and  $\bar{f} \bar{k} = \bar{h} = \bar{g}^p$ , it follows that  $\bar{\ell} \mid \bar{g}^p$  and hence  $\bar{\ell} \mid \bar{g}$ . Since  $x^n - 1 = fg \in \mathbb{Z}[x]$ , reducing modulo  $p$  gives  $x^n - 1 = \bar{f} \bar{g} \in \mathbb{Z}_p[x]$ . Since  $\bar{\ell} \mid f$  and  $\bar{\ell} \mid g$  we have  $\bar{\ell}^2 \mid x^n - 1$  and hence  $\bar{\ell}$  is a common divisor of  $x^n - 1$  and  $\frac{d}{dx}(x^n - 1)$  in  $\mathbb{Z}_p[x]$ . But  $\frac{d}{dx}(x^n - 1) = nx^{n-1}$  and  $\gcd(p, n) = 1$  so that  $n$  is invertible in  $\mathbb{Z}_p$ , and so we have  $\gcd(x^n - 1, \frac{d}{dx}(x^n - 1)) = \gcd(x^n - 1, nx^{n-1}) = \gcd(-1, nx^{n-1}) = 1$ . Thus we have obtained the desired contradiction and so  $f(u^p) = 0$ .

We have shown that if  $u$  is a root of  $f$  and if  $p$  is a prime with  $\gcd(p, n) = 1$  then  $u^p$  is also a root of  $f$ . Now let  $k \in \mathbb{Z}^+$  with  $\gcd(k, n) = 1$ . Write  $k = p_1 p_2 \cdots p_j$  where each  $p_i$  is prime and note that since  $\gcd(k, n) = 1$  we have  $\gcd(p_i, n) = 1$  for all indices  $i$ . Since  $w$  is a root of  $f$ , we see that each of  $w, w^{p_1}, w^{p_1 p_2}, \dots, w^{p_1 p_2 \cdots p_j} = w^k$  is also a root of  $f$ . Since  $w^k$  is a root of  $f$  for all  $k \in \mathbb{Z}^+$  with  $\gcd(k, n) = 1$  it follows that every root of  $\Phi_n$  is also a root of  $f$  and so  $\Phi_n(x) \mid f(x)$ . Since  $\Phi_n \mid f$  and  $f \mid \Phi_n$  and  $f$  and  $\Phi_n$  are monic, we have  $\Phi_n = f$ . Thus  $\Phi_n$  is equal to the minimal polynomial of  $w$  and so  $\Phi_n$  is irreducible.

**5.5 Corollary:** Let  $w$  be a primitive  $n^{\text{th}}$  root of 1. Then  $\mathbb{Q}(w)$  is Galois over  $\mathbb{Q}$  with  $[\mathbb{Q}(w) : \mathbb{Q}] = \varphi(n)$ , and we have  $\text{Aut}_{\mathbb{Q}}\mathbb{Q}(w) \cong U_n$ .

Proof: Since the roots of  $\Phi_n(x)$  are the elements  $w^k$  with  $k \in U_n$ , we see that all the roots of  $\Phi_n$  lie in  $\mathbb{Q}(w)$  so that  $\mathbb{Q}(w)$  is the splitting field of  $\Phi_n(x)$  over  $\mathbb{Q}$  (it is also the splitting field of  $f(x) = x^n - 1$  over  $\mathbb{Q}$ ). Thus  $\mathbb{Q}(w)$  is Galois over  $\mathbb{Q}$ . Since  $\Phi_n$  is the minimal polynomial of  $w$  and  $\deg(\Phi_n) = \varphi(n)$ , we have  $[\mathbb{Q}(w) : \mathbb{Q}] = \varphi(n)$ . Again since the roots of  $\Phi_n$  are the elements  $w^k$  with  $k \in U_n$ , we see that  $\text{Hom}_{\mathbb{Q}}(\mathbb{Q}(w), \mathbb{C}) = \{\sigma_k \mid k \in U_n\}$  where  $\sigma_k$  is the homomorphism with  $\sigma_k(w) = w^k$ . Since  $\mathbb{Q}(w)$  is Galois over  $\mathbb{Q}$ , we know that  $\text{Aut}_{\mathbb{Q}}\mathbb{Q}(w) = \text{Hom}_{\mathbb{Q}}(\mathbb{Q}(w), \mathbb{C})$  and so we can define a bijective map  $\psi : U_n \rightarrow \text{Aut}_{\mathbb{Q}}\mathbb{Q}(w)$  by  $\psi(k) = \sigma_k$ . Finally, note that  $\psi$  is a homomorphism because for  $k, l \in U_n$  we have  $\sigma_k\sigma_l(w) = \sigma_k(w^l) = (w^l)^k = w^{kl} = \sigma_{kl}(w)$  so that  $\psi(k)\psi(l) = \sigma_k\sigma_l = \sigma_{kl} = \psi(kl)$ .

**5.6 Corollary:** Let  $n \in \mathbb{Z}^+$ . Then the regular  $n$ -gon is constructible (in the ancient Greek sense) if and only if  $n$  is of the form  $n = 2^k p_1 p_2 \cdots p_l$  where  $l \geq 0$  and each  $p_i$  is a Fermat prime (that is a prime  $p$  of the form  $p = 2^m + 1$  for some  $m \in \mathbb{Z}^+$ ).

Proof: I may include a proof later.