

# Chapter 1. The Euclidean Algorithm and Unique Factorization

**1.1 Definition:** For  $a, b \in \mathbb{Z}$  we say that  $a$  **divides**  $b$  (or that  $a$  is a **factor** of  $b$ , or that  $b$  is a **multiple of**  $a$ ), **and we write**  $a|b$ , **when**  $b = ak$  **for some**  $k \in \mathbb{Z}$ .

**1.2 Theorem:** (Basic Properties of Divisors) Let  $a, b, c \in \mathbb{Z}$ . Then

- (1)  $a|0$  for all  $a \in \mathbb{Z}$  and  $0|a \iff a = 0$ ,
- (2)  $a|1 \iff a = \pm 1$  and  $1|a$  for all  $a \in \mathbb{Z}$ .
- (3) If  $a|b$  and  $b|c$  then  $a|c$ .
- (4) If  $a|b$  and  $b|a$  then  $b = \pm a$ .
- (5) If  $a|b$  then  $|a| \leq |b|$ .
- (6) If  $a|b$  and  $a|c$  then  $a|(bx + cy)$  for all  $x, y \in \mathbb{Z}$ .

Proof: The proof is left as an exercise.

**1.3 Theorem:** (The Division Algorithm) Let  $a, b \in \mathbb{Z}$  with  $b \neq 0$ . Then there exist unique integers  $q$  and  $r$  such that

$$a = qb + r \text{ and } 0 \leq r < |b|.$$

The integers  $q$  and  $r$  are called the **quotient** and **remainder** when  $a$  is divided by  $b$ .

Proof: To prove this, we shall use the floor and ceiling properties of  $\mathbb{Z}$  in  $\mathbb{R}$ : for every  $x \in \mathbb{R}$ , there exists a unique positive integer  $n$  with  $x - 1 < n \leq x$  (this integer  $n$  is called the **floor** of  $x$  we write  $n = \lfloor x \rfloor$ ), and there exists a unique positive integer  $m$  with  $x \leq m < x + 1$  (the integer  $m$  is called the **ceiling** of  $x$  and we write  $m = \lceil x \rceil$ ).

Let  $a, b \in \mathbb{Z}$  with  $b \neq 0$ . Case 1: suppose that  $b > 0$  and note that  $|b| = b$ . Choose  $q = \lfloor \frac{a}{b} \rfloor$  then choose  $r = a - qb$  so that  $a = qb + r$ . Since  $\frac{a}{b} - 1 < q \leq \frac{a}{b}$  and  $b > 0$  we have  $a - b < qb \leq a$ , hence  $-a \leq -qb < -a + b$ , and hence  $0 \leq a - qb < b$ , that is  $0 \leq r < |b|$ . Case 2: suppose that  $b < 0$  and note that  $|b| = -b$ . Choose  $q = \lceil \frac{a}{b} \rceil$  then choose  $r = a - qb$  so that  $a = qb + r$ . Since  $\frac{a}{b} \leq q < \frac{a}{b} + 1$  and  $-b > 0$  we have  $-a \leq -qb < -a - b$  hence  $0 \leq a - qb < -b$ , that is  $0 \leq r < |b|$ . In either case, we have found  $q$  and  $r$ , as required.

It remains to verify that the values of  $q$  and  $r$  are unique. Suppose that  $a = qb + r$  with  $0 \leq r < |b|$  and  $a = pb + s$  with  $0 \leq s < |b|$ . Suppose, for a contradiction, that  $r \neq s$  and say  $r < s$  so that we have  $0 \leq r < s < |b|$ . Since  $a = qb + r = pb + s$  we have  $s - r = qb - pb = (q - p)b$  so that  $b|(s - r)$ . Since  $b|(s - r)$  we have  $|b| \leq |s - r| = s - r$  (by one of the basic properties of divisors). But since  $s < |b|$  and  $r \geq 0$  we have  $s - r < |b|$  giving the desired contradiction. Thus we have  $r = s$ . Since  $r = s$  and  $s - r = (q - p)b$  we have  $0 = (q - p)b$  hence  $p = q$  (since  $b \neq 0$ ).

**1.4 Note:** For  $a, b \in \mathbb{Z}$ , when we write  $a = qb + r$  with  $q, r \in \mathbb{Z}$  and  $0 \leq r < |b|$ , we have  $b|a$  if and only if  $r = 0$ . Indeed if  $r = 0$  then  $a = qb$  so that  $b|a$  and, conversely, if  $b|a$  with say  $a = pb = pb + 0$ , then we must have  $q = p$  and  $r = 0$  by the uniqueness of the quotient and remainder.

**1.5 Definition:** Let  $a, b \in \mathbb{Z}$ . A **common divisor** of  $a$  and  $b$  is an integer  $d$  such that  $d|a$  and  $d|b$ . When  $a$  and  $b$  are not both 0, we denote the **greatest common divisor** of  $a$  and  $b$  by  $\gcd(a, b)$ . For convenience, we also define  $\gcd(0, 0) = 0$ .

**1.6 Theorem:** (Basic Properties of the Greatest Common Divisor) Let  $a, b, q, r \in \mathbb{Z}$ .

- (1)  $\gcd(a, b) = \gcd(b, a)$ .
- (2)  $\gcd(a, b) = \gcd(|a|, |b|)$ .
- (3) If  $a|b$  then  $\gcd(a, b) = |a|$ . In particular,  $\gcd(a, 0) = |a|$ .
- (4) If  $b = qa + r$  then  $\gcd(a, b) = \gcd(a, r)$ .

Proof: The proof is left as an exercise.

**1.7 Theorem:** (Bézout's Identity) Let  $a$  and  $b$  be integers and let  $d = \gcd(a, b)$ . Then there exist integers  $s$  and  $t$  such that  $as + bt = d$ . The proof provides explicit procedures for finding  $d$  and for finding  $s$  and  $t$ .

Proof: We can find  $d$  using the following procedure, called the **Euclidean Algorithm**. If  $b|a$  then we have  $d = |b|$ . Otherwise, let  $r_{-1} = a$  and  $r_0 = b$  and use the division algorithm repeatedly to obtain integers  $q_i$  and  $r_i$  such that

$$\begin{array}{ll}
 r_{-1} = a = q_1 b + r_1 & 0 < r_1 < |a| \\
 r_0 = b = q_2 r_1 + r_2 & 0 < r_2 < r_1 \\
 r_1 = q_3 r_2 + r_3 & 0 < r_3 < r_2 \\
 \vdots & \vdots \\
 r_{k-2} = q_k r_{k-1} + r_k & 0 < r_k < r_{k-1} \\
 \vdots & \vdots \\
 r_{n-2} = q_n r_{n-1} + r_n & 0 < r_n < r_{n-1} \\
 r_{n-1} = q_{n+1} r_n + r_{n+1} & r_{n+1} = 0.
 \end{array}$$

Since  $r_{n-1} = q_{n+1} r_n$  we have  $r_n|r_{n-1}$  so  $\gcd(r_{n-1}, r_n) = r_n$ . Since  $r_{k-2} = q_k r_{k-1} + r_k$  we have  $\gcd(r_{k-2}, r_{k-1}) = \gcd(r_{k-1}, r_k)$  and so

$$d = \gcd(a, b) = \gcd(b, r_1) = \gcd(r_1, r_2) = \cdots = \gcd(r_{n-2}, r_{n-1}) = \gcd(r_{n-1}, r_n) = r_n.$$

Having found  $d$  using the Euclidean algorithm, as above, we can find  $s$  and  $t$  using the following procedure, which is known as **Back-Substitution**. If  $b|a$  so that  $d = |b|$ , then we can take  $s = 0$  and  $t = \pm 1$  to get  $as + bt = d$ . Otherwise, we let

$$s_0 = 1, s_1 = -q_n, \text{ and } s_{\ell+1} = s_{\ell-1} - q_{n-\ell} s_\ell \text{ for } 1 \leq \ell \leq n-1$$

and then we can take  $s = s_{n-1}$  and  $t = s_n$  to get  $as + bt = d$ , because, writing  $k = n - \ell$ ,

$$\begin{aligned}
 d &= r_n = r_{n-2} - q_n r_{n-1} = s_1 r_{n-1} + s_0 r_{n-2} \\
 &\quad \vdots \\
 &= \cdots = s_\ell r_{n-\ell} + s_{\ell-1} r_{n-\ell-1} = s_{n-k} r_k + s_{n-k-1} r_{k-1} \\
 &= s_{n-k} (r_{k-2} - q_k r_{k-1}) + s_{n-k-1} r_{k-1} = (s_{n-k-1} - q_k s_{n-k}) r_{k-1} + s_{n-k} r_{k-2} \\
 &= (s_{\ell-1} - q_{n-\ell} s_\ell) r_{n-\ell-1} + s_\ell r_{n-\ell-2} = s_{\ell+1} r_{n-\ell-1} + s_\ell r_{n-\ell-2} \\
 &\quad \vdots \\
 &= \cdots = s_n r_0 + s_{n-1} r_{-1} = s_n b + s_{n-1} a.
 \end{aligned}$$

**1.8 Example:** Let  $a = 5151$  and  $b = 1632$ . Find  $d = \gcd(a, b)$  and then find integers  $s$  and  $t$  so that  $as + bt = d$ .

Solution: The Euclidean Algorithm gives

$$5151 = 3 \cdot 1632 + 255$$

$$1632 = 6 \cdot 255 + 102$$

$$255 = 2 \cdot 102 + 51$$

$$102 = 2 \cdot 51 + 0$$

so  $d = 51$ . Using the quotients  $q_1 = 3$ ,  $q_2 = 6$  and  $q_3 = 2$ , Back-Substitution gives

$$s_0 = 1$$

$$s_1 = -q_3 = -2$$

$$s_2 = s_0 - q_2 s_1 = 1 - 6(-2) = 13$$

$$s_3 = s_1 - q_1 s_2 = -2 - 3(13) = -41,$$

so we take  $s = s_2 = 13$  and  $t = s_3 = -41$ . (It is a good idea to check that indeed we have  $(1632)(-41) + (5151)(13) = 51$ ).

**1.9 Example:** Let  $a = 754$  and  $b = -3973$ . Find  $d = \gcd(a, b)$  then find integers  $s$  and  $t$  such that  $as + bt = d$ .

Solution: The Euclidean Algorithm gives

$$3973 = 5 \cdot 754 + 203, \quad 754 = 3 \cdot 203 + 145, \quad 203 = 1 \cdot 145 + 58, \quad 145 = 2 \cdot 58 + 29, \quad 58 = 2 \cdot 29 + 0$$

so that  $d = 29$ . Then Back-Substitution gives rise to the sequence

$$1, -2, 3, -11, 58$$

so we have  $(754)(58) + (-3973)(-11) = 29$ , that is  $(754)(58) + (-3973)(11) = 29$ . Thus we can take  $s = 58$  and  $t = 11$ .

**1.10 Theorem:** (More Properties of the Greatest Common Divisor) Let  $a, b, c, d \in \mathbb{Z}$ .

- (1) If  $c|a$  and  $c|b$  then  $c|\gcd(a, b)$ .
- (3) We have  $\gcd(a, b) = 1$  if and only if there exist  $x, y \in \mathbb{Z}$  such that  $ax + by = 1$ .
- (4) If  $d = \gcd(a, b) \neq 0$  then  $\gcd\left(\frac{a}{d}, \frac{b}{d}\right) = 1$ .
- (5) If  $a|bc$  and  $\gcd(a, b) = 1$  then  $a|c$ .

Proof: These properties all follow from Bézout's Identity. We shall prove Parts 1 and 5 and leave the proofs of the remaining parts as an exercise. To prove Part 1, suppose that  $c|a$  and  $c|b$ , say  $a = ck$  and  $b = cl$ . Let  $d = \gcd(a, b)$  and choose  $s, t \in \mathbb{Z}$  so that  $as + bt = d$ . Then we have  $d = as + bt = cks + clt = c(ks + lt)$  and so  $c|d$ .

To prove Part 5, suppose that  $a|bc$  and  $\gcd(a, b) = 1$ . Since  $a|bc$  we can choose  $k \in \mathbb{Z}$  so that  $bc = ak$ . Since  $\gcd(a, b) = 1$ , by the Bézout's Identity, we can choose  $s, t \in \mathbb{Z}$  with  $as + bt = 1$ . Then we have

$$c = c \cdot 1 = c(as + bt) = acs + bct = acs + akt = a(cs + kt),$$

and so  $a|c$ , as required.

**1.11 Definition:** A **diophantine equation** is a polynomial equation in which the variables represent integers. Some diophantine equations are fairly easy to solve while others can be extremely difficult.

**1.12 Theorem:** (Linear Diophantine Equations) Let  $a, b, c \in \mathbb{Z}$  with  $(a, b) \neq (0, 0)$ . Let  $d = \gcd(a, b)$  and note that  $d \neq 0$ . Consider the Diophantine equation  $ax + by = c$ .

- (1) The equation has a solution  $(x, y) \in \mathbb{Z}^2$  if and only if  $d|c$ , and
- (2) if  $(u, v) \in \mathbb{Z}^2$  is one solution to the equation then the general solution is given by

$$(x, y) = (u, v) + k\left(-\frac{b}{d}, \frac{a}{d}\right) \text{ for some } k \in \mathbb{Z}.$$

Proof: Suppose that the equation  $ax + by = c$  has a solution  $(x, y) \in \mathbb{Z}^2$ . Choose  $(s, t) \in \mathbb{Z}^2$  so that  $as + bt = c$ . Since  $d|a$  and  $d|b$ , it follows that  $d|(ax + by)$  for all  $x, y \in \mathbb{Z}$ , so in particular  $d|(as + bt)$ , that is  $d|c$ . Conversely, suppose that  $d|c$ , say  $c = d\ell$  with  $\ell \in \mathbb{Z}$ . Use the Euclidean Algorithm with Back-Substitution to find  $s, t \in \mathbb{Z}$  such that  $as + bt = d$ . Multiply by  $\ell$  to get  $a(s\ell) + b(t\ell) = d\ell = c$ . Thus we can take  $x = s\ell$  and  $y = t\ell$  to obtain a solution  $(x, y) \in \mathbb{Z}^2$  to the equation  $ax + by = c$ . This proves Part (1)

Now suppose that  $(u, v) \in \mathbb{Z}^2$  is a solution to the given equation, so we have  $au + bv = c$ . To prove Part (2), we need to prove that for all  $k \in \mathbb{Z}$ , if we let  $(x, y) = (u, v) + k\left(-\frac{b}{d}, \frac{a}{d}\right)$  then  $(x, y)$  is a solution to  $ax + by = c$  and, conversely, that if  $(x, y)$  is a solution then there exists  $k \in \mathbb{Z}$  such that  $(x, y) = (u, v) + k\left(-\frac{b}{d}, \frac{a}{d}\right)$ .

Let  $k \in \mathbb{Z}$  and let  $(x, y) = (u, v) + k\left(-\frac{b}{d}, \frac{a}{d}\right)$ . Then  $x = u - \frac{kb}{d}$  and  $y = v + \frac{ka}{d}$  and so

$$ax + by = a\left(u - \frac{kb}{d}\right) + b\left(v + \frac{ka}{d}\right) = (au + bv) - \frac{kab}{d} + \frac{kab}{d} = au + bv = c.$$

Conversely, let  $(x, y)$  be a solution to the given equation, so we have  $ax + by = c$ . Suppose that  $a \neq 0$  (we leave the case  $a = 0$  as an exercise). Since  $ax + by = c$  and  $au + bv = c$  we have  $ax + by = au + bv$  and so  $a(x - u) = -b(y - v)$ . Divide both sides by  $d$  to get  $\frac{a}{d}(x - u) = -\frac{b}{d}(y - v)$ . Since  $\frac{a}{d} \mid \frac{b}{d}(y - v)$  and  $\gcd\left(\frac{a}{d}, \frac{b}{d}\right) = 1$ , it follows that  $\frac{a}{d} \mid (y - v)$ . Choose  $k \in \mathbb{Z}$  so that  $y - v = \frac{ka}{d}$ . Since  $a \neq 0$  and  $a(x - u) = -b(y - v) = -\frac{kab}{d}$ , we have  $x - u = -\frac{kb}{d}$  and so  $(x, y) = (u, v) + k\left(-\frac{b}{d}, \frac{a}{d}\right)$ , as required.

**1.13 Example:** Let  $a = 426$ ,  $b = 132$  and  $c = 42$ . Find all  $x, y \in \mathbb{Z}$  such that  $ax + by = c$ .

Solution: The Euclidean Algorithm gives

$$426 = 3 \cdot 132 + 30, \quad 132 = 4 \cdot 30 + 12, \quad 30 = 2 \cdot 12 + 6, \quad 12 = 2 \cdot 6 + 0$$

so that  $d = \gcd(a, b) = 6$ . Note that  $d|c$ , indeed  $c = d\ell$  with  $\ell = 7$ , so a solution does exist. Back-Substitution gives the sequence

$$1, -2, 9, -29$$

so we have  $a(9) + b(-29) = d$ . Multiply by  $\ell = 7$  to get  $a(63) + b(-203) = c$ , so one solution is given by  $(x, y) = (63, -203)$ . Since  $\frac{a}{d} = \frac{426}{6} = 71$  and  $\frac{b}{d} = \frac{132}{6} = 22$ , The general solution is  $(x, y) = (63, -203) + k(-22, 71)$ .

**1.14 Exercise:** Let  $a = 4123$ ,  $b = 17689$  and  $c = 798$ . Find all  $x, y \in \mathbb{Z}$  with  $0 \leq y \leq 100$  such that  $ax + by = c$ .

**1.15 Definition:** Let  $n$  be a positive integer. We say that  $n$  is a **prime number** when  $n \geq 2$  and  $n$  has no factor  $a \in \mathbb{Z}$  with  $1 < a < n$ . We say that  $n$  is **composite** when  $n \geq 2$  and  $n$  is not prime, that is when  $n$  does have a factor  $a \in \mathbb{Z}$  with  $1 < a < n$ .

**1.16 Theorem:** (Basic Properties of Primes) *Let  $p$  be a prime number.*

- (1) *For all  $a \in \mathbb{Z}$  we have  $\gcd(a, p) \in \{1, p\}$  with  $\gcd(a, p) = p$  if and only if  $p|a$ .*
- (2) *For all  $a, b \in \mathbb{Z}$ , if  $p|ab$  then either  $p|a$  or  $p|b$ .*

Proof: Part 1 follows directly from the definition of a prime number the definition of  $\gcd(a, p)$ . Part 2 then follows from Part 5 of Theorem 1.10.

**1.17 Theorem:** *Every integer  $n \geq 2$  has a prime factor. Every composite integer  $n \geq 2$  has a prime factor  $p$  with  $p \leq \sqrt{n}$ .*

Proof: Let  $n \geq 2$ . Suppose, inductively, that every integer  $k$  with  $2 \leq k < n$  has a prime factor. If  $n$  is prime, then  $n$  is a prime factor of itself, so  $n$  has a prime factor. Suppose that  $n$  is composite. Let  $a$  be a factor of  $n$  with  $1 < a < n$ . By the induction hypothesis,  $a$  has a prime factor. Let  $p$  be a prime factor of  $a$ . Since  $p|a$  and  $a|n$  we have  $p|n$ , and so  $p$  is a prime factor of  $n$ . It follows, by induction, that every integer  $n \geq 2$  has a prime factor.

Now suppose that  $n$  is composite. Write  $n = ab$  where  $a, b \in \mathbb{Z}$  with  $1 < a \leq b < n$ . Note that  $a \leq \sqrt{n}$  because if we had  $a > \sqrt{n}$  then we would also have  $b \geq a > \sqrt{n}$  so that  $n = ab > \sqrt{n}\sqrt{n} = n$  which is impossible. Let  $p$  be a prime factor of  $a$ . Since  $p|a$  and  $a|n$  we have  $p|n$  so that  $p$  is a prime factor of  $n$ . Since  $p|a$  and  $a \leq \sqrt{n}$  we have  $p \leq a \leq \sqrt{n}$ .

**1.18 Note:** Given an integer  $n \geq 2$ , we can list all primes  $p$  with  $p \leq n$  using the following procedure, which is called the **Sieve of Eratosthenes**. We begin by listing all the integers from 1 to  $n$ , and we cross off the number 1 (1 is a unit; it is not a prime). We circle the smallest remaining number  $p_1$  (namely  $p_1 = 2$ , which is prime) then we cross off all other multiples of  $p_1$  (which are composite). We circle the smallest remaining number  $p_2$  (namely  $p_2 = 3$ , which is prime) then we cross off all other multiples of  $p_2$  (which are all composite). At the  $k^{\text{th}}$  step of the procedure, when we circle the smallest remaining number  $p_k$ , it must be prime because if  $p_k$  was composite then it would have a prime factor  $p_i$  with  $p_i < p_k$ , but we have already found all primes  $p_i < p_k$  and we have already crossed off all their multiples. We continue the procedure until we have circled a prime  $p_\ell$  with  $p_\ell \geq \sqrt{n}$  and crossed off its multiples. At this stage we circle all of the remaining numbers in the list because they are all prime. Indeed, if a remaining number  $m$  was composite then it would have a prime factor  $p$  with  $p \leq \sqrt{m} \leq \sqrt{n}$ , but we have already found all primes  $p$  with  $\leq \sqrt{n}$  and crossed off all their multiples.

**1.19 Exercise:** Use the Sieve of Eratosthenes to list all primes  $p$  with  $p \leq 100$ .

**1.20 Theorem:** (Euclid) *There exist infinitely many prime numbers.*

Proof: Suppose, for a contradiction, that there exist finitely many prime numbers. Let  $p_1, p_2, \dots, p_\ell$  be all of the prime numbers. Consider the number  $n = p_1 p_2 \cdots p_\ell + 1$ . By Theorem 1.17, the number  $n$  has a prime factor and so  $p_k|n$  for some index  $k$ . But  $p_k$  is not a factor of  $n$  because when we write  $n = qp_k + r$  as in the Division Algorithm, we find that the remainder is  $r = 1 \neq 0$  (and the quotient is  $q = \prod_{i \neq k} p_i$ ).

**1.21 Example:** Note that there exist arbitrarily large gaps between consecutive prime numbers because, given a positive integer  $m \geq 2$ , we have  $2|(m!+2)$ ,  $3|(m!+3)$ ,  $4|(m!+4)$  and so on, so the consecutive numbers  $m!+2, m!+3, m!+4, \dots, m!+m$  are all composite.

**1.22 Remark:** Here are a few facts about prime numbers which are difficult to prove.

- (1) Bertrand's Postulate: for every integer  $n \geq 1$  there exists a prime  $p$  with  $n < p \leq 2n$ .
- (2) Dirichlet's Theorem: for all positive integers  $a, b$  with  $\gcd(a, b) = 1$ , there exist infinitely many primes of the form  $p = a + kb$  for some  $k \in \mathbb{N}$ .
- (3) The Prime Number Theorem: for  $x \in \mathbb{R}$ , let  $\pi(x)$  be the number of primes  $p$  with  $p \leq x$ . Then  $\lim_{x \rightarrow \infty} \frac{\pi(x)}{x/\ln x} = 1$ .

**1.23 Remark:** Here are a few statements about prime numbers which are conjectured to be true, but for which no proof has, as yet, been found.

- (1) Legendre's Conjecture: for every  $n \in \mathbb{Z}^+$  there exists a prime  $p$  with  $n^2 < p < (n+1)^2$ .
- (2) Goldbach's Conjecture: every even integer  $n \geq 4$  is the sum of two prime numbers.
- (3) Twin Primes Conjecture: there exist infinitely many  $p$  for which  $p$  and  $p+2$  are prime.
- (4) The  $n^2 + 1$  Conjecture: there exist infinitely many primes  $p = n^2 + 1$  with  $n \in \mathbb{Z}^+$ .
- (5) Mersenne Primes Conjecture: there exist infinitely many primes  $p = 2^k - 1$  with  $k \in \mathbb{Z}^+$ .
- (6) Fermat Primes Conjecture: there exist finitely many primes  $p = 2^k + 1$  with  $k \in \mathbb{N}$ .

**1.24 Theorem:** (*The Fundamental Theorem of Arithmetic, or The Unique Factorization Theorem*) Every integer  $n \geq 2$  can be written uniquely in the form  $n = \prod_{k=1}^{\ell} p_k = p_1 p_2 \cdots p_{\ell}$  where  $\ell \in \mathbb{Z}^+$  and the  $p_k$  are primes with  $p_1 \leq p_2 \leq \cdots \leq p_{\ell}$ .

Proof: First we prove the existence of such a factorization. Let  $n$  be an integer with  $n \geq 2$  and suppose, inductively, that every integer  $k$  with  $2 \leq k < n$  can be written in the required form. If  $n$  is prime then we can write  $n = \prod_{k=1}^{\ell} p_k = p_1$  with  $\ell = 1$  and  $p_1 = n$ . Suppose that  $n$  is composite. Write  $n = ab$  where  $a, b \in \mathbb{Z}$  with  $1 < a < n$  and  $1 < b < n$ . By the induction hypothesis, we can write  $a = q_1 q_2 \cdots q_{\ell}$  and  $b = r_1 r_2 \cdots r_m$  where  $\ell, m \in \mathbb{Z}^+$  and the  $p_i$  and  $q_i$  are primes with  $p_1 \leq p_2 \leq \cdots \leq p_{\ell}$  and  $q_1 \leq q_2 \leq \cdots \leq q_m$ . Then  $n = q_1 q_2 \cdots q_{\ell} r_1 r_2 \cdots r_m = p_1 p_2 \cdots p_{\ell+m}$  where the ordered  $(\ell+m)$ -tuple  $(p_1, p_2, \dots, p_{\ell+m})$  is obtained from the ordered  $(\ell+m)$ -tuple  $(q_1, q_2, \dots, q_{\ell}, r_1, r_2, \dots, r_m)$  by rearranging the terms into non-decreasing order.

Let us prove uniqueness. Suppose that  $n = p_1 p_2 \cdots p_{\ell} = q_1 q_2 \cdots q_m$  where  $\ell, m \in \mathbb{Z}^+$  and the  $p_i$  and  $q_j$  are primes with  $p_1 \leq p_2 \leq \cdots \leq p_{\ell}$  and  $q_1 \leq q_2 \leq \cdots \leq q_m$ . We need to prove that  $\ell = m$  and that  $p_i = q_i$  for every index  $i$ . Since  $n = p_1 p_2 \cdots p_{\ell}$  we see that  $p_1 \mid n$  and so  $p_1 \mid q_1 q_2 \cdots q_m$ . By applying Part (2) of Theorem 5.12 repeatedly, it follows that  $p_1 \mid q_i$  for some index  $i$ . Since  $p_1 \mid q_i$  and  $q_i$  is prime, we must have  $p_1 \in \{\pm 1, \pm q_i\}$ . Since  $p_1$  is prime, we have  $p_1 > 1$ . Since  $p_1 > 1$  and  $p_1 \in \{\pm 1, \pm q_i\}$  it follows that  $p_1 = q_i$ . A similar argument shows that  $q_1 = p_j$  for some index  $j$ . Since  $p_1 = q_i \geq q_1 = p_j \geq p_1$ , it follows that  $p_1 = q_1$ .

Since  $p_1 p_2 \cdots p_{\ell} = q_1 q_2 \cdots q_m$  and  $p_1 = q_1$ , we can divide both sides by  $p_1$  to get  $p_2 p_3 \cdots p_{\ell} = q_2 q_3 \cdots q_m$ . By repeating the above argument, we can show that  $p_2 = q_2$ , then we can divide both sides by  $p_2 = q_2$  to get  $p_3 \cdots p_{\ell} = q_3 \cdots q_m$  and so on.

If we had  $\ell \neq m$ , say  $\ell < m$ , repeating the above procedure would eventually yield  $p_{\ell} = q_{\ell} q_{\ell+1} \cdots q_m$  with  $p_{\ell} = q_{\ell}$  and then  $1 = q_{\ell+1} \cdots q_m$  which is not possible since each  $q_i > 1$ . Thus we must have  $\ell = m$  and repeating the above procedure gives  $p_i = q_i$  for all indices  $i$ , as required.

**1.25 Note:** Here are two alternate ways of expressing the Unique Factorization Theorem.

- (1) Every integer  $n \geq 2$  can be written uniquely in the form  $n = \prod_{i=1}^{\ell} p_i^{m_i} = p_1^{m_1} \cdots p_{\ell}^{m_{\ell}}$  where  $\ell \in \mathbb{Z}^+$  and the  $p_i$  are distinct primes with  $p_1 < p_2 < \cdots < p_{\ell}$  and each  $m_i \in \mathbb{Z}^+$ .
- (2) Given distinct primes  $p_1, p_2, \dots, p_{\ell}$ , every  $n \in \mathbb{Z}^+$  whose prime factors are included in  $\{p_1, \dots, p_{\ell}\}$  can be written uniquely in the form  $n = \prod_{i=1}^{\ell} p_i^{m_i} = p_1^{m_1} \cdots p_{\ell}^{m_{\ell}}$  with  $m_i \in \mathbb{N}$ .

**1.26 Theorem:** (Unique Factorization and Divisors) Let  $n = p_1^{m_1} p_2^{m_2} \cdots p_{\ell}^{m_{\ell}}$  where  $\ell \in \mathbb{Z}^+$ , the  $p_i$  are distinct primes, and each  $m_i \in \mathbb{N}$ . Then the positive divisors of  $n$  are the numbers of the form  $a = p_1^{j_1} p_2^{j_2} \cdots p_{\ell}^{j_{\ell}}$  where each  $j_i \in \mathbb{Z}$  with  $0 \leq j_i \leq m_i$ .

Proof: Suppose that  $n = p_1^{m_1} p_2^{m_2} \cdots p_{\ell}^{m_{\ell}}$  and  $a = p_1^{j_1} p_2^{j_2} \cdots p_{\ell}^{j_{\ell}}$  where  $p_1, p_2, \dots, p_{\ell}$  are distinct primes and  $0 \leq j_i \leq m_i$  for all indices  $i$ . Let  $b = p_1^{k_1} p_2^{k_2} \cdots p_{\ell}^{k_{\ell}}$  where  $k_i = m_i - j_i$  (note that  $k_i \geq 0$  since  $j_i \leq m_i$ ). Then

$$ab = (p_1^{j_1} \cdots p_{\ell}^{j_{\ell}})(p_1^{k_1} \cdots p_{\ell}^{k_{\ell}}) = p_1^{j_1+k_1} \cdots p_{\ell}^{j_{\ell}+k_{\ell}} = p_1^{m_1} \cdots p_{\ell}^{m_{\ell}} = n$$

and so  $a|n$ .

Conversely, suppose that  $n = p_1^{m_1} p_2^{m_2} \cdots p_{\ell}^{m_{\ell}}$ , as above, and let  $a$  be a positive divisor of  $n$ . Let  $p$  be any prime factor of  $a$ . Since  $p|a$  and  $a|n$  we have  $p|n$ . Since  $p|n$  and  $n = p_1^{m_1} p_2^{m_2} \cdots p_{\ell}^{m_{\ell}}$  we have  $p|p_i$  for some index  $i$ . Since  $p$  and  $p_i$  are both prime and  $p|p_i$ , we have  $p = p_i$ . This proves that every prime factor of  $a$  is among the primes  $p_1, p_2, \dots, p_{\ell}$ . It follows that  $a$  can be written in the form  $a = p_1^{j_1} p_2^{j_2} \cdots p_{\ell}^{j_{\ell}}$  with each  $j_i \in \mathbb{N}$ . It remains to show that  $j_i \leq m_i$ .

Since  $a|n$  we can choose  $b \in \mathbb{Z}$  so that  $n = ab$ . Since  $n$  and  $a$  are positive, so is  $b$ . Since  $b$  is a positive factor of  $n$ , the above argument shows that every prime factor of  $b$  is among the primes  $p_1, p_2, \dots, p_{\ell}$  and so we can write  $b = p_1^{k_1} p_2^{k_2} \cdots p_{\ell}^{k_{\ell}}$  for some  $k_i \in \mathbb{N}$ . Since  $n = ab$  we have

$$p_1^{m_1} p_2^{m_2} \cdots p_{\ell}^{m_{\ell}} = n = ab = (p_1^{j_1} \cdots p_{\ell}^{j_{\ell}})(p_1^{k_1} \cdots p_{\ell}^{k_{\ell}}) = p_1^{j_1+k_1} \cdots p_{\ell}^{j_{\ell}+k_{\ell}}.$$

By the uniqueness of prime factorization, it follows that  $m_i = j_i + k_i$  for all indices  $i$ . Since  $k_i \geq 0$  it follows that  $j_i = m_i - k_i \leq m_i$ , as required.

**1.27 Definition:** For  $a, b \in \mathbb{Z}$ , a **common multiple** of  $a$  and  $b$  is an integer  $m$  such that  $a|m$  and  $b|m$ . When  $a$  and  $b$  are both nonzero, we define the **least common multiple** of  $a$  and  $b$ , denoted by  $\text{lcm}(a, b)$ , to be the smallest positive common multiple of  $a$  and  $b$ . For convenience, we also define  $\text{lcm}(a, 0) = \text{lcm}(0, a) = 0$  for  $a \in \mathbb{Z}$ .

**1.28 Theorem:** Let  $a = \prod_{i=1}^{\ell} p_i^{j_i}$  and  $b = \prod_{i=1}^{\ell} p_i^{k_i}$  where  $\ell \in \mathbb{Z}^+$ , the  $p_i$  are distinct primes, and  $j_i, k_i \in \mathbb{N}$ . Then

- (1)  $\text{gcd}(a, b) = \prod_{i=1}^{\ell} p_i^{\min\{j_i, k_i\}}$ ,
- (2)  $\text{lcm}(a, b) = \prod_{i=1}^{\ell} p_i^{\max\{j_i, k_i\}}$ , and
- (3)  $\text{gcd}(a, b) \cdot \text{lcm}(a, b) = ab$ .

Proof: The proof is left as an exercise.

**1.29 Exercise:** Define, and find similar formulas for,  $\text{gcd}(a_1, \dots, a_{\ell})$  and  $\text{lcm}(a_1, \dots, a_{\ell})$ .

**1.30 Definition:** For a prime  $p$  and a positive integer  $n$ , the **exponent** of  $p$  in (the prime factorization of)  $n$ , denoted by  $e(p, n)$ , is defined as follows. We write  $n$  in the form  $n = p_1^{m_1} p_2^{m_2} \cdots p_\ell^{m_\ell}$  where the  $p_i$  are distinct primes and each  $m_i \in \mathbb{N}$ , then we define  $e(p, n) = m_i$  if  $p = p_i$  and we define  $e(p, n) = 0$  if  $p \neq p_i$  for any index  $i$ .

**1.31 Exercise:** Show that  $e(p, n!) = \lfloor \frac{n}{p} \rfloor + \lfloor \frac{n}{p^2} \rfloor + \lfloor \frac{n}{p^3} \rfloor + \cdots$  and that  $\lfloor \frac{n}{p^{k+1}} \rfloor = \left\lfloor \lfloor \frac{n}{p^k} \rfloor / p \right\rfloor$ .

**1.32 Example:** Since  $e(5, 100!) = \lfloor \frac{100}{5} \rfloor + \lfloor \frac{100}{25} \rfloor + \lfloor \frac{100}{125} \rfloor + \cdots = 20 + 4 + 0 = 24$  and  $e(2, 100!) > 24$ , it follows that the number  $100!$  ends with exactly 24 zeros in its decimal representation.

**1.33 Definition:** For a positive integer  $n$ , we write  $\tau(n)$  to denote the number of positive divisors of  $n$ , we write  $\sigma(n)$  to denote the sum of the positive divisors of  $n$ , and we write  $\rho(n)$  to denote the product of the positive divisors of  $n$ . It is common to write

$$\tau(n) = \sum_{d|n} 1, \quad \sigma(n) = \sum_{d|n} d, \quad \text{and} \quad \rho(n) = \prod_{d|n} d.$$

**1.34 Theorem:** Let  $n = \prod_{i=1}^{\ell} p_i^{k_i}$  where  $p_1, p_2, \dots, p_\ell$  are distinct primes and each  $k_i \in \mathbb{N}$ .

Then we have  $\tau(n) = \prod_{i=1}^{\ell} (k_i + 1)$ ,  $\sigma(n) = \prod_{i=1}^{\ell} \frac{p_i^{k_i+1} - 1}{p_i - 1}$  and  $\rho(n) = n^{\tau(n)/2}$ .

Proof: The positive divisors of  $n$  are of the form  $d = p_1^{j_1} p_2^{j_2} \cdots p_\ell^{j_\ell}$  with  $0 \leq j_i \leq k_i$  for each index  $i$ . Since there are  $(k_i + 1)$  choices for the index  $i$ , there are a total of  $(k_1 + 1)(k_2 + 1) \cdots (k_\ell + 1)$  choices for the positive divisor  $d$ , so we have  $\tau(n) = \prod_{i=1}^{\ell} (k_i + 1)$ .

Also, again since the positive divisors of  $n$  are of the form  $d = p_1^{j_1} p_2^{j_2} \cdots p_\ell^{j_\ell}$  with  $0 \leq j_i \leq k_i$  for each index  $i$ , we have

$$\begin{aligned} \sigma_n &= \sum_{0 \leq j_1 \leq k_1} \sum_{0 \leq j_2 \leq k_2} \cdots \sum_{0 \leq j_{\ell-1} \leq k_{\ell-1}} \sum_{0 \leq j_\ell \leq k_\ell} p_1^{j_1} p_2^{j_2} \cdots p_{\ell-1}^{j_{\ell-1}} p_\ell^{j_\ell} \\ &= \sum_{0 \leq j_1 \leq k_1} \sum_{0 \leq j_2 \leq k_2} \cdots \sum_{0 \leq j_{\ell-1} \leq k_{\ell-1}} p_1^{j_1} p_2^{j_2} \cdots p_{\ell-1}^{j_{\ell-1}} \left( \sum_{0 \leq j_\ell \leq k_\ell} p_\ell^{j_\ell} \right) \\ &= \cdots = \left( \sum_{0 \leq j_1 \leq k_1} p_1^{j_1} \right) \left( \sum_{0 \leq j_2 \leq k_2} p_2^{j_2} \right) \cdots \left( \sum_{0 \leq j_\ell \leq k_\ell} p_\ell^{j_\ell} \right) \\ &= (1 + p_1 + p_1^2 + \cdots + p_1^{k_1})(1 + p_2 + \cdots + p_2^{k_2}) \cdots (1 + p_\ell + \cdots + p_\ell^{k_\ell}) \\ &= \left( \frac{p_1^{k_1+1} - 1}{p_1 - 1} \right) \left( \frac{p_2^{k_2+1} - 1}{p_2 - 1} \right) \cdots \left( \frac{p_\ell^{k_\ell+1} - 1}{p_\ell - 1} \right) = \prod_{i=1}^{\ell} \frac{p_i^{k_i+1} - 1}{p_i - 1}. \end{aligned}$$

To obtain the formula for  $\rho(n)$ , note that each positive factor  $d$  of  $n$  can be paired with the corresponding positive factor  $\frac{n}{d}$  so we have  $\rho(n)^2 = \prod_{d|n} d \cdot \frac{n}{d} = \prod_{d|n} n = n^{\tau(n)}$ .

**1.35 Definition:** An **arithmetic function** is any real- or complex-valued function whose domain is the set of positive integers  $\mathbb{Z}^+$ . For an arithmetic function  $f$ , we say that  $f$  is **multiplicative** when  $f(ab) = f(a)f(b)$  for all  $a, b \in \mathbb{Z}^+$  with  $\gcd(a, b) = 1$ , and we say that  $f$  is **completely multiplicative** when  $f(ab) = f(a)f(b)$  for all  $a, b \in \mathbb{Z}^+$ .

**1.36 Example:** The divisors function  $\tau$  and the sum of divisors function  $\sigma$  are both multiplicative arithmetic functions. The product of divisors function  $\rho$  is not multiplicative.