

# Testing expressibility is hard

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# Outline

- 0. Apology
- 1. The Definition
- 2. The Problem
- 3. Our Solution
- 4. The Proof (hints)
- 5. Open Questions

# Part 0 - Apology

I'm sorry

# Part 1 - The Definition

Let  $\Gamma$  be a constraint language (always finite, on a finite domain  $D$ ).

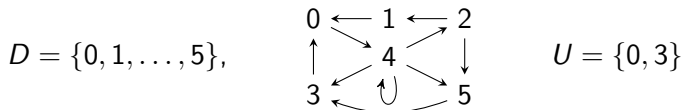
Let  $R$  be a relation (on  $D$ ), say of arity  $k > 0$ .

## Definition

$\Gamma$  can **express**  $R$  if there exist a CSP  $\mathcal{P} = (X, D, \mathcal{C})$  over  $\Gamma$  (the **gadget**) and  $x_1, \dots, x_k \in X$  such that

$$\text{proj}_{(x_1, \dots, x_k)}(\text{Sol}(\mathcal{P})) = R.$$

Example:  $\Gamma = \{\rightarrow, U\}$ , where



Can  $\Gamma$  can express  $R = \{3, 4, 5\}$ ?

**Answer:** Yes, via the gadget  $\mathcal{P}$ :

$$(a \rightarrow b) \ \& \ (b \rightarrow x) \ \& \ (x \rightarrow c) \ \& \ U(a) \ \& \ U(c).$$

Solutions to  $\mathcal{P}$ :

$a$	$b$	$x$	$c$
0	4	3	0
0	4	4	3
0	4	5	3
3	0	4	3

## Part 2 - The Problem

**Definition.**  $\text{EXPR}(\Gamma)$  is the set of all relations expressible by  $\Gamma$ .

### Expressibility Problem

Input:

- a constraint language  $\Gamma$ .
- a relation  $R$ .

Question:

- Is  $R \in \text{EXPR}(\Gamma)$ ?

**Basic question:** How hard is this problem to answer? To witness?

(Jeavons, Cohen, Gyssens; CP 1996, *Constraints* 1999):

## Polymorphisms and 'Indicator problems'

- 1 If  $R \notin \text{EXPR}(\Gamma)$ , then this is witnessed by a **polymorphism** of  $\Gamma$  of a specified arity which does not preserve  $R$ .
- 2 If  $R \in \text{EXPR}(\Gamma)$ , then  $R$  is expressed by a canonical gadget called an **indicator problem**.

Hence we can (in principle) test whether  $R \in \text{EXPR}(\Gamma)$  by either:

- Searching among all operations on  $D$  of a specified arity for one which witnesses  $R \notin \text{EXPR}(\Gamma)$ , **or**
- Building the indicator problem for  $(\Gamma, R)$ , finding all of its solutions, and comparing the solution set to  $R$ .

How **practical** is this algorithm?

Answer: it's crap.

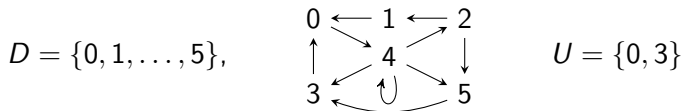
- ① If  $R \notin \text{EXPR}(\Gamma)$ :  
general theory promises a witnessing polymorphism, but of arity  $|R|$   
(and hence table size  $|D|^{|R|}$ ).
- ② If  $R \in \text{EXPR}(\Gamma)$ :  
general theory offers the indicator problem for  $(\Gamma, R)$ , but it has
  - $|D|^{|R|}$  variables
  - For each  $S \in \Gamma$ ,  $|S|^{|R|}$  constraints using  $S$ .

The size of the witness (polymorphism or gadget) guaranteed by theory is exponential in  $|R|$ . In particular, the JCG algorithm is co-*NEXPTIME*.



**However:** Practice does not always match theory.

Consider again  $\Gamma = \{\rightarrow, U\}$ :



① The relation  $R = \{3, 4, 5\}$  **can** be expressed by  $\Gamma$ . Witnessing gadget:

- Theory:  $6^3 = 216$  variables and  $10^3 + 2^3 = 1\,008$  constraints.
- Practice: 4 variables and 5 constraints.

② The relation  $\nrightarrow := D^2 \setminus \rightarrow$  **cannot** be expressed by  $\Gamma$ . Witnessing polymorphism:

- Theory: arity 26 (and thus table size  $6^{26} \approx 1.7 \times 10^{20}$ ).
- Practice: arity 1.

## This is our problem:

- General theory seems to require witnesses of size  $\exp(|R|)$ .
- The Jeavons/Cohen/Gyssen algorithm is *co-NEXPTIME*.

But:

- Examples suggest that much smaller witnesses might suffice.
- Perhaps there is a better (polynomial-time?) algorithm for testing expressibility.

Positive evidence for better theory: boolean case ( $D = \{0, 1\}$ )

### Theorem (Dalmau, 2000)

For each **fixed** constraint language  $\Gamma$  over  $D = \{0, 1\}$ , testing membership in  $\text{EXPR}(\Gamma)$  is in  $P$ .

### Theorem (Creignou, Kolaitis & Zanuttini, 2008)

There is a uniform polynomial-time algorithm for testing expressibility over the boolean domain.

Consequences (boolean domain):

- Polynomial-sized gadgets if  $R \in \text{EXPR}(\Gamma)$ ;
- (Conjecture)  $O(\log |R|)$ -arity polymorphisms if  $R \notin \text{EXPR}(\Gamma)$ .

What about the non-boolean case?

**Conjecture** (Vardi, AIM 2008): It's VERY VERY BAD. To wit,

- The general expressibility problem is co-*NEXPTIME*-complete, even on domain size 3.

(If true: no poly-sized witnesses in general; JCG algorithm can't be improved.)

## Part 3 - Our solution

We confirm most of the AIM conjecture:

### Theorem 1 (Exponential witnesses are unavoidable)

For infinitely many  $n$  there exist constraint languages  $\Gamma_0, \Gamma_1$  and relation  $R$ , all over a 22-element domain, such that:

- $|R| = n$ , and  $\text{arity}(R) = O(\log n)$ ;
- $R \in \text{EXPR}(\Gamma_0)$ , yet every witnessing gadget has  $\geq 2^{n/3}$  variables;
- $R \notin \text{EXPR}(\Gamma_1)$ , yet every witnessing polymorphism has  $\text{arity} \geq n/3$ .

Fine print:  $|\Gamma_0| = |\Gamma_1| = O(n)$  and each  $S \in \Gamma_0 \cup \Gamma_1$  has  $\text{arity } O(\log n)$ .

### Theorem 2 (The JCG algorithm is essentially best possible)

There exists  $d > 3$  such that testing expressibility on  $d$ -element domains is co-*NEXPTIME*-complete.

## Part 4 - The Proof (hints)

1. Warning: it's complicated.
2. Following a suggestion of Vardi, we encode a class of **tiling problems** into the **complement** of the expressibility problem.
3. Did I mention that it's complicated?

**Definition.** A **tiling problem** is a special, succinctly presented CSP whose specification includes:

- A finite set  $\Delta$  of **tile types**.
- A positive integer  $N$ , which determines an  $N \times N$  grid.

$N = 5$

5	8	6	9	7
4	7	5	8	6
3	6	4	7	5
2	5	3	6	4
1	4	2	5	3

E.g.:  $\Delta = \{1, 2, \dots, 9\}$

Constraints:

$$H: \begin{array}{|c|} \hline s \\ \hline \end{array} \begin{array}{|c|} \hline t \\ \hline \end{array} \Rightarrow t - s \in \{-2, 3\}$$

$$V: \begin{array}{|c|} \hline t \\ \hline \end{array} \begin{array}{|c|} \hline s \\ \hline \end{array} \Rightarrow s < t$$

- **Constraints** on horizontally and vertically adjacent tile types.

**Question:** Can one cover the grid with tiles subject to the constraints?

- **Optional input:** An **initial condition** (prescribed tiles on first row).

## Some Jargon and Notation

Fix  $\mathcal{D} = (\Delta, H, V)$ .

- $\mathcal{D}$  is called a **domino system**.

“Exponential Tiling-by- $\mathcal{D}$  Problem,” or  $\text{EXPTILE}(\mathcal{D})$

**Input:**

initial condition  $\mathbf{w} \in \Delta^+$ .

**Question:**

Can  $\mathcal{D}$  tile the  $2^m \times 2^m$  grid satisfying  $\mathbf{w}$ , where  $m = |\mathbf{w}|$ ?



Two facts about exponential tiling.

- ① (Amusing exercise): there exists a domino system  $\mathcal{D}_0$  such that:

For all  $m \geq m_0$  there exists  $\mathbf{w}_m \in \Delta^m$  such that  $\mathcal{D}_0$  can **almost tile** the  $2^m \times 2^m$  grid satisfying initial condition  $\mathbf{w}_m$  (i.e., cannot tile it, but can tile  $N \times N$  for any  $N < 2^m$ ).

and

For all  $m \geq m_0$  there exists  $\mathbf{x}_m \in \Delta^m$  such that  $\mathcal{D}_0$  can tile the  $2^m \times 2^m$  grid satisfying initial condition  $\mathbf{x}_m$ , but **cannot tile it with a repeated row**.

- ② (Cf. Grädel *et al*) There exists a **universal** domino system  $\mathcal{D}_1$  for  $NEXPTIME$ , i.e., such that  $EXPTILE(\mathcal{D}_1)$  is  $NEXPTIME$ -complete.

## Key Construction of our paper

For every domino system  $\mathcal{D} = (\Delta, H, V)$  there exists a finite set  $D$  and a log-space construction

$$\begin{array}{l} \mathbf{w} \in \Delta^+ \\ (|\mathbf{w}| = m) \end{array} \mapsto \left\{ \begin{array}{ll} \Gamma & - \text{constraint language with domain } D \\ R & - \text{relation on } D \text{ of arity } k = O(\log m) \\ \mathbf{a} & - \text{element in } D^k \setminus R \end{array} \right.$$

such that the **smallest** relation expressible from  $\Gamma$  and containing  $R \dots$

- is either  $R$  or  $R \cup \{\mathbf{a}\}$ ;
- is  $R \cup \{\mathbf{a}\} \Leftrightarrow \mathcal{D}$  can tile  $2^m \times 2^m$  with initial condition  $\mathbf{w}$ .

Hence  $R \in \text{EXPR}(\Gamma) \Leftrightarrow \mathcal{D}$  cannot tile  $2^m \times 2^m$  with initial condition  $\mathbf{w}$ .

We also connect properties of tilings ('almost,' 'repeated rows') to possible sizes of witnesses to expressibility. (Theorems 1 & 2 follow.)

## Part 5 - Questions we have not answered

- ① Can non-existence of sub-exponential-sized witnesses be pushed down to smaller domains? To 3-element domains?
- ② Can co-*NEXPTIME*-completeness be pushed down to small domains? To 3-element domains?
- ③ Does there exist a **fixed** constraint language  $\Gamma$  such that deciding membership in  $\text{EXPR}(\Gamma)$ :
  - Requires exponential-sized witnesses?
  - Is co-*NEXPTIME*-complete?

(Our construction  $\mathbf{w} \mapsto (\Gamma, R, \mathbf{a})$  has  $\Gamma$  depending on  $\mathbf{w}$ .)

**Thank you!**