

# Meditation on Isaev's algebra

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All algebras have finite signature.

### Definition

Inherently non-finitely based (INFB)

- A finite algebra **A** is **INFB** if for every  $n$  there exists an infinite, finitely generated model of the  $n$ -variable identities of **A**.

From McNulty and Shallon, “Inherently nonfinitely based algebras” (1982):

### Congruence Modular Problem (1982)

Does there exist a finite **A** belonging to a CM variety which is INFB?

### Isaev's Answer (1989)

Yes (even with an abelian group reduct): “Isaev's algebra”

## Definition (McN, Szekely, W 2008)

Inherently non-finitely based at the finite level (INFB@FL)

- A finite algebra **A** is **INFB@FL** if for every  $n$ , for some  $p > n$  there exist arbitrarily large finite  $p$ -generated models of the  $n$ -variable identities of **A**.

Notes:

- 1 INFB@FL  $\Rightarrow$  INFB.
- 2 **A** INFB@FL  $\Rightarrow$  the pseudovariety generated by **A** is non-finitely based.

## Finite Level Problem

Is every finite INFB algebra also INFB@FL?

Evidence for positive solution to FL problem:

- ① True for semigroups (follows from Sapir 1987)
- ② True for any algebra shown to be INFB by the “shift automorphism method” (McN, Szekeley, W 2008)

Obvious “next” question:

### Isaev Problem

Is Isaev's algebra INFB@FL?

### Isaev Meta-Problem

Does anyone understand Isaev's freakin' algebra?

## (Deep breath ...)

Let  $\mathbf{T} = T_2(\mathbb{Z}_2)$  denote the ring of upper-triangular matrices over  $\mathbb{Z}_2$ .

i.e.,

$$\mathbf{T} = \left\{ \begin{bmatrix} * & * \\ 0 & * \end{bmatrix} : * \in \mathbb{Z}_2 \right\}.$$

The Jacobson radical  $J = J(\mathbf{T})$  is

$$J = \left\{ \begin{bmatrix} 0 & * \\ 0 & 0 \end{bmatrix} : * \in \mathbb{Z}_2 \right\}.$$

We have  $J^2 = \{0\}$  and  $\mathbf{T}/J \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ .

## Finite basis for $\mathbf{T}$

Let  $\mathcal{W}_2$  denote the variety of all rings of characteristic 2.

### Theorem (Polin, 1980)

$HSP(\mathbf{T})$  is the class of rings  $R \in \mathcal{W}_2$  characterized by

- $R/J$  is a Boolean ring (i.e., is in  $HSP(\mathbb{Z}_2)$ ), and
- $J^2 = \{0\}$ ,

where  $J = J(R)$  is the Jacobson radical of  $R$ .

### Corollary

$HSP(\mathbf{T})$  is axiomatized relative to  $\mathcal{W}_2$  by

$$(xy - yx)u(zw - wz) \approx 0$$

$$(xy - yx)u(z^2 - z) \approx 0$$

$$(x^2 - x)u(zw - wz) \approx 0$$

$$(x^2 - x)u(z^2 - z) \approx 0.$$

## Normal forms for $HSP(\mathbf{T})$

Let  $X = \{x_1, x_2, x_3, \dots\}$  be a set of variables.

Given a monomial  $\mathbf{w} = x_{i_1} x_{i_2} \cdots x_{i_k}$  over  $X$ , an occurrence  $x_i x_j$  of two consecutive variables in  $\mathbf{w}$  is **bad** if  $i \geq j$ .

$\mathbf{w}$  is **bad** if it has two disjoint bad occurrences of consecutive variables. Otherwise,  $\mathbf{w}$  is good.

Examples:

- $x_3 x_5 x_2 x_3 x_5$  is good.  $x_3 \boxed{x_5 x_2} x_3 x_5$
- $x_1 x_2 x_1 x_2 x_3 x_4 x_4 x_5$  is bad.  $x_1 \boxed{x_2 x_1} x_2 x_3 \boxed{x_4 x_4} x_5$
- $x_1 x_4 x_3 x_2 x_3$  is good.  $x_1 \boxed{x_4} \boxed{x_3} \boxed{x_2} x_3$
- $x_1 x_4 x_3 x_2 x_2 x_3$  is bad.  $x_1 \boxed{x_4} \boxed{x_3} \boxed{x_2} \boxed{x_2} x_3$

Suppose  $\mathbf{w}$  is bad.

For example,  $\mathbf{w} = Ax_4x_3Bx_1x_1C$ .

Using  $(xy - yx)u(z^2 - z) \approx 0$ , we deduce

$$x_4x_3Bx_1x_1 \approx x_3x_4Bx_1x_1 + x_4x_3Bx_1 + x_3x_4Bx_1,$$

hence

$$Ax_4x_3Bx_1x_1C \approx Ax_3x_4Bx_1x_1C + Ax_4x_3Bx_1C + Ax_3x_4Bx_1C.$$

Any bad monomial can be replaced by a sum of three “less bad” monomials (and ultimately by a sum of good monomials).

### Proposition (McN, W)

The good monomials over  $X$  form a vector space basis for the free algebra on  $X$  in  $HSP(\mathbf{T})$ .



## (Another deep breath ...)

Consider the 2-sorted variety  $\mathcal{W}_2^*$  defined as follows:

A member of  $\mathcal{W}_2^*$  is of the form

$$\mathcal{A} = (\mathbf{A}, \mathbf{U}, \cdot)$$

where

- First sort:  $\mathbf{A} = (A, +, 0)$  is a vector space over  $\mathbb{Z}_2$ .
- Second sort:  $\mathbf{U} = (U, +, \mathbf{0})$  is a vector space over  $\mathbb{Z}_2$ .
- The operation  $\cdot$  is bilinear  $A \times U \rightarrow U$ .

The operation  $\cdot$  encodes an additive homomorphism  $\mathbf{A} \rightarrow \text{End}(\mathbf{U})$   
(via  $a \mapsto L_a$  where  $L_a(u) = a \cdot u$ ).

Recall that  $\mathbf{T}$  is the ring of  $2 \times 2$  upper triangular matrices over  $\mathbb{Z}_2$ .

$\mathbf{T}$  is also a vector space over  $\mathbb{Z}_2$  and acts naturally on  $\mathbb{Z}_2 \times \mathbb{Z}_2$ .

### Definition

$\mathcal{T}$  is the 2-sorted algebra  $(\mathbf{T}, \mathbb{Z}_2 \oplus \mathbb{Z}_2, \cdot) \in \mathcal{W}_2^*$ .

There is a close connection between the equational theories of  $\mathbf{T}$  and  $\mathcal{T}$ .  
In particular,

### Normal forms for $HSP(\mathcal{T})$

The  $(k, \ell)$ -generated free algebra in  $HSP(\mathcal{T})$  with generators  $\{x_1, \dots, x_k\}$  and  $\{u_1, \dots, u_\ell\}$  has the following normal forms:

- $\{x_1, \dots, x_k\} =: X$  is a basis for the first sort.
- The elements “ $\mathbf{w}$ ”  $\cdot u_t$  where  $u_t \in \{u_1, \dots, u_\ell\}$  and  $\mathbf{w}$  is a good monomial over  $X$  form a basis for the second sort.

Here “ $x_{i_1} x_{i_2} \cdots x_{i_n}$ ”  $\cdot u \stackrel{df}{=} x_{i_1} \cdot (x_{i_2} \cdot (\cdots (x_{i_n} \cdot u) \cdots))$ .

## Corollary

*The following identities form an infinite equational basis for  $HSP(\mathcal{T})$  relative to  $\mathcal{W}_2^*$ :*

$$“(xy - yx)v_1 v_2 \cdots v_k(zw - wz)” \cdot \mathbf{u} \approx 0$$

$$“(xy - yx)v_1 v_2 \cdots v_k(z^2 - z)” \cdot \mathbf{u} \approx 0$$

$$“(x^2 - x)v_1 v_2 \cdots v_k(zw - wz)” \cdot \mathbf{u} \approx 0$$

$$“(x^2 - x)v_1 v_2 \cdots v_k(z^2 - z)” \cdot \mathbf{u} \approx 0.$$

## Proof.

These identities suffice for the “reduction to good monomials.” □

## Refinement (McN, W)

Suppose  $n \geq 3$ ,  $\mathcal{A} = (\mathbf{A}, \mathbf{U}, \cdot) \in \mathcal{W}_2^*$ , and  $\text{span}(X) = A$ .

If the above equations with  $k \leq n - 2$  hold true in  $\mathcal{A}$  at instances with  $x, y, z, w, v_1, v_2, \dots, v_k \in \underline{X}$ , then  $\mathcal{A}$  satisfies all the  $(n, n)$ -variable identities of  $\mathcal{T}$ .

This gives a strategy for showing that  $\mathcal{T}$  is INFB.

## Strategy

For every  $n \geq 3$ , show there exists

- a vector space  $\mathbf{U}$  over  $\mathbb{Z}_2$  with nonzero  $\mathbf{u} \in \mathbf{U}$ ;
- a finite set  $\Lambda = \{L_x : x \in X\} \subseteq \text{End}(\mathbf{U})$ ;

such that

- 1 The members of  $\Lambda$  satisfy

$$(L_x L_y - L_y L_x) L_{v_1} \cdots L_{v_k} (L_z L_w - L_w L_z) = 0 \quad (k \leq n-2)$$

$$(L_x L_y - L_y L_x) L_{v_1} \cdots L_{v_k} (L_z^2 - L_z) = 0$$

$$(L_x^2 - L_x) L_{v_1} \cdots L_{v_k} (L_z L_w - L_w L_z) = 0$$

$$(L_x^2 - L_x) L_{v_1} \cdots L_{v_k} (L_z^2 - L_z) = 0;$$

- 2 The orbit of  $\mathbf{u}$  under  $\Lambda$  is infinite.

Then  $(\text{span}(\Lambda), \text{Orbit}_\Lambda(\mathbf{u}), \cdot)$  is an infinite, finitely generated model of the  $(n, n)$ -variable identities of  $\mathcal{T}$ .

We can do that!

Corollary (McN, W)

$\mathcal{T}$  is *INFB*.

An easy modification of our construction gives

Corollary

$\mathcal{T}$  is *INFB@FL*.

# What about Isaev's algebra? ... Deep breath ...

Let  $\mathbf{I}$  be the “1-sortification” of  $\mathcal{T} = (\mathbf{T}, \mathbb{Z}_2 \oplus \mathbb{Z}_2, \cdot)$ . I.e.,

- Universe is  $I = T \times (\mathbb{Z}_2 \oplus \mathbb{Z}_2)$ .
- Operations can be taken to be
  - ▶ addition:  $(x_1, u_1) + (x_2, u_2) := (x_1 + x_2, u_1 + u_2)$ .
  - ▶ multiplication:  $(x_1, u_1)(x_2, u_2) := (0, x_1 \cdot u_2)$ .
  - ▶ decomposition:  $d((x_1, u_1), (x_2, u_2)) := (x_1, u_2)$ .

Because 1-sortification preserves everything<sup>1</sup>,  $\mathbf{I}$  (like  $\mathcal{T}$ ) is INFB@FL.

## Definition

Isaev's algebra is  $\mathbf{I}$  with  $d$  thrown away.

The construction showing that  $\mathcal{T}$  is INFB@FL, translated to  $\mathbf{I}$ , never uses  $d$ . Hence it applies equally to Isaev's algebra. I.e., Isaev's algebra is INFB@FL.

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<sup>1</sup>that makes sense to preserve

# Problems

- 1 Find a “softer” proof that Isaev’s algebra is INFB (or INFB@FL).
- 2 Determine which finite algebras in  $\mathcal{W}_2^*$  are finitely based; INFB; INFB@FL.
- 3 Similarly for  $\mathcal{W}_K^*$  where  $K$  is any finite field.

Mahalo!