

Characterizing $[\alpha, \beta] = 0$ using Kiss terms

Ross Willard

University of Waterloo

PALS, CU Boulder

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Notation

Suppose $\alpha, \beta \in \text{Con } \mathbf{A}$.

1. $A^{2 \times 2} = \left\{ \begin{bmatrix} a & c \\ b & d \end{bmatrix} : a, b, c, d \in A \right\}. \quad \sim A^4$

2. $R(\alpha, \beta)$ denotes the subuniverse of $\mathbf{A}^{2 \times 2}$ consisting of all

$$\begin{array}{ccc} & \beta & \\ a & \text{---} & c \\ \alpha \downarrow & & \downarrow \alpha \\ b & \text{---} & d \\ & \beta & \end{array}$$

Observe:

$$\begin{bmatrix} a & c \\ b & d \end{bmatrix}, \begin{bmatrix} a & c' \\ b & d \end{bmatrix} \in R(\alpha, \beta) \implies (c, c') \in \alpha \cap \beta.$$

More notation

Given $\alpha, \beta \in \text{Con } \mathbf{A}$, let:

$$\text{Const}(\alpha, \beta) := \left\{ \begin{bmatrix} x & x \\ y & y \end{bmatrix} : x \stackrel{\alpha}{\equiv} y \right\} \cup \left\{ \begin{bmatrix} u & v \\ u & v \end{bmatrix} : u \stackrel{\beta}{\equiv} v \right\} \subseteq R(\alpha, \beta)$$

$$\begin{aligned} M(\alpha, \beta) &:= \text{the subalgebra of } \mathbf{A}^{2 \times 2} \text{ generated by } \text{Const}(\alpha, \beta) \\ &= \left\{ \begin{bmatrix} t(\mathbf{x}, \mathbf{u}) & t(\mathbf{x}, \mathbf{v}) \\ t(\mathbf{y}, \mathbf{u}) & t(\mathbf{y}, \mathbf{v}) \end{bmatrix} : t \text{ a term, } x_i \stackrel{\alpha}{\equiv} y_i, u_j \stackrel{\beta}{\equiv} v_j \right\} \subseteq \underline{R(\alpha, \beta)} \\ &\quad (\text{the “}\alpha, \beta\text{-matrices”}) \end{aligned}$$

“ $[\alpha, \beta] = 0$ ” means

$$\boxed{a = c \iff b = d} \text{ for all } \begin{bmatrix} a \stackrel{=}{\underset{\text{red}}{\rightleftharpoons}} c \\ b \stackrel{=}{\underset{\text{red}}{\rightleftharpoons}} d \end{bmatrix} \in M(\alpha, \beta).$$

Difference term varieties

Definition

A term $p(x, y, z)$ is a *difference term* for a variety \mathcal{V} if it satisfies

$$p(x, x, y) \approx y \quad \text{throughout } \mathcal{V} \quad (1)$$

$$p(x, y, y) \stackrel{[\theta, \theta]}{\equiv} x \quad \text{whenever } (x, y) \in \theta \in \mathbf{Con} \mathbf{A} \text{ in } \mathcal{V} \quad (2)$$

\mathcal{V} is a *difference term (DT) variety* if it has a difference term.

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$$p(\overbrace{x, y}^y) \stackrel{[\theta, \theta] = \theta}{\equiv} x \quad \text{whenever } (x, y) \in \theta \in \mathbf{Con} \mathbf{A} \text{ in } \mathcal{V} \quad (2)$$

\mathcal{V} is a *difference term (DT) variety* if it has a difference term.

Examples of DT varieties

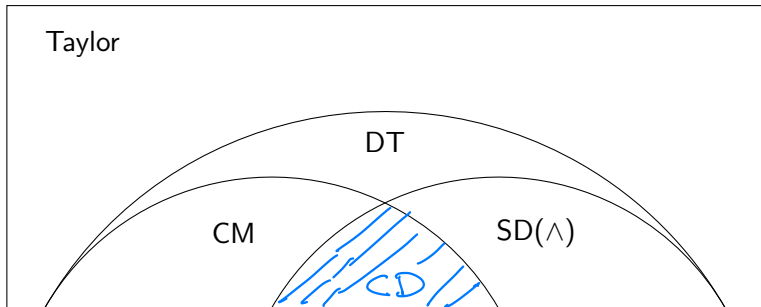
1. Any variety with a Maltsev term.
2. Any CM variety (Herrmann, Gumm).
3. Any $\text{SD}(\wedge)$ variety: they satisfy $[\alpha, \beta] = \alpha \cap \beta$, so
 $p(x, y, z) := z$ is a difference term.

Some facts (Lipparini, Kearnes, Szendrei 1990s)

1. $[\alpha, \beta] = [\beta, \alpha]$ in DT varieties. (In fact, $[\alpha, \beta] = [\alpha, \beta]_\ell$.)
2. $\{\text{DT varieties}\}$ is a Maltsev class $\subsetneq \{\text{Taylor varieties}\}$.

Some facts (Lipparini, Kearnes, Szendrei 1990s)

1. $[\alpha, \beta] = [\beta, \alpha]$ in DT varieties. (In fact, $[\alpha, \beta] = [\alpha, \beta]_{\ell}$.)
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Mantra

If a statement is true for all CM varieties **and** all $\text{SD}(\wedge)$ varieties, then it is probably true for all DT varieties.

Given $\theta \in \text{Con } \mathbf{A}$, let $T(\theta) := \{(a, b, c) : a \stackrel{\theta}{=} b \stackrel{\theta}{=} c\}$.

Theorem (Gumm)

Suppose \mathcal{V} is a CM variety with difference term $p(x, y, z)$. Let $\mathbf{A} \in \mathcal{V}$ and $\theta \in \text{Con } \mathbf{A}$. Then $[\theta, \theta] = 0$ if and only if

(G1) p satisfies “the other Maltsev identity” on each θ -block, i.e.,

$$(a, b) \in \theta \implies p(a, b, b) = a.$$

(G2) p restricted to $T(\theta)$ is a homomorphism $\mathbf{T}(\theta) \rightarrow \mathbf{A}$.

Given $\theta \in \text{Con } \mathbf{A}$, let $T(\theta) := \{(a, b, c) : a \stackrel{\theta}{=} b \stackrel{\theta}{=} c\}$.

Theorem (Gumm) SD(\wedge)

Suppose \mathcal{V} is a ~~CM~~ variety with difference term $p(\overset{z}{x}, y, z)$. Let $\mathbf{A} \in \mathcal{V}$ and $\theta \in \text{Con } \mathbf{A}$. Then $[\underset{\theta}{\theta}, \theta] = 0$ if and only if

(G1) p satisfies “the other Maltsev identity” on each θ -block, i.e.,

$$(a, b) \in \theta \implies p(a, b, \overset{b=a}{b}) = a.$$

(G2) p restricted to $T(\theta)$ is a homomorphism $\mathbf{T}(\theta) \rightarrow \mathbf{A}$. ✓

Fun fact: the theorem is also true in $\text{SD}(\wedge)$ varieties using $p = z$.

So it “should” be true in all DT varieties (and it is: Kearnes 1994).

Definition

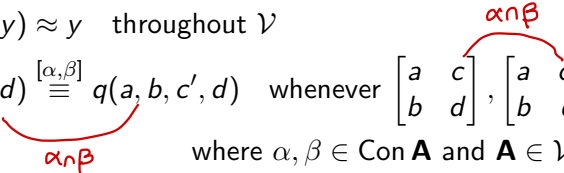
A term $q(x, y, z, w)$ is a *Kiss term* for a variety \mathcal{V} if it satisfies

$$q(x, y, x, y) \approx x \quad \text{throughout } \mathcal{V} \quad (3)$$

$$q(x, x, y, y) \approx y \quad \text{throughout } \mathcal{V} \quad (4)$$

$$q(a, b, c, d) \stackrel{[\alpha, \beta]}{\equiv} q(a, b, c', d) \quad \text{whenever } \begin{bmatrix} a & c \\ b & d \end{bmatrix}, \begin{bmatrix} a & c' \\ b & d \end{bmatrix} \in R(\alpha, \beta) \quad (5)$$

where $\alpha, \beta \in \text{Con } \mathbf{A}$ and $\mathbf{A} \in \mathcal{V}$



Definition

A term $q(x, y, z, w)$ is a *Kiss term* for a variety \mathcal{V} if it satisfies

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$$q(a, b, c, d) \stackrel{[\alpha, \beta]}{\equiv} q(a, b, c', d) \quad \text{whenever } \begin{bmatrix} a & c \\ b & d \end{bmatrix}, \begin{bmatrix} a & c' \\ b & d \end{bmatrix} \in R(\alpha, \beta) \\ \text{where } \alpha, \beta \in \text{Con } \mathbf{A} \text{ and } \mathbf{A} \in \mathcal{V} \quad (5)$$

Examples of varieties having a Kiss term

1. Any CM variety (Kiss).
2. Any $\text{SD}(\wedge)$ variety: $q(x, y, z, w) := z$ is a Kiss term.
3. Any DT variety (Lipparini):

$$q(x, y, z, w) := p(p(x, z, z), p(y, w, z), z).$$

Theorem (Kiss)

Let \mathcal{V} be a CM variety with Kiss term $q(x, y, z, w)$. Suppose $\mathbf{A} \in \mathcal{V}$ and $\alpha, \beta \in \text{Con } \mathbf{A}$. Then $[\alpha, \beta] = 0$ if and only if

(K1) q restricted to $R(\alpha, \beta)$ does not depend on its 3rd variable:

$$\begin{bmatrix} a & c \\ b & d \end{bmatrix}, \begin{bmatrix} a & c' \\ b & d \end{bmatrix} \in R(\alpha, \beta) \implies q(a, b, c, d) = q(a, b, c', d).$$

(K2) q restricted to $R(\alpha, \beta)$ is a homomorphism $\mathbf{R}(\alpha, \beta) \rightarrow \mathbf{A}$.

Theorem (Kiss)

Let \mathcal{V} be a CM variety with Kiss term $q(x, y, z, w)$. Suppose $\mathbf{A} \in \mathcal{V}$ and $\alpha, \beta \in \text{Con } \mathbf{A}$. Then $[\alpha, \beta] = 0$ if and only if

(K1) q restricted to $R(\alpha, \beta)$ does not depend on its 3rd variable:

$$\begin{bmatrix} a & c \\ b & d \end{bmatrix}, \begin{bmatrix} a & c' \\ b & d \end{bmatrix} \in R(\alpha, \beta) \implies q(a, b, \overset{c}{\cancel{c}}, d) = q(a, b, \overset{c'}{\cancel{c'}}, d).$$

(K2) q restricted to $R(\alpha, \beta)$ is a homomorphism $R(\alpha, \beta) \rightarrow \mathbf{A}$. ✓

Observe: the theorem is also true for $\text{SD}(\wedge)$ varieties with $q = z$.

Proof. $q = z \implies \text{(K2) always.}$

RTP: $\alpha \cap \beta = 0 \iff \text{(K1)}$.

(\implies) clear.

(\impliedby) . Assume (K1), $(a, b) \in \alpha \cap \beta$. $\begin{bmatrix} a & a \\ a & a \end{bmatrix}, \begin{bmatrix} a & b \\ a & a \end{bmatrix} \in R(\alpha, \beta)$
 $(\text{K1}) \implies a = b$.

So it "should" also be true for DT varieties.

Theorem (KSW 2016)

Every DT variety \mathcal{V} has a Kiss term q such that in any $\mathbf{A} \in \mathcal{V}$,

$$[\alpha, \beta] = 0 \iff$$

(K1) q restricted to $R(\alpha, \beta)$ does not depend on z , and

(K2) $q : \mathbf{R}(\alpha, \beta) \rightarrow \mathbf{A}$ is a homomorphism.

This theorem was a key step in our proof of Park's Conjecture for DT varieties.

The story gets interesting



Aug 2021: the authors of ALVIN enlist volunteers to help them proofread the forthcoming volumes 2 and 3.

- ▶ Our extension of Kiss's Theorem is in Vol. 3.

Oct 2021: Peter Mayr notices that the ALVIN proof of our theorem is bogus. Alerts Ralph Freese.

- ▶ Ralph and Peter study our published proof of the theorem. They find that our proof is also bogus!

What we want: $[\alpha, \beta] = 0 \iff$

(K1) q restricted to $R(\alpha, \beta)$ does not depend on z

(K2) $q : R(\alpha, \beta) \rightarrow \mathbf{A}$ is a homomorphism.

Easy Lemma

In any algebra with a Kiss term q ,

- ✓ 1. $[\alpha, \beta] = 0 \implies (K1)$.
2. $((K1) \& (K2)) \implies [\alpha, \beta] = 0$.

Pf of 2. Observe

$$q\left(\begin{bmatrix} a & a \\ b & b \end{bmatrix}\right) = q(abab) = a$$

$$q\left(\begin{bmatrix} a & c \\ a & c \end{bmatrix}\right) = q(aaac) = c$$

$\therefore q = 3^{\text{rd}} \text{ proj. on } \text{Const}(\alpha, \beta)$

\swarrow gen's of $M(\alpha, \beta)$

(K2) $\implies q = 3^{\text{rd}} \text{ proj. on } M(\alpha, \beta)$.

Show $[\alpha, \beta] = 0$.

$$\text{Let } \begin{bmatrix} a & a \\ b & d \end{bmatrix} \in M(\alpha, \beta)$$

$$\implies \begin{bmatrix} b & d \\ a & a \end{bmatrix} \in M(\alpha, \beta)$$

$$\begin{bmatrix} b & b \\ a & a \end{bmatrix} \in M(\alpha, \beta)$$

(K1) \implies

$$\begin{array}{ccc} q(bada) & = & q(baba) \\ \parallel & & \parallel \\ d & & b \end{array}$$

$$\implies b = d. \quad \checkmark$$

Hard implication (not yet proved): $[\alpha, \beta] = 0 \implies \text{(K2)}$.

Reduction (\approx Kiss)

Assume \mathbf{A} has a Kiss term and $[\alpha, \beta] = 0$. To prove (K2) , it is enough to prove the existence of $M(\alpha, \beta) \subseteq \Delta \leq \mathbf{R}(\alpha, \beta)$ satisfying

(R1) For all $\begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta$, $a = c \iff b = d$.

(R2) For all $\begin{bmatrix} a & * \\ b & d \end{bmatrix} \in R(\alpha, \beta)$ there exists c with $\begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta$.

Observe:

- ▶ $\Delta := M(\alpha, \beta)$ makes (R1) true.
- ▶ $\Delta := R(\alpha, \beta)$ makes (R2) true.

Why this is enough

Assume q is a Kiss term, $[\alpha, \beta] = 0$, and $M(\alpha, \beta) \subseteq \Delta \leq \mathbf{R}(\alpha, \beta)$ with

$$(R1) \quad a = c \iff b = d \quad \forall \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta$$

$$(R2) \quad \text{For all } \begin{bmatrix} a & * \\ b & d \end{bmatrix} \in R(\alpha, \beta) \text{ there } \exists! \text{ exists } c \text{ with } \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta.$$

Pf. Claim 1. $q(abcd) = c \quad \forall \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta.$

Pf. $\underbrace{\begin{bmatrix} a & c \\ b & d \end{bmatrix}}_{\text{given}}, \underbrace{\begin{bmatrix} b & b \\ b & b \end{bmatrix}, \begin{bmatrix} c & c \\ d & d \end{bmatrix}, \begin{bmatrix} d & b \\ d & b \end{bmatrix}}_{\text{in } M(\alpha, \beta)} \in \Delta.$ Apply q :

$$\begin{bmatrix} q(abcd) & q(cbcb) \\ q(bbdb) & q(dbdb) \end{bmatrix} = \begin{bmatrix} q(abcd) & c \\ d & d \end{bmatrix} \in \Delta \xRightarrow{(R1)} q(abcd) = c.$$

Claim 2. $\begin{bmatrix} a & c \\ b & d \end{bmatrix}, \begin{bmatrix} a & c' \\ b & d \end{bmatrix} \in \Delta \xRightarrow{\subseteq R(\alpha, \beta)} c = c'.$

Pf. Def. of Kiss term \Rightarrow $\begin{matrix} q(abcd) \equiv q(abc'd) \\ \parallel_{c} \qquad \qquad \parallel_{c'} \end{matrix}$ $\begin{matrix} [\alpha, \beta] = 0 \end{matrix}$

Note: strengthens (R2).

$$\Delta \leq R(\alpha, \beta), \text{ and}$$

$$(R2!) \quad \forall \begin{bmatrix} a & * \\ b & d \end{bmatrix} \in R(\alpha, \beta) \quad \exists! c \text{ with } \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta.$$

$$\text{Claim 3. } \forall \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in R(\alpha, \beta),$$

$$q_f(abcd) = \text{the unique } c' \text{ s.t. } \begin{bmatrix} a & c' \\ b & d \end{bmatrix} \in \Delta.$$


Pf. Like pf of Claim 2: let $c' = \text{unique...}$ as in (R2!).

By def.,

$$q_f(abcd) \stackrel{[\alpha, \beta] = 0}{=} q_f(abc'd) \stackrel{c'}{=} \text{by Claim 1.}$$

Claim 3 \Rightarrow $\text{graph}(q_f|_{R(\alpha, \beta)})$ is pp-def.

from $R(\alpha, \beta)$ and Δ .

$\Rightarrow q_f|_{R(\alpha, \beta)}$ is a hom., i.e. (K2) 

Definition (Moorhead, 2021)

Suppose $\alpha, \beta \in \text{Con } \mathbf{A}$. Let $\Delta(\alpha, \beta)$ denote the

"horizontal and vertical transitive closure" of $M(\alpha, \beta)$

i.e., the smallest subset $\Delta \subseteq R(\alpha, \beta)$ satisfying

- ▶ $M(\alpha, \beta) \subseteq \Delta$
- ▶ $\begin{bmatrix} a & x \\ b & y \end{bmatrix}, \begin{bmatrix} x & c \\ y & d \end{bmatrix} \in \Delta \implies \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta.$
- ▶ $\begin{bmatrix} a & c \\ x & y \end{bmatrix}, \begin{bmatrix} x & y \\ b & d \end{bmatrix} \in \Delta \implies \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta.$

$\Delta(\alpha, \beta)$ "is" a congruence on $\underline{\alpha}$ (columns)
" " " $\underline{\beta}$ (rows)

"2-dim. congruence"

$\Delta(\alpha, \beta) =$ “horizontal and vertical transitive closure” of $M(\alpha, \beta)$

Easy facts

1. $\Delta(\alpha, \beta) \leq \mathbf{R}(\alpha, \beta)$ always.
2. In CM varieties, $\Delta(\alpha, \beta) = \Delta_{\alpha, \beta}$.

Pf. $\Delta_{\alpha, \beta} = \Delta^{\alpha, \beta}$ (“vertical trans. closure of $M(\alpha, \beta)$ ”)

3. In DT varieties, $[\alpha, \beta] = 0 \implies \Delta(\alpha, \beta)$ satisfies (R1), i.e., $[\alpha, \beta]_H = 0$.

$$\begin{bmatrix} a & \bar{c} \\ b & \bar{d} \end{bmatrix} \in \Delta(\alpha, \beta)$$

(Because $[\alpha, \beta] = [\alpha, \beta]_H = [\alpha, \beta]_\ell$ in DT varieties.)

Does $\Delta(\alpha, \beta)$ satisfy (R2)??

Theorem (KSW)

In DT varieties, $[\alpha, \beta] = 0 \implies \Delta(\alpha, \beta)$ satisfies (R2), i.e.,

$$\forall \begin{bmatrix} a & * \\ b & d \end{bmatrix} \in R(\alpha, \beta), \quad \exists c \text{ with } \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta(\alpha, \beta).$$

Proof idea

Let $\mathbf{A} = (A, \cdot)$ be a semilattice and $[\alpha, \beta] = 0$, i.e., $\alpha \cap \beta = 0$.

Claim: $\Delta(\alpha, \beta) = R(\alpha, \beta)$.

Pf. let $\begin{bmatrix} a & c \\ b & d \end{bmatrix} \in R(\alpha, \beta)$. $\hookrightarrow = \begin{bmatrix} ac & c \\ ac & c \end{bmatrix} \cdot \begin{bmatrix} c & c \\ d & d \end{bmatrix}$

Can show $\begin{bmatrix} a & ac \\ ab & abc \end{bmatrix} \stackrel{f}{=} \begin{bmatrix} ac & c \\ aed & cd \end{bmatrix} \in M(\alpha, \beta)$.

Claim: $abc = aed$.

\implies

$\begin{bmatrix} a & c \\ ab & cd \end{bmatrix} \in \Delta(\alpha, \beta)$

Pf. $abc = \underline{abc} \stackrel{\alpha}{=} \underline{a} \underline{ed} = aed$

$\underline{abc} \stackrel{\beta}{=} \underline{a} \underline{dc} = aed.$

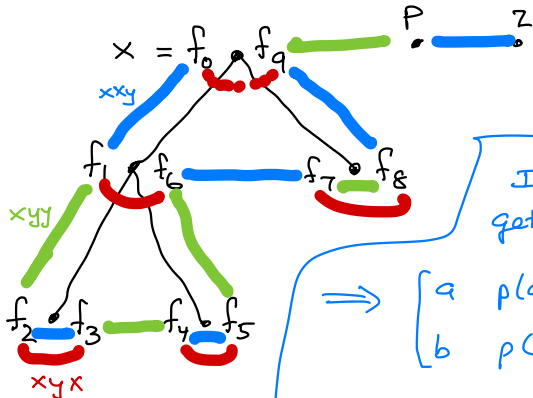
$\begin{bmatrix} \overset{11}{ab} & \overset{11}{cd} \\ b & d \end{bmatrix} \in \Delta(\alpha, \beta)$

Proof idea: General $\text{SD}(\wedge)$ varieties.

Let \mathcal{V} be $\text{SD}(\wedge)$, $\mathbf{A} \in \mathcal{V}$, and $[\alpha, \beta] = 0$, i.e., $\alpha \cap \beta = 0$.

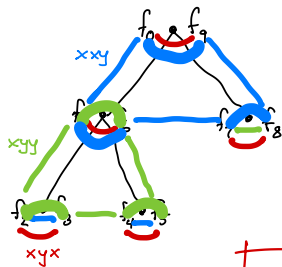
Claim: $\Delta(\alpha, \beta) = R(\alpha, \beta)$. $\begin{bmatrix} a & c \\ b & d \end{bmatrix} \in R(\alpha, \beta) \Rightarrow \dots$

Pf. Use Mal'tsev condition for $\text{SD}(\wedge)$.



In ΔT case,
get $\begin{bmatrix} a & c \\ b & d \end{bmatrix} \in R(\alpha, \beta)$
 $\Rightarrow \begin{bmatrix} a & \text{place} \\ b & \text{p}(bdd) \end{bmatrix} \in \Delta(\alpha, \beta)$.

Use diff term tracks.



— xxy
 — xyy
 — xyx

Given $\begin{bmatrix} a & c \\ b & d \end{bmatrix} \in R(\alpha, \beta)$
 and $\alpha \cap \beta = \emptyset$.
 aim to show

\forall node-pair (f_i, f_j) ,

$$L_{ij} = \begin{bmatrix} f_i(aac) & f_j(aac) \\ f_i(bbd) & f_j(bbd) \end{bmatrix} \in \Delta(\alpha, \beta) \quad \text{and}$$

$$R_{ij} = \begin{bmatrix} f_i(aac) & f_j(aac) \\ f_i(bbd) & f_j(bbd) \end{bmatrix} \in \Delta(\alpha, \beta).$$

At leaves,

$$L_{23}, L_{45}, R_{78} \in \Delta(\alpha, \beta)$$

Hard part: show

$$L_{ij} \in \Delta(\alpha, \beta)$$

\Downarrow

$$R_{ij} \in \Delta(\alpha, \beta)$$

$$L_{09} = \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in \Delta(\alpha, \beta).$$

Putting everything together, we have proved:

Corollary (KSW)

Kiss's characterization of $[\alpha, \beta] = 0$ extends to DT varieties.



Related results

Theorem (KSW)

Suppose q is a Kiss term for \mathcal{V} . For any $\mathbf{A} \in \mathcal{V}$ and $\alpha, \beta \in \text{Con } \mathbf{A}$,

$$1. \Delta(\alpha, \beta) = \left\{ \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in R(\alpha, \beta) : q(a, b, c, d) \stackrel{[\alpha, \beta]}{\equiv} c \right\}.$$

$$2. \begin{bmatrix} a & c \\ b & d \end{bmatrix} \in R(\alpha, \beta) \implies \begin{bmatrix} a & q(abcd) \\ b & d \end{bmatrix} \in \Delta(\alpha, \beta).$$

Final comment

Kiss's proof that $\Delta_{\alpha,\beta}$ satisfies (R2) in CM varieties was high-level, using the modular law in $\text{Con } \alpha$ and properties of the commutator deducible from a difference term.

Our proof that $\Delta(\alpha, \beta)$ satisfies (R2) in DT varieties is syntactic, using the Maltsev condition for DT varieties.

Question

Are there properties of congruences (or perhaps of 2-dimensional congruences) in DT varieties that could lead to a nicer proof?

References

E. Kiss, *Three remarks on the modular commutator*, AU **29** (1992), 455–476.

K. Kearnes, Á. Szendrei and R. Willard, *A finite basis theorem for difference-term varieties with a finite residual bound*, TAMS **368** (2016), 2115–2143.

_____, “Characterizing $[\alpha, \beta] = 0$ in varieties with a difference term,” arXiv:2112.00715.

Thank you!

