

# 1 Isogenies between Elliptic Curves and the Tate Module

## 1.1 The Tate Module

**1.1 Definition.** Let  $E$  be an elliptic curve and  $\ell$  a prime. The ( $\ell$ -adic) Tate module of  $E$  is the inverse limit of  $E[\ell^n]$ , where the inverse limit is taken with respect to the natural maps

$$E[\ell^{n+1}] \rightarrow E[\ell^n].$$

The Tate module of  $E$  is denoted by  $T_\ell(E)$ .

Since each  $E[\ell^n]$  is a  $\mathbb{Z}/\ell^n\mathbb{Z}$ -module, and  $\mathbb{Z}_\ell$  is the inverse limit of  $\mathbb{Z}/\ell^n\mathbb{Z}$ , we see that the Tate module has a natural structure as a  $\mathbb{Z}_\ell$ -module,

**1.2 Proposition.** *Let  $E$  be an elliptic curve. Then, as a  $\mathbb{Z}_\ell$ -module, the Tate module of  $E$  has the following structure:*

- (i) if  $\ell \neq \text{char}(\mathbb{k})$ , then  $T_\ell(E) \cong \mathbb{Z}_\ell \times \mathbb{Z}_\ell$ ;
- (ii) if  $\ell = \text{char}(\mathbb{k}) > 0$ , then  $T_\ell(E) \cong \{0\}$  or  $T_\ell(E) \cong \mathbb{Z}_\ell$ .

PROOF: This follows immediately from Corollary III.6.4 (c) in Silverman.  $\square$

The preceding proposition shows that as a  $\mathbb{Z}_\ell$ -module,  $T_\ell(E)$  is free of degree at most 4. We will use the Tate module to show that the same thing is true of  $\text{Hom}(E_1, E_2)$  as a  $\mathbb{Z}$ -module for any pair of elliptic curves  $E_1$  and  $E_2$ . The important idea here is that isogenies of elliptic curves can be extended to homomorphisms of their Tate modules. Indeed, let  $\varphi : E_1 \rightarrow E_2$  be an isogeny of elliptic curves. We know from our work on isogenies that  $\varphi$  induces natural maps from  $E_1[\ell^n] \rightarrow E_2[\ell^n]$  for all primes  $\ell \in \mathbb{Z}$ , and these in turn induce a  $\mathbb{Z}_\ell$ -linear map  $\varphi_\ell : T_\ell(E_1) \rightarrow T_\ell(E_2)$ . Therefore, we obtain a homomorphism from  $\text{Hom}(E_1, E_2)$  to  $\text{Hom}(T_\ell(E_1), T_\ell(E_2))$ . By Proposition 1.2, both  $T_\ell(E_1)$  and  $T_\ell(E_2)$  are free  $\mathbb{Z}_\ell$ -modules of rank at most 2. Hence  $\text{Hom}(T_\ell(E_1), T_\ell(E_2))$  is a free  $\mathbb{Z}_\ell$ -module of rank at most 4. We would like to use this fact to conclude that  $\text{Hom}(E_1, E_2)$  is a free  $\mathbb{Z}$ -module of rank at most 4, but this will require more work than it seems it should.

**1.3 Proposition.** *Let  $E_1$  and  $E_2$  be elliptic curves. Then the degree map  $\text{deg} : \text{Hom}(E_1, E_2) \rightarrow \mathbb{Z}$  is a positive-definite quadratic form.*

PROOF: We only need to show that the pairing  $\langle \cdot, \cdot \rangle$  given by

$$\langle \varphi, \psi \rangle = \text{deg}(\varphi + \psi) - \text{deg}(\varphi) - \text{deg}(\psi)$$

is bilinear. This is clear, as

$$\begin{aligned} [ \langle \varphi, \psi \rangle ] &= [ \text{deg}(\varphi + \psi) ] - [ \text{deg}(\varphi) ] - [ \text{deg}(\psi) ] \\ &= (\widehat{\varphi + \psi}) \circ (\varphi + \psi) - \widehat{\varphi} \circ \varphi - \widehat{\psi} \circ \psi \\ &= \widehat{\varphi} \circ \psi + \widehat{\psi} \circ \varphi. \end{aligned} \quad \square$$

By Proposition III.4.2 (b) of Silverman, we know that  $\text{Hom}(E_1, E_2)$  is torsion-free. Therefore,  $\text{Hom}(E_1, E_2)$  naturally injects into  $\text{Hom}(E_1, E_2) \otimes \mathbb{Q}$ . If  $M$  is a submodule of  $\text{Hom}(E_1, E_2)$ , we define

$$M^{\text{div}} = (M \otimes \mathbb{Q}) \cap \text{Hom}(E_1, E_2),$$

or equivalently

$$M^{\text{div}} = \{\varphi \in \text{Hom}(E_1, E_2) : n \cdot \varphi = [n] \circ \varphi \in M \text{ for some } n \in \mathbb{N}\}.$$

**1.4 Proposition.** *Let  $E_1$  and  $E_2$  be elliptic curves. Then if  $M$  is a finitely generated submodule of  $\text{Hom}(E_1, E_2)$ , then  $M^{\text{div}}$  is also finitely generated.*

PROOF: We will view  $M^{\text{div}}$  as a subgroup of  $M \otimes \mathbb{R}$ . We will show it is a discrete subgroup, which implies that it is finitely generated. Since the degree map is a quadratic form on  $\text{Hom}(E_1, E_2)$ , it is a quadratic form on  $M$ . Since  $M$  is finitely generated, we can think of it being given by a quadratic polynomial in the coefficients of each generator. Therefore, it extends naturally to a quadratic form on  $M \otimes \mathbb{R}$ , which is automatically continuous. Hence

$$U = \{\varphi \in M \otimes \mathbb{R} : \deg(\varphi \otimes x) < 1\}$$

is a neighbourhood of the origin in  $M \otimes \mathbb{R}$ , but it clearly only intersects  $M^{\text{div}}$  at 0, so  $M^{\text{div}}$  must be a discrete subgroup of  $M \otimes \mathbb{R}$ .  $\square$

**1.5 Theorem.** *Let  $E_1$  and  $E_2$  be elliptic curves, and let  $\ell$  be a prime distinct from  $\text{char}(\mathbb{k})$ . Then the map*

$$\text{Hom}(E_1, E_2) \otimes \mathbb{Z}_\ell \rightarrow \text{Hom}(T_\ell(E_1), T_\ell(E_2))$$

*given by  $\varphi \otimes a \mapsto a \cdot \varphi_\ell$  is injective.*

PROOF: Suppose that

$$\varphi = a_1(\varphi_1)_\ell + \cdots + a_k(\varphi_k)_\ell = 0,$$

where  $a_1, \dots, a_k \in \mathbb{Z}_\ell$  and  $\varphi_1, \dots, \varphi_k \in \text{Hom}(E_1, E_2)$ . Let  $M$  be the submodule of  $\text{Hom}(E_1, E_2)$  generated by  $\varphi_1, \dots, \varphi_k$ . By Proposition 1.4,  $M^{\text{div}}$  is finitely generated, and hence free. Let  $\psi_1, \dots, \psi_m$  be a basis for  $M^{\text{div}}$ . Then there exist  $b_1, \dots, b_m \in \mathbb{Z}_\ell$  such that

$$\varphi = b_1(\psi_1)_\ell + \cdots + b_m(\psi_m)_\ell = 0.$$

We will show that each  $b_i$  is zero by showing that its  $\mathbb{Z}/\ell^n\mathbb{Z}$  part is zero for each  $n$ . If  $n \in \mathbb{N}$ , there is a  $c_i \in \mathbb{Z}$  such that  $b_i \equiv c_i \pmod{\ell^n}$ . Hence,

$$\psi = c_1(\psi_1)_\ell + \cdots + c_m(\psi_m)_\ell$$

agrees with

$$\varphi = b_1(\psi_1)_\ell + \cdots + b_m(\psi_m)_\ell$$

up to the level of  $\ell^n$ . Since  $\varphi$  acts as 0 on  $T_\ell(E_1)$ ,  $\psi$  acts as 0 on  $E_1[\ell^n]$ . Since  $\ell \neq \text{char}(\mathbb{k})$ ,  $[\ell^n]$  is a separable morphism, and since its kernel is contained in the kernel of  $\psi$ , we can factor  $\psi$  as  $[\ell^n] \circ \psi'$ . Since  $\psi \in M^{\text{div}}$ ,  $\psi' \in M^{\text{div}}$  also, so

$$\psi' = d_1(\psi_1)_\ell + \cdots + d_m(\psi_m)_\ell$$

for some  $d_1, \dots, d_m \in \mathbb{Z}$ . But the  $\psi_i$  are a basis for  $M^{\text{div}}$ , so  $c_i = d_i \ell^n$ . Hence  $b_i \equiv 0 \pmod{\ell^n}$ . Since  $n \in \mathbb{N}$  was chosen arbitrarily, the  $b_i$  are 0 in  $\mathbb{Z}_\ell$ , so  $\varphi = 0$  as desired.  $\square$

**1.6 Corollary.** *Let  $E_1$  and  $E_2$  be elliptic curves. Then  $\text{Hom}(E_1, E_2)$  is a free  $\mathbb{Z}$ -module of rank at most 4.*

PROOF: Let  $\ell$  be a prime distinct from  $\text{char}(\mathbb{k})$ . By Theorem 1.5 and Proposition 1.2,  $\text{Hom}(E_1, E_2) \otimes \mathbb{Z}_\ell$  is free of rank at most 4, so

$$\text{Hom}(E_1, E_2) \otimes \mathbb{Q}_\ell \cong (\text{Hom}(E_1, E_2) \otimes \mathbb{Z}_\ell) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$$

is as well. Since  $\text{Hom}(E_1, E_2) \otimes \mathbb{Q}$  is a vector space, it is automatically free, and it has rank at most 4, since

$$\text{Hom}(E_1, E_2) \otimes \mathbb{Q}_\ell \cong (\text{Hom}(E_1, E_2) \otimes \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell.$$

We may choose generators for  $\text{Hom}(E_1, E_2) \otimes \mathbb{Q}$  that lie in  $\text{Hom}(E_1, E_2)$  by clearing denominators, and these generators will generate a finitely generated submodule  $M$  of  $\text{Hom}(E_1, E_2)$ . Since they generate all of  $\text{Hom}(E_1, E_2) \otimes \mathbb{Q}$  over  $\mathbb{Q}$ ,

$$\begin{aligned} M^{\text{div}} &= (M \otimes \mathbb{Q}) \cap \text{Hom}(E_1, E_2) \\ &\cong (\text{Hom}(E_1, E_2) \otimes \mathbb{Q}) \cap \text{Hom}(E_1, E_2) \\ &\cong \text{Hom}(E_1, E_2), \end{aligned}$$

so by Proposition 1.4, we know that  $\text{Hom}(E_1, E_2)$  is finitely generated. Since  $\text{Hom}(E_1, E_2)$  is torsion-free, this implies that it is free. Therefore, by Theorem 1.5 and Proposition 1.2, the rank of  $\text{Hom}(E_1, E_2)$  is at most 4.  $\square$