# Truncated Toeplitz Operators - what do they look like?

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Banach Algebras 2011, University of Waterloo, Canada

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• It is not too difficult, (and classical) to see that any isometry T on a Hilbert space  $\mathcal{H}$  such that  $\bigcap_{n\geq 0} T^n(\mathcal{H}) = \{0\}$  (i.e. pure isometry) is unitarily equivalent to a shift on  $\ell^2(\mathbb{N}, (T\mathcal{H})^\perp)$  (one sees that  $W(k_0, k_1, \cdots) = \sum_{n=0}^{\infty} T^n k_n$  is a unitary operator implementing this equivalence).

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- And, in fact, every isometry is (uniquely) the direct sum of a pure isometry and a unitary operator(see Fillmore AMM1974).



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- A Toeplitz operator is an operator on  $\ell^2(\mathbb{N})$  whose matrix in the canonical basis is of the form:

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(2)

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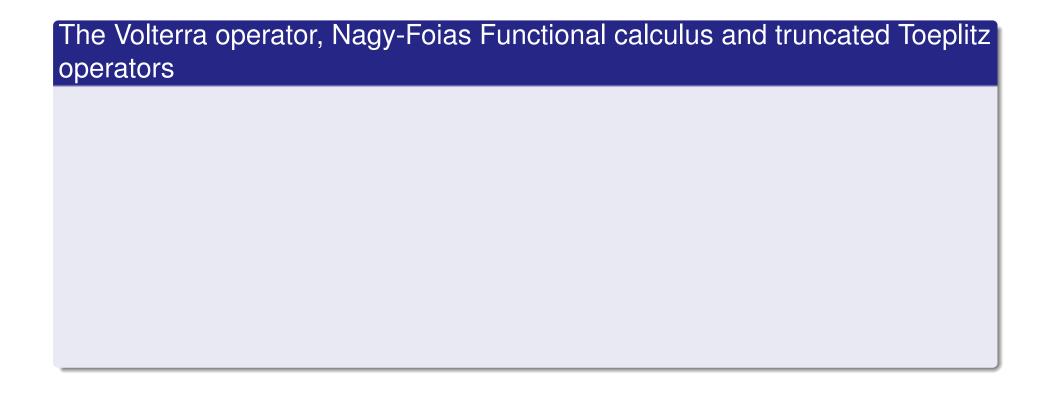
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- A truncated Toeplitz operator is an operator on  $K_{\theta}$  defined by  $A_{t}^{\theta}(g) = P^{K_{\theta}}(fg)$ .





# The Volterra operator, Nagy-Foias Functional calculus and truncated Toeplitz operators

• In 1965 Sarason showed that if the VOLTERRA operator  $V: L^2[0,1] \to L^2[0,1]$  is defined by  $Vf(x) = \int_0^x f(t)dt$  then  $(V+1)^{-1}$  is unitarily equivalent to  $\frac{1}{2}A_z^\theta + 1$  on  $K_\theta$  where  $\theta = e^{\frac{Z+1}{Z-1}}$  This can be used to show the unicellularity of the Volterra operator, or to prove the Titchmarsh convolution theorem.

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- Around the same time Nagy and Foias used the operator  $A_z^{\theta}$  in their functional calculus. In fact, contractions with defect number one and their commutants are unitarily equivalent to truncated Toeplitz operators.

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But, putting in more points makes a difference!

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- However, the best to be hoped for is that every *complex symmetric matrix* or operator be unitarily equivalent to a truncated Toeplitz.

Definitions  Examples
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- And truncated Toeplitz operators on  $K_{\theta}$  use the conjugation  $C_{\theta}(f) = \overline{fz}\theta$ .
- Examples of complex symmetric operators include not only these, but also all operators of rank one, all  $2 \times 2$  matrices (but not at all the  $3 \times 3$ ) all normal matrices, all inflations of Toeplitz matrices T (an inflation of T is  $T \otimes I_n$  with  $n \in \mathbb{N} \cup \{\infty\}$ )

- If  $\mathcal{H}$  is a complex Hilbert space, an operator  $C: \mathcal{H} \to \mathcal{H}$  is said to be a conjugation if and only if C is an isometry with  $C^2 = I$ .
- An operator  $T: \mathcal{H} \to \mathcal{H}$  is said to be complex symmetric if and only if there exists a conjugation C such that  $T = CT^*C$ .

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- Which leads us to the question of whether inflations of Toeplitz matrices are unitarily equivalent to a truncated Toeplitz. (Asked by CGRW).

• We recall that the tensor product of two Hilbert spaces X and X' is defined by setting

$$< x \otimes x', y \otimes y' > = < x, y > < x', y' >$$

for  $x, y \in X$ ;  $x', y' \in X'$  to obtain an inner product on the algebraic tensor product and then completing.

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• We also recall, that, if  $(e_i)$  is a basis for X and  $(e'_i)$  a basis for X' then  $(e_i \otimes e'_j)$  is a basis for  $X \otimes X'$  and that, if  $\dim(X) = n$ , then we can think of  $X \otimes X'$  as n copies of X.

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- If  $T \in \mathcal{L}(X)$  and  $S \in \mathcal{L}(X')$  then  $(T \otimes S)(x \otimes x') = (Tx \otimes Sx')$  and, if  $T = [a_{ij}]$  then we can think of  $T \otimes S$  as  $[a_{ij}S]$ .

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- This means that the inflation  $T \otimes I_n$  can be written

$$\begin{pmatrix}
T & 0 & 0 & \cdots \\
0 & T & 0 & \cdots \\
0 & 0 & \cdots & \cdots
\end{pmatrix}$$

Proposition: Suppose B is an inner function. Then the formula

$$h\otimes f\mapsto h(f\circ B) \tag{3}$$

defined for  $h \in K_B$ ,  $f \in L^{\infty}$ , can be extended linearly to a unitary operator  $\Omega_B$  from  $K_B \otimes L^2$  onto  $L^2$ . The operator  $\Omega_B$  maps  $K_B \otimes H^2$  onto  $H^2$ .

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$$\Omega_B(K_B\otimes \Theta H^2)=(\Theta\circ B)H^2, \qquad \Omega_B(K_B\otimes K_\Theta)=K_{\Theta\circ B}.$$

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• Suppose  $B, \Theta$  are inner functions,  $\psi, \phi \in L^2$ , and the operators  $A^B_{\bar{B}^j\psi}$  are nonzero only for a finite number of  $j \in \mathbb{Z}$ . Then

$$A_{\psi(\phi\circ B)}^{\Theta\circ B}\omega_B = \omega_B\left(\sum_j (A_{ar{B}^j\psi}^B\otimes A_{z^j\phi}^\Theta)\right).$$
 (4)

#### Consequences

Inflations			

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- If  $\Theta$  and B are inner functions,  $\phi \in L^2$  such that  $A_{\phi}^{\Theta}$  is bounded and dim  $K_B = k$  then  $A_{\phi \circ B}^{\Theta \circ B}$  is unitarily equivalent to  $I_k \otimes A_{\phi}^{\Theta}$ .

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Suppose  $\Theta$  an inner function and  $\phi \in L^2$ , such that  $A_{\phi}^{\Theta}$  is bounded. If  $B_1, B_2$  are two inner functions with dim  $K_{B_1} = \dim K_{B_2}$ , then  $A_{\phi \circ B_1}^{\Theta \circ B_1}$  and  $A_{\phi \circ B_2}^{\Theta \circ B_2}$  are bounded and unitarily equivalent.

# Nice looking block Toeplitz

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# Example 1

• If  $\theta$  is any inner function and  $\phi_0, \phi_1, \dots, \phi_n$  are chosen so that the appropriate operators are bounded then

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## Example 1

• If  $\theta$  is any inner function and  $\phi_0, \phi_1, \dots, \phi_n$  are chosen so that the appropriate operators are bounded then

$$\begin{pmatrix} A_{\phi_0}^{\Theta} & A_{z\phi_{n-1}}^{\Theta} & \dots & A_{z\phi_1}^{\Theta} \\ A_{\phi_1}^{\Theta} & A_{\phi_0}^{\Theta} & \dots & A_{z\phi_2}^{\Theta} \\ \dots & \dots & \dots & \dots \\ A_{\phi_{n-1}}^{\Theta} & A_{\phi_{n-2}}^{\Theta} & \dots & A_{\phi_0}^{\Theta} \end{pmatrix}$$

is unitarily equivalent to  $A_{\sum_{m=0}^{n-1} z^m(\phi_m(z^n))}^{\theta \circ B}$ 

# Example 2

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is unitarily equivalent to  $A^{B^n}_{\sum_{m=-n}^{n-1} \psi_m(z)B^m}$ .

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$$\begin{pmatrix} a_0 & a_{-1} & \cdots & a_{-(n-1)} & 0 & 0 & 0 & 0 & \cdots & \cdots \\ \vdots & \ddots & \ddots & \vdots & 0 & 0 & 0 & 0 & \cdots & \cdots \\ a_{n-1} & a_{n-2} & \cdots & a_0 & 0 & 0 & 0 & 0 & \cdots & \cdots \\ 0 & 0 & \cdots & 0 & a_0 & a_{-1} & \cdots & a_{-(n-1)} & 0 & \cdots \\ 0 & 0 & \cdots & 0 & a_1 & a_0 & \cdots & a_{-(n-2)} & 0 & \cdots \\ \vdots & \vdots \end{pmatrix}$$

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a_{n-1} & a_{n-2} & \cdots & a_0 & 0 & 0 & 0 & 0 & \cdots & \cdots \\
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\end{pmatrix}$$

and rearranging it into a Toeplitz matrix:

by making the basis change

$$(e_{11}, e_{12}, \cdots, e_{1n}, e_{21}, \cdots, e_{mn}) \rightarrow (e_{11}, e_{21}, \cdots, e_{n1}, e_{12}, e_{22}, \cdots, e_{mn})$$

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 $(e_{11},e_{12},\cdots,e_{1n},e_{21},\cdots,e_{mn}) \rightarrow (e_{11},e_{21},\cdots,e_{n1},e_{12},e_{22},\cdots,e_{mn})$  which, since  $e_{ij}=z^{i-1}\otimes z^{j-1}$ , is indeed done by the map  $\omega_{z^n}$  that sends  $z^i\otimes z^j$  to  $z^i(z^j\circ z^n)$ 

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