

# Multi-norms, duality, and the injectivity of $L^p(G)$

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20th International Conference on Banach  
Algebras

Waterloo, 5 August, 2011

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## References

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**DDPR2** : H. G. Dales, M. Daws, H. L. Pham, and P. Ramsden, *Equivalence of multi-norms*, in preparation.

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## Amenable Banach algebras

Let  $A$  be a Banach algebra, let  $E$  be a Banach  $A$ -bimodule, and let  $E'$  be the dual Banach  $A$ -bimodule.

**Definition - Johnson** A Banach algebra  $A$  is **amenable** if every continuous derivation

$$D : A \rightarrow E'$$

is inner for every Banach  $A$ -bimodule  $E$ .

Let  $L^1(G)$  be the group algebra of a locally compact group  $G$ . Then of course:

**Theorem - B. E. Johnson, 1972** The Banach algebra  $L^1(G)$  is amenable if and only if the locally compact group  $G$  is amenable.  $\square$

## Injectivity of modules

Let  $A$  be an algebra, and let  $E$  and  $F$  be left  $A$ -modules. A linear map  $T : E \rightarrow F$  is an  **$A$ -module morphism** if  $T(a \cdot x) = a \cdot Tx$  for all  $a \in A$  and  $x \in E$ .

Let  $A$  be a Banach algebra, and let  $E$  and  $F$  be Banach left  $A$ -modules. The space of bounded linear maps which are  $A$ -module morphisms is denoted by  ${}_A\mathcal{B}(E, F)$ . Such a morphism is **admissible** if there is a bounded linear map  $S : F \rightarrow E$  with  $S \circ T = I_E$ , and a **co-retraction** if there is such an  $S$  in  ${}_A\mathcal{B}(F, E)$ .

Let  $A$  be a Banach algebra, and let  $J$  be a Banach left  $A$ -module. Then  $J$  is **injective**, if, for each Banach left  $A$ -modules  $E$  and  $F$ , for each admissible homomorphism  $T \in {}_A\mathcal{B}(E, F)$ , and each  $S \in {}_A\mathcal{B}(E, J)$ , there exists  $R \in {}_A\mathcal{B}(F, J)$  with  $R \circ T = S$ .

## A condition for injectivity

Let  $A$  be a Banach algebra, and let  $E$  be a Banach space. For  $T \in \mathcal{B}(A, E)$  and  $a \in A$ , set

$$(a \cdot T)(b) = T(ba) \quad (b \in A).$$

Then  $\mathcal{B}(A, E)$  is also a Banach left  $A$ -module. The **canonical embedding**  $\Pi : E \rightarrow \mathcal{B}(A, E)$  is

$$\Pi(x)(a) = a \cdot x \quad (a \in A, x \in E).$$

When  $E$  is a left  $A$ -module,  $\Pi \in {}_A\mathcal{B}(E, \mathcal{B}(A, E))$ .

**Theorem** Let  $A$  be a Banach algebra, and let  $J$  be a Banach left  $A$ -module. Then  $J$  is injective if and only if  $\Pi \in {}_A\mathcal{B}(J, \mathcal{B}(A, J))$  is a coretraction.  $\square$

So we need an  $A$ -module homomorphism  $\rho : \mathcal{B}(A, J) \rightarrow J$  such that  $\rho \circ \Pi = I_J$ .

The injective (and projectivity) of Banach left  $L^1(G)$ -modules were studied in [**DP1**].

For example,  $L^1(G)$  itself is injective iff  $G$  is discrete and amenable.

## Helemski's theorem

**Theorem - Helemski, 1984** Let  $A$  be an amenable Banach algebra. Then  $E'$  is injective for each Banach right  $A$ -module  $E$ .  $\square$

Let  $G$  be a locally compact group. For  $p \geq 1$ ,

$$L^p(G) = \left\{ f : \|f\|_p = \left( \int_G |f(s)|^p \, dm(s) \right)^{1/p} < \infty \right\}.$$

Now  $f \star g \in L^p(G)$  when  $f \in L^1(G)$  and  $g \in L^p(G)$ , and  $L^p(G)$  is a Banach left  $L^1(G)$ -module; for  $p > 1$ , it is the dual of the Banach right  $L^1(G)$ -module  $L^q(G)$ , where  $q = p'$

**Corollary** Let  $G$  be an amenable group. Then  $L^p(G)$  is an injective Banach left  $L^1(G)$ -module for each  $p > 1$ .  $\square$

The following **conjecture** was raised in [DP1]: Suppose that  $L^p(G)$  is an injective Banach left  $L^1(G)$ -module for some or each  $p > 1$ . Then  $G$  is amenable.

## Basic definitions

Let  $(E, \|\cdot\|)$  be a normed space.

**Definition** A **multi-norm** on  $\{E^n : n \in \mathbb{N}\}$  is a sequence  $(\|\cdot\|_n)$  such that each  $\|\cdot\|_n$  is a norm on  $E^n$ , such that  $\|x\|_1 = \|x\|$  for each  $x \in E$ , and such that the following hold for all  $n \in \mathbb{N}$  and all  $x_1, \dots, x_n \in E$ :

(A1)  $\|(x_{\sigma(1)}, \dots, x_{\sigma(n)})\|_n = \|(x_1, \dots, x_n)\|_n$   
for each permutation  $\sigma$  of  $\{1, \dots, n\}$ ;

(A2)  $\|(\alpha_1 x_1, \dots, \alpha_n x_n)\|_n$   
 $\leq (\max_{i \in \mathbb{N}_n} |\alpha_i|) \|(x_1, \dots, x_n)\|_n$

for each  $\alpha_1, \dots, \alpha_n \in \mathbb{C}$ ;

(A3)  $\|(x_1, \dots, x_n, 0)\|_{n+1} = \|(x_1, \dots, x_n)\|_n$ ;

(A4)  $\|(x_1, \dots, x_n, x_n)\|_{n+1} = \|(x_1, \dots, x_n)\|_n$ .

## Dual multi-norms

We get a **dual multi-norm** if we replace (A4) by (B4):

(B4) for each  $x_1, \dots, x_n \in E$ , we have

$$\|(x_1, \dots, x_n, x_n)\|_{n+1} = \|(x_1, \dots, 2x_n)\|_n .$$

The dual of a multi-norm is a dual multi-norm, and the dual of a dual multi-norm is a multi-norm.

There is a more general notion of a ‘special-norm’ that also encompasses the ‘sequential norms’ of Lambert, Neufang, and Runde; there are connections with operator spaces.

## Minimum and maximum multi-norms

Let  $(\|\cdot\|_n : n \in \mathbb{N})$  be a multi-norm based on a Banach space  $E$ . Then

$$\max \|x_i\| \leq \|(x_1, \dots, x_n)\|_n \leq \sum_{i=1}^n \|x_i\| \quad (*)$$

for all  $x_1, \dots, x_n \in E$  and  $n \in \mathbb{N}$ .

**Example 1** Set  $\|(x_1, \dots, x_n)\|_n^{\min} = \max \|x_i\|$ . This gives the **minimum** multi-norm.

**Example 2** It follows from  $(*)$  that there is also a **maximum** multi-norm, which we call  $(\|\cdot\|_n^{\max} : n \in \mathbb{N})$ .

Note that it is **not** true that  $\sum_{i=1}^n \|x_i\|$  gives the maximum multi-norm — because it is not a multi-norm. In fact it gives a dual multi-norm.

## A characterization

This is taken from [DDPR1]. It gives a ‘coordinate-free’ characterization.

Let  $(E, \|\cdot\|)$  be a normed space. Then a  **$c_0$ -norm** on  $c_0 \otimes E$  is a norm  $\|\cdot\|$  such that:

- 1)  $\|a \otimes x\| \leq \|a\| \|x\|$  ( $a \in c_0, x \in E$ );
- 2)  $T \otimes I_E$  is bounded on  $(c_0 \otimes E, \|\cdot\|)$  with  $\|T \otimes I_E\| = \|T\|$  whenever  $T$  is a compact operator on  $c_0$ ;
- 3)  $\|\delta_1 \otimes x\| = \|x\|$  ( $x \in E$ );

**Theorem** Multi-norms on  $\{E^n : n \in \mathbb{N}\}$  correspond to  $c_0$ -norms on  $c_0 \otimes E$ . The injective tensor product norm gives the minimum multi-norm, and the projective tensor product norm gives the maximum multi-norm □

## An associated sequence

Let  $(\|\cdot\|_n)$  be a multi-norm on  $\{E^n : n \in \mathbb{N}\}$ .

Define

$$\varphi_n(E) = \sup\{\|(x_1, \dots, x_n)\|_n : \|x_i\| \leq 1\}.$$

Trivially,  $1 \leq \varphi_n(E) \leq n$  for all  $n \in \mathbb{N}$  and

$$\varphi_{m+n}(E) \leq \varphi_m(E) + \varphi_n(E)$$

for all  $m, n \in \mathbb{N}$ . The sequence  $(\varphi_n(E))$  is the **rate-of-growth** of the multi-norm.

In particular  $(\varphi_n^{\max}(E))$  is the sequence associated with the maximum multi-norm.

It can be shown quite easily that  $\varphi_n^{\max}(E)$  is

$$\sup \left\{ \sum_{j=1}^n \|\lambda_j\| \right\},$$

where  $\lambda_1, \dots, \lambda_n \in E'$  and

$$\sum_{j=1}^n |\langle x, \lambda_j \rangle| \leq 1 \quad (x \in E_{[1]}).$$

## Special spaces

Take  $p$  with  $1 \leq p \leq \infty$ . Direct calculations of  $\varphi_n^{\max}(\ell^p)$  using Banach–Mazur distance give:

**Theorem** (i) For each  $p \in [1, 2]$ , we have

$$\varphi_n^{\max}(\ell_n^p) = \varphi_n^{\max}(\ell^p) = n^{1/p} \quad (n \in \mathbb{N}).$$

(ii) For each  $p \in [2, \infty]$ , there is a constant  $C_p$  such that

$$\sqrt{n} \leq \varphi_n^{\max}(\ell_n^p) = \varphi_n^{\max}(\ell^p) \leq C_p \sqrt{n} \quad (n \in \mathbb{N}).$$

□

We have  $C_2 = 1$  and  $C_1 \leq \sqrt{2}$ , but otherwise I do not know the best constant  $C_p$  in the above inequality.

From Dvoretzky's theorem, we have:

**Theorem** Let  $E$  be an infinite-dimensional normed space. Then  $\varphi_n^{\max}(E) \geq \sqrt{n}$  for each  $n \in \mathbb{N}$ . □

## Summing norms - I

Let  $E$  be a normed space, and take  $p \in [1, \infty)$ . For  $x_1, \dots, x_n \in E$ , set

$$\mu_{p,n}(x_1, \dots, x_n) = \sup_{\lambda \in E'_{[1]}} \left\{ \left( \sum_{j=1}^n |\langle x_j, \lambda \rangle|^p \right)^{1/p} \right\}.$$

This is the **weak  $p$ -summing norm**. For example, we can see that

$$\mu_{1,n}(x_1, \dots, x_n) = \sup \left\{ \left\| \sum_{j=1}^n \zeta_j x_j \right\| : \zeta_1, \dots, \zeta_n \in \mathbb{T} \right\}.$$

For  $\lambda_1, \dots, \lambda_n \in E'$ , we have

$$\mu_{1,n}(\lambda_1, \dots, \lambda_n) = \sup \left\{ \sum_{j=1}^n |\langle x, \lambda_j \rangle| : x \in E_{[1]} \right\}.$$

Let  $E$  and  $F$  be Banach spaces, take  $p, q$  with  $1 \leq p \leq q < \infty$ , and take  $T \in \mathcal{B}(E, F)$  and  $n \in \mathbb{N}$ . Then  $\pi_{q,p}^{(n)}(T)$  is

$$\sup \left\{ \left( \sum_{j=1}^n \|Tx_j\|^q \right)^{1/q} : \mu_{p,n}(x_1, \dots, x_n) \leq 1 \right\}.$$

## Summing norms - II

Again  $1 \leq p \leq q < \infty$ .

**Definition** Let  $T \in \mathcal{B}(E, F)$ . Suppose that

$$\pi_{q,p}(T) := \lim_{n \rightarrow \infty} \pi_{q,p}^{(n)}(T) < \infty.$$

Then  $T$  is  $(q, p)$ -**summing**; the set of these is  $\Pi_{q,p}(E, F)$ . This is a Banach space.

We write  $\pi_{q,p}^{(n)}(E)$  for  $\pi_{q,p}^{(n)}(I_E)$  and  $\pi_{q,p}(E)$  for  $\pi_{q,p}(I_E)$ . Also  $\pi_p(E)$  for  $\pi_{p,p}(E)$ , etc.

**Theorem** Let  $E$  be a normed space, and let  $n \in \mathbb{N}$ . Then

$$\varphi_n^{\max}(E) = \pi_1^{(n)}(E').$$

If  $E = F'$ , then

$$\varphi_n^{\max}(E) = \pi_1^{(n)}(F).$$

□

## The $(p, q)$ –multi-norm

Let  $E$  be a Banach space, and take  $p, q$  with  $1 \leq p \leq q < \infty$ . Define

$$\|(x_1, \dots, x_n)\|_n^{(p,q)} = \sup \left\{ \left( \sum_{j=1}^n |\langle x_j, \lambda_j \rangle|^q \right)^{1/q} \right\}$$

taking the sup over all  $\lambda_1, \dots, \lambda_n \in E'$  with  $\mu_{p,n}(\lambda_1, \dots, \lambda_n) \leq 1$ .

**Fact**  $\{(E^n, \|\cdot\|_n^{(p,q)}) : n \in \mathbb{N}\}$  is a multi-Banach space.

Then  $(\|\cdot\|_n^{(p,q)} : n \in \mathbb{N})$  is the  $(p, q)$ –**multi-norm** based on  $E$ .

**Remarks** (1) The  $(1, 1)$ -multi-norm is the maximum multi-norm based on  $E$ .

(2) The  $(p, q)$ –multi-norm over  $E''$ , when restricted to  $E$ , is the  $(p, q)$ –multi-norm over  $E$ .

(3) The  $(p, q)$ -multi-norm induces the norm on  $c_0 \otimes E$  given by embedding  $c_0 \otimes E$  into  $\Pi_{q,p}(E', c_0)$ .

## The Hilbert multi-norm

Let  $H = \ell^2(S)$  be a Hilbert space. For each family  $\mathbf{H} = \{H_1, \dots, H_n\}$  of closed subspaces of  $H$  such that  $H = H_1 \perp \dots \perp H_n$ , set

$$r_{\mathbf{H}}((x_1, \dots, x_n)) = \left( \|P_1 x_1\|^2 + \dots + \|P_n x_n\|^2 \right)^{1/2},$$

where  $P_i : H \rightarrow H_i$  for  $i = 1, \dots, n$  is the projection, and then set

$$\|(x_1, \dots, x_n)\|_n^H = \sup_{\mathbf{H}} r_{\mathbf{H}}((x_1, \dots, x_n)).$$

Then we obtain a multi-norm  $(\|\cdot\|_n^H : n \in \mathbb{N})$  based on  $H$ . It is the **Hilbert multi-norm**.

## Equivalences of multi-norms

Let  $E$  be a normed space. Two multi-norms  $(\|\cdot\|_n : n \in \mathbb{N})$  and  $(|||\cdot|||_n : n \in \mathbb{N})$  based on  $E$  are **equivalent** if there are constants  $C_1$  and  $C_2$  such that, for  $n \in \mathbb{N}$  and  $x \in E^n$ , we have

$$C_1 \|x\|_n \leq |||x|||_n \leq C_2 \|x\|_n .$$

**Theorem** [DDPR2] Let  $H$  be an infinite-dimensional (complex) Hilbert space. Then:

- (i) the Hilbert and  $(2, 2)$ -multi-norms are equal;
- (ii)  $\|\cdot\|^H \leq \|\cdot\|^{\max} \leq \frac{2}{\sqrt{\pi}} \|\cdot\|^H$  (and the constant is best-possible);
- (iii) they are also equivalent to the  $(p, p)$ -multi-norm whenever  $p \in [1, 2]$ . (But not to any  $(p, q)$ -multi-norm when  $p < q$ .)
- (iv) but the  $(p, p)$ -multi-norm and the  $(q, q)$ -multi-norms are not equivalent whenever  $p \neq q$  and  $\max\{p, q\} > 2$ . □

## The standard $q$ -multi-norm on $L^p(\Omega)$

Let  $\Omega$  be a measure space, and take  $p, q$  with  $1 \leq p \leq q < \infty$ . We consider the Banach space  $E = L^p(\Omega)$ , with the usual  $L^p$ -norm  $\|\cdot\|$ .

For each family  $\mathbf{X} = \{X_1, \dots, X_n\}$  of pairwise-disjoint measurable subsets of  $\Omega$  such that  $X_1 \cup \dots \cup X_n = \Omega$ , we set

$$r_{\mathbf{X}}((f_1, \dots, f_n)) = \left( \|P_{X_1} f_1\|^q + \dots + \|P_{X_n} f_n\|^q \right)^{1/q},$$

where  $P_X : L^p(\Omega) \rightarrow L^p(X)$  is the natural projection.

Finally,  $\|(f_1, \dots, f_n)\|_n^{[q]} = \sup_{\mathbf{X}} r_{\mathbf{X}}((f_1, \dots, f_n))$ .

This is the **standard  $q$ -multi-norm**.

**Remark** Let  $q = p$ . Then

$$\|(f_1, \dots, f_n)\|_n^{[q]} = \| |f_1| \vee \dots \vee |f_n| \|.$$

## Relations between multi-norms

It is a nice game [DDPR2] in using Hölder and summing operators to determine relationships between the above multi-norms. For example:

- 1) for  $1 \leq p \leq q < \infty$ ,  $(\|\cdot\|_n^{[q]}) \leq (\|\cdot\|_n^{(p,q)})$  on  $L^1(\Omega)$ , with equality for all  $q$  when  $p = 1$ ;
- 2) for  $1 < p \leq q < \infty$ ,  $(\|\cdot\|_n^{[q]})$  is not equivalent to  $(\|\cdot\|_n^{(p,q)})$  on  $\ell^p$ ;
- 3) for  $1 \leq p < q < \infty$ ,  $(\|\cdot\|_n^{(1,q)})$  is equivalent to  $(\|\cdot\|_n^{(p,q)})$  on  $\ell^1$ , but not to  $(\|\cdot\|_n^{(q,q)})$ ;
- 4) Suppose that either  $1 \leq p \leq 2 \leq r \leq \infty$  or  $1 \leq p < r < 2$ . Then the  $(p,p)$ -multi-norm is equivalent to the maximum multi-norm on  $L^r(\Omega)$ , and the stated conditions are exactly best-possible;
- 5) for  $2 < p < q$ , the  $(p,p)$ -multi-norm is not equivalent to the  $(q,q)$ -multi-norm or the maximum multi-norm on any infinite-dimensional Banach space. □

## Banach lattice multi-norms

Let  $(E, \|\cdot\|)$  be a complex Banach lattice.

Then  $E$  is **monotonically bounded** if every increasing net in  $E_{[1]}^+$  is bounded above, and **complete** if every non-empty subset which is bounded above has a supremum.

**Examples**  $L^p(\Omega)$ ,  $L^\infty(\Omega)$ , or  $C(K)$  with the usual norms and the obvious lattice operations.

Each Banach lattice  $L^p$  (for  $p \in [1, \infty]$ ) and  $C(K)$  (for  $K$  compact) is monotonically bounded, but  $c_0$  is not monotonically bounded.

Each  $L^p$ -space is complete, but  $C(K)$  is complete iff  $K$  is Stonean.

## The Banach lattice multi-norm

**Definition** Let  $(E, \|\cdot\|)$  be a Banach lattice. For  $n \in \mathbb{N}$  and  $x_1, \dots, x_n \in E$ , set

$$\|(x_1, \dots, x_n)\|_n^L = \| |x_1| \vee \dots \vee |x_n| \| .$$

Then  $((E^n, \|\cdot\|_n) : n \in \mathbb{N})$  is a multi-Banach space. It is the **Banach lattice multi-norm**.

It generalizes the standard  $p$ -multi-norm on  $L^p(\Omega)$  and the minimum multi-norms on  $L^\infty(\Omega)$  and  $C(K)$ .

## Multi-bounded sets

Let  $(E^n, \|\cdot\|_n)$  be a multi-normed space. A subset  $B$  of  $E$  is **multi-bounded** if

$$c_B := \sup_{n \in \mathbb{N}} \{ \|(x_1, \dots, x_n)\|_n : x_1, \dots, x_n \in B \} < \infty.$$

For example:

**Theorem** Let  $E$  be a monotonically bounded Banach lattice. Then a subset of  $E$  is multi-bounded (for the lattice multi-norm) if and only if it is order-bounded.  $\square$

Let  $(E^n, \|\cdot\|_n)$  and  $(F^n, \|\cdot\|_n)$  be multi-Banach spaces. An operator  $T \in \mathcal{B}(E, F)$  is **multi-bounded** if  $T(B)$  is multi-bounded in  $F$  whenever  $B$  is multi-bounded in  $E$ . The set of these is a linear subspace  $\mathcal{M}(E, F)$  of  $\mathcal{B}(E, F)$ .

Multi-bounded is the same as ‘multi-continuous’.

## Multi-bounded operators

For  $T \in \mathcal{M}(E, F)$ , define

$$\|T\|_{mb} = \sup\{c_{T(B)} : c_B \leq 1\}.$$

**Theorem**  $((\mathcal{M}(E, F), \|\cdot\|_{mb})$  is a Banach space, and  $\mathcal{M}(E)$  is a Banach operator algebra.  $\square$

[Recall that  $\mathcal{M}(E, F)$  depends on the multi-norm structure, and not just on the Banach space, despite the notation.]

In fact,  $((\mathcal{M}(E, F)^n, \|\cdot\|_n^{mb}) : n \in \mathbb{N})$  is a multi-Banach space for a suitable multi-norm.

**Theorem** We can specifically identify the Banach algebra  $\mathcal{M}(E)$  in many cases; for example, when  $E$  is a complete Banach lattice, it is just the algebra of ‘regular’ operators.  $\square$

## $(p, q)$ -multi-bounded subset

Let  $E$  be a Banach space, and suppose that  $1 \leq p \leq q < \infty$ . Consider the  $(p, q)$ -multi-norm based on  $E$ , and consider the minimum multi-norm based on  $\ell^1$ . Then we write  $\mathcal{B}_{p,q}(\ell^1, E)$  for the multi-bounded operators in this case.

**Theorem**  $T \in \mathcal{B}_{p,q}(\ell^1, E)$  if and only if the dual  $T' \in \mathcal{B}(E', \ell^\infty)$  is  $(q, p)$ -summing.  $\square$

The following uses the Pietsch factorization theorem and a theorem of Maurey on the relations of spaces of summing operators.

**Theorem** Let  $\Omega$  be a measure space, and take  $p, q$  with  $1 \leq p \leq q < \infty$ . Then every  $(p, q)$ -multi-bounded subset of  $L^1(\Omega)$  is relatively weakly compact.  $\square$

## $(p, q)$ -invariant means

Let  $G$  be a locally compact group, and take  $p, q$  with  $1 \leq p \leq q < \infty$ . A mean  $\Lambda \in L^\infty(G)'$  is  $(p, q)$ -**invariant** if the set  $\{s \cdot \Lambda : s \in G\}$  is  $(p, q)$ -multi-bounded. The group  $G$  is  $(p, q)$ -**amenable** if there is such a mean.

**Theorem** In fact,  $G$  is  $(p, q)$ -amenable if and only if it is amenable.

**Proof** This uses the above weak compactness, the Krein–Smulyan theorem, and the Ryll–Nardzewski fixed point theorem.  $\square$

## Proof of the conjecture

**Theorem** Take  $p \in (1, \infty)$ . Then  $L^p(G)$  is injective as a Banach left  $L^1(G)$ -module if and only if  $G$  is amenable.

**Proof** We modify  $J = \mathcal{B}(L^1(G), L^p(G))$  to obtain a Banach left  $L^1(G)$ -module  $\tilde{J}$ , and then, from the definition of injectivity, obtain a morphism  $R$  from  $\tilde{J}$  to  $L^p(G)$  with suitable properties. We use  $R$  to construct a net of continuous linear functionals  $(\Lambda_V)$  on  $L^\infty(G)$ , and take a weak-\* accumulation point  $\Lambda$ . A calculation shows that  $\Lambda$  is a  $(p, p)$ -invariant mean, and so, by the earlier theorem,  $G$  is amenable.  $\square$

## The problem of duality

Let  $E$  be a Banach space, and let  $(\|\cdot\|_n)$  be a multi-norm on  $\{E^n : n \in \mathbb{N}\}$ .

We might expect that the dual of the multi-normed space is  $\mathcal{M}(E, \mathbb{C})$ . But this gives just  $E'$ , and forgets the multi-norm structure.

We could try:  $\|\cdot\|'_n$  is the norm on  $(E')^n$  which is the dual of the norm  $\|\cdot\|_n$  on  $E^n$ . But we obtain a dual multi-norm, not a multi-norm, so this fails.

We enter a rather long story to obtain a multi-dual space; we are guided by classical notions of orthogonality.

## Hermitian decompositions

**Definition** Let  $(E, \|\cdot\|)$  be a normed space. A direct sum decomposition  $E = E_1 \oplus \cdots \oplus E_k$  is **hermitian** if

$$\|\zeta_1 x_1 + \cdots + \zeta_k x_k\| \leq \|x_1 + \cdots + x_k\|$$

for all  $\zeta_1, \dots, \zeta_k \in \overline{\mathbb{D}}$  and  $x_1 \in E_1, \dots, x_k \in E_k$ .

The decomposition is hermitian iff the projections are hermitian operators in the sense of numerical range theory.

**Fact** Let  $E = E_1 \oplus \cdots \oplus E_k$  be a hermitian decomposition of a Banach space  $E$ . Then

$$E' = E'_1 \oplus \cdots \oplus E'_k$$

is a hermitian decomposition of  $E'$ .

## Small decompositions

**Definition** Let  $((E^n, \|\cdot\|_n) : n \in \mathbb{N})$  be a multi-normed space, and let  $E = E_1 \oplus \cdots \oplus E_k$  be a direct sum decomposition of  $E$ . Then:

1) the decomposition is **small** if

$$\|P_1x_1 + \cdots + P_kx_k\| \leq \|(x_1, \dots, x_k)\|_k$$

for all  $x_1, \dots, x_k \in E$ ;

2) the decomposition is **orthogonal** if

$$\|x_1 + \cdots + x_k\| = \|(x_1, \dots, x_k)\|_k$$

whenever  $x_i \in E_i$  for  $i = 1, \dots, k$ . (Actually a slightly more complicated definition is required.)

**Theorem** Small  $\Rightarrow$  orthogonal  $\Rightarrow$  hermitian.  $\square$

**Aggravating question** Is there an orthogonal decomposition that is not small?

## Decompositions and Banach lattices

Let  $E$  be a Banach lattice. Recall that the lattice multi-norms are defined by

$$\|(x_1, \dots, x_n)\|_n^L = \| |x_1| \vee \dots \vee |x_n| \|$$

for  $x_1, \dots, x_n \in E$ .

Recall also that  $E = E_1 \oplus_{\perp} \dots \oplus_{\perp} E_n$  is a **band decomposition** if  $|x_i| \wedge |x_j| = 0$  whenever  $x_i \in E_i$ ,  $x_j \in E_j$ , and  $i \neq j$ .

**Easy:** a band decomposition is small, and hence orthogonal, for the lattice multi-norm. What about the converse?

## Kalton's theorem

Let  $E = E_1 \oplus \cdots \oplus E_k$  be orthogonal with respect to the lattice multi-norm. Then

$$\| |x_1| \vee \cdots \vee |x_k| \| = \|x_1 + \cdots + x_k\|$$

whenever  $x_1 \in E_1, \dots, x_k \in E_k$ .

**Theorem - Nigel Kalton** This already implies that the decomposition is a band decomposition (for complex Banach lattices).  $\square$

[In fact Kalton shows that the complex linear span of the hermitian operators on a Banach lattice is a  $C^*$ -algebra.]

**Theorem** Let  $E$  be a (complex) Banach lattice. Then the following properties of a decomposition are equivalent:

- (a) it is a band decomposition;
- (b) it is orthogonal for the lattice multi-norm;
- (c) it is small for the lattice multi-norm.  $\square$

## Orthogonality - Examples

(1) Let  $H$  be a Hilbert space with the Hilbert multi-norms. Then decompositions are orthogonal/small/hermitian if and only if they are orthogonal in the classical sense.

(2) Let  $E = \ell^p(S)$  have the standard  $p$ -multi-norm. Then a decomposition  $E = E_1 \oplus \cdots \oplus E_k$  is orthogonal/small/hermitian if and only if there is a partition  $\{S_1, \dots, S_k\}$  of  $S$  such that  $E_j = \ell^p(S_j)$  ( $j = 1, \dots, k$ ).

(3) Let  $E = \ell^p(S)$  have the standard  $(p, q)$ -multi-norm, with  $q \neq p$ . Then there are no non-trivial orthogonal decompositions of  $E$ .

(4) Take  $K$  compact, and let  $C(K)$  have any multi-norm. Then a decomposition  $C(K) = E_1 \oplus \cdots \oplus E_k$  is orthogonal/small/hermitian if and only if there is a partition  $\{K_1, \dots, K_k\}$  of  $K$  into clopen sets such that  $E_j = C(K_j)$  ( $j = 1, \dots, k$ ). □

## Families of decompositions

**Definition** Let  $(E, \|\cdot\|)$  be a normed space, and consider a family  $\mathcal{K}$  of direct sum decompositions of  $E$ . Then  $\mathcal{K}$  is **closed** provided that the following conditions are satisfied:

(C1)  $E_{\sigma(1)} \oplus \cdots \oplus E_{\sigma(n)} \in \mathcal{K}$  whenever  $n \in \mathbb{N}$ ,  $\sigma \in \mathfrak{S}_n$ , and  $E_1 \oplus \cdots \oplus E_n \in \mathcal{K}$ ;

(C2)  $F \oplus E_3 \oplus \cdots \oplus E_n \in \mathcal{K}$  whenever  $n \geq 3$ ,  $E_1 \oplus \cdots \oplus E_n \in \mathcal{K}$ , and  $F = E_1 \oplus E_2$ ;

(C3)  $\mathcal{K}$  contains the family of all trivial direct sum decompositions.

The families  $\mathcal{K}_{\text{herm}}$  of all hermitian decompositions,  $\mathcal{K}_{\text{small}}$  of all small decompositions, and  $\mathcal{K}_{\text{orth}}$  of all orthogonal decompositions are closed families of decompositions.

## Multi-norms from families of decompositions

Let  $(E, \|\cdot\|)$  be a normed space, and consider a closed family  $\mathcal{K}$  of hermitian decompositions of  $E$ . For  $n \in \mathbb{N}$  and  $x_1, \dots, x_n \in E$ , set

$$\|(x_1, \dots, x_n)\|_n^{\mathcal{K}} = \sup \{ \|P_1 x_1 + \dots + P_n x_n\| \} .$$

Then  $((E^n, \|\cdot\|_n^{\mathcal{K}}) : n \in \mathbb{N})$  is a multi-normed space, and each member of  $\mathcal{K}$  is a small decomposition of  $E$  with respect to this multi-norm. This is the multi-norm **generated** by  $\mathcal{K}$ .

For example, the family of trivial decompositions generates the minimum multi-norm.

## Duals of hermitian decompositions

Consider a closed family  $\mathcal{K}$  of hermitian decompositions of  $E$ . The dual family is

$$\mathcal{K}' = \{E'_1 \oplus \dots \oplus E'_k : E_1 \oplus \dots \oplus E_k \in \mathcal{K}\} ,$$

and it generates a multi-norm  $(\|\cdot\|_{n,\mathcal{K}}^\dagger : n \in \mathbb{N})$  on the family  $\{(E')^n : n \in \mathbb{N}\}$ .

## Dual multi-norms

**Definition** Let  $(E, \|\cdot\|)$  be a normed space, and let  $\mathcal{K}$  be a closed family of hermitian decompositions of  $E$ . Then the multi-norm on  $\{(E')^n : n \in \mathbb{N}\}$  generated by  $\mathcal{K}'$  is denoted by

$$(\|\cdot\|_{n,\mathcal{K}}^\dagger : n \in \mathbb{N}).$$

The multi-normed space

$$(((E')^n, \|\cdot\|_{n,\mathcal{K}}^\dagger) : n \in \mathbb{N})$$

is the **multi-dual space** with respect to  $\mathcal{K}$ .

**Theorem** For complete Banach lattices, the family  $\mathcal{K}$  of band decompositions (so that  $\mathcal{K} = \mathcal{K}_{\text{small}} = \mathcal{K}_{\text{orth}}$ ) generates the lattice multi-norm, and the family  $\mathcal{K}'$  generates the lattice multi-norm on  $E'$ . □

We have **multi-biduals**, **multi-reflexive spaces**, and quite a few examples,... and queries.

## A consequence of duality

We have the following, which was one point of the definitions.

**Theorem** For  $1 < p < \infty$ , let the families  $\{(\ell^p)^n : n \in \mathbb{N}\}$  have the standard  $p$ -multi-norm. Then the multi-dual of the multi-normed space  $\{(\ell^p)^n : n \in \mathbb{N}\}$  is  $\{(\ell^q)^n : n \in \mathbb{N}\}$  with the standard  $q$ -multi-norm, where  $q = p'$ . Hence these multi-normed spaces are multi-reflexive.  $\square$

## Starting from a multi-norm

We start from a multi-normed space  $(E^n, \|\cdot\|_n)$ . The theory works if the multi-norm is **orthogonal** in the sense that

$$\|(x_1, \dots, x_n)\|_n = \sup \{\|P_1x_1 + \dots + P_nx_n\|\} ,$$

for all  $x_1, \dots, x_n \in E$ , taking the supremum over all small decompositions of  $E$ . Then we have a multi-dual space.

For example, what properties of a normed space  $E$  and/or  $p, q$  mean that the  $(p, q)$ -multi-norm on  $E$  is orthogonal - and, when it is, what is the multi-dual?

And what does this say for the embryonic theory of multi-Banach algebras?

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