

CO781 / QIC 890 Lec 11 Oct 18, 2016.

Encoding classical info in quantum states & retrieving it.

Abstract scenario: Let $p(x)$, ρ_x be fixed.

- ① Alice draws x with prob $p(x)$ \leftarrow rv X
- ② Alice prepares ρ_x
- ③ Alice sends ρ_x to Bob
- ④ Bob performs measurement \mathcal{M} with povm $\{M_y\}$. \leftarrow rv Y

This defines 2 classical rvs X, Y

where $p(x, y) = p(y|x) \cdot p(x)$

$$p(y|x) = \text{tr}(M_y \rho_x).$$

Bob wants to learn about X via Y .

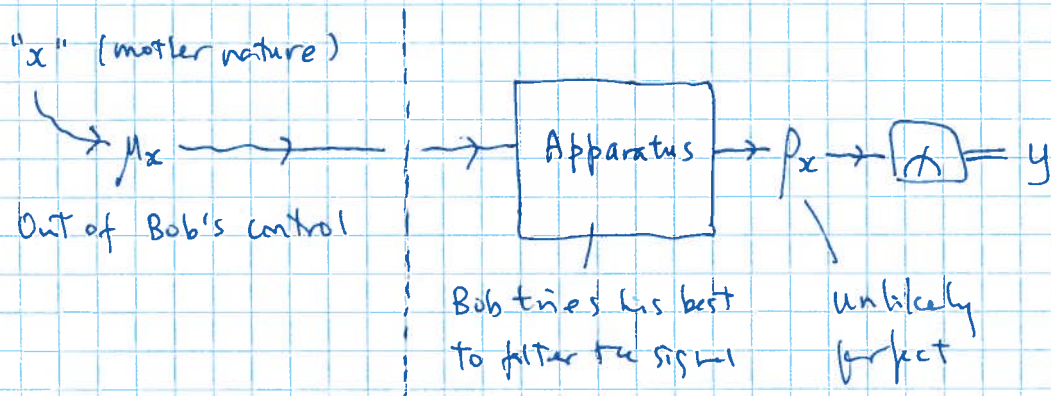
Why such scenario?

Why store x in non-orthogonal, possibly mixed quantum states?

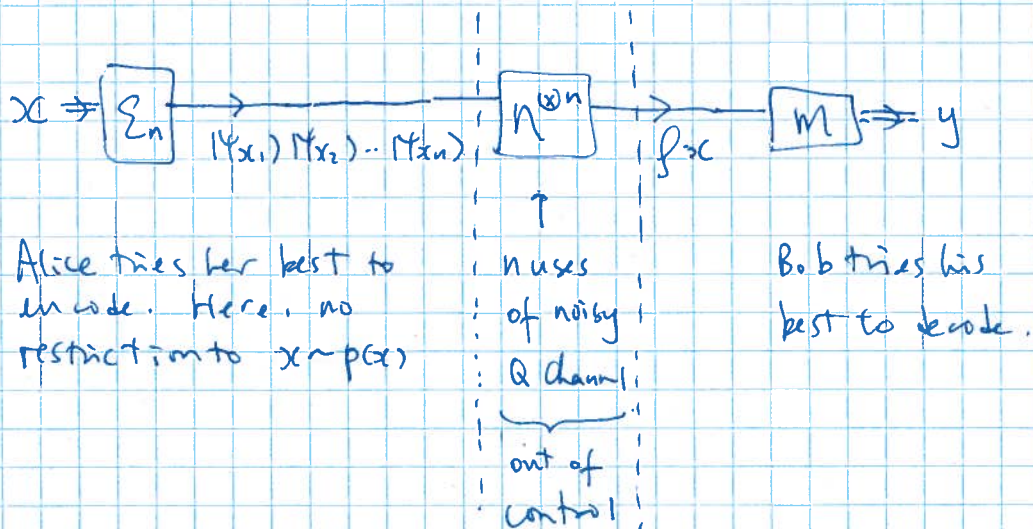
Why $x \sim p(x)$?

Partial answers:

- x arises from some physical process.
Bob the experimentalist builds an apparatus to learn about the world.
eg. gravitational waves in LIGO, frequency in atomic clocks @ NIST



- x accessible only via an oracle in query complexity
eg. it may label the marked item in Grover's algorithm
- In channel capacity problems:



Quantifying success:

- State discrimination problem with min prob of error.

Here $\mathcal{Q}_X = \mathcal{Q}_Y$, goal is to min $\text{prob}(X \neq Y)$.

Without further restriction on Bob's meas M , optimal solution can be obtained from an SDP (Watrous)

- Maximizing "accessible information".

Def [accessible info]:

$$\text{Let } \Lambda = \sum_{x \in \mathcal{X}} p(x) |x\rangle\langle x| \otimes p_x \quad \mathcal{X} \quad \mathcal{Q}$$

Let M be a measurement on \mathcal{Q} with output space \mathcal{Y} .

The accessible info for "the ensemble" $\Sigma = \{p(x), p_x\}$ is

$$I_{\text{acc}}(\Sigma) := \max_M I(X:Y) \quad \mathcal{I} \otimes \mathcal{M}(\Lambda)$$

NB I_{acc} is a commonly used measure.

But $I(X:Y)$ only makes sense with large # of iid draws.

We will discuss possible operational meaning later.

Stepping stone to classical capacity of quantum channels.

Upper bound for accessible info:

Recall given $\Sigma = \{p(x), p_x\}$,

$$\Lambda = \sum_x p(x) |x\rangle\langle x| \otimes p_x$$

$$S(X:Q) = \chi(\Sigma) = S\left(\sum_x p(x) f_x\right) - \sum_x p(x) S(p_x)$$

|
Holevo info of Σ

Thm (Holevo bound): $I_{\text{acc}}(\Sigma) \leq \chi(\Sigma)$

$$\text{Pf: } I_{\text{acc}}(\Sigma) = \max_M I(X:Y) \leq S(X:Q)$$

(IOM)(Λ)

↑
I = S for classical systems

↑
monotonicity of QMI under TCP maps (including Meas).

$$\text{eg. } \chi(\Sigma_1) = S\left(\frac{1}{3} \sum_{x=0}^2 |x\rangle\langle x|\right) - 0 = S\left(\frac{I}{3}\right) = 1$$

$$I_{\text{acc}}(\Sigma_1) = 0.5850$$

Remark 1:

Consider an ensemble \mathcal{E} of t 1-qubit pure states each drawn with prob $\frac{1}{t}$, and t can be arbitrarily large.

State preparation can be described as:

$$\sum_{x=1}^t \frac{1}{t} |x\rangle\langle x| \rightarrow \sum_{x=1}^t \frac{1}{t} |x\rangle\langle x| \otimes \rho_x$$

However, without the label x , at most $I_{acc}(\mathcal{E})$ bits of info about x can be retrieved from sys Q .

$$I_{acc}(\mathcal{E}) \leq \chi(\mathcal{E}) \leq \log 2 = 1.$$

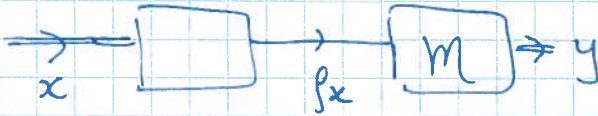
But we have $\log t$ bits of data in x !

So preparing a quantum state \leftarrow forgetting the label is highly irreversible!

* Also makes teleportation more remarkable since neither Alice nor Bob can learn much about the classical description of the qubit to be sent (and it's transmitted with 2 cbits).

Remark 2

Holevo's bound says we cannot use 1 qbit to transmit more than 1 bit of data.



$$p(x) = \frac{1}{|\Omega|}$$

If $x=y$ whp, $I(X=Y) \approx \log |\Omega|$

$$\leq \chi(f_{p(x)}, p_{x^3})$$

$$\leq \log(\dim \mathcal{Q})$$

How to optimize M for Iacc?

Largely unresolved.

Some partial results:

① EB Davies IEEE TIT 24, P596, 1978

Let $\mathcal{X} = \{p(x), p(x)\}$, $p(x) \in \mathcal{B}(\mathbb{C}^d)$

Then, optimal M with POVM $\{M_y\}_{y=1}^n$ can be chosen s.t.

- Ⓐ $\text{rank}(M_y) = 1$
 - Ⓑ $d \leq n \leq d^2$
- } simultaneously

Ideas behind: Ⓐ If $M_i = \sum_k M_{i,k}$ where $M_{i,k}$

has rank 1, replace M_i by $M_{i,k}$ in the POVM

to make a new measurement M' .

$M = M'$ followed by coarse graining outcomes corresponding to all (i,k) into outcome i .

So by the data processing inequality, M' gives at least as much mutual info about X as M .

Ⓑ Careful use of convexity & Caratheodory's Thm.

See T. Decker OS09122 Lemma 14 + Appendix for a proof.

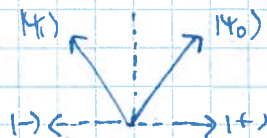
Caratheodory's Theorem: Let $S \subseteq \mathbb{R}^n$, $\text{conv}(S)$ is convex hull. Then any $x \in \text{conv}(S)$ is a convex combination of at most $n+1$ elements of S .

② Special ensembles

Ⓐ Let $p(0) = p(1) = \frac{1}{2}$

$$|\psi_0\rangle = \cos\theta |0\rangle + \sin\theta |1\rangle$$

$$|\psi_1\rangle = \cos\theta |0\rangle - \sin\theta |1\rangle$$



Then optimal measurement (for \mathbb{F}_{acc}) is along $\{|+\rangle, |-\rangle\}$

Pf: Chris Fuchs thesis p8!, using a result by Lojtin.

Ⓑ If \mathcal{E} is group covariant

i.e. \exists finite group G s.t.

(i) can index ρ_x as $\rho_g, g \in G$

(ii) $p(g) = \frac{1}{|G|} \forall g$

(iii) \exists irrep s.t. $U_g \rho_h U_g^\dagger = \rho_{g \cdot h}$

[An irrep $\{U_g\} \subseteq U(\dim(\rho_x))$ satisfies
 $U_g \cdot U_h = U_{g \cdot h}, \forall g [U_g, K] = 0 \Rightarrow K \propto I.$]

then $\exists |\psi\rangle \in \mathbb{C}^d$ s.t. $\left\{ \frac{d}{|G|} U_g^\dagger |\psi\rangle\langle\psi| U_g \right\}_{g \in G}$
 is an optimal POVM.

Pf: Davies 78.

Ⓒ Same as Ⓑ, remove (iii), add condition

(iv) $U_g \cdot U_h = e^{i\phi(g,h)} U_{g \cdot h} \leftarrow \{U_g\} \text{ "real"}$

$\{U_g\}$ acts irreducibly on \mathbb{R}^d

then, $\exists |\psi\rangle \in \mathbb{R}^d$ s.t. $\left\{ \frac{d}{|G|} U_g^\dagger |\psi\rangle\langle\psi| U_g \right\}_{g \in G}$

is an optimal POVM.

Pf: 9812062

Ⓓ Same as Ⓑ, remove (iii), add orbits to POVM.

Pf: Decker 0509.22

Def = Let $\Sigma_1 = \{p(x_1), \mathcal{X}_1\}$, $\Sigma_2 = \{q(x_2), \mathcal{X}_2\}$

The prob ensemble $\Sigma_1 \otimes \Sigma_2 := \{p(x_1)q(x_2), \mathcal{X}_1 \otimes \mathcal{X}_2\}$.

If we represent Σ_1 as $\Lambda_1 = \sum_{x_1} p(x_1) |x_1\rangle\langle x_1| \otimes |x_1\rangle\langle x_1|$

$\Lambda_2 = \sum_{x_2} q(x_2) |x_2\rangle\langle x_2| \otimes |x_2\rangle\langle x_2|$

then $\Sigma_1 \otimes \Sigma_2$ represented as

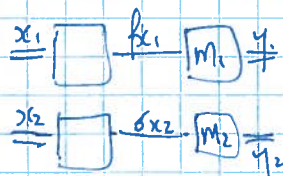
$$\Lambda = \sum_{x_1, x_2} p(x_1)q(x_2) \underbrace{|x_1\rangle\langle x_1| \otimes |x_2\rangle\langle x_2|}_{\mathcal{X}_1, \mathcal{X}_2} \otimes \underbrace{|x_1\rangle\langle x_1| \otimes |x_2\rangle\langle x_2|}_{\mathcal{Q}_1, \mathcal{Q}_2}$$

$$= \Lambda_1 \otimes \Lambda_2$$

To evaluate $I_{acc}(\Sigma_1 \otimes \Sigma_2)$, we need to optimize over measurements on $\mathcal{Q}_1, \mathcal{Q}_2$ yielding outcomes with most info on $\mathcal{X}_1, \mathcal{X}_2$.

Thm: $I_{acc}(\Sigma_1 \otimes \Sigma_2) = I_{acc}(\Sigma_1) + I_{acc}(\Sigma_2)$

Pf = [≥] Let M_1, M_2 be optimal for Σ_1 & Σ_2 respectively, with output spaces \mathcal{Y}_1 & \mathcal{Y}_2 . Consider measurement on $\mathcal{Q}_1, \mathcal{Q}_2$ which is $M_1 \otimes M_2$



Then $I(\mathcal{X}_1, \mathcal{X}_2 : \mathcal{Y}_1, \mathcal{Y}_2)$ (evaluated on $\Lambda \otimes M_1 \otimes M_2$)

$$= H(\mathcal{X}_1, \mathcal{X}_2) + H(\mathcal{Y}_1, \mathcal{Y}_2) - H(\mathcal{X}_1, \mathcal{X}_2, \mathcal{Y}_1, \mathcal{Y}_2)$$

$\mathcal{X}_1, \mathcal{Y}_1$

indep from

$\mathcal{X}_2, \mathcal{Y}_2$

$$= H(\mathcal{X}_1, \mathcal{X}_2) + H(\mathcal{Y}_1, \mathcal{Y}_2) - H(\mathcal{X}_1, \mathcal{Y}_1) - H(\mathcal{X}_2, \mathcal{Y}_2)$$

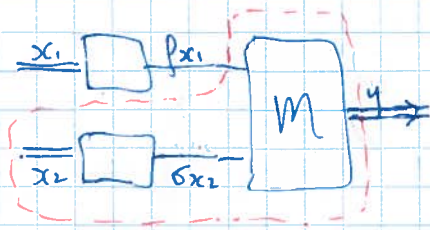
$$\leq H(\mathcal{X}_1) + H(\mathcal{X}_2) + H(\mathcal{Y}_1) + H(\mathcal{Y}_2) - H(\mathcal{X}_1, \mathcal{Y}_1) - H(\mathcal{X}_2, \mathcal{Y}_2)$$

SA

$$= I(\mathcal{X}_1 : \mathcal{Y}_1) + I(\mathcal{X}_2 : \mathcal{Y}_2) = I_{acc}(\Sigma_1) + I_{acc}(\Sigma_2)$$

$\therefore I_{acc}(\Sigma_1 \otimes \Sigma_2) \geq I_{acc}(\Sigma_1) + I_{acc}(\Sigma_2)$

Pf: [≤] Let M be an arbitrary measurement on $\mathcal{Q}_1, \mathcal{Q}_2$ with output space \mathcal{Y} .



$$I(X_1, X_2 = Y) = I(X_1 = Y) + I(X_2 = Y | X_1)$$

$$\begin{aligned} & H(X_1, X_2) + H(Y) & H(X_1) + H(Y) & H(X_2, X_1) - H(X_1) \\ & - H(X_1, X_2, Y) & - H(X_1, Y) & - H(X_2, Y | X_1) + H(Y | X_1) \end{aligned}$$

(*) Note that when X_1, X_2 independent, \mathcal{P}_{X_1} is a meas on \mathcal{Q}_1 and $I(X_1 = Y) \leq I_{\text{acc}}(\mathcal{E}_1)$.

(*) The 2nd term is $\sum_{x_1} p(x_1) \underbrace{I(X_2 = Y | X_1 = x_1)}_{\text{evaluated on prob } (x_2, y | X_1 = x_1)}$

$$\leq \max_{x_1} I(X_2 = Y | X_1 = x_1)$$

$$\leq I_{\text{acc}}(\mathcal{E}_2)$$

since preparing p_{x_1} for the maximizing x_1 in \mathcal{Q}_1 and applying M on $\mathcal{Q}_1, \mathcal{Q}_2$ IS a particular measurement on \mathcal{Q}_2 .

$$\therefore I(X_1, X_2 = Y) \leq I_{\text{acc}}(\mathcal{E}_1) + I_{\text{acc}}(\mathcal{E}_2)$$

$$\therefore I_{\text{acc}}(\mathcal{E}_1 \otimes \mathcal{E}_2) \leq I_{\text{acc}}(\mathcal{E}_1) + I_{\text{acc}}(\mathcal{E}_2)$$

NB: argument was due to Wootters, and applies even for LOCC measurements if Σ_2 consists only of separable states.

See 0103098 Sec VII A.

NB: for product ensembles, it suffices to optimize & apply the measurement on each quantum system independently!

$$\text{eg. } I_{\text{acc}}(\Sigma_1 \otimes \Sigma_1) \doteq 2 \times 0.5850 \doteq 1.1700$$

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uniform distribution over $|Y_i\rangle \otimes |Y_j\rangle$

9 possible states.

eg1. The ensemble Σ_1 has:

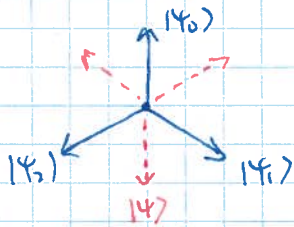
$$p_0 = p_1 = p_2, \quad \rho_x = |\psi_x\rangle\langle\psi_x|, \quad \text{and}$$

$$|\psi_0\rangle = |0\rangle$$

$$|\psi_1\rangle = \cos\frac{\pi}{3}|0\rangle + \sin\frac{\pi}{3}|1\rangle$$

$$|\psi_2\rangle = \cos\frac{\pi}{3}|0\rangle - \sin\frac{\pi}{3}|1\rangle$$

They're called the "trine states".



$$\text{Consider } G = \mathbb{Z}_3, \quad U_g = e^{i\phi_y \frac{2\pi}{3} \cdot g}, \quad g = 0, 1, 2.$$

Then conditions for 9812062 hold.

$$\text{Optimal POVM} = \left\{ \frac{2}{3} U_g^\dagger |\psi\rangle\langle\psi| U_g \right\}$$

where $|\psi\rangle$ can be chosen to be $|\psi_0^\dagger\rangle$. (Pf = left as exercise.)

$$\text{ie optimal POVM} = \left\{ \frac{2}{3} \underbrace{|\psi_y^\dagger\rangle\langle\psi_y^\dagger|}_{M_y} \right\}_{y=0,1,2}$$

With this measurement:

$$H(X|Y=0) = \log 2 = 1 \quad (\text{rules out } |\psi_0\rangle, |\psi_{1,2}\rangle \text{ equiprobable})$$

Similarly for $Y=1,2$.

$$\therefore H(X|Y) = \mathbb{E}_y H(X|Y=y) = 1.$$

$$\text{Also } H(X) = \log 3. \quad \therefore I(X:Y) = H(X) - H(X|Y) = (\log 3) - 1 \doteq 0.5850$$

$$I_{\text{acc}}(\Sigma_1) = 0.5850.$$

eg2. The ensemble \mathcal{E}_2 is similar to \mathcal{E}_1 in eq 1
 but now $\rho_{x_2} = |\psi_{x_2}\rangle\langle\psi_{x_2}|^{\otimes 2}$ (on $\mathcal{Q}_1, \mathcal{Q}_2$)

They're call the "double time" states.

Note: only 3 equiprobable states.

Note: $\mathcal{E}_2 \neq \mathcal{E}_1 \otimes \mathcal{E}_1$.

(I) Consider the following measurement M_2 :

Step 1: apply optimal meas in eq 1 to \mathcal{Q}_1 .

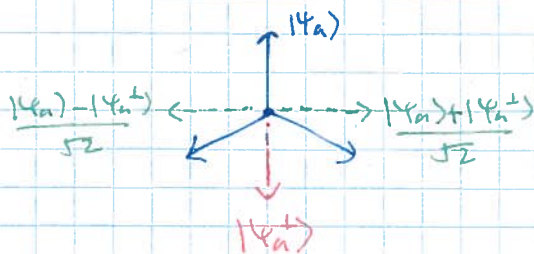
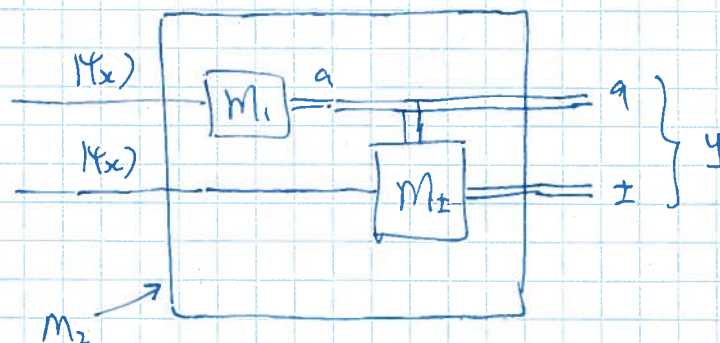
If outcome is "a" (corresponding to $M_a = \frac{2}{3} |\psi_a^+\rangle\langle\psi_a^+|$)
 then $|\psi_a\rangle^{\otimes 2}$ is ruled out.

So state in \mathcal{Q}_2 is $|\psi_b\rangle$ w.p. $\frac{1}{2}$

$|\psi_c\rangle$ w.p. $\frac{1}{2}$

where $b, c \in \{0, 1, 2\} \setminus \{a\}$, $b \neq c$.

Step 2: Measure \mathcal{Q}_2 along the $\frac{1}{\sqrt{2}} (|\psi_a\rangle \pm |\psi_a^+\rangle)$ basis. All this M_{\pm} .



In other words, M_2 optimizes the measurement on each system, allowing adaptation to all previous measurement outcomes.

Claim: $I(X=Y) \approx 1.23038$ bits under M_2

Pf: A3.

NB: $I(X=Y) > 2 \times I_{acc}(\Sigma_1)$!

Lesson: Restricting to 3 states in Σ_2 out of 9 states in $\Sigma_1^{\otimes 2}$ increases the accessible info!

NB: M_2 only uses 2-way classical comm from Q_1 to Q_2 .

* Optimal $I(X=Y)$ unknown for LOCC measurement

(II) Consider the following measurement M_3 :

$$\text{Let } \Gamma = |y_0\rangle\langle y_0|^{\otimes 2} + |y_1\rangle\langle y_1|^{\otimes 2} + |y_2\rangle\langle y_2|^{\otimes 2}$$

$$\text{Let } M_y = \Gamma^{-\frac{1}{2}} |y\rangle\langle y|^{\otimes 2} \Gamma^{-\frac{1}{2}} \quad \text{for } y=0,1,2.$$

$$M_3 = I - M_0 - M_1 - M_2.$$

Claim: $I(X=Y) \approx 1.3691$ bits under M_3 .

Pf: A3.

} call the
Pretty Good
measurement
(PGM)

NB: M_3 is believed to be optimal for Σ_2 but no analytic proof. (0509122 Decker came close to understanding the optimal measurement but final step in argument was numerical.)

NB: The 3 states are related by $U_g \otimes U_g$
where U_g is defined in eq 1.

This is NOT irreducible so conditions
for optimality do not apply.

NB: Wootters in 0506149 showed that
there is a separable measurement (each
POVM is a product operator) with the same
 $I(X:Y)$.

So if the PVM is proved optimal, optimal
measurement for $I_{acc}(\Sigma_2)$ can be chosen
to be separable.

NB: M_3 achieves 1.3691 bits
much higher than 1.23038 bits.

Lesson: Collective measurement (beyond LOCC)
can be needed for achieving I_{acc} .

Conjectures: M_3 optimal & not achieved by LOCC

NB: Some recent work 1304.1555 showed the latter
Under: the success measure of min error of
identifying the state.

Remark 3:

Recall the Araki-Lieb 'ineq and how much S & QMI can change when adding / discarding systems:

$$|S(AB) - S(A)| \leq S(B)$$

$$|S(A=BC) - S(A=C)| \leq 2S(B)$$

We now extend these bounds to the Holevo info:

$$\text{Let } \Sigma_1 = \{p(x), \rho_{x|BC}\}$$

$$\Sigma_2 = \{p(x), \tau_{x|C}\} \quad \text{where } \forall x, \tau_{x|C} = \text{tr}_B \rho_{x|BC}$$

$$\text{Then } |\chi(\Sigma_1) - \chi(\Sigma_2)|$$

$$= |S(A=BC) - S(A=C)|$$

evaluated on $\sum_x p(x) |x\rangle\langle x|_A \otimes \rho_{x|BC}$

$$\leq 2S(B).$$

Remark 4:

$$\text{Let } \Sigma_1 = \{p(x), \rho_x\}, \quad \Sigma_2 = \{p(x), \eta(\rho_x)\} \quad \text{where } \eta = \text{TCP maps}$$

Then $I_{\text{acc}}(\Sigma_1) \geq I_{\text{acc}}(\Sigma_2)$ by monotonicity of QMI under local TCP maps

$$\begin{array}{ccc} \parallel & & \parallel \\ S(X=Q) & & S(X=Q) \\ \wedge & & \text{I} \otimes \eta(\Lambda) \end{array}$$

$$\text{where } \Lambda = \sum_x p(x) |x\rangle\langle x|_X \otimes \rho_x_Q$$

Remark 5:

Thm [Cleve - Van-Dam - Nielsen - Taft 9708019]

Suppose Alice is allowed to send n_A qubits to Bob

Bob n_B Alice

in any order with any # of rounds.

Alice can communicate at most $n = n_A + n_B$ bits to Bob.

ie n_A qbits \rightarrow + n_B qbit \leftarrow $\geq \beta$ cbits $\rightarrow \Rightarrow \frac{n_A + n_B}{\beta} \geq \beta$.

NB This generalizes Holevo's bdd to the interactive setting.

Pf: Let Alice's message be x , occurred with prob $p(x)$.

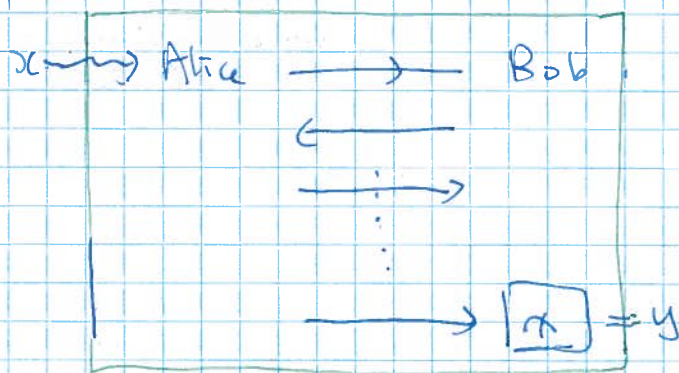
After the j th qubit of comm, let Bob's state be ρ_{xj} .

(How ρ_{xj} arises can be complicated...)

For each stage in the protocol, the rv x induces an ensemble

$\mathcal{E}_j = \{ p(x), \rho_{xj} \}$ and Bob has the q -part.

Let Bob's final estimate of the message be y .



$$\begin{aligned}
 I(X=Y) &\leq I_{acc}(\mathcal{E}_n) \leq \chi(\mathcal{E}_n) \leq S\left(\sum_x p(x) \rho_{x,n}\right) \\
 &\leq S\left(\sum_x p(x) \rho_{x,n-1}\right) + 1 \\
 &\vdots \\
 &\leq n_A + n_B = n.
 \end{aligned}$$

Where $n = n_A + n_B$

\uparrow differ by 1 qubit

Remark 6:

Locking of accessible info.

$$\exists \Sigma_1 = \{p(x), \rho_{x \in B} \} \quad (\text{and } \Sigma_2 = \{p(x), \text{tr}_B \rho_x \})$$

$$\text{s.t. } |I_{\text{acc}}(\Sigma_1) - I_{\text{acc}}(\Sigma_2)| \gg 2 S(B).$$

In particular:

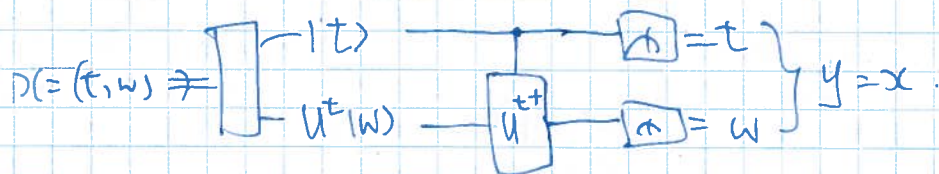
$$\text{Take } p(x) = \frac{1}{2n}, \quad x = wt, \quad w \in \{0, 1, \dots, n-1\}, \quad t \in \{0, 1\}$$

$$\rho_x = U^{\dagger} |w\rangle\langle w| U^{\dagger} \otimes |t\rangle\langle t|_B$$

$$U |w\rangle = \sum_{\ell} \omega^{w\ell} |\ell\rangle, \quad \omega^n = 1 \quad (U = FT).$$

In other words, we encode $0, 1, \dots, n-1$ in either the computation basis or the conjugate basis in B , and store the basis info in sys C .

$I_{\text{acc}}(\Sigma_1) = \log(2n)$, attained by measurement:



$$\text{In 0303088, } I_{\text{acc}}(\Sigma_2) = \frac{1}{2} \log n.$$

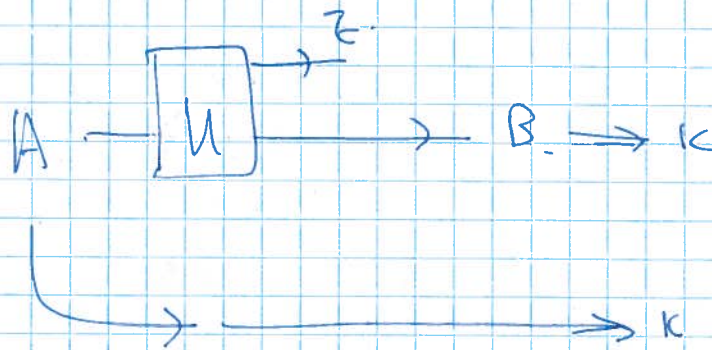
So dropping the 1-qubit sys B reduces I_{acc} from $1 + \log n$ to $\frac{1}{2} \log n$! Diff $\gg 1$.

* Result has been improved on & extended.

* Accessible info assumes Bob makes a measurement.

But the measurement can be very different with a little side info, in the example, it is the correct basis to decode w !

* This affects how we measure security of QKD.



Used to measure I_{acc} of Eve on K but that assumes Eve measures immediately after QKD protocol.

In real life, she can wait for more side info about K Before measuring.

In 0409078, we proposed more "composable" security measures for QKD.

Lower bound for I_{acc} [Jozsa, Robb, Wootters 94]

Def For a density matrix $\rho \in B(\mathbb{C}^d)$, with eigenvalues $\{\lambda_k\}_{k=1}^d$, the subentropy is

$$Q(\rho) := - \sum_{k=1}^d \underbrace{\left(\prod_{l \neq k} \frac{\lambda_k}{\lambda_k - \lambda_l} \right)}_{\text{additional part not in } S(\rho)} \lambda_k \log \lambda_k$$

Thm: for any ensemble $\mathcal{E} = \{p(x), \rho_x\}$

$$I_{acc}(\mathcal{E}) \geq Q\left(\sum_x p(x) \rho_x\right) - \sum_x p(x) Q(\rho_x) \quad \leftarrow \text{like } \chi(\mathcal{E}) \text{ with } S \text{ replaced by } Q$$

eg. If ρ_x pure for all x , and $\rho = \sum_x p(x) \rho_x = \frac{I}{d}$

$$\text{then } I_{acc}(\mathcal{E}) \geq \log d - (\log e) \left(\frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{d} \right)$$

$$d=2, I_{acc}(\mathcal{E}) \geq 0.2787$$

$$d \rightarrow \infty, I_{acc}(\mathcal{E}) \geq 0.60995.$$

[Pf of Thm & eg: see PRA 49 p668 (1994).]

Also 1310.1312 for more on subentropy.