

Lecture V

①

The Threshold Theorem :

Definitions & level reduction

In the next two lectures we will prove the threshold theorem, one of the most important results in quantum information theory.

Fault-tolerant EC (formal def) ②

Def: FT EC Recovery Property
(ECRP) ② in Lecture 1
p. 13

\boxed{EC} satisfies ECRP if
whenever $s \leq t$ $t = \lfloor \frac{d-1}{2} \rfloor$

$$\equiv \boxed{EC} \equiv = \equiv \boxed{EC} \equiv \boxed{f_s} \equiv$$

\uparrow s faults

where $\boxed{f_s}$ is an s -filter

ie a projector onto the subspace
spanned by codewords w/ $\leq s$ errors

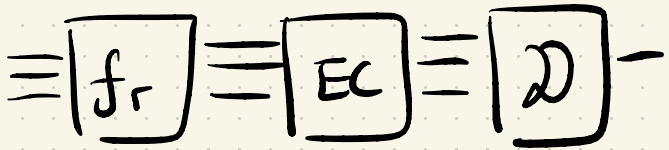
Def: FT EC Correctness

Property (ECCP)

① in lecture 1 p.12

\boxed{EC} satisfies ECCP if

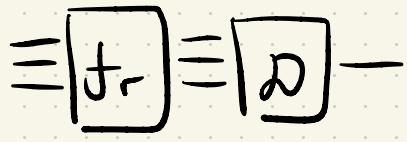
whenever $r+s \leq t$



$\uparrow s$

\uparrow ideal decoder

\parallel



Def : FT Gate Error

(4)

Propagation Property

(GPP)

1q logical gate $\boxed{\bar{u}}$ satisfies

GPP if, whenever $r+s \leq t$,

$$\equiv \boxed{f_r} \equiv \boxed{\bar{u}} \equiv$$

\uparrow_s

||

$$\equiv \boxed{f_r} \equiv \boxed{\bar{u}} \equiv \boxed{f_{r+s}} \equiv$$

\uparrow_s

Def : FT Gate Correctness 5
Property (GCP)

A 1q logical gate $\boxed{\bar{u}}$ satisfies
GCP if, whenever $r+s \leq t$

$$\equiv \boxed{f_r} \equiv \boxed{\bar{u}} \equiv \boxed{\mathcal{D}} -$$

\uparrow
 s

||

$$\equiv \boxed{f_r} \equiv \boxed{\mathcal{D}} - \boxed{u} -$$

\uparrow ident
gate

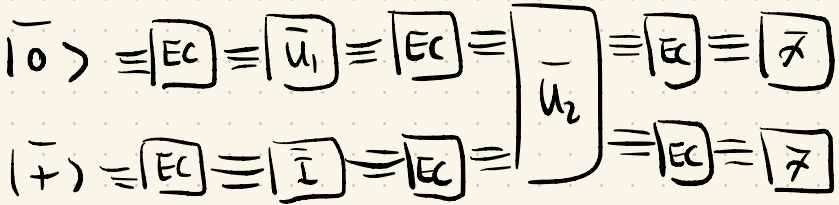
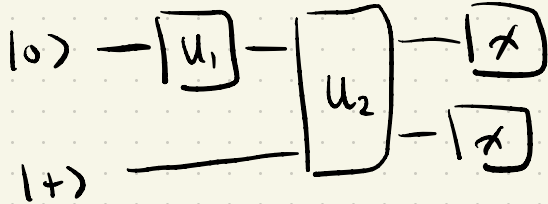
Can check these conditions
for the FT EC & gate
implementations we covered
in prev. lectures.

(Also analogous defs for
state prep. & meas.)

We assume ECRP, ECCP,
GPP, GCP hold.

Extended rectangles (exRecs)

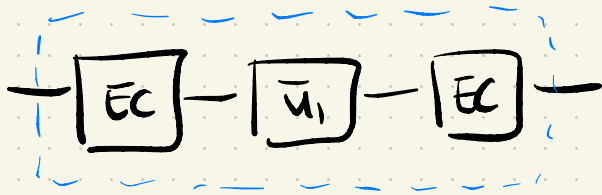
Recall the fault-tolerant version of a circuit



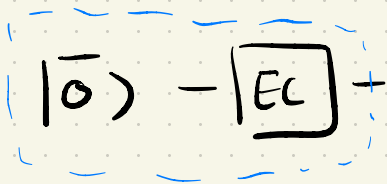
(Encoding in $k=1$ QECC)

An exRec consists of

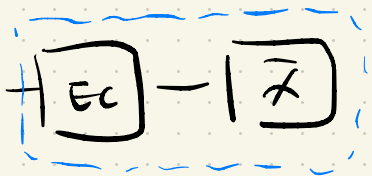
8



Logical gate + EC before & after



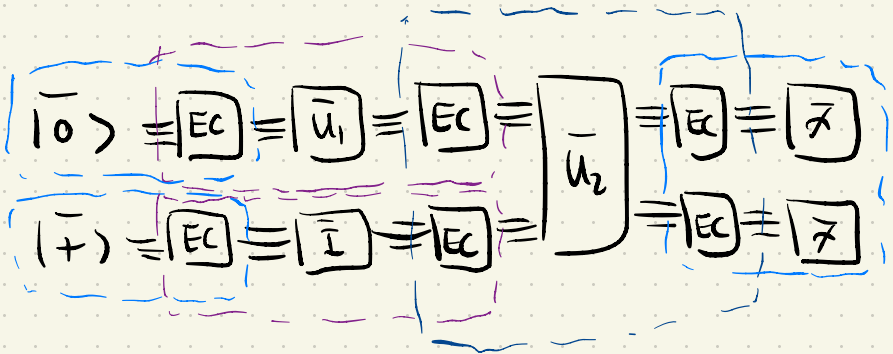
Logical state prep + EC after



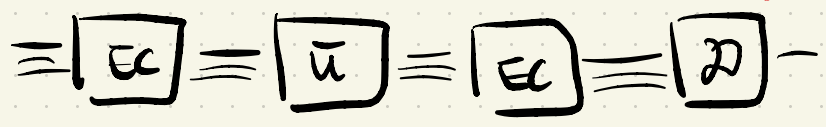
Logical measurement + EC before

If the underlying QECC corrects t errors, an exRec is **good** if it contains no more than t faults and is **bad** otherwise.

exRecs overlap

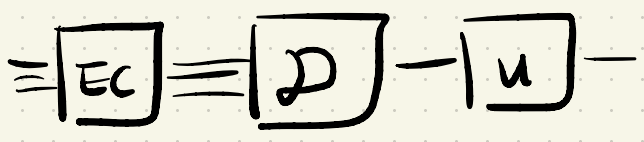


A 1q gate exRec is correct if



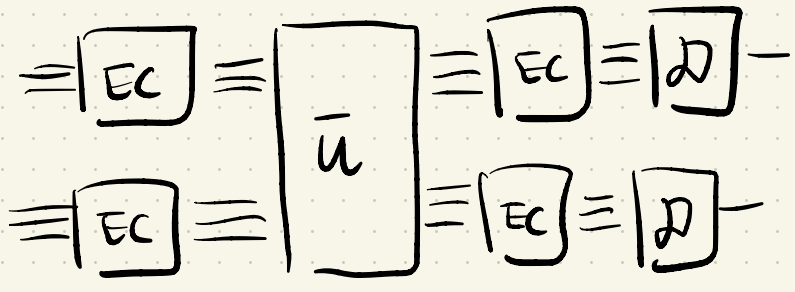
ideal decoder

||

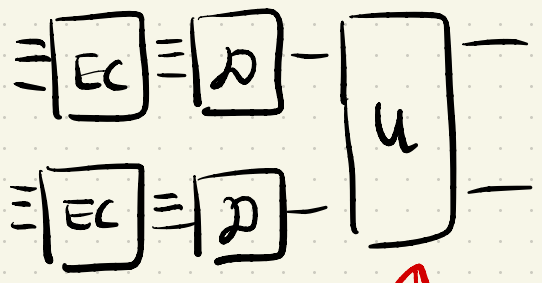


ideal gate

A $2q$ gate $exRec$ is correct if



||



↑ Ideal gate

A state prep. exRec is correct ⁽¹²⁾

if

$$|0\rangle \equiv |EC\rangle \equiv |D\rangle$$

"

$$|0\rangle \text{ — }$$

↑ Ideal state prep.

A measurement exRec is correct if

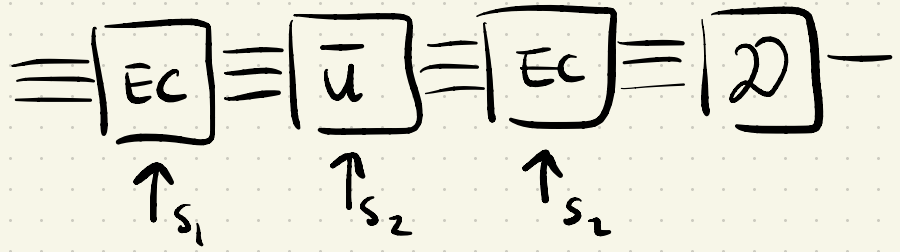
$$\equiv |EC\rangle \equiv |f\rangle = \equiv |EC\rangle \equiv |D\rangle - |f\rangle$$

↑

ident
measurement

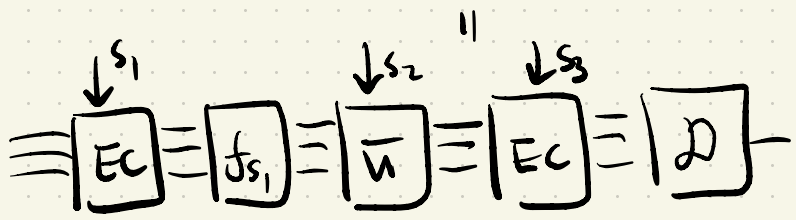
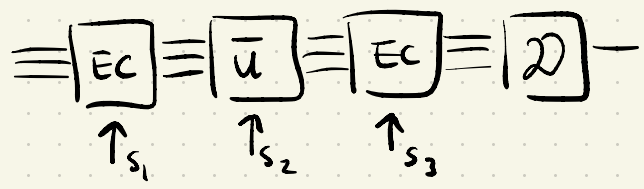
Thm : A good exRec is a correct exRec

Proof (1 q gates)

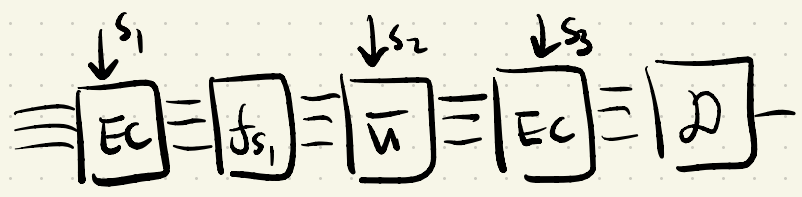


$s_1 + s_2 + s_3 \leq t$ (Good exRec)

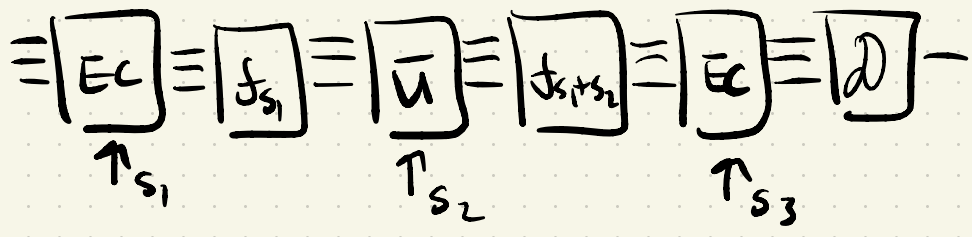
Use ECRP



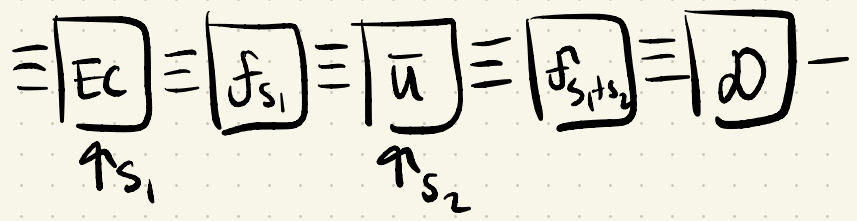
Use GPP



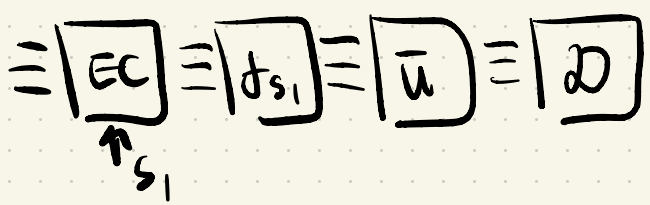
||



|| (ECCP)



|| (reverse GPP)



Use GCP

15

$$\equiv \boxed{EC} \equiv \boxed{ts_1} \equiv \boxed{\bar{u}} \equiv \boxed{\mathcal{D}}$$

↑_{s₁} ||

$$\equiv \boxed{EC} \equiv \boxed{ts_1} \equiv \boxed{\mathcal{D}} - \boxed{u} -$$

↑_{s₁}

|| (reverse ECRP)

$$\equiv \boxed{EC} \equiv \boxed{\mathcal{D}} - \boxed{u} -$$

↑_{s₁}

□

Proof for other location

types is similar

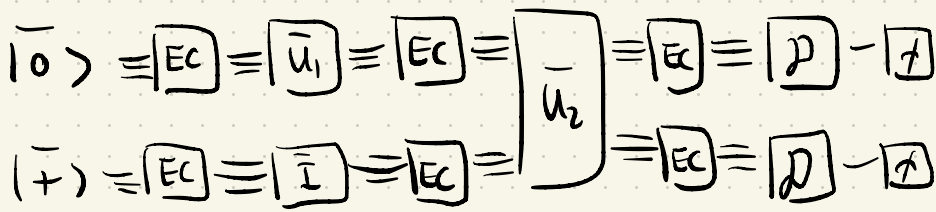
Corr: If all exRecs in
 an FT circuit are
 good then the output
 distribution is the same
 as the output distribution
 of the ideal circuit.

Proof sketch:

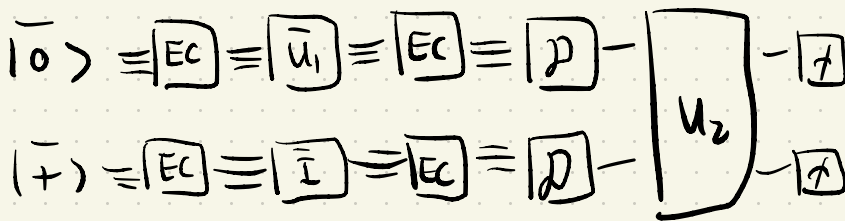
$$\begin{aligned} |\bar{0}\rangle &\equiv \boxed{\text{EC}} \equiv \boxed{\bar{u}_1} \equiv \boxed{\text{EC}} \equiv \left. \begin{array}{c} - \\ u_2 \end{array} \right\} \equiv \boxed{\text{EC}} \equiv \boxed{\bar{x}} \\ |\bar{+}\rangle &\equiv \boxed{\text{EC}} \equiv \boxed{\bar{1}} \equiv \boxed{\text{EC}} \equiv \left. \begin{array}{c} - \\ u_2 \end{array} \right\} \equiv \boxed{\text{EC}} \equiv \boxed{\bar{x}} \end{aligned}$$

" (Correct meas. exRecs)

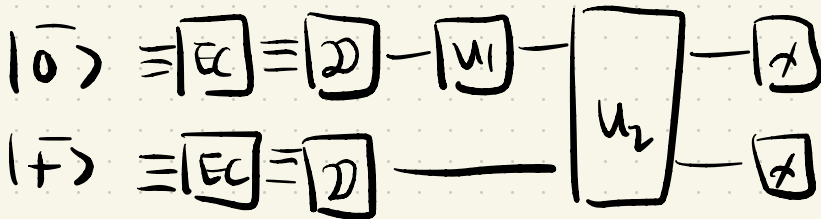
$$\begin{aligned} |\bar{0}\rangle &\equiv \boxed{\text{EC}} \equiv \boxed{\bar{u}_1} \equiv \boxed{\text{EC}} \equiv \left. \begin{array}{c} - \\ u_2 \end{array} \right\} \equiv \boxed{\text{EC}} \equiv \boxed{p} - \boxed{\bar{x}} \\ |\bar{+}\rangle &\equiv \boxed{\text{EC}} \equiv \boxed{\bar{1}} \equiv \boxed{\text{EC}} \equiv \left. \begin{array}{c} - \\ u_2 \end{array} \right\} \equiv \boxed{\text{EC}} \equiv \boxed{p} - \boxed{\bar{x}} \end{aligned}$$



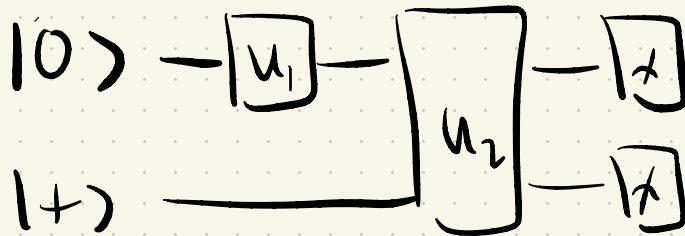
" (Correct 2q exRec)



" (Correct 1q exRecs)

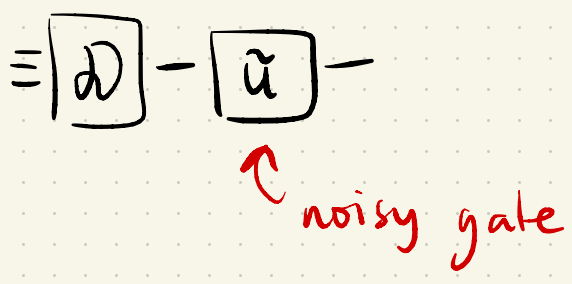
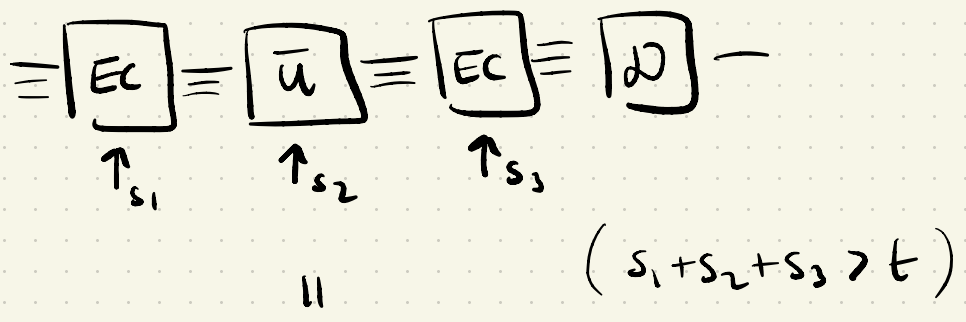


" (Correct state prep. exRecs)



But what if an exRec is bad?

We want to replace bad exRecs with faulty locations e.g.



But the noisy gate

\tilde{U} will in general depend
on the noise in the encoder
and the error syndrome
of the state entering
the encoder.

The solution is to keep
track of the error syndrome
information.

Def : $*$ - decoder $|D^*\rangle$

works just like the ideal decoder but keeps the syndrome information

$$\equiv |D^*\rangle \begin{array}{l} \swarrow \text{decoded state} \\ \text{---} \\ \nwarrow \text{syndrome} \end{array}$$

$$\equiv |D^*\rangle \begin{array}{l} \text{---} \\ \searrow \\ \text{---} \end{array} = \equiv |D\rangle \text{---}$$

One can show

$$\equiv \boxed{EC} = \boxed{\tilde{U}} = \boxed{EC} = \boxed{\mathcal{D}^\dagger} \equiv$$

"

$$\equiv \boxed{\mathcal{D}^\dagger} = \boxed{\tilde{U}} \equiv$$

↑ noisy gate that depends on syndrome

* - decoder is unitary U_*

$$\text{Let } E = \equiv \boxed{EC} = \boxed{\tilde{U}} = \boxed{EC} \equiv$$

$$EU_* = U_* \underbrace{U_*^\dagger E U_*}_{\text{noisy gate } \tilde{U}}$$

noisy gate \tilde{U}

Recap

We have shown that we can replace good exRecs by ideal locations and bad exRecs by faulty locations.

The obvious next question is: how many bad exRecs do we expect?

To answer this question (23)
we must first choose
a noise model.

Def: an error model
is local stochastic if
for any set of faults

$$R, P_F[S \subseteq R]$$

$$= \sum_{R|S \subseteq R} P_F[R] \leq p^{|S|}$$

where $0 < p < 1$.

This is more general than iid noise and can include adversarial noise.

Assuming a local stochastic noise model, what is the prob. that a single exRec is bad?

Suppose the exRec contains

A locations.

exRec is bad if it contains $\geq t$ faults.

union bound



Then

$$Pr[\text{exec bad}] \leq \sum_{|S|=t+1} \sum_{R|S \subseteq R} Pr[R]$$

$$= \binom{A}{t+1} P^{t+1}$$



includes
sets R of
size $t+2$ etc.

(In fact we
overcount
these.)

We can now prove
our main theorem, the
level reduction theorem.

Thm : Suppose we have (26)

a fault tolerant circuit
simulating an unencoded
circuit C , subjected to
a local stochastic noise
model w/ error prob. p .

Then the FT circuit
is equivalent to C subjected
to a local stochastic noise
model w/ error prob. p' where

$$P' \leq \binom{A}{t+1} P^{t+1}$$

(27)

and A is the number of locations in the largest exRee in the FT circuit.

Proof :

For any given run of the FT circuit there will be some set of faults R that occur w/ prob. $P_r[R]$

For R we assign good ⁽²⁸⁾
and bad exRecs.

Then the FT circuit is
equivalent to C w/ faults
at the locations corresponding
to bad exRecs.

We need to show:
given a set of r exRecs
in the FT circuit

(29)

the probability that there exists a set of faults R such that each of the r exRecs is bad is at most p^r .

The set of exRecs is bad if every exRec has $t+1$ or more faults.

There are at most

$\binom{A}{t+1}^r$ sets of locations

with $t+1$ locations in each
of the r exRecs.

Each such set has a
total of $(t+1)r$ locations.

\Rightarrow Prob. of a set of
faults containing all these
locations is $\leq p^{(t+1)r}$

Uniax band

=> Prob. of t+1 faults on each of the r exRecs is

$$\binom{A}{t+1}^r P^{(t+1)r} = \left[\binom{A}{t+1} P^{t+1} \right]^r$$

ie local stochastic noise

w/ error prob. $P' = \binom{A}{t+1} P^{t+1}$

[There is a subtlety about overlapping exRecs that I have neglected, see Gottesman notes] □