

## Figure 1.5. DATA-BASED ANSWERS TO QUESTIONS: A Case of Disagreement

EM9115: New York Times, December 24, 1991, page C3

### MEDICAL SCIENCE: THE DOCTOR'S WORLD

# Hidden Discord Over Right Therapy

By LAWRENCE K. ALTMAN, M.D.

A BITTER dispute has erupted among a team of scientists at the University of Pittsburgh over opposite conclusions drawn from a study of middle-ear infection, a common and potentially serious childhood condition.

One side concluded that a widely prescribed antibiotic, amoxicillin, was effective for the condition; the other side said it did not work. But the dissenting report was not published until last week, nearly five years after the original report calling the treatment effective appeared.

The split has provided a rare glimpse into medical and academic politics and how they can converge to influence standard treatments. The conflict has involved two Congressional hearings; investigations by the National Institutes of Health, the Federal agency that paid for the research; inquiries from the sponsoring university, and conflicting reports in rival medical journals.

Middle-ear infection, which can be painful, strikes about two of every three children in the United States by the age of 3. A build-up of fluid in the infected ear can lead to impaired hearing and delayed language and speech development.

The issue seemed settled in 1987 when Dr. Charles E. Bluestone, who led the study, and members of his team reported in *The New England Journal of Medicine* that amoxicillin was effective for middle-ear infections.

In its Dec. 18 issue, *The Journal of the American Medical Association* published a dissenting report from a group headed by another member of the team, Dr. Erdem I. Cantekin. His report, analyzing data from the same study, had been rejected by *The New England Journal of Medicine* when it was written five years earlier.

In criticizing Dr. Bluestone's study, Dr. Cantekin said it relied too heavily on techniques that were prone to bias in examining an ear. Dr. Cantekin concluded that amoxicillin did not work for middle-ear infections.

The data, though several years old, still apply to current practice, and it is up to doctors to decide on the merits of amoxicillin for middle-ear infection, *The Journal of the American Medical Association* said in an

A rare look at how medical politics affects standard treatment.

unusually long editorial titled "The Cantekin Affair."

The affair exposes the disagreement that often lies hidden behind standard therapies and a greater degree of uncertainty about them than the medical profession is willing to acknowledge.

The extraordinary delay in publishing Dr. Cantekin's report also highlights several important points about medical politics: academia's surprising aversion to dissent; the strong ties between scientific journals, academia and the drug industry; professional jealousies over credentials, and the unresolved issue of how and when critics can use data from publically financed studies in which they participate.

Academia, scientific journals, practising doctors and industry are heavily interdependent.

Journals are a natural outlet for researchers who want to report advances and new findings, some of which can have strategic importance to practising doctors and patients.

Journals also play a crucial role in academic politics. Faculty promotions in medical schools are often geared to publication in prestigious journals. Editors of journals generally come from the ranks of academia.

Leading scientific journals profit handsomely from drug company advertisements, and the influence of industry on such publications has rarely been studied.

Good editors should welcome controversy because it can be so instructive. But editors tend to consider themselves as professors, not journalists, and they seldom take the initiative in reporting academic disputes like the one in Pittsburgh.

In 1986, both groups submitted separate reports to *The New England Journal of Medicine*. Dr. Cantekin told the journal's editor, Dr. Arnold S. Relman, that his manuscript was a re-analysis and re-interpretation of the paper that Dr. Bluestone had submitted a month earlier.

Journal editors customarily send a submitted manuscript to independent experts for evaluation to help determine whether to publish it. But in the Pittsburgh conflict, Dr. Rel-

man viewed the primary issue as determining which group had the right to publish, and he decided to publish only one version.

"There could be only one responsible investigator or team of investigators officially recognized by the sponsoring institutions," Dr. Relman said.

So Dr. Relman asked officials at the University of Pittsburgh and Children's Hospital, where many of the patients in the study were treated, to decide which group had written the official version. They chose Dr. Bluestone, and Dr. Relman rejected Dr. Cantekin's paper outright in a decision he has since defended.

Dr. Relman was wrong in retrospect, Dr. Drummond Rennie, a deputy editor of *The Journal of the American Medical Association* wrote, because he could have sent both Dr. Bluestone's and Dr. Cantekin's papers out for review. If both were deemed worthy of publication, they could have been published with an editorial outlining the dispute from the start.

Dr. Cantekin refused to give up, and he paid a heavy price for becoming a whistleblower. A few weeks after submitting his dissenting paper in 1986, Dr. Cantekin saw his career come to an abrupt halt. A decade after Dr. Bluestone recruited him to work with him in Pittsburgh, Dr. Cantekin was dismissed as research director of the university's center for studies of middle-ear infections.

Academia has traditionally boasted about its role in promoting independent thought, challenging prevailing theories, and fostering free speech. Indeed, throughout history, dissent over interpretations of common data has served to clarify scientific issues.

Yet medical leaders have also failed to seize on such disputes as ways to advance science. Instead of serving the public interest, academia sometimes acts to protect its own interest by squelching dissent, stifling criticism and avoiding public controversy.

"The institution is unlikely to decide a dispute about the matter on the basis of the quality of its science, but on the basis of institutional hierarchy," Dr. Rennie wrote in chronicling the Cantekin dispute.

Until recent decades, scientists tended to work alone and their disputes were generally directed at rivals, not teammates. But the growing complexity of science has led to teams of researchers, headed by a principal investigator, and the creation of teams

increases the chances for internal disputes.

The public now pays for most of the scientific work that is carried out in academic centers, even private ones. Yet many universities have developed strong ties to industry, raising the issue of possible conflicts of interest.

This issue emerged in the Pittsburgh dispute because Dr. Bluestone had not declared that he had received \$260,000 in honorariums and \$3.5 million in grants from drug companies to the middle-ear infection research center. The Federal Office of Scientific Integrity found "the appearance of conflict of interest."

Also, as medical research has become more sophisticated, medical schools have broadened the scope of their faculties to include more scientists from allied fields. Many scientific papers from academic centers include authors who hold degrees other than an M.D.

Dr. Cantekin's degree is in biomedical engineering. It was therefore not unusual that he had climbed the academic ladder to become a full professor in the medical school and that he would have been a co-author of Dr. Bluestone's paper if he had agreed with its conclusions.

In evaluating research results, scientists often say the quality of the data is what is important, not the author's degree. But in the Pittsburgh dispute, Dr. Cantekin's report was criticized on grounds that he was not a medical doctor.

The Pittsburgh affair adds fuel to a call for journals routinely to remove the names and degrees of authors when their manuscripts are sent for independent review as a step to avoid such bias.

Being able to duplicate results is the cornerstone of science. But because most studies are expensive and time-consuming, few are actually repeated.

How teams handle questions and debates among themselves about the organization and analysis of data can have important bearing on the only published conclusions. Also, because there is not space in journals to publish all the data from a study, accessibility to the primary data can be an important issue when public accountability is called for.

But there are few rules on who owns the data from publically financed research projects and how they should be shared.

Scientists have traditionally aired their differences through letters to the editor. But because of their brevity, such letters may not be an adequate way to present additional data. Further, not all journals publish letters or have sections devoted to comment and criticisms.

In the case of middle-ear infections, new studies may clarify amoxicillin's effectiveness – but only if all authors agree on the conclusions.

The article EM9115 reprinted overleaf on page 1.10 and above illustrates the importance to society of (correct) data-based Answers to Questions.

\* It also reminds us that meaningfully different Answers may arise even when analyzing data from investigations involving the proper use of statistical methods.

○ In addition, the article indicates possible *consequences* of differing Answers from the same data.

- 1 In the second-last paragraph of the first column overleaf on page 1.9, the article EM9115 summarizes the basis of Dr. Cantekin's concern about Dr. Bluestone's journal publication as .... *it relied too heavily on techniques that were prone to bias in examining an ear*.
  - Suggest brief reason(s) why such inaccuracy might lead to an Answer claiming an effect when actually there is *no* effect.
    - Outline measure(s) that could be used in the investigation to protect against the *effects* of such a source of inaccuracy. [You might also like to check how this matter is addressed in Reference 1 below]
- 2 In the second paragraph of the middle column above, the importance of *the quality of the data* is highlighted; explain briefly why data *quality* is of greater practical concern than data *analysis*. [By data *quality*, we mean data whose inaccuracy and imprecision have been managed appropriately in the Question context.]
  - Assuming that the data from an investigation *are* of good quality (*i.e.*, of appropriate inaccuracy and imprecision), outline possible consequence(s) of a publication that presents Answers based on incorrect data *analysis*.
  - When incorrect Answers are published (due to poor data quality and/or incorrect analysis), what *corrective* measure(s) can be taken? Explain briefly, indicating how *effective* you would expect each measure to be.
- 3 In the first paragraph of the third column above, it is mentioned that pressure on journal space generally precludes publishing *all* the data from an investigation; suggest a possible solution to this problem, *other than* making journals longer.
  - In addition to the *numbers* which comprise most data sets, what other information is an *essential* component of any data set? Explain briefly.
- 4 At the bottom of the middle column above, it is stated that *Being able to duplicate results is the cornerstone of science*; briefly justify this statement.
  - Can you identify one class of phenomena where lack of repeatability has imposed major *limitations* on data-based Answers?

- REFERENCES:** 1. Mandel, E.M., Rockette, H.E., Bluestone, C.D., Paradise, J.L. and R.J. Nozza: Efficacy of amoxicillin with and without decongestant-antihistamine for otitis media with effusion in children. Results of a double-blind, randomized trial. *New Engl. J. Med.* **316**(#8): 432-437 (1987). [Note reference 1 in this publication and see also **317**(#9): 570-571 (1987).] (Per R11.B7)
2. Cantekin, E.I., McGuire, T.W. and T.L. Griffith: Antimicrobial therapy for otitis media with effusion (secretory otitis media). *JAMA* **266**(#23): 3309-3317 (1991). [See also the editorial on pages 3333- 3337.] (Per R15.A48)