

Testing Expressibility is Hard

Ross Willard*

Pure Mathematics Department
University of Waterloo
Waterloo, Ontario N2L 3G1 Canada
<http://www.math.uwaterloo.ca/~rdwillar>

Abstract. We study the *expressibility problem*: given a finite constraint language Γ on a finite domain and another relation R , can Γ express R ? We prove, by an explicit family of examples, that the standard witnesses to expressibility and inexpressibility (gadgets/formulas/conjunctive queries and polymorphisms respectively) may be required to be exponentially larger than the instances. We also show that the full expressibility problem is co-**NEXPTIME**-hard. Our proofs hinge on a novel interpretation of a tiling problem into the expressibility problem.

Key words: constraint, relation, expressive power, inverse satisfiability, structure identification, conjunctive query, primitive positive formula, polymorphism, domino system, nondeterministic exponential time

1 Introduction

Given a fixed set Γ of *basic* constraint relations for building constraint programs or satisfaction problems, there are typically other (perhaps useful) *implicit* relations which may be treated as if they were actually present in Γ , without affecting the expressiveness or complexity of Γ .

For example, consider the toy constraint language $\Gamma = \{\rightarrow, U\}$ on the domain $D = \{0, 1, 2, 3, 4, 5\}$, where \rightarrow is the binary relation pictured in Figure 1 and U is the unary relation $\{0, 3\}$.

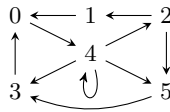


Fig. 1. The binary relation \rightarrow

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The unary relation $V = \{3, 4, 5\}$ is an example of an implicit relation of $\{\rightarrow, U\}$. Indeed, whenever we wish to constrain a variable x to V , we can accomplish this by adding three new auxiliary variables a_x, b_x, c_x and imposing the basic constraints $a_x \rightarrow b_x$, $b_x \rightarrow x$, $x \rightarrow c_x$, $U(a_x)$, and $U(c_x)$. We say that Γ can *express* V . We might similarly ask: can Γ express the complement of V , i.e., the unary relation $W = \{0, 1, 2\}$? What about the complement of \rightarrow ?

These questions are instances of the *expressibility problem* (also known as the *existential inverse satisfiability problem* [7, 6] and the *pp-definability problem* [4]). It is a *structure identification problem* in the sense of [8]. Its answers define what is called the *expressive power* of a constraint language [15], now a key tool in the quest to classify which constraint languages are tractable (e.g., [12, 3]).

In this paper we give constructions which show that the general expressibility problem is impossibly hard according to three natural measures.

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2 Definitions, Basic Facts, and Statement of Results

Fix a constraint language Γ on a finite domain D . Given an instance $\mathcal{P} = (X, D, \mathcal{C})$ of $\text{CSP}(\Gamma)$, we shall use $\text{Sol}(\mathcal{P})$ to denote the set of all solutions to \mathcal{P} , construed as functions $X \rightarrow D$. If $\mathbf{s} = (s_1, \dots, s_k)$ is a k -tuple of variables from X , then we shall use $\pi_{\mathbf{s}}(\text{Sol}(\mathcal{P}))$ to denote the restriction of $\text{Sol}(\mathcal{P})$ to \mathbf{s} ; i.e.,

$$\pi_{\mathbf{s}}(\text{Sol}(\mathcal{P})) = \{(f(s_1), \dots, f(s_k)) : f \in \text{Sol}(\mathcal{P})\} \subseteq D^k.$$

Definition 1 ([15, 5, 13]). *Given a constraint language Γ and a k -ary relation R on a domain D , we say that Γ expresses (or generates) R if there exists an instance $\mathcal{P} = (X, D, \mathcal{C})$ of $\text{CSP}(\Gamma)$ and a k -tuple $\mathbf{s} = (s_1, \dots, s_k)$ of variables with $\pi_{\mathbf{s}}(\text{Sol}(\mathcal{P})) = R$. The pair $(\mathcal{P}, \mathbf{s})$ is a witness to the expressibility of R by Γ .*

Cohen and Jeavons [5] have called \mathcal{P} a *gadget* and \mathbf{s} a *construction site* in this context. A witness $(\mathcal{P}, \mathbf{s})$ can be trivially re-formulated as a *conjunctive query* over Γ (in database theory) or as a *primitive positive formula* over Γ (in logic); the latter is an expression of the form $\exists y_1 \dots \exists y_n [C_1 \ \& \ C_2 \ \& \ \dots \ \& \ C_r]$, asserting the existence of auxiliary variables satisfying (with \mathbf{s}) the constraints of \mathcal{P} .

Example 1. In the example from Section 1, let \mathcal{P} be the instance of $\text{CSP}(\Gamma)$ having variable set $\{a, b, c, x\}$ and constraints $((a, b), \rightarrow)$, $((b, x), \rightarrow)$, $((x, c), \rightarrow)$, (a, U) , and (c, U) . \mathcal{P} has exactly four solutions; identifying each solution f_i with its 4-tuple of values $(f_i(a), f_i(b), f_i(x), f_i(c))$, we have

$$\text{Sol}(\mathcal{P}) = \{(0, 4, 3, 0), (0, 4, 4, 3), (0, 4, 5, 3), (3, 0, 4, 3)\}.$$

As the projection of $\text{Sol}(\mathcal{P})$ on its third coordinate (i.e., at x) is $\{3, 4, 5\} = V$, (\mathcal{P}, x) witnesses the fact that Γ can express V . An equivalent primitive positive formula witnessing this is $\exists a \exists b \exists c [a \rightarrow b \ \& \ b \rightarrow x \ \& \ x \rightarrow c \ \& \ U(a) \ \& \ U(c)]$.

Definition 2. Suppose D is a finite domain, Γ is a constraint language over D , and n, k are positive integers. Let $\mathbf{s} = (\mathbf{s}_1, \dots, \mathbf{s}_k)$ be a k -tuple of elements from D^n , R a k -ary relation on D , and $h : D^n \rightarrow D$.

1. $\text{proj}(\mathbf{s}) = \{(\mathbf{s}_1[i], \dots, \mathbf{s}_k[i]) : 1 \leq i \leq n\}$. (Thus $\text{proj}(\mathbf{s}) \subseteq D^k$.)
2. h preserves R at \mathbf{s} if $\text{proj}(\mathbf{s}) \not\subseteq R$ or $(h(\mathbf{s}_1), \dots, h(\mathbf{s}_k)) \in R$.
3. h preserves R if h preserves R at every k -tuple in $(D^n)^k$.
4. h is a polymorphism of Γ (of arity n) if h preserves every relation in Γ .

One can show that, for every $n \geq 1$, there exists an instance of $\text{CSP}(\Gamma)$ with variable set D^n whose solutions are precisely the n -ary polymorphisms of Γ . Following Jeavons, Cohen and Gyssens [14, 11, 15, 5], we call this CSP instance the *indicator problem for Γ of order n* and denote it by $\mathcal{I}_n(\Gamma)$.

It is well-known that the polymorphisms of Γ (i) include the projections and (ii) preserve all relations expressed by Γ (see e.g. [15, Lemma 2.18]). From this one can deduce the following connection between expressible relations, polymorphisms, and indicator problems.

Proposition 1. For any $n, k \geq 1$ and $\mathbf{s} \in (D^n)^k$, the relation S expressed by $(\mathcal{I}_n(\Gamma), \mathbf{s})$ (i) contains $\text{proj}(\mathbf{s})$, and (ii) is contained in every k -ary relation expressible from Γ which contains $\text{proj}(\mathbf{s})$. I.e., S is the smallest k -ary relation expressible from Γ containing $\text{proj}(\mathbf{s})$.

Note that if R is k -ary and there exists an n -ary polymorphism h of Γ which does not preserve R at some $\mathbf{s} \in (D^n)^k$, then R is not expressible from Γ . When this happens we say that h is a *witness* to the inexpressibility of R from Γ .

Example 2. Returning to the example in Section 1, the 1-ary map $h : D \rightarrow D$ when sends $1 \mapsto 3$, $2 \mapsto 4$, and fixes all other elements of D , is a polymorphism of $\Gamma = \{\rightarrow, U\}$. As $1 \in W = \{0, 1, 2\}$ but $h(1) \notin W$, h does not preserve W at 1; hence W is not expressible from Γ , and h is a witness.

For any k -ary relation R on D , if n is the number of rows of R (i.e., $n = |R|$), then one can construct $\mathbf{s}^{(R)} = (\mathbf{s}_1, \dots, \mathbf{s}_k) \in (D^n)^k$ so that $\text{proj}(\mathbf{s}^{(R)}) = R$. As R is expressible from Γ exactly when the smallest k -ary relation expressible from Γ and containing R is R itself, it follows from Proposition 1 that *either* $(\mathcal{I}_n(\Gamma), \mathbf{s}^{(R)})$ expresses R , *or* there exists an n -ary polymorphism of Γ which does not preserve R at $\mathbf{s}^{(R)}$. Thus we get the following theoretical upper bounds to the size of a witness to the expressibility or inexpressibility of R from Γ .

Corollary 1 ([9, 1, 15]). Let $\Gamma \cup \{R\}$ be a set of relations on D , and let $n = |R|$.

1. If R is expressible from Γ , then R can be expressed by a CSP instance (or a primitive positive formula) with variable set of size $\leq |D|^n$.
2. R is not expressible from Γ if and only if there exists a polymorphism of Γ of arity $\leq n$ which does not preserve R .

Example 3. Consider again the example in Section 1. The relation $V = \{3, 4, 5\}$ on the 6-element domain $\{0, 1, 2, 3, 4, 5\}$ is expressible from $\Gamma = \{\rightarrow, U\}$, so Corollary 1 promises a CSP witness having $\leq 6^3 = 216$ variables. Conversely, the complement \nrightarrow of \rightarrow turns out to be not expressible from Γ . Since \nrightarrow has 26 rows, Corollary 1 promises a witnessing polymorphism of arity ≤ 26 .

Note the ridiculousness of the bounds in Example 3. Corollary 1 guarantees a CSP instance having ≤ 216 variables to express V , when in fact we have an instance using just 4 variables. Even worse is the promise of a 26-ary polymorphism witnessing the inexpressibility of \nrightarrow ; just storing the values of a random 26-ary function on $\{0, 1, 2, 3, 4, 5\}$ would require over 5×10^8 terabytes. Yet the 1-ary polymorphism of Example 2 fails to preserve \nrightarrow (e.g., at $(2, 2)$) and so already witnesses its inexpressibility.

Example 3 illustrates the fact that the upper bounds to the sizes of witnesses guaranteed by Corollary 1 are exponential in the size of the test relation. It is natural to ask if these upper bounds can be improved. For example, Cohen and Jeavons [5, p. 313] pose as an open research question the identification of circumstances under which sub-exponential sized CSP instances can be found witnessing expressible relations. Our first theorem says “not always”:

Theorem 1. *For infinitely many n there exist a constraint language Γ_n and a relation R_n , both on a 22-element domain, such that $|R_n| = n$, R_n is expressible from Γ_n , but every CSP(Γ_n) instance expressing R_n has at least $2^{n/3}$ variables.*

Dually, our next theorem shows that in general we cannot hope to detect inexpressibility with sub-exponential sized polymorphisms.

Theorem 2. *For infinitely many n there exist a constraint language Γ'_n and a relation R'_n , both on a 22-element domain, such that $|R'_n| = n$, R'_n is not expressible from Γ'_n , but every witnessing polymorphism has arity at least $n/3$.*

We formally define **EXPR** to be the combinatorial decision problem which takes as input a triple (D, Γ, R) (where D is a finite domain, Γ is a finite constraint language on D , and R is another relation on D), and asks whether R is expressible from Γ . **EXPR** has also been called \exists -INVSAT (the *existential inverse satisfiability problem*) [7, 6] and the *pp-definability problem* [4].

Corollary 1 and the discussion preceding it give a general algorithm for testing \neg EXPR: among all functions $h : D^n \rightarrow D$ where $n = |R|$, search for one which (i) is a polymorphism of Γ , and (ii) does not preserve R at $\mathbf{s}^{(R)}$. This naive algorithm puts **EXPR** in **co-NEXPTIME**. Dalmau [7, p. 163] speculated that perhaps there exists a better, more sophisticated algorithm which would place **EXPR** in a lower complexity class. Suggestively, Creignou *et al* [6] have proved that **EXPR** restricted to the boolean domain is in **P**.

At a workshop at AIM in 2008, a working group led by M. Vardi contrarily conjectured that there is essentially no algorithm better than the naive one, in the sense that **EXPR** restricted to 3-element domains is **co-NEXPTIME**-complete [4]. In our last theorem we very nearly confirm this conjecture:

Theorem 3. *There exists $d > 1$ such that EXPR restricted to d -element domains is co-**NEXPTIME**-complete.*

The remainder of this paper is devoted to proving Theorems 1–3 via an interpretation of certain tiling problems defined by domino systems.

3 Domino Systems and Tiling Problems

A *tiling problem* is a particular kind of constraint satisfaction problem whose constraints are organized “horizontally and vertically.” More precisely:

Definition 3 ([10, 2]). A domino system is a triple $\mathcal{D} = (\Delta, H, V)$ where Δ is a finite nonempty set (of “tile types”) and H, V are binary relations on Δ (called the horizontal and vertical adjacency constraint relations).

Notation 4. For $N > 1$ we will use $[N \times N]$ to denote the set

$$[N \times N] = \{(i, j) : i, j \in \mathbb{Z}, 0 \leq i, j < N\}.$$

We informally identify the element $(i, j) \in [N \times N]$ with the unit square in the x - y plane whose lower-left corner has coordinates (i, j) . The k th row of $[N \times N]$ is the subset $\text{Row}_k = \{(i, k) : 0 \leq i < N\}$, while the k th column is the subset $\text{Col}_k = \{(k, j) : 0 \leq j < N\}$. Figure 2 illustrates the board $[4 \times 4]$.

Definition 5. Suppose $\mathcal{D} = (\Delta, H, V)$ is a domino system and $N > 1$. A tiling of $[N \times N]$ by \mathcal{D} is a mapping $\tau : [N \times N] \rightarrow \Delta$ assigning to each square $(i, j) \in [N \times N]$ a tile type $\tau[i, j] \in \Delta$, subject to the following constraints:

- For each pair $(i, j), (i+1, j)$ of horizontally adjacent squares in $[N \times N]$, the corresponding pair $(\tau[i, j], \tau[i+1, j])$ of tile types satisfies H .
- For each pair $(i, j), (i, j+1)$ of vertically adjacent squares in $[N \times N]$, the corresponding pair $(\tau[i, j], \tau[i, j+1])$ of tile types satisfies V .

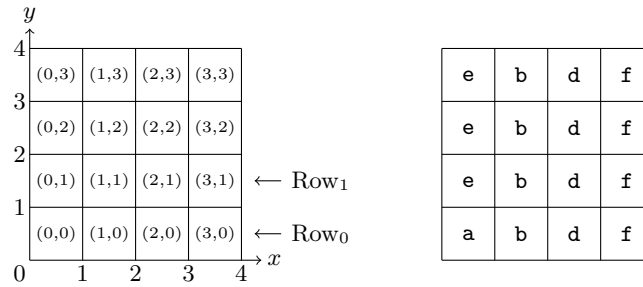


Fig. 2. The board $[4 \times 4]$ and one tiling of it by \mathcal{D}_1 .

Example 4. Define a domino system $\mathcal{D}_1 = (\Delta, H, V)$ where

$$\begin{aligned}\Delta &= \{\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}, \mathbf{e}, \mathbf{f}\} \\ H &= \{(\mathbf{a}, \mathbf{b}), (\mathbf{b}, \mathbf{a}), (\mathbf{b}, \mathbf{d}), (\mathbf{c}, \mathbf{b}), (\mathbf{d}, \mathbf{c}), (\mathbf{d}, \mathbf{f}), (\mathbf{e}, \mathbf{b})\} \\ V &= \{(\mathbf{a}, \mathbf{b}), (\mathbf{a}, \mathbf{e}), (\mathbf{b}, \mathbf{b}), (\mathbf{b}, \mathbf{c}), (\mathbf{c}, \mathbf{d}), (\mathbf{d}, \mathbf{d}), (\mathbf{e}, \mathbf{e}), (\mathbf{f}, \mathbf{f})\}.\end{aligned}$$

The map $\tau : [4 \times 4] \rightarrow \Delta$ pictured in Figure 2 is a tiling of $[4 \times 4]$ by \mathcal{D}_1 .

We need to be able to discuss partial tilings and tilings with initial conditions.

Definition 6. Suppose $\mathcal{D} = (\Delta, H, V)$ is a domino system and $N > 1$.

1. Let $\mathbf{w} = (w_0, \dots, w_{m-1}) \in \Delta^m$ with $0 < m \leq N$, and let $j < N$. A tiling τ of $[N \times N]$ by \mathcal{D} satisfies the initial condition \mathbf{w} if $\tau[i, 0] = w_i$ for all $i < m$.
2. If $U \subseteq [N \times N]$ then we may speak of tilings of U by \mathcal{D} satisfying \mathbf{w} ; these are mappings from U to Δ which satisfy those horizontal, vertical and initial condition constraints that mention squares in U only.
3. Given a tiling τ of $[N \times N]$ by \mathcal{D} , we say that τ has a repeated row if there exists $\mathbf{z} \in \Delta^N$ and distinct $j < k < N$ such that τ makes the same assignment to Row_j and to Row_k ; that is, $\tau[i, j] = \tau[i, k]$ for all $0 \leq i < N$.

Example 3 (continued). The tiling of $[4 \times 4]$ pictured in Figure 2 satisfies the initial condition (\mathbf{a}, \mathbf{b}) . However, \mathcal{D}_1 cannot tile $[4 \times 4]$ with initial condition (\mathbf{b}, \mathbf{a}) .

In this paper we will be particularly interested in the following “exponential tiling problem,” which we define in both local and uniform versions.

- Definition 7.** 1. Given a domino system $\mathcal{D} = (\Delta, H, V)$, $\text{EXPTILE}(\mathcal{D})$ denotes the combinatorial decision problem whose input is a triple $(\mathcal{D}, m, \mathbf{w})$ where $m \geq 1$ and $\mathbf{w} \in \Delta^m$, and which asks whether \mathcal{D} tiles $[2^m \times 2^m]$ with initial condition \mathbf{w} .
2. $\text{EXPTILE} = \bigcup_{\mathcal{D}} \text{EXPTILE}(\mathcal{D})$.

3.1 A Domino System that Exponentially Counts

Our proofs of Theorems 1 and 2 will exploit the following fact.

Proposition 2. There exists a domino system $\mathcal{D}_e = (\Delta_e, H_e, V_e)$ with the following property: for all $m > 2$ there exist m -tuples $\mathbf{w}_m, \mathbf{w}'_m \in (\Delta_e)^m$ such that

1. \mathcal{D}_e does not tile $[2^m \times 2^m]$ with initial condition \mathbf{w}_m , but \mathcal{D}_e does tile U with initial condition \mathbf{w}_m for every $U \subseteq [2^m \times 2^m]$ satisfying $|U| < 2^m$.
2. \mathcal{D}_e tiles $[2^m \times 2^m]$ with initial condition \mathbf{w}'_m , and moreover every tiling of $[2^m \times 2^m]$ by \mathcal{D}_e with initial condition \mathbf{w}'_m has no repeated row.

We describe one way to construct such a domino system \mathcal{D}_e . Our strategy is to design \mathcal{D}_e so that its tilings of subsets of $[2^m \times 2^m]$ force consecutive rows to encode consecutive integers between 0 and $2^m - 1$.

If $m > 0$ and $x \in \{0, 1, 2, 3, \dots, 2^m - 1\}$, let $\text{Bin}_m(x)$ denote the reverse m -bit binary representation of x (least significant bit at the left).

Example 5. $\text{Bin}_5(6) = (0, 1, 1, 0, 0)$.

We define some sets of new symbols; they will be the tile types for \mathcal{D}_e :

$$\begin{aligned}\Delta_0 &= \{0_L^-, 0_M^-, 0_M^+, 0_R^-, 0_R^+\} & \Delta_1 &= \{1_L^\diamond, 1_M^\diamond, 1_M^+, 1_R^\diamond, 1_R^+\} \\ \Delta_{01} &= \Delta_0 \cup \Delta_1 & \Delta_e &= \Delta_{01} \cup \{\triangleleft\}.\end{aligned}$$

Definition 8. Suppose $m > 2$ and $x \in \{0, 1, 2, 3, \dots, 2^m - 1\}$, with $\text{Bin}_m(x) = (b_0, b_1, \dots, b_{m-1})$. The annotated m -bit binary representation of x is the m -tuple $\text{AnnBin}_m(x) = (\mathbf{a}_0, \mathbf{a}_1, \dots, \mathbf{a}_{m-1}) \in (\Delta_{01})^m$ given as follows: $\mathbf{a}_i = (b_i)_X^s$ where

- X is L if $i = 0$, R if $i = m - 1$, and M otherwise.
- If there exists $j < i$ such that $b_j = 1$, then s is $+$. Otherwise, s is $-$ if $b_i = 0$ while s is \diamond if $b_i = 1$.

Example 6. $\text{AnnBin}_5(6) = (0_L^-, 1_M^\diamond, 1_M^+, 0_M^+, 0_R^+)$.

Note that the “bases” of the entries of $\text{AnnBin}_m(x)$ give the reverse m -bit binary representation of x ; the subscripts are exactly (L, M, \dots, M, R) ; and the superscripts are one of the following patterns: $(\diamond, +, \dots, +)$, $(-, \dots, -, \diamond, +, \dots, +)$, $(-, \dots, -, \diamond)$, or $(-, -, \dots, -)$, where \diamond occurs at the first bit of x equalling 1.

Fix $m > 2$ and define τ_m to be the mapping $[2^m \times 2^m] \rightarrow \Delta_e$ which for each $0 \leq j < 2^m$ assigns $\text{AnnBin}_m(j)$ to the first m entries in Row_j , and assigns \triangleleft to all remaining squares (see Figure 3).

Row ₁₅	1_L^\diamond	1_M^+	1_M^+	1_R^+	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots
Row ₅	1_L^\diamond	0_M^+	1_M^+	0_R^+	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft
Row ₄	0_L^-	0_M^-	1_M^\diamond	0_R^+	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft
Row ₃	1_L^\diamond	1_M^+	0_M^+	0_R^+	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft
Row ₂	0_L^-	1_M^\diamond	0_M^+	0_R^+	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft
Row ₁	1_L^\diamond	0_M^+	0_M^+	0_R^+	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft
Row ₀	0_L^-	0_M^-	0_M^-	0_R^-	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft	\triangleleft

Fig. 3. τ_4 defined on $[16 \times 16]$.

Now let $\mathcal{D}_e = (\Delta_e, H_e, V_e)$ be the smallest domino system with respect to which τ_4 is a tiling of $[16 \times 16]$. That is, define

$$\begin{aligned}H_e &= \{0_L^-\} \times \{0_M^-, 1_M^\diamond\} \cup \{1_L^\diamond\} \times \{0_M^+, 1_M^+\} \cup \{0_M^-\} \times \{0_M^-, 1_M^\diamond, 0_R^-, 1_R^\diamond\} \\ &\quad \cup \{0_M^+, 1_M^+, 1_M^\diamond\} \times \{0_M^+, 1_M^+, 0_R^+, 1_R^+\} \cup \{0_R^-, 0_R^+, 1_R^-, 1_R^+\} \times \{\triangleleft\} \\ V_e &= \{(0_L^-, 1_L^\diamond), (1_L^\diamond, 0_L^-), (0_M^-, 0_M^+), (0_M^+, 0_M^-), (0_M^+, 1_M^\diamond), (1_M^\diamond, 1_M^+), (1_M^+, 1_M^\diamond), \\ &\quad (1_M^+, 0_M^-), (0_R^-, 0_R^+), (0_R^+, 0_R^-), (0_R^+, 1_R^\diamond), (1_R^\diamond, 1_R^+), (1_R^+, 1_R^\diamond), (\triangleleft, \triangleleft)\}.\end{aligned}$$

The reader can check that \mathcal{D}_e , thus defined, satisfies Proposition 2 with $\mathbf{w}_m = \text{AnnBin}_m(1)$ and $\mathbf{w}'_m = \text{AnnBin}_m(0)$. Indeed, τ_m is the unique tiling by \mathcal{D}_e of $[2^m \times 2^m]$ with initial condition \mathbf{w}'_m , and clearly τ_m has no repeated rows. On the other hand, \mathcal{D}_e cannot tile $[2^m \times 2^m]$ with initial condition \mathbf{w}_m (as it cannot count past $2^m - 1$), but if $U \subseteq [2^m \times 2^m]$ with $|U| < 2^m$, then there must exist $k < 2^m$ such that U is disjoint from Row_k . In this case \mathcal{D}_e can easily tile U with initial condition \mathbf{w}_m , simply by assigning $\text{AnnBin}_m(j+1)$ to the first m entries of Row_j for each $j < k$, assigning $\text{AnnBin}_m(j)$ to the first m entries of Row_j for all $k < j < 2^m$, and \triangleleft to all remaining entries.

4 Interpreting Exponential Tiling into Expressibility

In this section we will describe the main (and most difficult) construction of this paper. It takes as input an instance $(\mathcal{D}, m, \mathbf{w})$ of EXPTILE where $m > 2$ and m is a power of 2, and produces as output an instance (D, Γ, R) of EXPR , so that

R is expressible from $\Gamma \Leftrightarrow \mathcal{D}$ cannot tile $[2^m \times 2^m]$ with initial condition \mathbf{w} .

Furthermore, the existence of “small” witnesses to the expressibility or in-expressibility of R will be connected to the existence of “small” witnesses to untileability or tilability (small subsets of $[2^m \times 2^m]$ that cannot be tiled, or tilings of $[2^m \times 2^m]$ with repeated rows). Thus Proposition 2 will give us Theorems 1 and 2. Because we also wish the construction $(\mathcal{D}, m, \mathbf{w}) \mapsto (D, \Gamma, R)$ to give a logspace reduction of this fragment of EXPTILE into $\neg\text{EXPR}$, the sizes of D , Γ , and the relations in $\Gamma \cup \{R\}$ must be bounded by a polynomial in $|\Delta| + m$, and the construction itself must be executable in logspace in $|\Delta| + m$.

4.1 Defining the Domain D and Encoding $[2^m \times 2^m]$ in D^m

For the remainder of Section 4 we fix a domino system $\mathcal{D} = (\Delta, H, V)$, an integer $m = 2^t$ ($t > 1$), and an m -tuple $\mathbf{w} = (w_0, w_1, \dots, w_{m-1}) \in \Delta^m$.

Definition 9. *The domain D for our constraint language is the disjoint union of the sets Δ , $P := \{p_{00}, p_{01}, p_{10}, p_{11}\}$, $\{0, 1\}$, $\{a, b\}$, $\{\top, \perp\}$, and $\{\infty\}$.*

We next explain how we will interpret $[2^m \times 2^m]$ in D^m . For $(x, y) \in [2^m \times 2^m]$, write $\text{Bin}_m(x) = (x_0, x_1, \dots, x_{m-1})$ and $\text{Bin}_m(y) = (y_0, y_1, \dots, y_{m-1})$, the reverse m -bit binary representations of x and y respectively, and let $\mathbf{p}(x, y) \in D^m$ be given by $\mathbf{p}(x, y)[i] = p_{x_i y_i}$ for $0 \leq i < m$. In this way the elements of $[2^m \times 2^m]$ are put in one-to-one correspondence with the elements of P^m .

Example 7. If $m = 8$, then $\mathbf{p}(53, 188) = (p_{10}, p_{00}, p_{11}, p_{01}, p_{11}, p_{11}, p_{00}, p_{01})$.

Next we define $t + 1$ auxiliary elements $\beta_0, \beta_1, \dots, \beta_{t-1}, \gamma$ in D^m (recall that $t = \log_2 m$), first by example. If $m = 8$ (so $t = 3$), then

$$\begin{aligned}\beta_0 &= (0, 1, 0, 1, 0, 1, 0, 1) \\ \beta_1 &= (0, 0, 1, 1, 0, 0, 1, 1) \\ \beta_2 &= (0, 0, 0, 0, 1, 1, 1, 1) \\ \gamma &= (b, b, a, b, a, a, a, b).\end{aligned}$$

Note that the columns on the right-hand side of the above equations, restricted to the β_i 's, are $\text{Bin}_3(0), \text{Bin}_3(1), \text{Bin}_3(2), \dots, \text{Bin}_3(7)$ respectively. In general,

Definition 10.

1. $\beta_0, \dots, \beta_{t-1} \in \{0, 1\}^m$ are defined so that $(\beta_0[i], \beta_1[i], \dots, \beta_{t-1}[i]) = \text{Bin}_t(i)$ for all $0 \leq i < m$.
2. The element $\gamma \in \{\mathbf{a}, \mathbf{b}\}^m$ is defined by $\gamma[i] = \mathbf{b}$ if $i = 2^k - 1$ for some $k \leq t$, and $\gamma[i] = \mathbf{a}$ otherwise.
3. $\mathbf{s} = (\beta_0, \beta_1, \dots, \beta_{t-1}, \gamma) \in (D^m)^{t+1}$.
4. $R_0 = \text{proj}(\mathbf{s}) = \{(\text{Bin}_t(i), \gamma[i]) : 0 \leq i < m\}$.

Example 8. If $m = 8$, then $R_0 = \{(0, 0, 0, \mathbf{b}), (1, 0, 0, \mathbf{b}), (0, 1, 0, \mathbf{a}), (1, 1, 0, \mathbf{b}), (0, 0, 1, \mathbf{a}), (1, 0, 1, \mathbf{a}), (0, 1, 1, \mathbf{a}), (1, 1, 1, \mathbf{b})\}$.

The elements $\beta_0, \dots, \beta_{t-1}, \gamma \in D^m$ and the relation R_0 will help us coordinatize P^m . The element γ helps to enforce some “rigidity” as explained in the next lemma.

Lemma 1. *Suppose σ is a self-map from $\{0, 1, \dots, t-1\}$ to itself, and $\mathbf{d} = (\beta_{\sigma(0)}, \beta_{\sigma(1)}, \dots, \beta_{\sigma(t-1)}, \gamma)$. If $\text{proj}(\mathbf{d}) \subseteq R_0$, then $\sigma(i) = i$ for all $i < t$.*

Once the constraint language Γ has been constructed, we will be intensely interested in the $(t+1)$ -ary relation S expressed by $(\mathcal{I}_m(\Gamma), \mathbf{s})$. This relation is equivalently defined as the set of images of $(\beta_0, \dots, \beta_{t-1}, \gamma)$ under the m -ary polymorphisms of Γ . We will be particularly interested in learning whether the $(t+1)$ -tuple $(\top, \top, \dots, \top)$ belongs to S . Call a map $f : D^m \rightarrow D$ *special* if it satisfies $f(\beta_0) = f(\beta_1) = \dots = f(\beta_{t-1}) = f(\gamma) = \top$. The intermediate aim of the construction of Γ is to achieve the following two competing goals:

1. If $h : D^m \rightarrow D$ is any special m -ary polymorphism of Γ , then h should map P^m to Δ ; moreover, the restriction of h to P^m should encode a tiling of $[2^m \times 2^m]$ by \mathcal{D} with initial condition \mathbf{w} .
2. Conversely, if τ is any tiling by \mathcal{D} of $[2^m \times 2^m]$ with initial condition \mathbf{w} , then there should exist a special m -ary polymorphism h of Γ whose restriction to P^m encodes τ .

An immediate consequence of these goals, when achieved, is that the expressible relation S will contain the constant tuple $(\top, \top, \dots, \top)$ if and only if \mathcal{D} tiles $[2^m \times 2^m]$ with initial condition \mathbf{w} . This will somehow help us in achieving the goals described at the beginning of Section 4.

4.2 Defining the Constraint Language Γ and the Test Relation R

Each relation in Γ will be constructed using the following recipe. Fix $k = 1$ or 2. Choose a k -ary relation \mathcal{H} on P^m and a k -ary relation C on Δ , subject to the requirement that \mathcal{H} factors as an m -fold product relation $\mathcal{H} = H_0 \times H_1 \times \dots \times H_{m-1}$ for some k -ary relations H_0, H_1, \dots, H_{m-1} on P . Then define the $(k+t+1)$ -ary relation $\mathcal{R}_{\mathcal{H} \Rightarrow C}$ on D as follows:

$$\begin{aligned}\mathcal{R}_{\mathcal{H} \Rightarrow C} = & \bigcup_{i=0}^{m-1} \{(\mathbf{x}, \mathbf{y}) \in P^k \times (\{0, 1\}^t \times \{\mathbf{a}, \mathbf{b}\}) : \mathbf{x} \in H_i, \mathbf{y} = (\text{Bin}_t(i), \gamma[i])\} \\ & \cup \{(\mathbf{x}, \mathbf{y}) \in \Delta^k \times \{\top, \perp\}^{t+1} : \perp \in \{\mathbf{y}[0], \dots, \mathbf{y}[t]\} \text{ or } \mathbf{x} \in C\} \\ & \cup \{(\infty, \infty, \dots, \infty)\}.\end{aligned}$$

Lemma 2. *For any relation $\mathcal{R}_{\mathcal{H} \Rightarrow C}$ constructed according to the recipe above:*

1. $\mathcal{R}_{\mathcal{H} \Rightarrow C} \subseteq (P^k \times \{0, 1\}^t \times \{\mathbf{a}, \mathbf{b}\}) \cup (\Delta^k \times \{\top, \perp\}^{t+1}) \cup \{\infty\}^{k+t+1}$.
2. For any $\mathbf{c} \in (D^m)^k$, $\text{proj}(\mathbf{c}, \beta_0, \beta_1, \dots, \beta_{t-1}, \gamma) \subseteq \mathcal{R}_{\mathcal{H} \Rightarrow C}$ if and only if $\mathbf{c} \in \mathcal{H}$.
3. For any $\mathbf{c} \in D^k$, $(\mathbf{c}, \top, \top, \dots, \top) \in \mathcal{R}_{\mathcal{H} \Rightarrow C}$ if and only if $\mathbf{c} \in C$.

Our first family of relations will encode the adjacency constraints of \mathcal{D} .

Definition 11. 1. For an integer $0 < x < 2^m$ define $\lg(x)$ to be the largest integer $0 \leq k < m$ such that 2^k divides x .

2. For $0 \leq k < m$ let $\mathcal{HA}^{(k)}, \mathcal{VA}^{(k)}$ be the following binary relations on P^m :

$$\begin{aligned}\mathcal{HA}^{(k)} &= \{(\mathbf{p}(x, y), \mathbf{p}(x+1, y)) : 0 \leq x, y < 2^m, x \neq 2^m-1, \lg(x+1) = k\} \\ \mathcal{VA}^{(k)} &= \{(\mathbf{p}(x, y), \mathbf{p}(x, y+1)) : 0 \leq x, y < 2^m, y \neq 2^m-1, \lg(y+1) = k\}.\end{aligned}$$

I.e., $\mathcal{HA}^{(k)}$ is the binary relation on P^m encoding those pairs $((x, y), (x+1, y))$ of horizontally adjacent elements of $[2^m \times 2^m]$ for which the reverse binary representation of x begins with k 1's followed by 0. The reader should verify that each of the relations $\mathcal{HA}^{(k)}, \mathcal{VA}^{(k)}$ factors as an m -fold product relation.

Example 9. If $m = 8$ and $k = 3$, then

$$\begin{aligned}\mathcal{HA}^{(3)} &= \{(\mathbf{p}_{10}, \mathbf{p}_{00}), (\mathbf{p}_{11}, \mathbf{p}_{01})\}^3 \times \{(\mathbf{p}_{00}, \mathbf{p}_{10}), (\mathbf{p}_{01}, \mathbf{p}_{11})\} \\ &\quad \times \{(\mathbf{p}_{00}, \mathbf{p}_{00}), (\mathbf{p}_{01}, \mathbf{p}_{01}), (\mathbf{p}_{10}, \mathbf{p}_{10}), (\mathbf{p}_{11}, \mathbf{p}_{11})\}^4.\end{aligned}$$

Definition 12. Recall that $\mathcal{D} = (\Delta, H, V)$. The set of adjacency relations is

$$\mathcal{A} = \{\mathcal{R}_{\mathcal{HA}^{(k)} \Rightarrow H} : 0 \leq k < m\} \cup \{\mathcal{R}_{\mathcal{VA}^{(k)} \Rightarrow V} : 0 \leq k < m\}.$$

For each $(x, y) \in [2^m \times 2^m]$, the singleton unary relation $\{\mathbf{p}(x, y)\}$ on P^m clearly factors as an m -fold product relation.

Definition 13. Recall that $\mathbf{w} = (w_0, \dots, w_{m-1})$. The set of initial relations is

$$\mathcal{I} = \{\mathcal{R}_{\{\mathbf{p}(k, 0)\} \Rightarrow \{\mathbf{w}_k\}} : 0 \leq k < m\}.$$

Definition 14. Our constraint language is $\Gamma = \mathcal{A} \cup \mathcal{I} \cup \{\mathcal{R}_{P^n \Rightarrow \Delta}\}$.

Finally, we define two further $(t+1)$ -ary relations on D . The first relation, R , is an easily constructed relation whose expressibility from Γ will be our chief interest; it may be informally defined as $\mathcal{R}_{\top \Rightarrow \perp}$ where \top and \perp are here being used to denote the 0-ary “true” and “false” relations on P^m and Δ respectively. The second relation, S , is easily defined but not easily constructed and is not claimed to be part of the output of our logspace construction.

Definition 15. Recall that $R_0 = \text{proj}(\mathbf{s})$ where $\mathbf{s} = (\beta_0, \beta_1, \dots, \beta_{t-1}, \gamma)$.

$$R = R_0 \cup (\{\top, \perp\}^{t+1} \setminus \{(\top, \top, \dots, \top)\}) \cup \{(\infty, \infty, \dots, \infty)\}$$

$$S = \{(h(\beta_0), h(\beta_1), \dots, h(\beta_{t-1}), h(\gamma)) : h \text{ is an } m\text{-ary polymorphism of } \Gamma\}.$$

4.3 Connecting Polymorphisms, Tilings, and Expressibility

For convenience, define the notation $\widehat{\top} = (\top, \top, \dots, \top)$ and $\widehat{\infty} = (\infty, \infty, \dots, \infty)$.

Lemma 3. 1. S is the smallest $(t+1)$ -ary relation expressible from Γ and containing R_0 .

2. $R \subseteq S \subseteq R \cup \{\widehat{\top}\}$.

3. R is expressible from Γ if and only if $\widehat{\top} \notin S$.

Proof. $S = \pi_{\mathbf{s}}(\text{Sol}(\mathcal{I}_m(\Gamma)))$, i.e., S is the relation expressed by $(\mathcal{I}_m(\Gamma), \mathbf{s})$ where $\mathbf{s} = (\beta_0, \dots, \beta_{t-1}, \gamma)$. (1) follows from this observation, the definition of R_0 , and Proposition 1. To prove $S \subseteq R \cup \{\widehat{\top}\}$, it thus suffices to show that $R \cup \{\widehat{\top}\}$ is expressible from Γ (as it clearly contains R_0). This is easy, since the primitive positive formula $\exists z \mathcal{R}_{P^m \Rightarrow \Delta}(z, x_0, x_1, \dots, x_t)$ defines $R \cup \{\widehat{\top}\}$. As (3) follows from (1) and (2), it remains only to prove $R \subseteq S$.

Clearly $R_0 \subseteq S$ by (1), and $\widehat{\infty} \in S$ since the constant function $D^m \rightarrow \{\infty\}$ is a polymorphism of Γ . Suppose now that $\mathbf{f} = (f_0, \dots, f_t) \in \{\top, \perp\}^{t+1} \setminus \{\widehat{\top}\}$. Pick any $d_0 \in \Delta$ and define $h_{\mathbf{f}} : D^m \rightarrow D$ by

$$h_{\mathbf{f}}(\mathbf{x}) = \begin{cases} d_0 & \text{if } \mathbf{x} \in P^m \\ f_i & \text{if } \mathbf{x} = \beta_i \text{ for some } i < t \\ f_t & \text{if } \mathbf{x} = \gamma \\ \perp & \text{if } \mathbf{x} \in \{0, 1\}^m \cup \{\mathbf{a}, \mathbf{b}\}^m \setminus \{\beta_0, \dots, \beta_{t-1}, \gamma\} \\ \infty & \text{otherwise.} \end{cases}$$

To prove $\mathbf{f} \in S$, it suffices to show that $h_{\mathbf{f}}$ is a polymorphism of Γ . We will show simply that $h_{\mathbf{f}}$ preserves each initial relation $\mathcal{R}_{\{\mathbf{p}(k,0)\} \Rightarrow \{w_k\}}$ at all $(t+2)$ -tuples in D^m , the proofs for the other relations being similar. Indeed, if this were false, then there would exist $\mathbf{c} = (\mathbf{x}, \mathbf{z}_0, \dots, \mathbf{z}_t) \in (D^m)^{t+2}$ with

- (a) $\text{proj}(\mathbf{c}) \subseteq \mathcal{R}_{\{\mathbf{p}(k,0)\} \Rightarrow \{w_k\}}$, but
- (b) $(h_{\mathbf{f}}(\mathbf{x}), h_{\mathbf{f}}(\mathbf{z}_0), \dots, h_{\mathbf{f}}(\mathbf{z}_t)) \notin \mathcal{R}_{\{\mathbf{p}(k,0)\} \Rightarrow \{w_k\}}$.

At least one of $h_{\mathbf{f}}(\mathbf{x}), h_{\mathbf{f}}(\mathbf{z}_0), \dots, h_{\mathbf{f}}(\mathbf{z}_t)$ must be different from ∞ . Hence by definition of $h_{\mathbf{f}}$, $\{\mathbf{x}, \mathbf{z}_0, \dots, \mathbf{z}_t\}$ is not disjoint from $P^m \cup \{0, 1\}^m \cup \{\mathbf{a}, \mathbf{b}\}^m$. This last fact, Lemma 2(1), and item (a) above then yield $\mathbf{x} \in P^m$, $\mathbf{z}_0, \dots, \mathbf{z}_{t-1} \in \{0, 1\}^m$, and $\mathbf{z}_t \in \{\mathbf{a}, \mathbf{b}\}^m$. Hence $(h_{\mathbf{f}}(\mathbf{x}), h_{\mathbf{f}}(\mathbf{z}_0), \dots, h_{\mathbf{f}}(\mathbf{z}_t)) = (d_0, f'_0, \dots, f'_t)$ for some $f'_0, \dots, f'_t \in \{\top, \perp\}$ (by the definition of $h_{\mathbf{f}}$). If $d_0 = w_k$ or at least one f'_i is \perp , then clearly $(d_0, f'_0, \dots, f'_t) \in \mathcal{R}_{\{\mathbf{p}(k,0)\} \Rightarrow \{w_k\}}$; hence $d_0 \neq w_k$ and all f'_i are \top . The definition of $h_{\mathbf{f}}$ then implies that $\mathbf{z}_t = \gamma$ and there exists a selfmap σ on $\{0, 1, \dots, t-1\}$ such that $\mathbf{z}_i = \beta_{\sigma(i)}$ for $i < t$. Lemma 1 then implies that $\sigma(i) = i$ for all $i < t$, so $\mathbf{c} = (\mathbf{x}, \beta_0, \dots, \beta_{t-1}, \gamma)$ with $\mathbf{x} \in P^m$. The definition of $h_{\mathbf{f}}$ then gives $(d_0, \top, \dots, \top) = (d_0, f_0, \dots, f_t)$, contradicting the assumption that $\mathbf{f} \neq \widehat{\top}$. \square

We can now prove the desired connection between tilings and expressibility.

Proposition 3. *The following are equivalent:*

1. R is not expressible from Γ .
2. $\hat{\top} \in S$.
3. \mathcal{D} tiles $[2^m \times 2^m]$ with initial condition \mathbf{w} .

Proof. (1) \Leftrightarrow (2) follows from Lemma 3.

(2) \Rightarrow (3). Assume $\hat{\top} \in S$; choose an m -ary polymorphism h of Γ satisfying $h(\beta_0) = \dots = h(\beta_{t-1}) = h(\gamma) = \top$. We first show that h maps P^m into Δ . Indeed, let $\mathbf{x} \in P^m$; then $\text{proj}((\mathbf{x}, \beta_0, \dots, \beta_{t-1}, \gamma)) \subseteq \mathcal{R}_{P^m \Rightarrow \Delta}$ by Lemma 2(2). As h is a polymorphism of Γ , it preserves $\mathcal{R}_{P^m \Rightarrow \Delta}$ at $(\mathbf{x}, \beta_0, \dots, \beta_{t-1}, \gamma)$; hence we get $(h(\mathbf{x}), h(\beta_0), \dots, h(\beta_{t-1}), h(\gamma)) \in \mathcal{R}_{P^m \Rightarrow \Delta}$, i.e., $(h(\mathbf{x}), \top, \dots, \top) \in \mathcal{R}_{P^m \Rightarrow \Delta}$. This with Lemma 2(3) implies $h(\mathbf{x}) \in \Delta$, as claimed.

Thus we may define a map $\tau_h : [2^m \times 2^m] \rightarrow \Delta$ by $\tau_h[i, j] = h(\mathbf{p}(i, j))$. Using the fact that h preserves the adjacency and initial relations at all tuples of the form $(\mathbf{x}, \mathbf{x}', \beta_0, \dots, \beta_{t-1}, \gamma)$ or $(\mathbf{x}, \beta_0, \dots, \beta_{t-1}, \gamma)$ respectively (\mathbf{x}, \mathbf{x}' varying over P^m), and using Lemma 2(2,3), one can show that τ_h is a tiling of $[2^m \times 2^m]$ with initial condition \mathbf{w} .

(3) \Rightarrow (2). Assume that τ is a tiling of $[2^m \times 2^m]$ by \mathcal{D} with initial condition \mathbf{w} . Define $h_\tau : D^m \rightarrow D$ by

$$h_\tau(\mathbf{x}) = \begin{cases} \tau[i, j] & \text{if } \mathbf{x} = \mathbf{p}(i, j) \text{ where } (i, j) \in [2^m \times 2^m] \\ \top & \text{if } \mathbf{x} \in \{\beta_0, \dots, \beta_{t-1}, \gamma\} \\ \perp & \text{if } \mathbf{x} \in \{0, 1\}^m \cup \{\mathbf{a}, \mathbf{b}\}^m \setminus \{\beta_0, \dots, \beta_{t-1}, \gamma\} \\ \infty & \text{otherwise.} \end{cases}$$

It suffices to prove that h_τ is a polymorphism of Γ . We repeat the proof that $h_\mathbf{f}$ preserves $\mathcal{R}_{\{\mathbf{p}(k,0)\} \Rightarrow \{w_k\}}$ in the proof of Lemma 3, replacing $h_\mathbf{f}$ with h_τ . Again, we suppose for the sake of contradiction that we have $\mathbf{c} = (\mathbf{x}, \mathbf{z}_0, \dots, \mathbf{z}_t) \in (D^m)^{t+2}$ with

- (a) $\text{proj}(\mathbf{c}) \subseteq \mathcal{R}_{\{\mathbf{p}(k,0)\} \Rightarrow \{w_k\}}$, but
- (c) $(h_\tau(\mathbf{x}), h_\tau(\mathbf{z}_0), \dots, h_\tau(\mathbf{z}_t)) \notin \mathcal{R}_{\{\mathbf{p}(k,0)\} \Rightarrow \{w_k\}}$.

Arguing as before, we get

- (d) $\mathbf{c} = (\mathbf{x}, \beta_0, \dots, \beta_{t-1}, \gamma)$, and
- (e) $\mathbf{x} \in P^m$ and $h_\tau(\mathbf{x}) \neq w_k$.

Items (a) and (d), with Lemma 2, imply $\mathbf{x} = \mathbf{p}(k, 0)$. Hence $h_\tau(\mathbf{x}) = \tau[k, 0]$, which with item (e) contradicts the fact that τ satisfies \mathbf{w} at Row_0 . \square

As $|R| = 3m$, Corollary 1 implies that if R is expressible from Γ then R can be expressed by a $\text{CSP}(\Gamma)$ instance having $|D|^{3m}$ variables, while if R is not expressible from Γ then this is witnessed by a polymorphism of Γ of arity $3m$. We can slightly improve this. On the one hand, Lemma 3 clearly implies:

Corollary 2. *If R is not expressible from Γ , then this is witnessed by an m -ary polymorphism.*

Conversely, a careful examination of the proof of Proposition 3(2) \Rightarrow (3) shows that the only constraints on h needed to complete the proof are ones involving the values of h at elements of $P^m \cup \{\beta_0, \dots, \beta_{t-1}, \gamma\}$. Hence:

Corollary 3. *If R is expressible from Γ , then it can be expressed by an instance of $\text{CSP}(\Gamma)$ (or a primitive positive formula over Γ) with $2^{2^m} + t + 1$ variables.*

4.4 Refining Proposition 3

Proposition 4. *Suppose R is not expressible from Γ and this is witnessed by some polymorphism of Γ of arity $k < m$. Then there exists a tiling τ of $[2^m \times 2^m]$ by \mathcal{D} with initial condition \mathbf{w} with the property that every row of τ is repeated.*

Proof. Let h be the k -ary polymorphism of Γ ; choose $\mathbf{c} = (\alpha_0, \alpha_1, \dots, \alpha_t) \in (D^k)^{t+1}$ such that $\text{proj}(\mathbf{c}) \subseteq R$ but $(h(\alpha_0), \dots, h(\alpha_t)) \notin R$. Since S is expressible from Γ , h preserves S at \mathbf{c} , so $(h(\alpha_0), \dots, h(\alpha_t)) \in S$. As $S \setminus R = \{\hat{\top}\}$, we get $h(\alpha_i) = \top$ for all $i \leq t$.

For each $1 \leq i \leq k$ let $\mathbf{c}_i = (\alpha_0[i], \dots, \alpha_t[i]) \in R$. Define

$$\begin{aligned} M &= \{i : \mathbf{c}_i \in R_0\} \\ Q &= \{i : \mathbf{c}_i \in \{\top, \perp\}^{t+1} \setminus \{\hat{\top}\}\} \\ Z &= \{i : \mathbf{c}_i = \hat{\infty}\}. \end{aligned}$$

For each $i \in M$, define $\sigma(i)$ to be the unique $j \in \{0, 1, \dots, m-1\}$ such that $\mathbf{c}_i = (\beta_0[j], \dots, \beta_{t-1}[j], \gamma[j])$. Now define a map $\lambda : [2^m \times 2^m] \rightarrow D^k$ as follows: given $(x, y) \in [2^m \times 2^m]$ and $1 \leq i \leq k$,

$$\lambda(x, y)[i] = \begin{cases} \mathbf{p}(x, y)[j] & \text{if } i \in M \text{ and } \sigma(i) = j \\ \top & \text{if } i \in Q \\ \infty & \text{if } i \in Z. \end{cases}$$

We will use λ to “represent” the elements of $[2^m \times 2^m]$ as elements of D^k (though we will see below that λ is not injective). We now loosely follow the proof of Proposition 3(2) \Rightarrow (3). Suppose $(x, y) \in [2^m \times 2^m]$ and let $\mathbf{x} = \lambda(x, y)$. One can check that $\text{proj}(\mathbf{x}, \alpha_0, \dots, \alpha_t) \subseteq \mathcal{R}_{P^m \Rightarrow \Delta}$. As h is a polymorphism, this implies $(h(\mathbf{x}), h(\alpha_0), \dots, h(\alpha_t)) \in \mathcal{R}_{P^m \Rightarrow \Delta}$, i.e., $(h(\mathbf{x}), \top, \dots, \top) \in \mathcal{R}_{P^m \Rightarrow \Delta}$. Hence $h(\mathbf{x}) \in \Delta$. Thus we may define a map $\tau_h : [2^m \times 2^m] \rightarrow \Delta$ by $\tau_h[x, y] = h(\lambda(x, y))$. As in the proof of Proposition 3(2) \Rightarrow (3), it will follow that τ_h is a tiling of $[2^m \times 2^m]$ by \mathcal{D} with initial condition \mathbf{w} .

Observe that $|M| \leq k < m$, so the map σ is not surjective. Pick some $0 \leq j < m$ with $j \notin \text{range}(\sigma)$. Then the map λ has the property that if $x, x', y, y' \in \{0, 1, \dots, 2^m - 1\}$ and the binary representations of x and x' (y and y') agree everywhere except at bit j , then $\lambda(x, y) = \lambda(x', y')$. The same must therefore be true of the tiling τ_h . Hence every row (and every column) of τ_h is repeated. \square

Proposition 5. *Suppose R can be expressed from Γ by an instance of $\text{CSP}(\Gamma)$ (or primitive positive formula) with $k < 2^{2^m}$ variables. Then there exists a subset $U \subseteq [2^m \times 2^m]$ with $|U| \leq k$ such that \mathcal{D} does not tile U with initial condition \mathbf{w} .*

Proof. Choose an instance $\mathcal{P} = (X, D, \mathcal{C})$ of $\text{CSP}(\Gamma)$ and a $(t+1)$ -tuple $\mathbf{s} = (s_0, \dots, s_t)$ of variables from X such that $(\mathcal{P}, \mathbf{s})$ expresses R and $|X| = k$. Thus

$$R = \{(h(s_0), \dots, h(s_t)) : h \in \text{Sol}(\mathcal{P})\}. \quad (1)$$

For each $h \in \text{Sol}(\mathcal{P})$ define $\mathbf{c}_h = (h(s_0), \dots, h(s_t)) \in R$. Define

$$\begin{aligned} M &= \{h \in \text{Sol}(\mathcal{P}) : \mathbf{c}_h \in R_0\} \\ Q &= \{h \in \text{Sol}(\mathcal{P}) : \mathbf{c}_h \in \{\top, \perp\}^{t+1} \setminus \{\widehat{\top}\}\} \\ Z &= \{h \in \text{Sol}(\mathcal{P}) : \mathbf{c}_h = \widehat{\infty}\}. \end{aligned}$$

Next define

$$\mathcal{A} = \{x \in X : [h(x) \in P \ \forall h \in M] \ \& \ [h(x) \in \Delta \ \forall h \in Q] \ \& \ [h(x) = \infty \ \forall h \in Z]\}.$$

Similarly, define \mathcal{B} to be the set of all $x \in X$ whose values under h in M, Q, Z are in $\{0, 1\}$, $\{\top, \perp\}$ and $\{\infty\}$ respectively; and define \mathcal{E} to be the set of all $x \in X$ whose values under h in M, Q, Z are in $\{\mathbf{a}, \mathbf{b}\}$, $\{\top, \perp\}$ and $\{\infty\}$ respectively;

For each $0 \leq i < m$ choose $h_i \in M$ so that $(h_i(s_0), \dots, h_i(s_t)) = (\text{Bin}_t(i), \gamma[i])$. (Such h_i must exist by equation 1.) Now define $\lambda : \mathcal{A} \rightarrow P^m$ as follows: for $x \in \mathcal{A}$ and $0 \leq i < m$, put $\lambda(x)[i] = h_i(x)$.

Define $U = \{(i, j) \in [2^m \times 2^m] : \mathbf{p}(i, j) \in \text{range}(\lambda)\}$. Clearly $|U| \leq |\mathcal{A}| \leq |X| = k$. We claim that \mathcal{D} cannot tile U with initial condition \mathbf{w} . Assume to the contrary that $\tau : U \rightarrow \Delta$ is such a tiling. Define $h_\tau : X \rightarrow \Delta$ by

$$h_\tau(x) = \begin{cases} \tau[i, j] & \text{if } x \in \mathcal{A} \text{ and } \lambda(x) = \mathbf{p}(i, j) \\ \top & \text{if } x = s_j \text{ for some } 0 \leq j \leq t \\ \perp & \text{if } x \in \mathcal{B} \cup \mathcal{E} \setminus \{s_0, \dots, s_t\} \\ \infty & \text{otherwise.} \end{cases}$$

It can be shown, essentially following the proof of Proposition 3(3 \Rightarrow 2), that h_τ is a solution of \mathcal{P} . But this with the fact that $(h_\tau(s_0), \dots, h_\tau(s_t)) = \widehat{\top} \notin R$ contradicts equation 1. \square

5 Conclusion

Proof of Theorem 1. Given $n = 3m$ where $m = 2^t$, $t > 1$, take \mathcal{D}_e and \mathbf{w}_m as in Proposition 2(1), and let (D, Γ_n, R_n) be the output of our construction on input $(\mathcal{D}_e, m, \mathbf{w}_m)$. (Note that D is independent of n , and $|D| = 22$ if we use the specific domino system \mathcal{D}_e described in Subsection 3.1.) We have $|R_n| = 3m = n$. By Proposition 3, R_n is expressible from Γ_n but, by Proposition 5, not by any $\text{CSP}(\Gamma_n)$ instance having fewer than 2^m variables. \square

Proof of Theorem 2. Follows similarly from Propositions 2(2), 3 and 4. \square

Proof sketch of Theorem 3. Let $\text{EXPTILE}_2(\mathcal{D})$ be the restriction of $\text{EXPTILE}(\mathcal{D})$ to inputs $(\mathcal{D}, m, \mathbf{w})$ where $m = 2^t$, $t > 1$. Standard modifications of the proof of [2, Theorem 6.1.2], replacing the torus with the plane as in [10], show that every problem $\mathcal{P} \in \mathbf{NEXPTIME}$ has a logspace reduction to $\text{EXPTILE}_2(\mathcal{D})$ for some domino system \mathcal{D} . Via a “universal domino system” argument we can get a single domino system $\mathcal{D}_u = (\Delta_u, H_u, V_u)$ such that $\text{EXPTILE}_2(\mathcal{D}_u)$ is **NEXPTIME**-complete. Let $d = |\Delta_u| + 11$. Our construction and Proposition 3 give a logspace reduction of $\text{EXPTILE}_2(\mathcal{D}_u)$ to the restriction of $\neg\text{EXPR}$ to d -element domains. \square

We end with two questions.

1. Can d in Theorem 3 be reduced to $d = 3$, confirming the AIM conjecture?
2. Can Theorems 1–3 be improved so that both the domain *and* the constraint language are fixed and only the test relation varies? (Such an improvement of Theorem 3 would complement a result of Kozik for functions [16].)

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