

# INTRODUCTORY C\*-ALGEBRA THEORY

The following notes give the most basic results in C\*-algebras. They have stolen liberally from W. Arveson's *An Invitation to C\*-algebras*, where the reader will surely find the writing better and more concise.

**Definition.** A C\*-algebra is a Banach space  $\mathcal{A}$ , equipped with an associative bilinear multiplication  $(a, b) \mapsto ab$  and a conjugate linear, anti-multiplicative involution  $a \mapsto a^*$  (i.e.  $(ab)^* = b^*a^*$ ,  $(a^*)^* = a$ ), satisfying the following additional properties for each  $a$  and  $b$ :

$$\begin{aligned} \text{(submultiplicativity)} \quad & \|ab\| \leq \|a\| \|b\| \\ \text{(isometric involution)} \quad & \|a^*\| = \|a\| \\ \text{(C*-identity)} \quad & \|a^*a\| = \|a\|^2 \end{aligned}$$

A linear algebra homomorphism between C\*-algebras  $\pi : \mathcal{A} \rightarrow \mathcal{B}$  which is self-adjoint, i.e.  $\pi(a^*) = \pi(a)^*$ , is called a *\*-homomorphism*. It is called a *\*-isomorphism* if it is bijective.

If we maintain only the axioms above which have nothing to do with involution, we obtain a *Banach algebra*.

**Examples.** (i) Let  $X$  be a locally compact Hausdorff space. Consider the space of continuous functions vanishing at infinity  $\mathcal{C}_0(X)$  with pointwise addition and multiplication, involution  $f^*(x) = \overline{f(x)}$  and uniform norm  $\|\cdot\|_\infty$ . This is easily checked to be a C\*-algebra. Check that  $\mathcal{C}_0(X)$  is unital (admits multiplicative identity) if and only if  $X$  is compact; we write  $\mathcal{C}(X)$ , in this case.

(ii) Consider bounded operators on a Hilbert space,  $\mathcal{B}(\mathcal{H})$ , with pointwise addition, composition multiplication and involution defined by  $\langle a^*\xi|\eta \rangle = \langle \xi|a\eta \rangle$ , for  $\xi, \eta$  in  $\mathcal{H}$ . This too can, and should, be checked to be a C\*-algebra. If  $\mathcal{H} = \ell^2(n)$  is finite dimensional, identify  $\mathcal{B}(\ell^2(n)) = M_n$ . Then  $[a_{ij}]^* = [\overline{a_{ji}}]$ .

(iii) Any closed subalgebra  $\mathcal{A}$  of  $\mathcal{B}(\mathcal{H})$  which is *self-adjoint*, i.e.  $a^* \in \mathcal{A}$  whenever  $a \in \mathcal{A}$ , is a C\*-algebra.

We say a C\*-algebra  $\mathcal{A} \neq \{0\}$  is *unital* if it admits a multiplicative identity 1. Observe that  $1^*a = (a^*1)^* = a$ , and similarly  $a1^* = a$ , hence 1\* functions as a multiplicative identity, so  $1^* = 1^*1 = 1$ . Moreover  $\|1\| = \|1^*1\| = \|1\|^2$ , and, since  $1 \neq 0$ ,  $\|1\| = 1$ . Similarly check that any unitary element  $u$ , i.e.  $u^*u = 1 = uu^*$ , satisfies  $\|u\| = 1$ .

**Unitization.** Any non-unital  $C^*$ -algebra  $\mathcal{A}$  embeds into a unital  $C^*$ -algebra  $\mathcal{A}_1$ .

**Proof.** Consider the space  $\mathcal{A}_1 = \mathcal{A} \oplus \mathbb{C}$  with product  $(a, \lambda)(b, \mu) = (ab + \lambda b + \mu a, \lambda\mu)$ , involution  $(a, \lambda)^* = (a^*, \bar{\lambda})$  and norm  $\|(a, \lambda)\| = \sup\{\|ab + \lambda b\| : b \in \mathcal{A}, \|b\| \leq 1\}$ . Check that this is a unital  $C^*$ -algebra with identity  $1 = (0, 1)$ .  $\square$

We define the *spectrum* of an element  $a$  in a unital  $\mathcal{A}$  in the same way as for operators:

$$\sigma(a) = \{\lambda \in \mathbb{C} : a - \lambda 1 \text{ admits no inverse in } \mathcal{A}\}.$$

Notice that the usual arguments from functional analysis hold, and  $\sigma(a)$  is always a non-empty compact subset of  $\mathbb{C}$ . In particular we get Beurling's spectral radius formula:

$$r(a) = \sup\{|\lambda| : \lambda \in \sigma(a)\} = \lim_{n \rightarrow \infty} \|a^n\|^{1/n}.$$

(In fact, these remain true in any unital Banach algebra.)

Iterations of the  $C^*$ -identity show that for any hermitian element  $a$  in  $\mathcal{A}$ , i.e.  $a = a^*$ ,  $\|a^{2^n}\| = \|a\|^{2^n}$ . It follows from the spectral radius formula that  $r(a) = \|a\|$ .

#### COMMUTATIVE $C^*$ -ALGEBRAS AND NORMAL FUNCTIONAL CALCULUS

Let us observe that Example (i), above, is generic for commutative  $C^*$ -algebras.

**Commutative Gelfand-Naimark Theorem.** Let  $\mathcal{A}$  be a commutative unital  $C^*$ -algebra. Then there is a locally compact space  $\Omega$  for which  $\mathcal{A} \cong \mathcal{C}_0(\Omega)$ , isometrically and  $*$ -isomorphically.

**Proof.** We let

$$\Omega = \{\omega : \mathcal{A} \rightarrow \mathbb{C} \mid \omega \text{ is a continuous algebra homomorphism, } \omega \neq 0\}.$$

Observe that each functional  $\omega$  in  $\Omega$  is contractive. Indeed, if  $a$  in  $\mathcal{A}$  with  $\|a\| < 1$ , then  $\omega(a)^n = \omega(a^n) \rightarrow 0$  as  $n \rightarrow \infty$ , so  $|\omega(a)| < 1$ . If  $(\omega_\alpha)$  is a net in  $\Omega$ , converging weakly to  $\omega$  in the dual space  $\mathcal{A}^*$ , then it is easy to

check that  $\omega \in \Omega_1 = \Omega \cup \{0\}$ . Hence, by the Banach-Alaoglu theorem,  $\Omega_1$  is weak\*-compact, hence  $\Omega$  is weak\* locally compact. Furthermore if  $\mathcal{A}$  is unital, we see that  $\omega(1) = 1$ , hence  $\omega \in \Omega$ , in which case we see that  $\Omega$  itself is weak\*-compact.

Note that if  $\mathcal{A}$  is non-unital, then each  $\omega$  in  $\Omega$  extends uniquely to  $\mathcal{A}_1$ . Also the functional  $(a, \lambda) \mapsto \lambda$  uniquely extends the zero functional to a multiplicative linear functional of  $\mathcal{A}_1$ .

Thus let us, until said otherwise, assume that  $\mathcal{A}$  is unital.

Let us show that  $\sigma(a) = \{\omega(a) : \omega \in \Omega\}$  for  $a$  in  $\mathcal{A}$ . Indeed, it suffices to show that any non-invertible  $a_0$  admits an  $\omega$  in  $\Omega$  for which  $\omega(a_0) = 0$ . Now for such  $a_0$ ,  $\mathcal{A}a_0$  is a proper ideal in  $\mathcal{A}$ , hence by a standard Zorn's lemma argument for unital algebras,  $\mathcal{A}a_0$  is contained in a maximal proper ideal  $\mathcal{J}$ . Notice that since any element within distance 1 of the identity 1 is invertible,  $\text{dist}(1, \mathcal{J}) = 1$ . Hence it follows that the closure  $\overline{\mathcal{J}}$  is also a proper ideal, so  $\mathcal{J}$  is itself closed. Furthermore  $\mathcal{B} = \mathcal{A}/\mathcal{J}$  contains no proper ideals (since such would return an ideal in  $\mathcal{A}$  containing  $\mathcal{J}$ ), so all elements in  $\mathcal{B}$  are invertible. Notice that  $\mathcal{B}$  is a Banach algebra with norm  $\|b + \mathcal{J}\| = \text{dist}(b, \mathcal{J})$  and identity  $1 + \mathcal{J}$ . If we had  $b + \mathcal{J} \neq \lambda 1 + \mathcal{J}$  for any  $\lambda$ , then the spectrum of  $b + \mathcal{J}$  in  $\mathcal{B}$  would be empty, which is impossible. (The modest argument of this last sentence often goes by the name Banach-Mazur theorem.) Hence  $\mathcal{B} = \mathbb{C}1 + \mathcal{J}$ . In particular if we let  $\omega(b)$  be defined by  $b + \mathcal{J} = \omega(b)1 + \mathcal{J}$ , then it is easy to check that  $b \mapsto \omega(b)$  defines a continuous character on  $\mathcal{A}$ , which vanishes on our prescribed non-invertible  $a_0$ .

Let us show that each  $\omega$  in  $\Omega$  is self-adjoint, i.e. for each hermitian  $a$  in  $\mathcal{A}$ ,  $\omega(a) \in \mathbb{R}$ . For such  $a$  we and  $t$  in  $\mathbb{R}$  we have that  $\exp(ita)$  — whose power series converges in  $\mathcal{A}$  — is unitary:  $\exp(ita)^* = \exp(-ita) = \exp(ita)^{-1}$ . Thus we see that

$$\begin{aligned} 1 &\geq |\omega(\exp(ita))| = |\exp(it\omega(a))| = |\exp(it\text{Re}\omega(a) - t\text{Im}\omega(a))| \\ &= |\exp(it\text{Re}\omega(a))| |\exp(-t\text{Im}\omega(a))| = |\exp(-t\text{Im}\omega(a))| \end{aligned}$$

for all  $t$ , which shows that  $\text{Im}\omega(a) = 0$ . It thus follows that  $\omega(a^*) = \overline{\omega(a)}$  (indeed write  $a = \text{Re}a + i\text{Im}a = \frac{1}{2}(a + a^*) + \frac{1}{2i}(ia - ia^*)$ ).

We let  $\Omega$  be endowed with the weak\*-topology. Now define  $\Gamma : \mathcal{A} \rightarrow \mathcal{C}(\Omega)$  by  $\Gamma(a)(\omega) = \omega(a)$ . The topology on  $\Omega$  is defined exactly to facilitate that  $\Gamma(\mathcal{A})$  indeed consists of continuous functions. It is trivial to check that  $\Gamma$  is a \*-homomorphism; in particular  $\Gamma(a^*) = \Gamma(a)^*$ , thanks to the last paragraph. For hermitian  $a$  we have  $\|a\| = r(a) = \|\Gamma(a)\|_\infty$ , using facts gathered above.

Hence for general  $a$  we have  $\|a\|^2 = \|a^*a\| = \|\Gamma(a)^*\Gamma(a)\|_\infty = \|\Gamma(a)\|^2$ . Thus  $\Gamma$  is an isometry, and hence has closed range. Moreover,  $\Gamma(\mathcal{A})$  is a point separating, conjugate-closed subalgebra of  $\mathcal{C}(\Omega)$ . Hence by Stone-Weierstrauss theorem,  $\Gamma$  is surjective.

Now if  $\mathcal{A}$  is non-unital, we obtain isometric  $*$ -isomorphism  $\Gamma : \mathcal{A}_1 \rightarrow \mathcal{C}(\Omega_1)$ . Restricting  $\Gamma$  to  $\mathcal{A}$  gives range  $\mathcal{C}_0(\Omega)$ .  $\square$

The set  $\Omega$ , above, is called the *Gelfand spectrum* of  $\mathcal{A}$ , and frequently denoted  $\widehat{\mathcal{A}}$ . The map  $\Gamma_{\mathcal{A}} = \Gamma : \mathcal{A} \rightarrow \mathcal{C}_0(\widehat{\mathcal{A}})$  is called the *Gelfand transform*. Notice that if  $\mathcal{A}$  is separable, then by metrisation theorem  $\Omega \subset \{f \in \mathcal{A}^* : \|f\| \leq 1\}$  is metrisable.

We should remark the following. It contains aspects of measure theory and topology which the reader should review.

**Proposition.** *If  $X$  is a locally compact Hausdorff space, then  $\Omega = \widehat{\mathcal{C}_0(X)} \cong X$ , homeomorphically and  $\Gamma_{\mathcal{C}_0(X)}$  is the identity operator.*

**Proof.** It is obvious that for each  $x$  in  $X$ ,  $\omega_x(f) = f(x)$  defines a continuous multiplicative linear functional on  $\mathcal{C}_0(X)$ , and that  $x \mapsto \omega_x$  is continuous (weak\* topology used on  $\mathcal{C}_0(X)$ ).

Let us see that all such characters arise accordingly. We use the Riesz representation theorem from measure theory,  $\mathcal{C}_0(X)^* \cong M(X)$  (complex measures on  $X$ ). If  $\omega \in \Omega$ , there is a real signed measure  $\mu$  such that  $\omega(f) = \int_X f d\mu$ . If  $\text{supp}\mu$  contains two distinct points, we could use Tietze's extension theorem to find  $f, g$  in  $\mathcal{C}_c(X)$  for which  $\omega(f) \neq 0 \neq \omega(g)$ , but  $fg = 0$ . This clearly violates that  $\omega$  is multiplicative. Hence  $\text{supp}\mu$  is a singleton. This means that  $\mu = \delta_x$ , the Dirac measure at  $x$ , so  $\omega = \omega_x$ .

By Uryzohn's lemma  $x \mapsto \omega_x$  is injective. In the case that  $X$  is not compact, the map extends uniquely to the one-point compactification  $X_\infty$ , by  $\infty \mapsto 0$ . Hence we obtain a continuous bijection  $X \cong \Omega$  if  $X$  is compact, and  $X_\infty \cong \Omega \cup \{0\}$  otherwise. In either case we obtain a homeomorphism  $X \cong \Omega$ .  $\square$

Here is a significant reason for wishing to know the commutative Gelfand-Naimark theorem.

**Normal Functional Calculus.** *Let  $a$  be a normal element in a  $C^*$ -algebra  $\mathcal{A}$ , i.e.  $a^*a = aa^*$  and let  $C_1^*(a)$  denote the closure of the algebra  $\text{alg}(a, a^*, 1)$*

generated by  $a$ ,  $a^*$  and  $1$ . Then there is a unique  $*$ -homomorphism  $f \mapsto f(a) : \mathcal{C}(\sigma(a)) \rightarrow C_1^*(a)$  which sends  $\text{id}$  to  $a$ .

**Proof.** Since  $\sigma(a) = \{\omega(a) : \omega \in \Omega = \widehat{C_1^*(a)}\}$ , and elements of  $\Omega$  are self-adjoint, the function  $\Gamma(a) : \Omega \rightarrow \sigma(a)$  is continuous and bijective, hence a homeomorphism. Thus the map  $b \mapsto \Gamma(b) \circ \Gamma(a)^{-1}$  is a  $*$ -isomorphism from  $C_1^*(a)$  onto  $\mathcal{C}(\sigma(a)) = \{f \circ \Gamma(a)^{-1} : f \in \mathcal{C}(\Omega)\}$ . It is evident that  $a$  is sent to  $\text{id}$ . Furthermore this map is uniquely defined on all elements of  $\text{alg}(a, a^*, 1)$ , hence extends uniquely to  $C_1^*(a)$ .  $\square$

Observe that the functional calculus, above, sends any polynomial function  $z \mapsto p(z, \bar{z})$  on  $\sigma(a)$  to  $p(a, a^*)$ . Hence the notation  $f \mapsto f(a)$  is suggestive and warranted.

We observe that algebra homomorphisms  $\omega : \mathcal{A} \rightarrow \mathbb{C}$  are automatically contractive, without assuming continuity as we did above. Indeed, suppose  $\mathcal{A}$  is unital (or work within  $\mathcal{A}_1$ , as above). If  $|\omega(a)| > \|a\|$  for some  $a$ , then  $1 - \frac{1}{\omega(a)}a$  is invertible, and hence so too is  $b = \omega(a)1 - a$ . But then  $\omega(b) = \omega(a)\omega(1) - \omega(a) = 0$ , whence  $\omega(1) = \omega(b)\omega(b^{-1}) = 0$ , which is absurd. We aim to see that all  $*$ -homomorphisms enjoy the same property.

The following is a beautiful illustration of the power of functional calculus.

**Automatic Continuity of  $*$ -Homomorphisms.** *Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $C^*$ -algebras and  $\pi : \mathcal{A} \rightarrow \mathcal{B}$  a  $*$ -homomorphism. Then  $\pi$  is contractive. Furthermore, if  $\pi$  is injective, it is isometric.*

**Proof.** We first suppose that  $\mathcal{A}$  and  $\mathcal{B}$  are unital and  $\pi(1) = 1$ . Then  $\sigma(\pi(a)) \subseteq \sigma(a)$  for each  $a$ , i.e.  $\pi$  takes invertibles to invertibles, but may take non-invertibles to invertibles. Hence

$$\|a\|^2 = \|a^*a\| = r(a^*a) \leq r(\pi(a^*a)) = \|\pi(a)\|^2.$$

Thus  $\pi$  is contractive.

Suppose  $\pi$  is injective. Let  $a$  be hermitian. Suppose  $f$  in  $\mathcal{C}(\sigma(a))$  satisfies  $f|_{\sigma(\pi(a))} = 0$ . Approximate  $f$  uniformly by polynomials  $(p_n)_{n=1}^\infty$  on  $\sigma(a)$ . Observe  $p_n(\pi(a)) = \pi(p_n(a))$ , and hence by continuity of functional calculus  $f(\pi(a)) = \pi(f(a))$ . Our assumptions on  $f$  provide  $f(\pi(a)) = 0$ , hence the injectivity of  $\pi$  provides  $f(a) = 0$ . But then  $f = 0$  on  $\sigma(a)$ , so  $\sigma(\pi(a)) = \sigma(a)$ .

Hence  $r(a) = r(\pi(a))$  for any hermitian  $a$ , from which it follows from the  $C^*$ -identity, as above, that  $\pi$  is isometric.

Suppose that  $\mathcal{A}$  is not unital. Construct the unitisation  $\mathcal{A}_1$  as above. By replacing  $\mathcal{B}$  by  $\mathcal{B}_1$ , if necessary, we may suppose that  $\mathcal{B}$  is unital. Define  $\pi_1 : \mathcal{A}_1 \rightarrow \mathcal{B}$  by  $\pi_1(a, \lambda) = \pi(a) + \lambda 1$ . It is easy to see that  $\pi_1$  is a  $*$ -homomorphism.  $\pi = \pi_1|_{\mathcal{A}}$  is contractive. Furthermore  $\pi_1$  is injective if  $\pi$  is injective, and hence isometric in that case, whence so too is  $\pi$ .  $\square$

It is more delicate, but not too hard, to obtain that the quotient of any  $C^*$ -algebra by a self-adjoint ideal is again a  $C^*$ -algebra. Once that is known, we see that the induced map  $\tilde{\pi} : \mathcal{A}/\ker \pi \rightarrow \mathcal{B}$  is an isometry, hence has closed range, which is necessarily a  $C^*$ -algebra in  $\mathcal{B}$ . Details are left for the reader to look up.

#### STATES AND REPRESENTATIONS

Our ultimate goal is to see that Example (iii), above, is generic. That is, for any  $C^*$ -algebra  $\mathcal{A}$ , there is an injective  $*$ -homomorphism  $\pi : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$ . Such a homomorphism will be called a *faithful representation*. Notice for commutative  $\mathcal{A} \cong \mathcal{C}_0(\Omega)$ , the map  $J : \mathcal{A} \rightarrow \mathcal{B}(\ell^2(\Omega))$ ,  $(Ja)\xi(\omega) = \Gamma_{\mathcal{A}}(a)(\omega)\xi(\omega)$  already suffices. In a certain sense, we will generalise this construction to a non-commutative setting.

A linear functional  $f : \mathcal{A} \rightarrow \mathbb{C}$  is *positive* if  $f(a^*a) \geq 0$  for each  $a$  in  $\mathcal{A}$ . We define a sesquilinear form on  $\mathcal{A}$ :

$$(a, b) \mapsto [a|b]_f = f(b^*a).$$

This form thus satisfies the *Cauchy-Schwarz inequality*:

$$|[a|b]_f| \leq [a|a]_f^{1/2}[b|b]_f^{1/2}.$$

**Proposition.** *A positive linear functional on a unital  $C^*$ -algebra  $\mathcal{A}$  is bounded with  $\|f\| = f(1)$ .*

**Proof.** Notice that  $\|f\| \geq f(1)$  since  $\|1\| = 1$ .

First observe that if  $a$  is hermitian and  $\|a\| \leq 1$ , then each of  $1 \pm a$  admits a hermitian square root, i.e.  $b_{\pm}$  hermitian so  $b_{\pm}^2 = 1 \pm a$ . This is a consequence of functional calculus. Indeed, self-adjointness of multiplicative linear functionals and the fact that  $r(a) = \|a\|$  implies that  $\sigma(\pm a) \subseteq [-1, 1]$ , and  $1 \pm \text{id}$  is positive in  $\mathcal{C}[-1, 1]$ , and hence admits a self-adjoint square root.

Now the Cauchy-Schwarz inequality implies that  $|f(a)| = |f(1^*a)| \leq f(1)f(a^*a)$ . Hence it suffices to show that  $|f(a)| \leq f(1)$  for any hermitian  $a$  with  $\|a\| \leq 1$ . As above write  $1 \pm a = b_{\pm}^2 = b_{\pm}^* b_{\pm}$  so  $0 \leq f(1 \pm a) = f(1) \pm f(a)$ , so  $f(a)$  is real and  $|f(a)| \leq f(1)$ .  $\square$

If  $f$  is a positive functional on a unital  $C^*$ -algebra with  $f(1) = 1$ , we call  $f$  a *state*. The set of states on  $\mathcal{A}$  will be denoted  $\mathcal{S}(\mathcal{A})$ . Notice that in the case that  $\mathcal{A} \cong \mathcal{C}(\Omega)$ , states are in bijective correspondence with regular Borel probability measures on  $\Omega$ .

If  $\mathcal{A}$  is unital and  $\pi : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$  is a representation, i.e. a unital  $*$ -homomorphism, then for  $\xi$  in  $\mathcal{H}$ ,  $\pi_{\xi, \xi}(a) = \langle \pi(a)\xi | \xi \rangle$  is a positive functional, as can be easily checked. [In fact, if  $\mathcal{A}$  is unital, we may use the above proposition, and the uniform boundedness principle to show that  $\pi$  is bounded, a weak version of our automatic continuity theorem, above.]  $\pi_{\xi, \xi} \in \mathcal{S}(\mathcal{A})$  if and only if  $\|\xi\| = 1$ .

Below is one of the most beautiful constructions in all of operator algebras.

**Gelfand-Naimark Construction.** *Let  $\mathcal{A}$  be a unital  $C^*$ -algebra and  $f$  be a state. Then there is a Hilbert space  $\mathcal{H}_f$ , a representation  $\pi_f : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H}_f)$  and a unit vector  $\xi_f$  such that  $f = (\pi_f)_{\xi_f, \xi_f}$ .*

**Proof.** We let  $\mathcal{N}_f = \{n \in \mathcal{A} : f(n^*n) = 0\}$ . The Cauchy-Schwarz inequality implies that  $\mathcal{N}_f = \{n \in \mathcal{A} : [n|a]_f = 0 \text{ for all } a \text{ in } \mathcal{A}\}$ . Further, we have that  $a\mathcal{N}_f \subseteq \mathcal{N}_f$  since  $[an|b]_f = f(b^*an) = f((a^*b)^*n) = [n|a^*b]_f$ . Hence  $\mathcal{N}_f$  is a left ideal in  $\mathcal{A}$ , and we can define a map  $\pi_0 : \mathcal{A} \rightarrow \mathcal{L}(\mathcal{A}/\mathcal{N}_f)$  (linear operators on  $\mathcal{A}/\mathcal{N}_f$ ) by  $\pi_0(b)(a + \mathcal{N}_f) = ba + \mathcal{N}_f$ . Define  $\langle a + \mathcal{N}_f | b + \mathcal{N}_f \rangle_f = [a|b]_f$ , and check that this is well-defined. Then  $\langle \cdot | \cdot \rangle_f$  defines an inner product on  $\mathcal{A}/\mathcal{N}_f$ , hence a norm. By Cauchy-Schwarz inequality

$$\|\pi_0(a)(b + \mathcal{N}_f)\|_f^2 = [ab|ab]_f = f(b^*a^*ab).$$

Observe that the functional  $x \mapsto f(b^*xb)$  is positive on  $\mathcal{A}$ , and hence has norm  $f(b^*1b) = f(b^*b) = \|b\|_f^2$ . Hence we see  $f(b^*a^*ab) \leq \|b\|_f^2 \|a^*a\|$ , and it follows immediately that  $\|\pi_0(a)(b + \mathcal{N}_f)\|_f^2 \leq \|a\|^2 \|b\|_f^2$ . Hence  $\pi_0 : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{A}/\mathcal{N}_f)$  is bounded. Let  $\mathcal{H}_f$  denote the completion of  $\mathcal{A} \rightarrow \mathcal{A}/\mathcal{N}_f$ , with the usual Hilbert space structure. Hence each  $\pi_0(a)$  extends uniquely to an operator  $\pi_f(a)$  on  $\mathcal{H}_f$ . Inspect that on the inner product space  $\mathcal{A}/\mathcal{N}_f$  we have

$$\pi_0(ab) = \pi_0(a)\pi_0(b), \quad \pi_0(a^*) = \pi_0(a)^* \quad \text{and} \quad \pi_0(1) = I.$$

Hence, by density,  $a \mapsto \pi_f(a)$  enjoys the same properties, and is thus a representation.

Let  $\xi_f = 1 + \mathcal{N}_f$ . Check that  $\langle \pi_f(a)\xi_f | \xi_f \rangle_f = f(a)$ .  $\square$

We often call  $(\pi_f, \mathcal{H}_f, \xi_f)$  a *Gelfand triple* associated to  $f$ . It can be shown that for another Gelfand triple  $(\pi'_f, \mathcal{H}'_f, \xi'_f)$ , there exists a unitary operator  $u : \mathcal{H}_f \rightarrow \mathcal{H}'_f$  for which  $u\pi_f(\cdot)u^* = \pi'_f$  (i.e.  $\pi_f$  and  $\pi'_f$  are unitarily equivalent). Hence, up to unitary equivalence, the Gelfand triple associated to  $f$  is unique. We observe that  $\pi_f(\mathcal{A})\xi_f$  is dense in  $\mathcal{H}_f$ , hence we call  $\xi_f$  a *cyclic* vector for  $\pi_f$ . If  $\pi : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$  is any representation which admits a cyclic vector  $\xi$ ,  $\|\xi\| = 1$ , then the Gelfand-Naimark representation generated by the state  $\pi_{\xi, \xi}$  is unitarily equivalent to  $\pi$ .

The above construction is sometimes called the Gelfand-Naimark-Segal (GNS) construction. Segal's contribution is to show that pure states, i.e. extreme points of  $\mathcal{S}(\mathcal{A})$  are in bijective correspondence with equivalence classes of irreducible representations. We will not require this level of refinement, but the interested reader should seek it out.

The Gelfand-Naimark construction suggests to us that in order to find “sufficiently many” representations of  $\mathcal{A}$  on Hilbert space, we need “sufficiently many” states. Here “sufficiently many” will mean separating points on  $\mathcal{A}$ . Multiplicative linear functionals, hence probability measures, furnish sufficiently many states on commutative C\*-algebras. A combination of functional calculus and Hahn-Banach theorem will allow us to boost this up to an arbitrary C\*-algebra.

We call elements of the form  $a^*a$  *positive*. Notice that if  $a$  is hermitian, then as observed in the proof of the proposition about boundedness of positive functionals,  $\sigma(a) \subset \mathbb{R}$ . By spectral mapping theorem  $\sigma(a^2) = \{\lambda^2 : \lambda \in \sigma(a)\} \subset [0, \infty)$ . We aim to show more generally that  $\sigma(a^*a) \subset [0, \infty)$ .

**Lemma.** (i) *If  $a, b$  are hermitian with  $\sigma(a), \sigma(b) \subset [0, \infty)$ , then  $\sigma(a + b) \subset [0, \infty)$  too.*

(ii) *If  $\sigma(a^*a) \subset (-\infty, 0]$ , then  $a = 0$ .*

**Proof.** (i) We may rescale so  $\|a\|, \|b\| \leq 1$ . Since  $\sigma(a) \subseteq [0, 1]$ ,  $\sigma(1 - a) \subseteq [0, 1]$  by spectral mapping theorem. Hence since  $1 - a$  is hermitian,  $\|1 - a\| = r(1 - a) \leq 1$ . Similarly  $\|1 - b\| \leq 1$ . Thus the hermitian element  $1 - \frac{1}{2}(a + b) = \frac{1}{2}(1 - a) + \frac{1}{2}(1 - b)$  is of norm  $\leq 1$ , and hence has spectrum within  $[-1, 1]$ . Applying spectral mapping, again, we find  $\sigma(a + b) \subset [0, 4]$ .



(ii) Since  $\sigma(xy) \cup \{0\} = \sigma(yx) \cup \{0\}$  (which is generally proved as an exercise in functional analysis), our assumption provides that  $\sigma(aa^*) \subset (-\infty, 0]$ . By part (i) we see that  $\sigma(a^*a + aa^*) \subset (-\infty, 0]$ . Let  $x = \operatorname{Re}a$ ,  $y = \operatorname{Im}a$  and note that  $a^*a + aa^* = x^2 + y^2$ . We have already commented that  $\sigma(x^2), \sigma(y^2) \subseteq [0, \infty)$ . Thus, employing (i), again, we see that  $\sigma(x^2 + y^2) = \{0\}$ . Thus  $\|x^2 + y^2\| = r(x^2 + y^2) = 0$ , so  $x^2 = -y^2$ . Again we see that  $\sigma(x^2) = \{0\} = \sigma(y^2)$ , and hence  $\|x^2\| = 0 = \|y^2\|$ . By functional calculus it follows that  $x = y = 0$ .  $\square$

**Positive elements admit positive spectrum.** *If  $a$  is an element of a unital  $C^*$ -algebra  $\mathcal{A}$ , then  $\sigma(a^*a) \subset [0, \infty)$ .*

**Proof.** We know that  $\sigma(a^*a) \subset \mathbb{R}$ , since  $a^*a$  is hermitian. Define continuous functions on  $\mathbb{R}$  by

$$f(t) = \begin{cases} 0 & \text{if } t < 0 \\ \sqrt{t} & \text{if } t \geq 0 \end{cases} \quad \text{and} \quad g(t) = \begin{cases} \sqrt{-t} & \text{if } t \leq 0 \\ 0 & \text{if } t > 0. \end{cases}$$

Notice that  $f^2 - g^2 = \operatorname{id}$ ,  $f^* = f$ ,  $g^* = g$  and  $fg = 0$ , hence by functional calculus  $u = f(a^*a)$  and  $v = g(a^*a)$  satisfy  $u^2 - v^2 = a^*a$ ,  $u, v$  are hermitian, and  $uv = 0$ .

Now  $va^*av = vu^2v - v^4 = -v^4$  and since  $v^4 = (v^2)^2$  is hermitian,  $\sigma((av)^*av) = \sigma(-v^4) \subset (-\infty, 0]$ , so  $v^4 = (av)^*av = 0$ , and, as  $v$  is hermitian, we find  $v = 0$  too. Hence  $a^*a = u^2$  so  $\sigma(a^*a) = \sigma(u^2) \subset [0, \infty)$ .  $\square$

Observe a trivial consequence of the result above is that an element  $a$  of a unital  $C^*$ -algebra is positive if and only if it is hermitian and  $\sigma(a) \subset [0, \infty)$ .

We now gain a converse to the fact that positive functionals are bounded. We will require this to find sufficiently many states on  $\mathcal{A}$ .

**Corollary.** *If a linear functional  $f$  on a unital  $C^*$ -algebra  $\mathcal{A}$  satisfies  $\|f\| = f(1) = 1$ , then  $f$  is a state.*

**Proof.** Let us observe that, by functional calculus, a hermitian elements  $a \neq 0$ ,  $b$  satisfy

$$\begin{aligned} a \text{ is positive} &\Leftrightarrow \sigma\left(\frac{2}{\|a\|}a - 1\right) \subseteq [-1, 1] \\ \sigma(b) \subseteq [-1, 1] &\Leftrightarrow \frac{1}{2}b + 1 \text{ is positive} \end{aligned}$$

since the same properties hold for real numbers. Hence we need only to establish that for  $f$ , satisfying the assumptions above, that

$$f(b) \subseteq [-1, 1] \text{ whenever } \sigma(b) \subseteq [-1, 1].$$

To this end, we observe that  $\bigcap_{t \in \mathbb{R}} D_{\sqrt{1+t^2}}(it) = [-1, 1]$  (draw a picture). Hence functional calculus tells us that for hermitian  $b$

$$\sigma(b) \subset [-1, 1] \iff \|b + it\| \leq \sqrt{1 + t^2} \text{ for all } t \text{ in } \mathbb{R}.$$

But then, for such  $b$ , we have

$$|f(b) + it| = |f(b + it1)| \leq \|b + it\| \leq \sqrt{1 + t^2} \text{ for all } t \text{ in } \mathbb{R}$$

so  $f(b) \subset [-1, 1]$ . □

**Sufficiently Many States.** *Let  $\mathcal{A}$  be a unital  $C^*$ -algebra. Then for any hermitian  $a$  in  $\mathcal{A}$ , there is  $f$  in  $\mathcal{S}(\mathcal{A})$  for which  $|f(a)| = \|a\|$ .*

**Proof.** Consider first, the algebra  $C_1^*(a)$ , from functional calculus. Let  $\lambda$  in  $\sigma(a)$  be so  $|\lambda| = r(a)$ , and hence the multiplicative linear functional  $\omega = \omega_\lambda$ , which is a state (for  $b$  in  $C_1^*(a)$ ,  $\omega(b^*b) = \omega(b)\omega(b) \geq 0$ ,  $\omega(1) = 1$ ) satisfies  $|\omega(a)| = |\lambda| = \|a\|$ . Let  $f$  be any Hahn-Banach extension of  $\omega$  to all of  $\mathcal{A}$ . Then  $\|f\| = 1 = f(1)$ , so by the last corollary,  $f$  too is a state. □

We can now exploit all of the results of this section to show that  $C^*$ -subalgebras of  $\mathcal{B}(\mathcal{H})$  really are generic.

**Gelfand-Naimark Theorem.** *Given a  $C^*$ -algebra  $\mathcal{A}$ , there is a faithful representation  $\pi : \mathcal{A} \rightarrow \mathcal{B}(\mathcal{H})$ .*

**Proof.** First we suppose  $\mathcal{A}$  is unital. Let  $D$  be a dense subset of  $\mathcal{A}$ . For each  $d$  in  $D$  let  $f_d$  in  $\mathcal{S}(\mathcal{A})$  be so that  $f_d(d^*d) = \|d\|^2$ . Let  $(\pi_d, \mathcal{H}_d, \xi_d)$  denote the Gelfand triple associated with  $f_d$ , so  $\|\pi_d(d)\xi_d\|^2 = \langle \pi_d(d^*d)\xi_d | \xi_d \rangle_d = f_d(d^*d) = \|d\|^2$ . Hence by contractivity of  $*$ -homomorphisms, we must have  $\|\pi_d(d)\| = \|d\|$ . Let  $\mathcal{H} = \ell^2\text{-}\bigoplus_{d \in D} \mathcal{H}_d$  and  $\pi = \bigoplus_{d \in D} \pi_d$ .

If  $\mathcal{A}$  is not unital, apply the above result to  $\mathcal{A}_1$ . Then restrict the representation to  $\mathcal{A}$ . [See remark (†) below.] □

If  $\mathcal{A}$  is separable, we can trace through the proof of the Gelfand-Naimark construction to see that  $\mathcal{H}_f$  is always separable. Hence we can achieve a separable  $\mathcal{H}$  in the theorem above.

(†) For unital  $\mathcal{A}$ , we insisted that representations satisfy  $\pi(1) = 1$ . For non-unital  $\mathcal{A}$  we insist on the weaker condition that  $\pi(\mathcal{A})\mathcal{H}$  is dense in  $\mathcal{H}$ . It is not, at first blush, obvious how this is achieved. However, you are recommended to learn why C\*-algebras always have *contractive approximate identities* (or, if one is a vulgarist, “bounded approximate identities of bound 1”). Some estimates are then required to show that  $\pi_f(\mathcal{A})\mathcal{H}_f$  is dense in  $\mathcal{H}_f$  for any state  $f$  in  $\mathcal{S}(\mathcal{A}_1)$ . Moreover, the image of the contractive approximate identity in  $\mathcal{H}_f$  can be shown to admit a limit point, which will serve as the vector  $\xi_f$ . A remarkable factorisation theorem of P.J. Cohen then shows that  $\pi_f(\mathcal{A})\mathcal{H}_f$  is actually closed.

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