

## Recent developments in 0-1 laws for graphs

## Limit laws for graphs

### Some interesting questions:

- What is the probability that a forest consists of a single tree?
- What is the probability that a (rooted unlabeled) binary forest consists of a single tree?
- What is the probability that a graph is connected?

Given a suitable family of graphs (maps, etc.). We let

$$c(n) = \# \text{ connected objects of size } n$$

and

$$a(n) = \# \text{ objects of size } n.$$

We let  $C(x)$  be the generating function of the  $c(i)$  and let  $A(x)$  be the generating function of the  $a(i)$ .

If we are in the labeled case, we take exponential generating functions; i.e.,

$$C(x) = \sum_{n \geq 0} c(n)x^n/n!, \quad A(x) = \sum_{n \geq 0} a(n)x^n/n!.$$

If we are dealing with the unlabeled case, we take the ordinary generating functions; i.e.,

$$C(x) = \sum_{n \geq 0} c(n)x^n, \quad A(x) = \sum_{n \geq 0} a(n)x^n.$$

# Generating function relations

**LABELED CASE:**

$$A(x) = \exp(C(x)).$$

**UNLABELED CASE:**

$$\begin{aligned} A(x) &= \prod_{n \geq 1} (1 - x^n)^{-c(n)} \\ &= \exp(C(x) + C(x^2)/2 + C(x^3)/3 + \cdots). \end{aligned}$$

# Probabilities

In general, given a property  $P$ , and a suitable family of graphs,  $\mathcal{F}$ , we say that the probability that a graph in  $\mathcal{F}$  has property  $P$  is:

$$\lim_{n \rightarrow \infty} \frac{\# \text{ graphs of size } n \text{ in } \mathcal{F} \text{ with property } P}{a(n)},$$

whenever the above limit exists.

For example, the probability that a graph is connected is:

$$\lim_{n \rightarrow \infty} c(n)/a(n),$$

whenever the above limit exists.

# Key Results

Let  $R$  denote the radius of convergence of  $C(x)$ .

- If  $R > 0$  and  $C(R) = \infty$ , then

$$\lim_{n \rightarrow \infty} c(n)/a(n) = 0$$

(if the limit exists).

- If  $R > 0$  and  $C(R) < \infty$ , then

$$0 < \lim_{n \rightarrow \infty} c(n)/a(n) < 1$$

(if the limit exists).

- If  $R = 0$ , then

$$\lim_{n \rightarrow \infty} c(n)/a(n) = 1$$

(if the limit exists).

## EXAMPLES:

Labeled, rooted forests.  $c(n) = n^{n-1}$  and

$$C(x) = \sum_{n=1}^{\infty} n^{n-1} x^n / n!.$$

Radius of convergence:  $R = 1/e$ .  $C(1/e) < \infty$ .

We have

$$\lim_{n \rightarrow \infty} c(n)/a(n) = 1/A(1/e) = \exp(-C(1/e)) = 1/e.$$

Unlabeled rooted binary forests.

$$c(n) = \binom{2n}{n} / (n + 1), \quad R = 1/4.$$

We have

$$\begin{aligned} \lim_{n \rightarrow \infty} c(n)/a(n) &= 1/A(1/4) \\ &= \prod_{n \geq 1} (1 - 1/4^n) \binom{2n}{n} / (n+1) \\ &\cong .5767. \end{aligned}$$



Labeled graphs.  $a(n) = 2^{\binom{n}{2}}$ . Hence  $R = 0$ . We have

$$\lim_{n \rightarrow \infty} c(n)/a(n) = 1.$$

**How do we know the probability of connectedness exists?**

# Some remarks about first order logic

- Variables,  $x, y, z, \dots$ , represent vertices.
- We can express equality,  $x = y$ .
- We can express adjacency  $x \sim y$ .
- We may use Boolean connectives,  $\vee, \wedge, \neg$ .

**Example** We can express the fact that a graph  $G$  has a triangle as a subgraph in first order logic:

$$\exists x, y, z \in G, x \sim y \wedge y \sim z \wedge z \sim x.$$

We can express the fact that a graph  $G$  has no isolated points:

$$\forall x \in G, \exists y \in G \text{ such that } x \sim y.$$

**In Monadic Second Order logic, we may quantify over subsets.**

**Example** We can express the fact that a graph  $G$  is connected in MSO logic.

$$\begin{aligned} & \exists U, V \subseteq G, \text{ such that} \\ & (\forall x \in G, (x \in U) \vee (x \in V)) \\ \wedge & (\forall x \in G, \neg(x \in U) \wedge (x \in V)) \\ \wedge & (\forall x \in U, \forall y \in V, \neg x \sim y) \\ \wedge & (U \neq \emptyset) \wedge (V \neq \emptyset). \end{aligned}$$

**THEOREM 1:** (Compton) If

$$\lim_{n \rightarrow \infty} a(n-1)/a(n) \rightarrow 1,$$

then any statement in MSO logic is true with probability 0 or 1.

**THEOREM 2:** If  $c(n) = O(n^k)$  for some  $k$  and  $\gcd(\{n \mid c(n) \neq 0\}) = 1$ , then

$$\lim_{n \rightarrow \infty} a(n-1)/a(n) \rightarrow 1.$$

**COROLLARY** If the conditions in THEOREM 2 hold, the probability of connectedness exists (it must be 0).

## PARTITIONS

$$c(n) = 1 \quad a(n) = p(n) \sim \frac{1}{4n\sqrt{3}} \exp(\pi\sqrt{2n/3}).$$



**THEOREM 3:** (Compton) If

$$\lim_{n \rightarrow \infty} a(n-1)/a(n)$$

exists and is equal to  $R < 1$ , and  $a(n)R^n$  is eventually nondecreasing, then any statement in MSO logic is true with some limiting probability.

**THEOREM 4:** If  $c(n-1)/c(n) \rightarrow R < 1$  and  $c(n)R^{n-1} > \lambda > 1$  for all  $n$  sufficiently large, then the conditions of THEOREM 3 are satisfied.

**THEOREM 5:** If  $c(n-1)/c(n) \rightarrow R$ , then  $a(n-1)/a(n) \rightarrow R$ .

Theorem 5 is in fact a conjecture due to Gregory Freiman and Boris Granovsky, who formulated this conjecture during their research into probability and distributions.

## **A SURPRISING ANALOGUE**

### **ADDITIVE:**

- Graphs
- Maps

### **MULTIPLICATIVE:**

- Integers
- Abelian groups

In the multiplicative case, we have some collection in which every element can be uniquely decomposed into “primes”. If

$$X = P_1^{m_1} \times \cdots \times P_k^{m_k},$$

then

$$\text{size}(X) = \prod_{i=1}^k \text{size}(P_i)^{m_i}.$$

In the multiplicative case, we again let

$$c(n) = \# \text{ of "primes" of size } n$$

and

$$a(n) = \# \text{ of objects of size } n.$$

In the multiplicative case, we form Dirichlet series:

$$C(s) := \sum_{n \geq 2} c(n)/n^s.$$

$$A(s) = \sum_{n \geq 1} a(n)/n^s = \prod_{j \geq 2} (1 - j^{-s})^{-c(j)}.$$

## **POWER SERIES**

**Circle of convergence.**  $|z| < R$

## **DIRICHLET SERIES**

**Abscissa of convergence.**  $\operatorname{Re}(s) < \alpha$ .

In the power series case, we looked at expressions such as:

$$\lim_{n \rightarrow \infty} c(n)/a(n).$$

In the Dirichlet series case, the analogue is to look at

$$\lim_{n \rightarrow \infty} \frac{c(1) + \cdots + c(n)}{a(1) + \cdots + a(n)}.$$



# Key Results

Let  $R$  denote the radius of convergence of  $C(x)$ .

- If  $R > 0$  and  $C(R) = \infty$ , then

$$\lim_{n \rightarrow \infty} c(n)/a(n) = 0$$

(if the limit exists).

- If  $R > 0$  and  $C(R) < \infty$ , then

$$0 < \lim_{n \rightarrow \infty} c(n)/a(n) < 1$$

(if the limit exists).

- If  $R = 0$ , then

$$\lim_{n \rightarrow \infty} c(n)/a(n) = 1$$

(if the limit exists).

# Multiplicative Key Results

Let  $\alpha$  denote the abscissa of convergence of  $C(s)$ .

- If  $\alpha < \infty$  and  $C(\alpha) = \infty$ , then

$$\lim_{n \rightarrow \infty} \frac{c(1) + \cdots + c(n)}{a(1) + \cdots + a(n)} = 0$$

(if the limit exists).

- If  $\alpha < \infty$  and  $C(\alpha) < \infty$ , then

$$0 < \lim_{n \rightarrow \infty} \frac{c(1) + \cdots + c(n)}{a(1) + \cdots + a(n)} < 1$$

(if the limit exists).

- If  $\alpha = \infty$ , then

$$\lim_{n \rightarrow \infty} \frac{c(1) + \cdots + c(n)}{a(1) + \cdots + a(n)} = 1$$

(if the limit exists).

## ANALOGUES OF COMPTON'S THEOREMS

**THEOREM:** Let  $S(x) = \sum_{n \leq x} a(n)$ . If

$$S(x/2)/S(x) \rightarrow 1,$$

then we have a MSO 0 – 1-law.

**THEOREM:** Let  $S(x) = \sum_{n \leq x} a(n)$ . If

$$S(x/2)/S(x) \rightarrow 2^{-\alpha}$$

and  $S(x)x^{-\alpha}$  is eventually nondecreasing, then we have a MSO logical limit law.