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# Small cocircuits in matroids

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This paper is dedicated in memory of Tom Brylawski.

## ABSTRACT

We prove that, for any positive integers  $k, n$ , and  $q$ , if  $M$  is a simple matroid that has neither a  $U_{2,q+2}$ -minor nor an  $M(K_n)$ -minor and  $M$  has sufficiently large rank, then  $M$  has a cocircuit of size at most  $r(M)/k$ .

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## 1. Introduction

The main purpose of this paper is to give simpler proofs of two existing results in extremal matroid theory; we also prove the following new result:

**Theorem 1.1.** *For any positive integers  $k, n$ , and  $q$ , there is a positive integer  $R_1$  such that, if  $M$  is a simple matroid of rank at least  $R_1$  that has neither a  $U_{2,q+2}$ -minor nor an  $M(K_n)$ -minor, then  $M$  has a cocircuit of size at most  $r(M)/k$ .*

This easily implies the main result of [2], as we show immediately below.

**Corollary 1.2.** *For any positive integers  $n, k$  and  $q$ , there exists an integer  $R_2$  such that, if  $M$  is a simple matroid of rank at least  $R_2$  that has neither a  $U_{2,q+2}$ -minor nor an  $M(K_n)$ -minor, then  $M$  has a collection of  $k$  disjoint cocircuits.*

To prove [Corollary 1.2](#) we use induction on  $k$ . The result is trivial for  $k = 1$ . For  $k \geq 2$ , we define  $R_2(n, k, q) = \max(2R_2(n, k-1, q), R_1(n, 2, q))$ . Let  $M$  be a matroid of rank at least  $R_2(n, k, q)$  that has neither a  $U_{2,q+2}$ -minor nor an  $M(K_n)$ -minor. We may assume that  $M$  is simple. Then, by [Theorem 1.1](#),  $M$  has a cocircuit  $C_k$  of size at most  $r(M)/2$ . Thus  $r(M/C_k) \geq r(M)/2 \geq R_2(n, k-1, q)$ . So, by induction,  $M/C_k$  has  $k-1$  disjoint cocircuits, say  $C_1, \dots, C_{k-1}$ . Thus  $C_1, \dots, C_k$  are disjoint cocircuits in  $M$ , as required.

In [3], [Corollary 1.2](#) was used to prove the following result.

**Theorem 1.3.** *For any positive integers  $n$  and  $q$ , there exists an integer  $\rho$  such that, if  $M$  is a simple matroid that has neither a  $U_{2,q+2}$ -minor nor an  $M(K_n)$ -minor, then  $|E(M)| \leq \rho r(M)$ .*

Note that neither  $U_{2,4}$  nor  $M(K_5)$  is cographic. Applying [Corollary 1.2](#) to the class of cographic matroids gives the Erdős–Pósa theorem on edge-disjoint circuits in graphs; see [1]. Applying [Theorem 1.3](#) to the class of graphic matroids gives Mader’s theorem that, if  $G$  is a simple graph with no  $K_n$ -minor, then  $|E(G)| \leq \rho_n |V(G)|$ ; see [5].

In this paper we will use the methods of [3] to obtain a new proof of [Theorem 1.3](#) that does not rely on [Corollary 1.2](#). We will then use [Theorem 1.3](#) to prove [Theorem 1.1](#) and, hence, also [Corollary 1.2](#). Proving the results in this order is significantly easier. We use several results from [3,2] but we include their proofs for the sake of completeness.

## 2. Preliminaries

For a more comprehensive introduction to extremal matroid theory, see the survey paper written by Joseph Kung [4]. We follow the notation of Oxley [6]. A rank-1 flat is referred to as a *point* and a rank-2 flat is referred to as a *line*. The number of points in  $M$  is denoted by  $\epsilon(M)$ . Kung [4] proved the following theorem; we include the proof since it is so nice.

**Theorem 2.1.** *For any integer  $q \geq 2$ , if  $M$  is a matroid with no  $U_{2,q+2}$ -minor, then  $\epsilon(M) \leq \frac{q^{r(M)} - 1}{q - 1}$ .*

**Proof.** Let  $e \in E(M)$ . Inductively we may assume that  $\epsilon(M/e) \leq \frac{q^{r(M)-1} - 1}{q - 1}$ . Since  $e$  is not in a  $(q + 2)$ -point line, we have

$$\epsilon(M) \leq q\epsilon(M/e) + 1 = q \left( \frac{q^{r(M)-1} - 1}{q - 1} \right) + 1 = \frac{q^{r(M)} - 1}{q - 1},$$

as required.  $\square$

When  $q$  is a prime power, this bound is attained by projective geometries.

Let  $\mathcal{U}(q)$  denote the set of all matroids with no  $U_{2,q+2}$ -minor. Our proof of [Theorem 1.3](#) requires a bound on the number of hyperplanes in a rank- $k$  matroid in  $\mathcal{U}(q)$ . Fortunately the quality of the bound is not important; we use the following crude upper bound from [3], Proposition 2.3.

**Lemma 2.2.** *Let  $k \geq 1$  and  $q \geq 2$  be integers and let  $M \in \mathcal{U}(q)$  be a rank- $k$  matroid. Then,  $M$  has at most  $q^{k(k-1)}$  hyperplanes.*

**Proof.** Let  $n = \epsilon(M)$ ; thus  $n \leq \frac{q^k - 1}{q - 1} \leq q^k$ . Each hyperplane is spanned by  $k - 1$  points, so the number of hyperplanes is at most  $\binom{n}{k-1} \leq n^{k-1} \leq q^{k(k-1)}$ .  $\square$

The following result is from [2], Lemma 2.3.

**Lemma 2.3.** *Let  $q \geq 2$  be an integer, let  $M \in \mathcal{U}(q)$ , and let  $C$  be a minimum-sized cocircuit of  $M$ . If  $C'$  is a cocircuit of  $M \setminus C$ , then  $|C'| \geq |C|/q$ .*

**Proof.** Set  $F = E(M) - (C \cup C')$ . Then  $F$  is a flat of  $M$  and  $M/F$  is a line with at most  $q + 1$  points. So there are at most  $q + 1$  hyperplanes of  $M$  containing  $F$ , one of which is  $E(M) - C$ . Let the others be  $H_1, H_2, \dots, H_{q'}$ . Then  $q' \leq q$  and  $\{H_1 - F, H_2 - F, \dots, H_{q'} - F\}$  is a partition of  $C$ . Since  $C$  is a cocircuit of minimum size,

$$\begin{aligned} q'|C| &\leq \sum_{i=1}^{q'} |E(M) - H_i| \\ &= \sum_{i=1}^{q'} (|C| + |C'| - |H_i - F|) \\ &= q'|C| + q'|C'| - |C|. \end{aligned}$$

Therefore  $|C'| \geq |C|/q \geq |C|/q$ .  $\square$

A long line is a line with at least three points. The following lemma is from [3], Lemma 3.2.

**Lemma 2.4.** For integers  $\alpha \geq 1$  and  $q \geq 2$ , if  $M \in \mathcal{U}(q)$  is a matroid with  $\epsilon(M) > \alpha q^2 r(M)$ , then there is a minor  $N$  of  $M$  that contains more than  $\alpha \epsilon(N)$  long lines.

**Proof.** We may assume that  $M$  is simple. For each  $v \in E(M)$ , let  $N_v = M/v$ . Inductively, we may assume that  $\epsilon(N_v) \leq \alpha q^2 r(N_v)$  for each  $v \in E(M)$ . Note that  $r(N_v) = r(M) - 1$  and  $\epsilon(M) > \alpha q^2 r(M)$ , so  $\epsilon(M) - \epsilon(N_v) \geq \alpha q^2 + 1$ . Since  $M \in \mathcal{U}(q)$ , each long line in  $M$  has at most  $q + 1$  points; so each parallel class in  $M/v$  has at most  $q$  elements. Thus  $v$  is on at least  $\frac{\alpha q^2}{q-1}$  long lines. So the number of long lines is at least  $\frac{\alpha q^2}{(q-1)(q+1)} \epsilon(M) > \alpha \epsilon(M)$ .  $\square$

We use the following lemma from [2], Lemma 5.1 to recognize the cycle matroid of a clique.

**Lemma 2.5.** Let  $M$  be a matroid with ground set  $B \cup H$  where  $B = \{b_1, \dots, b_n\}$  is a basis of  $M$ ,  $H = \{e_{ij} : 1 \leq i < j \leq n\}$  is a hyperplane of  $M$  disjoint from  $B$ , and  $\{b_i, e_{ij}, b_j\}$  is a triangle of  $M$  for each  $i < j$ . Then  $M$  is isomorphic to  $M(K_{n+1})$ .

**Proof.** Construct a complete graph  $G$  with vertex set  $V = \{v_0, \dots, v_n\}$  and edges labelled by  $B \cup H$  where  $b_i \in B$  labels the edge incident with  $v_0$  and  $v_i$  and  $e_{ij} \in H$  labels the edge incident with  $v_i$  and  $v_j$ . We claim that  $M = M(G)$ ; they clearly have the same rank. Consider a spanning tree  $T$  of  $G$ . If there exists an edge  $e_{ij} \in T \cap H$  such that  $v_i$  has degree 1 in  $T$ , then  $(T - \{e_{ij}\}) \cup \{b_i\}$  is a spanning tree of  $G$  and  $r_M((T - \{e_{ij}\}) \cup \{b_i\}) = r_M(T)$ . By repeatedly applying such changes, we see that  $r_M(T) = r_M(B)$ . Thus  $T$  is a basis of  $M$ . Now consider a circuit  $C$  of  $G$ , and let  $X$  be the set of edges in  $B$  that are incident with a vertex of  $C - v_0$  in  $G$ . Note that  $C \subseteq cl_M(X)$ . If  $B \cap C \neq \emptyset$  then  $|X| < |C|$ , so  $C$  is dependent in  $M$ . On the other hand, if  $C \subseteq H$  then, since  $|C| = |X|$  and  $C \subseteq H \cap cl_M(X)$ , we see that  $C$  is dependent in  $M$ . Hence  $M = M(G)$  as required.  $\square$

### 3. Stratified matroids

We call a matroid  $M$  round if each cocircuit of  $M$  is spanning. Equivalently,  $M$  is round if and only if  $M$  does not contain a pair of disjoint cocircuits. By Corollary 1.2, every round matroid with sufficiently large rank contains either a  $U_{2,q+2}$ -minor or an  $M(K_n)$ -minor. This result is used in [3] to prove Theorem 1.3. However, the round minors produced in [3] have additional structure from which it is straightforward to extract a  $U_{2,q+2}$ -minor or an  $M(K_n)$ -minor.

A stratification of a rank- $r$  matroid  $M$  is a sequence  $(X_1, \dots, X_r)$  such that, for each  $k \in \{1, \dots, r\}$ ,  $X_k$  is a rank- $k$  flat of  $M$  and, for  $k < r$ ,  $X_{k+1} - X_k$  is a spanning cocircuit of  $M|_{X_{k+1}}$ . If  $M$  admits a stratification, then we say that it is stratified. The following lemma shows that stratified matroids are round.

**Lemma 3.1.** Let  $C$  be a spanning cocircuit of a matroid  $M$ . If  $M \setminus C$  is round, then  $M$  is round.

**Proof.** Suppose that  $M$  is not round; thus  $M$  contains a non-spanning cocircuit  $C_1$ . Since  $C$  is spanning  $C_1 \neq C$  and, since  $C$  and  $C_1$  are both cocircuits,  $C_1 - C$  is non-empty. Now  $C_1 - C$  contains a cocircuit, say  $C_2$ , of  $M \setminus C$ . However,  $M \setminus C$  is round so  $r_M(C_2) = r(M \setminus C) = r(M) - 1$ . Since  $C_2 \subseteq C_1$  and since  $C_1$  is non-spanning, we see that  $C_2$  spans  $C_1$ . Therefore  $C_1$  is contained in the hyperplane  $E(M) - C$ . This yields a contradiction since  $C_1$  is a cocircuit and  $C$  is spanning.  $\square$

The main result of this section is:

**Lemma 3.2.** For any integers  $n \geq 1$  and  $q \geq 2$ , if  $M \in \mathcal{U}(q)$  is stratified and  $r(M) > \frac{q^{n-2}-1}{q-1}$ , then  $M$  contains an  $M(K_n)$ -minor.

Lemma 3.2 is an immediate consequence of the following result; we restate it in this form to facilitate induction.

**Lemma 3.3.** *Let  $n \geq 1$  and  $q \geq 2$  be integers, let  $M \in \mathcal{U}(q)$ , and let  $(F_0, F_1, \dots, F_k)$  be a sequence of nested flats of  $M$  such that  $r_M(F_0) = n - 2$  and, for each  $i \in \{1, \dots, k\}$ , the set  $F_i - F_{i-1}$  is a spanning cocircuit of  $M|F_i$ . If  $\epsilon(M|F_0) + k > \frac{q^{n-2}-1}{q-1}$ , then  $M$  contains an  $M(K_n)$ -minor.*

**Proof.** We prove the theorem by induction on  $k$ . By Theorem 2.1,  $k \geq 1$ . Let  $M_1 = M|F_1$  and let  $B = \{b_1, \dots, b_{n-1}\} \subseteq F_1 - F_0$  be a basis of  $M_1$ . We may assume that  $M$  does not contain an  $M(K_n)$ -minor. Then, by Lemma 2.5, there are two elements in  $B$ , say  $b_1$  and  $b_2$ , that do not span a point in  $F_0$ . It follows that  $\epsilon(M_1/b_1) > \epsilon(M|F_0)$ . Let  $M' = M/b_1$  and, for each  $i \in \{0, \dots, k-1\}$ , let  $F'_i = F_{i+1} - \{b_1\}$ . Note that  $\epsilon(M'|F'_0) + (k-1) = \epsilon(M_1/b_1) + (k-1) \geq \epsilon(M|F_0) + k$ . Therefore the result follows inductively by considering  $M'$  and  $(F'_0, \dots, F'_{k-1})$ .

#### 4. The density theorem

In this section we prove Theorem 1.3. We use the methods of [3] almost verbatim, except that we apply Lemma 3.2 in place of Corollary 1.2.

A flat  $F$  of a matroid  $M$  is stratified if  $M|F$  is stratified. Let  $\mathcal{F}$  be a set of stratified rank- $(k-1)$  flats in  $M$ . A rank- $k$  flat  $F$  is called  $\mathcal{F}$ -constructed if there exist two flats  $F_1, F_2 \in \mathcal{F}$  such that  $F = cl_M(F_1 \cup F_2)$  and  $F \neq F_1 \cup F_2$ . We let  $\mathcal{F}^+$  denote the set of  $\mathcal{F}$ -constructed flats. The following lemma shows that the flats in  $\mathcal{F}^+$  are stratified.

**Lemma 4.1.** *Let  $F_1$  and  $F_2$  be two stratified rank- $(k-1)$  flats in a matroid  $M$  and let  $F$  be the flat spanned by  $F_1 \cup F_2$ . If  $r_M(F) = k$  and  $F - (F_1 \cup F_2)$  is non-empty, then  $F$  is stratified.*

**Proof.** Since  $F_1$  is stratified, it suffices to prove that  $F - F_1$  is a spanning cocircuit of  $M|F$ . Let  $e \in F - (F_1 \cup F_2)$ . By Lemma 3.1,  $M|F_2$  is round. Since  $r_M(F_1 \cup F_2) > r_M(F_1)$ ,  $F_2 - F_1$  contains a cocircuit of  $M|F_2$  and, since  $M|F_2$  is round,  $r_M(F_2 - F_1) = k - 1$ . Since  $e$  is not contained in the flat  $F_2$ ,  $r_M((F_2 - F_1) \cup \{e\}) = k$ . Now  $(F_2 - F_1) \cup \{e\} \subseteq F - F_1$ , so  $F - F_1$  is a spanning cocircuit of  $M|F$ , as required.  $\square$

Most of the remaining work is in the proof of the following technical lemma.

**Lemma 4.2.** *For all integers  $k \geq 2$ ,  $\alpha \geq 1$ , and  $q \geq 2$ , if  $M \in \mathcal{U}(q)$  is a matroid with  $\epsilon(M) > \alpha q^{6\binom{k+1}{3}} r(M)$ , then there exists a minor  $N$  of  $M$  and a set  $\mathcal{F}$  of stratified rank- $(k-1)$  flats of  $N$  such that  $|\mathcal{F}^+| > \alpha |\mathcal{F}|$ .*

**Proof.** The proof is by induction on  $k$ . Consider the case where  $k = 2$ . Let  $M \in \mathcal{U}(q)$  be a matroid with  $\epsilon(M) > \alpha q^{6\binom{4}{3}} r(M) > \alpha q^2 r(M)$ . By Lemma 2.4, there exists a minor  $N$  of  $M$  with more than  $\alpha \epsilon(N)$  long lines. Now, if  $\mathcal{F}$  is the set of points of  $N$ , then  $\mathcal{F}^+$  is the set of long lines of  $N$  and  $|\mathcal{F}^+| > \alpha |\mathcal{F}|$ , as required.

Suppose that the result holds for  $k = n$  and consider the case where  $k = n + 1$ . Now let  $M \in \mathcal{U}(q)$  be a matroid with  $\epsilon(M) > \alpha q^{6\binom{n+2}{3}} r(M)$ . We let  $\alpha' = q^{n(n+1)} \alpha + q^n$ . Note that

$$\begin{aligned} \alpha q^{6\binom{n+2}{3}} &= \alpha q^{6\binom{n+1}{3}} q^{6\binom{n+1}{2}} \\ &= \alpha q^{6\binom{n+1}{3}} q^{3n(n+1)} \\ &> \alpha' q^{6\binom{n+1}{3}}. \end{aligned}$$

So, by the induction hypothesis, there exists a minor  $N$  of  $M$  and a set  $\mathcal{F}$  of stratified rank- $(n-1)$  flats of  $N$  such that  $|\mathcal{F}^+| > \alpha' |\mathcal{F}|$ . We may assume that no proper minor of  $N$  contains such a collection of flats. We may also assume that  $N$  is simple. We will prove that  $|\mathcal{F}^+|^+ \geq \alpha |\mathcal{F}^+|$ .

For each  $v \in E(N)$ , let  $N_v = N/v$  and let  $\mathcal{F}_v$  denote the set of rank- $(n-1)$  flats in  $N_v$  corresponding to the set of flats in  $\mathcal{F}$  in  $N$ . That is, if  $F \in \mathcal{F}$  and  $v \notin F$ , then  $cl_{N_v}(F) \in \mathcal{F}_v$ . Note that a matroid that

contains a stratified spanning restriction is itself stratified. Therefore the flats in  $\mathcal{F}_v$  are stratified. By our choice of  $N$ ,  $|\mathcal{F}^+| > \alpha'|\mathcal{F}|$ , and, by the minimality of  $N$ ,  $|\mathcal{F}_v^+| \leq \alpha'|\mathcal{F}_v|$  for all  $v \in E(N)$ . Thus,

$$(|\mathcal{F}^+| - |(\mathcal{F}_v^+)|) > \alpha'(|\mathcal{F}| - |\mathcal{F}_v|).$$

Let

$$\begin{aligned} \Delta &= \sum (|\mathcal{F}| - |\mathcal{F}_v| : v \in E(N)) \quad \text{and} \\ \Delta^+ &= \sum (|\mathcal{F}^+| - |(\mathcal{F}_v^+)| : v \in E(N)). \end{aligned}$$

This proves:

**4.2.1.**  $\Delta^+ > \alpha' \Delta$ .

Consider a flat  $F \in \mathcal{F}^+$ . By definition there exist flats  $F_1, F_2 \in \mathcal{F}$  such that  $F = cl_N(F_1 \cup F_2)$  and there exists an element  $v \in F - (F_1 \cup F_2)$ . Now  $cl_{N_v}(F_1) = cl_{N_v}(F_2)$ , so these two flats in  $\mathcal{F}$  are reduced to a single flat in  $\mathcal{F}_v$ . This proves:

**4.2.2.**  $\Delta \geq |\mathcal{F}^+|$ .

For some  $v \in E(N)$ , compare  $\mathcal{F}^+$  with  $(\mathcal{F}_v^+)$ . There are two ways to lose constructed flats; we can either contract an element in a flat or we contract two flats onto each other. Firstly, suppose  $F \in \mathcal{F}^+$  and  $v \in F$ . Note that  $F - \{v\}$  only has rank  $n - 1$  in  $N/v$ , so it will not determine a flat in  $(\mathcal{F}_v^+)$ . Now  $F$  has rank  $n$  and, by [Theorem 2.1](#), a rank- $n$  flat contains at most  $\frac{q^n - 1}{q - 1} < q^n$  points; we destroy  $F$  if we contract any one of these points. Secondly, consider two flats  $F_1, F_2 \in \mathcal{F}^+$  that are contracted onto each other in  $N_v$ . Let  $F$  be the flat of  $N$  spanned by  $F_1 \cup F_2$  in  $N$ . Since  $F_1$  and  $F_2$  are contracted onto a common rank- $k$  flat in  $N_v$ , we see that  $F$  has rank  $k + 1$  and  $v \in F - (F_1 \cup F_2)$ . Thus,  $F \in (\mathcal{F}^+)^+$ . Now  $F$  has rank  $n + 1$ , so it has at most  $q^{n+1}$  points. Moreover, by [Lemma 2.2](#), in a flat of rank  $n + 1$  there are at most  $q^{(n+1)n}$  rank- $n$  flats avoiding a given element. Thus,  $F - \{v\}$  contains at most  $q^{(n+1)n}$  flats of  $\mathcal{F}$ ; these flats will be contracted to a single flat in  $(\mathcal{F}_v^+)$ . This proves:

**4.2.3.**  $\Delta^+ \leq q^n |\mathcal{F}^+| + q^{n(n+1)} |(\mathcal{F}^+)^+|$ .

Combining [Claims 4.2.1–4.2.3](#), we get

$$\begin{aligned} q^{n(n+1)} |(\mathcal{F}^+)^+| &\geq \Delta^+ - q^n |\mathcal{F}^+| \\ &> \alpha' \Delta - q^n |\mathcal{F}^+| \\ &\geq (\alpha' - q^n) |\mathcal{F}^+| \\ &= \alpha q^{n(n+1)} |\mathcal{F}^+|. \end{aligned}$$

Therefore  $|(\mathcal{F}^+)^+| > \alpha |\mathcal{F}^+|$ , as required.  $\square$

We are now ready to prove [Theorem 1.3](#) which we restate here in a more convenient form.

**Theorem 4.3.** For any integers  $n \geq 1$  and  $q \geq 2$ , if  $M \in \mathcal{U}(q)$  is a matroid with  $\epsilon(M) > q^{3n} r(M)$ , then  $M$  contains an  $M(K_n)$ -minor.

**Proof.** Let  $k = \frac{q^{n-2}-1}{q-1} + 1$ . Since  $k \leq q^{n-2}$  we have

$$q^{\binom{k+1}{3}} \leq q^{(k+1)^3} < q^{q^{3n}}.$$

Therefore, by [Lemmas 4.1](#) and [4.2](#),  $M$  contains a stratified minor  $N$  of rank  $k$ . Then, by [Lemma 3.2](#),  $N$  contains an  $M(K_n)$ -minor.  $\square$

5. Small cocircuits

In this section we prove [Theorem 1.1](#). We start with the following easy lemma.

**Lemma 5.1.** *For any integers  $k \geq 2$  and  $m \geq 2$ , and real number  $R \geq 1$ , if  $M$  is a matroid with rank at least  $\binom{k}{k-1}^{m-2}R$  that does not contain  $m$  disjoint cocircuits, then  $M$  has a contraction-minor  $N$  with rank at least  $R$  such that each cocircuit of  $N$  has rank at least  $r(N)/k$ .*

**Proof.** The proof is by induction on  $m$ . Let  $M$  be a matroid with rank at least  $\binom{k}{k-1}^{m-2}R$ . We may assume that  $M$  has a cocircuit  $C$  with rank less than  $r(M)/k$ . Since  $r(C) < r(M)$ ,  $M$  has two disjoint cocircuits and, hence, we may assume that  $m > 2$ . Now  $r(M/C) \geq \binom{k}{k-1}^{m-3}R$ . Then, by the induction hypothesis, either  $M/C$  contains  $m - 1$  disjoint cocircuits or  $M/C$  has a contraction-minor  $N$  with rank at least  $R$  such that each cocircuit of  $N$  has rank at least  $r(N)/k$ . In either case the result follows.  $\square$

The following lemma is similar to [2], Lemma 4.2.

**Lemma 5.2.** *There exists a integer-valued function  $R_3(\rho, k, R, q)$  such that, for any positive integers  $q \geq 2$ ,  $k, \rho$ , and  $R$ , if  $M \in \mathcal{U}(q)$  is a matroid with rank at least  $R_3(\rho, k, R, q)$ , then either  $M$  has a cocircuit of rank at most  $r(M)/k$  or  $M$  has a contraction-minor  $N$  with rank at least  $R$  such that  $\epsilon(N) > \rho(r(N) - \rho)$ .*

**Proof.** We will assume that  $k \geq 2$ ; the result can be extended to the case  $k = 1$  with  $R_3(\rho, 1, R, q) = R_3(\rho, 2, R, q)$ . Let  $R_3(1, k, R, q) = R$ , and, for  $\rho > 1$ , we recursively define

$$R_3(\rho, k, R, q) = \left\lceil k \left( \left( \frac{k}{k-1} \right)^{\rho k q - 2} R_3(\rho - 1, k, R, q) + 1 \right) \right\rceil.$$

The proof is by induction on  $\rho$ . The result is trivial when  $\rho = 1$ . Suppose that  $\rho > 1$  and that the result holds for smaller values of  $\rho$ .

Let  $M \in \mathcal{U}(q)$  be a matroid with rank at least  $R_3(\rho, k, R, q)$  such that each cocircuit of  $M$  has rank greater than  $r(M)/k$ . Let  $r_2 = R_3(\rho - 1, k, R, q)$ ,  $m = \rho k q$ , and  $r_1 = \binom{k}{k-1}^{m-2}r_2 + 1$ . Let  $C$  be a minimum-size cocircuit of  $M$ . Note that  $|C| \geq r_M(C) \geq r(M)/k$ , so, by [Lemma 2.3](#), each cocircuit of  $M \setminus C$  has size at least  $\frac{r(M)}{kq}$ . We assume that  $M$  does not have a minor  $N$  with  $r(N) \geq R$  and  $\epsilon(N) > \rho r(N)$ . It is straightforward to verify that  $r(M \setminus C) = r(M) - 1 \geq R$  and, hence,  $\epsilon(M \setminus C) \leq \rho r(M \setminus C) < m \frac{r(M)}{kq}$ . Therefore  $M \setminus C$  does not have  $m$  disjoint cocircuits. There is a contraction-minor  $M_1$  of  $M$  such that  $C \subseteq E(M_1)$  and  $r(M_1) = r_{M_1}(C) = r_M(C) \geq r_1 = \binom{k}{k-1}^{m-2}r_2 + 1$ . Since  $M_1 \setminus C$  is a contraction-minor of  $M \setminus C$  and  $M \setminus C$  does not have  $m$  disjoint cocircuits,  $M_1 \setminus C$  does not have  $m$  disjoint cocircuits. Then, by [Lemma 5.1](#), there is a set  $X_1 \subseteq E(M_1 \setminus C)$  such that  $r(M_1 \setminus C/X_1) \geq r_2 = R_3(\rho - 1, k, R, q)$  and that each cocircuit of  $M_1 \setminus C/X_1$  has rank at least  $r(M_1 \setminus C/X_1)/k$ . Let  $M_2 = M_1/X_1$ . By the induction hypothesis, there is a set  $X_2 \subseteq E(M_2 \setminus C)$  such that  $r(M_2 \setminus C/X_2) \geq R$  and  $\epsilon(M_2 \setminus C/X_2) \geq (\rho - 1)(r(M_2 \setminus C/X_2) - \rho + 1)$ . Let  $M_3 = M_2/X_2$ . Since  $C$  is a spanning cocircuit of  $M_3$ ,  $\epsilon(M_3) \geq \epsilon(M_3 \setminus C) + r(M_3) \geq (\rho - 1)(r(M_3) - \rho) + r(M_3) \geq \rho(r(M_3) - \rho)$ , as required.  $\square$

We are now ready to prove [Theorem 1.1](#) which we restate here for convenience.

**Theorem 5.3.** *For any positive integers  $q \geq 2$ ,  $k$ , and  $n$ , there is a positive integer  $R_1$  such that, if  $M \in \mathcal{U}(q)$  is a simple matroid of rank at least  $R_1$  and each cocircuit of  $M$  has size greater than  $r(M)/k$ , then  $M$  is an  $M(K_n)$ -minor.*

**Proof.** Let  $\rho = q^{3n}$  and let  $R_1 = \lceil R_3(\rho + 1, \rho k, (\rho + 1)^2, q) \rceil$ . Now let  $M \in \mathcal{U}(q)$  be a simple matroid of rank at least  $R_1$  such that each cocircuit of  $M$  has size greater than  $r(M)/k$ . We assume that  $M$  has no  $M(K_n)$ -minor. By [Theorem 4.3](#), for each minor  $N$  of  $M$ ,  $\epsilon(N) \leq \rho r(N)$ . In particular, each cocircuit of  $M$  has rank greater than  $r(M)/(k\rho)$ . Then, by [Lemma 5.2](#), there is a minor  $N$  of  $M$  such that  $r(N) \geq (\rho + 1)^2$  and  $\epsilon(N) > (\rho + 1)(r(N) - \rho - 1) = \rho r(N) + r(N) - (\rho + 1)^2 \geq \rho r(N)$ . This contradiction completes the proof.  $\square$

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