



Obstructions to branch-decomposition of matroids [☆]

J. Geelen ^a, B. Gerards ^{b,c}, N. Robertson ^d, G. Whittle ^e

^a *Department of Combinatorics and Optimization, University of Waterloo, Waterloo, Canada*

^b *CWI, Postbus 94079, 1090 GB Amsterdam, The Netherlands*

^c *Department of Mathematics and Computer Science, Eindhoven University of Technology, Postbus 513, 5600 MB Eindhoven, The Netherlands*

^d *Department of Mathematics, 231 West 18th Avenue, Ohio State University, Columbus, OH 43210, USA*

^e *School of Mathematical and Computing Sciences, Victoria University, Wellington, New Zealand*

Received 11 February 2003

Available online 20 December 2005

Abstract

A (δ, γ) -net in a matroid M is a pair (N, \mathcal{P}) where N is a minor of M , \mathcal{P} is a set of series classes in N , $|\mathcal{P}| \geq \delta$, and the pairwise connectivity, in M , between any two members of \mathcal{P} is at least γ . We prove that, for any finite field \mathbb{F} , nets provide a qualitative characterization for branch-width in the class of \mathbb{F} -representable matroids. That is, for an \mathbb{F} -representable matroid M , we prove that: (1) if M contains a (δ, γ) -net where δ and γ are both very large, then M has large branch-width, and, conversely, (2) if the branch-width of M is very large, then M or M^* contains a (δ, γ) -net where δ and γ are both large.

© 2005 Elsevier Inc. All rights reserved.

Keywords: Branch-width; Matroids; Connectivity

1. Introduction

For matroids representable over a given finite field, we obtain a qualitative characterization of large branch-width. For graphs, such a characterization was obtained by Robertson and Seymour [8].

Theorem 1.1 (*Robertson and Seymour*). *For any positive integer n there exists an integer k such that, if G is a graph with branch-width at least k , then G contains a minor isomorphic to the n by n grid.*

[☆] This research was partially supported by grants from the Natural Sciences and Engineering Research Council of Canada and the Marsden Fund of New Zealand.

Ideally we would like to prove the following conjecture of Johnson, Robertson, and Seymour [4].

Conjecture 1.2. *For any positive integer n and prime power q , there exists an integer k such that, if M is a $\text{GF}(q)$ -representable matroid with branch-width at least k , then M contains a minor isomorphic to the cycle-matroid of the n by n grid.*

The cycle-matroid of the n by n grid has branch-width n . If true, the above conjecture would, given a matroid with very large branch-width (at least k), provide a succinct certificate that the branch-width is large (at least n). We provide a similar such certificate.

Let M be a matroid and let $A \subseteq E(M)$. We let $\lambda_M(A) = r_M(A) + r_M(E(M) - A) - r(M) + 1$. A partition (A, B) of $E(M)$ is called a *separation of order* $\lambda_M(A)$. For disjoint subsets A and B of $E(M)$ we let

$$\kappa_M(A, B) = \min(\lambda_M(X) : A \subseteq X \subseteq E(M) - B).$$

A (δ, γ) -net of a matroid M is a pair (N, \mathcal{P}) where N is a minor of M , \mathcal{P} is a collection of series classes of N , $|\mathcal{P}| \geq \delta$, and $\kappa_M(P, Q) \geq \gamma$ for each distinct pair of sets $P, Q \in \mathcal{P}$. The next result, proven in Section 4, shows that nets witness large branch-width.

Lemma 1.3. *Let M be a $\text{GF}(q)$ -representable matroid. If M contains a (q^k, k) -net, then M has branch-width at least k .*

Our main result is that nets provide a qualitative characterization of large branch-width.

Theorem 1.4. *For all positive integers δ and γ and any finite field \mathbb{F} there exists an integer k such that if M is an \mathbb{F} -representable matroid with branch-width at least k , then M or M^* contains a (δ, γ) -net.*

We prove a slightly stronger version of Lemma 1.3 and Theorem 1.4, namely Lemma 4.1 and Theorem 6.2, that do not require representability.

Verifying that a pair (N, \mathcal{P}) is a (δ, γ) -net of M can be done efficiently. Most of the work required is in verifying that $\kappa_M(P, Q) \geq \gamma$ for each pair (P, Q) of sets in \mathcal{P} . The number of such pairs is

$$\binom{\delta}{2} \leq \binom{|E(M)|}{2}.$$

For a given pair (P, Q) we can efficiently verify that $\kappa_M(P, Q) \geq \gamma$ using Tutte’s Linking Theorem (Theorem 2.2). It suffices to provide a minor N' of M such that $E(N') = P \cup Q$ and $\lambda_{N'}(P) \geq \gamma$; this can be verified using only four rank-evaluations. For our purpose, we do not need to know how to compute $\kappa_M(P, Q)$ efficiently. Nevertheless, $\kappa_M(P, Q)$ can be computed efficiently via Edmonds’ Matroid Intersection Algorithm; this application, due to Edmonds, is described by Bixby and Cunningham [1].

2. Preliminaries

We assume that the reader is familiar with matroid theory; we use the notation of Oxley [7].

For any positive integer q we let $\mathcal{U}(q)$ denote the class of matroids with no $U_{2,q+2}$ -minor and we let $\mathcal{U}^*(q)$ denote the class of matroids with no $U_{q,q+2}$ -minor. Note that, if q is a prime-power, then $\mathcal{U}(q) \cap \mathcal{U}^*(q)$ contains all $\text{GF}(q)$ -representable matroids. We prove the more general version of Theorem 1.4 by extending it to the class $\mathcal{U}(q) \cap \mathcal{U}^*(q)$. We use the following result of Kung [5].

Lemma 2.1. *For $q \geq 2$, if M is a simple rank- r matroid in $\mathcal{U}(q)$, then $|E(M)| \leq (q^r - 1)/(q - 1)$.*

We also use the following theorem of Tutte [10].

Theorem 2.2 (Tutte’s Linking Theorem). *If S and T are disjoint sets of elements in a matroid M , then there exists a minor N of M such that $E(N) = S \cup T$ and $\lambda_N(S) = \kappa_M(S, T)$.*

Let E be a finite set, and let λ be an integer-valued function defined on subsets of E . We call λ a *connectivity function* on E if:

- (1) $\lambda(X) = \lambda(E - X)$ for each $X \subseteq E$, and
- (2) $\lambda(X) + \lambda(Y) \geq \lambda(X \cap Y) + \lambda(X \cup Y)$.

The following gives some elementary properties of connectivity functions that we will use later without reference.

Lemma 2.3. *If λ is a connectivity function on E , then, for each $X, Y \subseteq E$, we have:*

- $\lambda(X) \geq \lambda(\emptyset)$ and
- $\lambda(X) + \lambda(Y) \geq \lambda(X - Y) + \lambda(Y - X)$.

Proof. By symmetry and submodularity we have:

$$\begin{aligned} \lambda(X) + \lambda(Y) &= \lambda(X) + \lambda(E - Y) \\ &\geq \lambda(X - Y) + \lambda(E - (Y - X)) \\ &= \lambda(X - Y) + \lambda(Y - X). \end{aligned}$$

Thus $\lambda(X) + \lambda(Y) \geq \lambda(X - Y) + \lambda(Y - X)$. When $X = Y$ this inequality reduces to $\lambda(X) \geq \lambda(\emptyset)$. \square

A partition (A, B) of E is called a *separation of order $\lambda(A)$* . For disjoint sets $S, T \subseteq E$, we let

$$\kappa_\lambda(S, T) = \min\{\lambda(Z) : S \subseteq Z \subseteq E - T\}.$$

Lemma 2.4. *Let λ be a connectivity function on E and let $X \subseteq A \subseteq E$. If $\kappa_\lambda(X, E - A) = \lambda(A)$, then, for each $Z \subseteq E - X$, we have $\lambda(Z - A) \leq \lambda(Z)$.*

Proof. Note that $X \subseteq A - Z \subseteq E - A$. Therefore $\lambda(A - Z) \geq \kappa_\lambda(X, E - A) = \lambda(A)$. Now

$$\lambda(A) + \lambda(Z) \geq \lambda(A - Z) + \lambda(Z - A).$$

Thus, $\lambda(Z) \geq \lambda(Z - A)$, as required. \square

A tree is *cubic* if its internal vertices all have degree 3. A *partial branch-decomposition* of λ is a cubic tree T , with at least one edge, whose leaves are labelled by elements of E . That is, each element in E labels exactly one leaf of T , but leaves may be unlabelled or multiply labelled. A *branch-decomposition* is a partial branch-decomposition without multiply labelled leaves. If T' is a subgraph of T and $X \subseteq E$ is the set of labels of T' , then we say that T' *displays* X . The *width* of an edge e of T , denoted $\epsilon(e, T)$, is defined to be $\lambda(X)$ where X is the set displayed by one of the components of $T - \{e\}$. The *width* of T , denoted $\epsilon(T)$, is the maximum among the widths of its edges. The *branch-width* of λ is the minimum among the widths of all branch-decompositions of λ .

The following lemma is an immediate consequence of Lemma 2.4.

Lemma 2.5. *Let λ be a connectivity function on E , let T be a partial branch-decomposition of λ , and let $X \subseteq E$ be the set labelling a vertex $v \in V(T)$. Now, let $A \subseteq E$ with $X \subseteq A$ and let T' be the branch-decomposition of λ obtained by relabelling T as follows: label v by A and label $w \in V(T) - \{v\}$ by $Y - A$ where Y is the set of labels of w in T . If $\kappa_\lambda(X, E - A) = \lambda(A)$, then $\epsilon(e, T') \leq \epsilon(e, T)$ for each edge e of T .*

The *branch-width* of a matroid M is the branch-width of its connectivity function λ_M . We require the following result of Oporowski [6].

Theorem 2.6. *If M is a matroid of branch-width at least $\binom{m+1}{2}$, then M contains a circuit of length at least m .*

3. Tangles

Robertson and Seymour [9] introduced branch-width for connectivity functions and showed that, for graphs, this parameter is characterized by ‘tangles.’ In fact, Robertson and Seymour [9, (3.5)] proved a more general duality notion for the branch-width of a connectivity function, but they did not explicitly define ‘tangles’ for connectivity functions. Later, Dharmatilake [2] defined tangles for matroids and proved the duality with branch-width. In this section we define tangles for connectivity functions and reprove the duality with branch-width. We remark that, when restricted to matroids, our definition, unlike that of Dharmatilake, is self-dual.

Let λ be a connectivity function on E . A *tangle* of λ of *order* k is a collection \mathcal{T} of subsets of E such that:

- (T1) For each $B \in \mathcal{T}$, $\lambda(B) < k$.
- (T2) For each separation (A, B) of order less than k , \mathcal{T} contains A or B .
- (T3) If $A, B, C \in \mathcal{T}$, then $A \cup B \cup C \neq E$.
- (T4) For each $e \in E$, $E - \{e\} \notin \mathcal{T}$.

Note that, by (T3), (T2) can be sharpened to say that \mathcal{T} contains exactly one of A and B . The following lemma gives alternate defining conditions for a tangle that are more straightforward to verify.

Lemma 3.1. *Let λ be a connectivity function and let $k \in \mathbb{Z}$. Now let \mathcal{T} be a collection of subsets of E that satisfies:*

- (T1) For each $B \in \mathcal{T}$, $\lambda(B) < k$.
 (T2) For each separation (A, B) of order less than k , \mathcal{T} contains A or B .
 (T3a) If $A \subseteq B$, $B \in \mathcal{T}$, and $\lambda(A) < k$, then $A \in \mathcal{T}$.
 (T3b) If (A, B, C) is a partition of E , then \mathcal{T} cannot contain all three of A , B , and C .
 (T4) For each $e \in E$, $E - \{e\} \notin \mathcal{T}$.

Then \mathcal{T} is a tangle.

Proof. If \mathcal{T} is not a tangle, then there exists $A, B, C \in \mathcal{T}$ such that $A \cup B \cup C = E$. Choose such A , B , and C minimizing $|A \cap B| + |B \cap C| + |C \cap A|$. By (T3b) and symmetry, we may assume that $|A \cap B| \neq 0$. Since λ is symmetric and submodular, we have $\lambda(A - B) + \lambda(B - A) \leq \lambda(A) + \lambda(B)$. Then, by the symmetry between A and B , we may assume that $\lambda(A - B) < k$. Now $A - B \subseteq A$, so, by (T3a), we have $A - B \in \mathcal{T}$. Thus we have $(A - B) \cup B \cup C = E$ and $|(A - B) \cap B| + |B \cap C| + |C \cap (A - B)| < |A \cap B| + |B \cap C| + |C \cap A|$. This contradicts our choice of A , B , and C . \square

The main result of this section is:

Theorem 3.2. *Let λ be a connectivity function on E . Then the maximum order of a tangle of λ is equal to the branch-width of λ .*

The rest of this section is devoted to the proof of Theorem 3.2. Let \mathcal{A} be a collection of subsets of E . We say that \mathcal{A} extends to a tangle \mathcal{T} of order k , if $\mathcal{A} \subseteq \mathcal{T}$. We say that a partial branch-decomposition T conforms to \mathcal{A} if, for each leaf v of T , there is a set $A \in \mathcal{A}$ that contains each of the elements labelling v . (We do not require that the set elements labelling v is contained in \mathcal{A} .) The following theorem is cryptomorphic to [9, (3.5)]; for completeness we will include a proof of this result later in this section.

Theorem 3.3. *Let λ be a connectivity function on E , let $k \in \mathbb{Z}$, and let \mathcal{A} be a collection of subsets of E such that $\lambda(A) < k$, for each $A \in \mathcal{A}$, and $\bigcup \mathcal{A} = E$. Then either*

- \mathcal{A} extends to a tangle of order k , or
- there is a partial branch-decomposition of λ of width $< k$ that conforms to \mathcal{A} .

The two possible outcomes above are in fact exclusive, as we show in the following lemma.

Lemma 3.4. *Let λ be a connectivity function on E and let $k \in \mathbb{Z}$. If \mathcal{T} is a tangle of order k and T is a partial branch-decomposition of λ that conforms with \mathcal{T} , then $\epsilon(T) \geq k$.*

Proof. Suppose, by way of contradiction, that $\epsilon(T) < k$. Construct an orientation of T as follows. Consider an edge e of T ; let a and b be the ends of e and let X_a and X_b be the sets displayed by the components of $T - e$ containing a and b , respectively. Thus (X_a, X_b) is a separation of order less than k . By (T2) and (T3), \mathcal{T} contains exactly one of X_a and X_b . By symmetry, we may assume that $X_a \in \mathcal{T}$. Now, orient e toward b . Consider a leaf w of T . Let e be the edge of T incident with w and let $X \subseteq V$ be the set of elements labelling w . By definition, there exists $A \in \mathcal{T}$ such that $X \subseteq A$. By (T2) and (T3), we have $X \in \mathcal{T}$. Therefore e is oriented away from w .

Therefore, there must exist an internal node v of T with all three incident edges oriented toward it. This, however, contradicts (T3). \square

Before we prove Theorem 3.3, we will use it to prove Theorem 3.2.

Proof of Theorem 3.2. Let $k \in \mathbb{Z}$. By Lemma 3.4 it cannot be the case that there exists both a branch-decomposition of width $\leq k$ and a tangle of order k . Thus it suffices to prove that at least one of the two exist.

Case 1. There exists $e \in E$ such that $\lambda(\{e\}) \geq k$.

Let \mathcal{T} consist of all sets $A \subseteq E - \{e\}$ with $\lambda(A) < k$. It is easy to verify that \mathcal{T} is a tangle of order k .

Case 2. $\lambda(\{e\}) < k$ for each $e \in E$.

Let \mathcal{A} be a partition of E into singletons. Then, by Theorem 3.3, either there exists a branch-decomposition of width $< k$ or \mathcal{A} extends to a tangle of order k . \square

Finally, we are ready to prove Theorem 3.3.

Proof of Theorem 3.3. We assume that:

3.4.1. *There is no partial branch-decomposition of width $< k$ that conforms with \mathcal{A} .*

We may also assume that:

3.4.2. *\mathcal{A} is maximal subject to 3.4.1 and to the condition that $\lambda(A) < k$ for each $A \in \mathcal{A}$.*

From these assumptions we obtain:

3.4.3. *If $B \in \mathcal{A}$, $A \subseteq B$, and $\lambda(A) < k$, then $A \in \mathcal{A}$.*

Subproof. Since $A \subseteq B$, a partial branch-decomposition conforms with A if and only if it conforms with $\mathcal{A} \cup \{A\}$. \square

Case 1. For each separation (X, Y) of λ of order $< k$, \mathcal{A} contains X or Y .

In this case we will prove that \mathcal{A} is, in fact, a tangle of order k . It is clear that \mathcal{A} satisfies (T1) and (T2). Moreover, by 3.4.3, \mathcal{A} satisfies (T3a) (of Lemma 3.1). Note that, by 3.4.1, \mathcal{A} also satisfies (T3b). Finally, consider an element $e \in E$. Since $\bigcup \mathcal{A} = E$ there exists $A \in \mathcal{A}$ such that $e \in A$. If $\lambda(\{e\}) \geq k$, then $E - \{e\} \notin \mathcal{A}$ by (T1). If $\lambda(\{e\}) < k$, then $\{e\} \in \mathcal{A}$ by (T3a) and, hence, $E - \{e\} \notin \mathcal{A}$ by (T3b). In either case, $E - \{e\} \notin \mathcal{A}$ and, hence, \mathcal{A} satisfies (T4). Then, by Lemma 3.1, \mathcal{A} is a tangle.

Case 2. There exists a separation (A_1, A_2) of λ of order $< k$ such that $A_1, A_2 \notin \mathcal{A}$.

We choose such a separation (A_1, A_2) minimizing $\lambda(A_1)$. Let $i \in \{1, 2\}$. By 3.4.2, there exists a partial branch-decomposition T_i of width $< k$ that conforms with $\mathcal{A} \cup \{A_i\}$. By 3.4.1, there exists a vertex $v_i \in V(T_i)$ such the set $X_i \subseteq E$ labelling v_i is contained in A_i but is not contained in any set in \mathcal{A} .

3.4.4. $\kappa_\lambda(X_i, E - A_i) = \lambda(A_i)$.

Subproof. Consider a set Z such that $X_i \subseteq Z \subseteq E - A_i$. Suppose that $\lambda(Z) < \lambda(A_i)$. Then, by our choice of (X_1, X_2) , we have $Z \in \mathcal{A}$ or $E - Z \in \mathcal{A}$. Since $X_i \subseteq Z$, it must be the case that $E - Z \in \mathcal{A}$. Then, by 3.4.3 and the fact that $X_2 \subseteq E - Z$, we have $X_2 \in \mathcal{A}$. This contradicts our choice of (X_1, X_2) . \square

Let T'_i be the branch-decomposition of λ obtained from T_i by leaving the labels in X_2 and moving the labels in X_1 to v_i . By 3.4.4 and Lemma 2.5, we have $\epsilon(T'_i) \leq \epsilon(T_i) < k$. Now, from T'_1 and T'_2 we can easily construct a partial branch-decomposition of width $< k$ that conforms with \mathcal{A} ; contrary to 3.4.1. \square

4. Applications of tangles

Naturally, a *tangle* of a matroid M is a tangle of its connectivity function λ_M . The following lemma generalizes Lemma 1.3.

Lemma 4.1. *For all positive integers k and $q \geq 2$, if $M \in \mathcal{U}(q)$ and M contains a (q^k, k) -net, then M has branch-width at least k .*

Proof. Let (N, \mathcal{P}) be a (q^k, k) -net. We define a collection of sets \mathcal{T} such that $A \in \mathcal{T}$ if and only if $\lambda_M(A) < k$ and A does not contain a series class of \mathcal{P} .

Consider any separation (A, B) of M of order less than k . If P and Q are distinct members of \mathcal{P} , then, since $\kappa_M(P, Q) > \lambda_M(A)$, we cannot have $P \subseteq A$ and $Q \subseteq B$. That is, A and B cannot both contain a member of \mathcal{P} and, hence, \mathcal{T} satisfies (T2). Evidently, \mathcal{T} also satisfies (T1), (T3a), and (T4).

Now, consider a partition (A_1, A_2, A_3) of $E(M)$ such that $\lambda_M(A_i) < k$ for each $i \in \{1, 2, 3\}$. Let $B_1 = E(M) - A_1$ and $B_2 = E(M) - A_2$. By the argument above, for each $i \in \{1, 2\}$, the number of sets $P \in \mathcal{P}$ such that either $P \cap A_1$ and $P \cap B_1$ are both non-empty or $P \cap A_2$ and $P \cap B_2$ are both non-empty is at most $2(q^{k-1} - 1) < q^k$. Therefore, there is some set in \mathcal{P} that is contained in A_1, A_2 , or A_3 . Thus, \mathcal{T} satisfies (T3b). So, by Lemma 3.1, \mathcal{T} is a tangle of order k and, hence, M has branch-width at least k . \square

Let X be a subset of $E(M)$. We call X an $[k, n]$ -connected set if for each partition (X_1, X_2) of M with $|X_1|, |X_2| \geq n$ we have $\kappa_M(X_1, X_2) \geq k$.

Lemma 4.2. *Let X be a subset of $E(M)$. If X is an $[k, n]$ -connected set and $|X| \geq 3n$, then M has branch-width at least $k + 1$.*

Proof. Let \mathcal{T} be the set of all sets $A \subseteq E(M)$ such that $\lambda_M(A) \leq k$ and $|A \cap X| < n$. Consider a separation (A, B) of order less than k . Since X is $[k, n]$ -connected, either $|A \cap X| < n$ or

$|B \cap X| < n$. That is, \mathcal{T} satisfies (T2). Moreover, \mathcal{T} clearly satisfies (T1), (T3), and (T4). Therefore, M has branch-width at least $k + 1$. \square

Let \mathcal{T} be a tangle of M of order k . For $X \subseteq E(M)$, if X is a subset of a set in \mathcal{T} then, we let

$$\phi_{\mathcal{T}}(X) = \min(\lambda_M(A) - 1 : X \subseteq A \in \mathcal{T}),$$

otherwise we let $\phi_{\mathcal{T}}(X) = k - 1$.

Lemma 4.3. *Let M be a matroid and let \mathcal{T} be a tangle of M of order k . Then $\phi_{\mathcal{T}}$ is the rank function of a matroid of rank $k - 1$.*

Proof. It is straightforward to see that:

- (i) $0 \leq \phi_{\mathcal{T}}(X) \leq |X|$ for any $X \subseteq E(M)$ and
- (ii) $\phi_{\mathcal{T}}(X_1) \leq \phi_{\mathcal{T}}(X_2)$ for $X_1 \subseteq X_2 \subseteq E(M)$.

Thus it suffices to prove that $\phi_{\mathcal{T}}$ is submodular. Consider subsets Y_1 and Y_2 of $E(M)$. If $\phi_{\mathcal{T}}(Y_1) = k - 1$, then $\phi_{\mathcal{T}}(Y_1 \cup Y_2) = k - 1$. Moreover, $\phi_{\mathcal{T}}(Y_1 \cap Y_2) \leq \phi_{\mathcal{T}}(Y_2)$. Therefore, $\phi_{\mathcal{T}}(Y_1 \cup Y_2) + \phi_{\mathcal{T}}(Y_1 \cap Y_2) \leq \phi_{\mathcal{T}}(Y_1) + \phi_{\mathcal{T}}(Y_2)$. Now suppose that $\phi_{\mathcal{T}}(Y_1) < k - 1$ and $\phi_{\mathcal{T}}(Y_2) < k - 1$. Thus, for $i \in \{1, 2\}$, there exists $A_i \in \mathcal{T}$ such that $Y_i \subseteq A_i$ and $\lambda_M(A_i) = \phi_{\mathcal{T}}(Y_i)$.

As $A_1 \in \mathcal{T}$, it follows from (T2) and (T3) that either $\lambda_M(A_1 \cap A_2) \geq k$ or $A_1 \cap A_2 \in \mathcal{T}$. In either case, $\phi_{\mathcal{T}}(Y_1 \cap Y_2) \leq \lambda_M(A_1 \cap A_2)$. Similarly, by (T2) and (T3), either $\lambda_M(A_1 \cup A_2) \geq k$ or $A_1 \cup A_2 \in \mathcal{T}$. In either case, $\phi_{\mathcal{T}}(Y_1 \cup Y_2) \leq \lambda_M(A_1 \cup A_2)$. Therefore,

$$\begin{aligned} \phi_{\mathcal{T}}(Y_1) + \phi_{\mathcal{T}}(Y_2) &= \lambda_M(A_1) + \lambda_M(A_2) \\ &\geq \lambda_M(A_1 \cap A_2) + \lambda_M(A_1 \cup A_2) \\ &\geq \phi_{\mathcal{T}}(Y_1 \cap Y_2) + \phi_{\mathcal{T}}(Y_1 \cup Y_2), \end{aligned}$$

as required. \square

We obtain the following easy consequence.

Lemma 4.4. *If M is a matroid with branch-width at least $3k + 1$, then there exists a $[k, k]$ -connected subset X of $E(M)$ with $|X| \geq 3k$.*

Proof. Let \mathcal{T} be a tangle of order $3k + 1$, and let X be a subset of $E(M)$ such that $\phi_{\mathcal{T}}(X) = |X| = 3k$; such a set exists by Lemma 4.3. Now, consider any separation (A, B) of M of order less than k . We may assume that $A \in \mathcal{T}$. By Lemma 4.3, $|A \cap X| = \phi_{\mathcal{T}}(A \cap X) \leq \lambda_M(A) < k$. It follows that X is a $[k, k]$ -connected set. \square

Together Lemmas 4.2 and 4.4 provide a qualitative characterization of branch-width. Unfortunately, the amount of work needed to verify that a set is $[k, n]$ -connected grows exponentially with respect to n and k .

5. Frames

For positive integers δ and γ , we define a (δ, γ) -frame in a matroid M to be a pair (N, \mathcal{P}) such that N is a minor of M , \mathcal{P} is a set of series classes of N , $|\mathcal{P}| \geq \delta$, and $|P| \geq \gamma$ for each $P \in \mathcal{P}$. The main result of this section is the following.

Lemma 5.1. *There exists an integer-valued function $f_1(\delta, \gamma, q)$ such that for any positive integers δ, γ and $q \geq 2$, if M is a matroid in $\mathcal{U}(q) \cap \mathcal{U}^*(q)$ with branch-width at least $f_1(\delta, \gamma, q)$, then M or M^* contains a (δ, γ) -frame.*

We require the following preliminary results.

Lemma 5.2. *There exists an integer-valued function $f_2(\delta, \gamma, q, k)$ such that for any positive integers $\delta, \gamma, q \geq 2$, and k , if M is a matroid in $\mathcal{U}^*(q)$ with branch-width at least $3(k + \delta) + 1$, then either M contains a (δ, γ) -frame or there exists $Y \subseteq E(M)$ such that $M|Y$ has branch-width at least k and $|Y| \leq f_2(\delta, \gamma, q, k)$.*

Proof. Let $f_2(\delta, \gamma, q, k) = \binom{3(k+\delta)}{k+\delta}^2 q^{k+\delta} \gamma$. Suppose that M does not contain a (δ, γ) -frame. By Lemma 4.4, there exists a $[k + \delta, k + \delta]$ -connected set Z in M with $|Z| = 3(k + \delta)$.

Let S and T be disjoint subsets of Z with $|S| = |T| = k + \delta$. Then, $\kappa_M(S, T) = k + \delta$. Hence, by Tutte’s Linking Theorem, there exists a partition (I, J) of $E(M) - (S \cup T)$ such that $\lambda_{M \setminus I/J}(S) = k + \delta$; we choose such a partition with J minimal. Let N denote the restriction of M to $S \cup T \cup J$. By the minimality of J , $S \cup J$ is a basis of N and N has no coloops. Since $S \cup J$ is a basis of N , we have $r(N^*) \leq |T| = k + \delta$. Let \mathcal{P} denote the series classes of N with size at least γ . Since M does not contain a (δ, γ) -frame, we have $|\mathcal{P}| < \delta$. Let P denote the union of the sets in \mathcal{P} and let $N_1 = N \setminus P$. The corank of N_1 is at most $k + \delta$ and each series class of N_1 not in \mathcal{P} has size at most $\gamma - 1$, so, by Lemma 2.1, $|E(N_1)| \leq q^{k+\delta}(\gamma - 1)$. Moreover, $\kappa_{N_1}(S \cap E(N_1), T \cap E(N_1)) \geq \kappa_N(S, T) - |\mathcal{P}| \geq k$.

Let Y denote the set obtained by taking the union of Z and all sets of the form $E(N_1)$ taken over all possible choices of S and T . Then, Z is a $[k, k + \delta]$ -connected set in $M|Y$. By Lemma 4.2, $M|Y$ has branch-width at least k . Moreover, since there are at most $\binom{3(k+\delta)}{k+\delta}^2$ different choices for S and T , we have $|Y| \leq f_2(\delta, \gamma, q, k)$. \square

For subsets X and Y of $E(M)$ we let $\square_M(X, Y)$ denote $r_M(X) + r_M(Y) - r_M(X \cup Y)$.

Lemma 5.3. *There exists an integer-valued function $f_3(\gamma, q, t)$ such that for any positive integers $\delta, \gamma, q \geq 2$, and t , if M is a matroid in $\mathcal{U}^*(q)$ that does not contain a (δ, γ) -frame and $A \subseteq E(M)$ with $\lambda_M(A) \leq t$, then there exists $X \subseteq E(M) - A$ such that $\lambda_{M/X}(A) \leq \delta$ and $|X| \leq f_3(\gamma, q, t)$.*

Proof. Let $f_3(\gamma, q, t) = (\gamma - 1)q^{t-1}$ and let M be a matroid in $\mathcal{U}^*(q)$ that does not contain a (δ, γ) -frame and let A be a subset of $E(M)$ with $\lambda_M(A) \leq t$.

Let J be a minimal subset of $E(M) - A$ such that $\square_M(A, J) = \lambda_M(A) - 1$ and let $N = (M/A)|J$. Note that N has no coloops and that, as J is independent, $r(N^*) = \lambda_M(A) - 1 \leq t - 1$. Let X be the set of all elements of N that are in series classes of size at most $\gamma - 1$ and let $B = J - X$. By Lemma 2.1, $|X| \leq (\gamma - 1)q^{t-1} = f_3(\gamma, q, t)$. Since M has no (δ, γ) -frame, there are at most $\delta - 1$ series classes of N that have size at least γ . Thus, $r^*(N \setminus B) \geq$

$r^*(N) - \delta + 1 = \lambda_M(A) - \delta$. It follows that $\square_M(A, X) \geq \lambda_M(A) - \delta$ and, hence, that $\lambda_{M/X}(A) = \lambda_M(A) - \square_M(A, X) \leq \delta$. \square

We need the following result in the case that $k_1 = k_2$; the more technical version facilitates induction.

Lemma 5.4. *There exists an integer-valued function $f_4(\delta, \gamma, q, k_1, k_2, n)$ such that for any positive integers $\delta, \gamma, k_1, k_2, n \geq 2$ and $q \geq 2$, if M is a matroid in $\mathcal{U}(q) \cap \mathcal{U}^*(q)$ such that M has branch-width at least $f_4(\delta, \gamma, q, k_1, k_2, n)$ and neither M nor M^* contains a (δ, γ) -frame, then there exists a restriction N of M and a partition (A_1, A_2, \dots, A_n) of $E(N)$ such that $N|_{A_1}, \dots, N|_{A_{n-1}}$ each have branch-width at least k_1 , $N|_{A_n}$ has branch-width at least k_2 , and $\lambda_N(A_1 \cup \dots \cup A_i) \leq \delta$ for all $i \in \{1, \dots, n - 1\}$.*

Proof. Let $k_3 = f_2(\delta, \gamma, q, k_1)$ and $k_4 = \max(3(k_1 + \delta) + 1, k_2 + k_3 + f_3(\gamma, q, k_3 + \delta))$. Now define $f_4(\delta, \gamma, q, k_1, k_2, 2) = \max(3(k_1 + \delta) + 1, k_2 + k_3 + f_3(\gamma, q, k_3))$. For $n > 2$, we recursively define $f_4(\delta, \gamma, q, k_1, k_2, n) = f_4(\delta, \gamma, q, k_1, k_4, n - 1)$. Let M be a matroid in $\mathcal{U}(q) \cap \mathcal{U}^*(q)$ such that M has branch-width at least $f_4(\delta, \gamma, q, k_1, k_2, n)$ and neither M nor M^* contains a (δ, γ) -frame.

The proof is by induction on n ; we begin with the case $n = 2$. By Lemma 5.2, there exists $A_1 \subseteq E(M)$ such that $M|_{A_1}$ has branch-width at least k_1 and such that $|A_1| \leq k_3$. Now by dualizing Lemma 5.3, there exists $X \subseteq E(M) - A_1$ such that $\lambda_{M \setminus X}(A_1) \leq \delta$ and $|X| \leq f_3(\gamma, q, k_3)$. Let $N = M \setminus X$ and let $A_2 = E(N) - A_1$. Since $|A_1 \cup X| \leq k_3 + f_3(\gamma, q, k_3)$, $N|_{A_2}$ has branch-width at least k_2 ; as required.

Now consider the case that $n > 2$. By induction, there exists a restriction N_1 of M and a partition (A_1, \dots, A_{n-2}, B) of $E(N_1)$ such that, for each $i \in \{1, \dots, n - 2\}$, $N_1|_{A_i}$ has branch-width at least k_1 , $N_1|_B$ has branch-width at least k_4 , and, for each $i \in \{1, \dots, n - 2\}$, $\lambda_{N_1}(A_1 \cup \dots \cup A_i) \leq \delta$. By Lemma 5.2, there exists $A_{n-1} \subseteq B$ such that $M|_{A_{n-1}}$ has branch-width exactly k_1 and such that $|A_{n-1}| \leq k_3$. Note that $\lambda_{N_1}(A_1 \cup \dots \cup A_{n-1}) \leq \lambda_{N_1}(A_1 \cup \dots \cup A_{n-2}) + |A_{n-1}| \leq \delta + k_3$. Thus, by dualizing Lemma 5.3, there exists $X \subseteq E(N_1) - (A_1 \cup \dots \cup A_{n-1})$ such that $\lambda_{N_1 \setminus X}(A_1 \cup \dots \cup A_{n-1}) \leq \delta$ and $|X| \leq f_3(\gamma, q, \delta + k_3)$. Let $N = N_1 \setminus X$ and let $A_n = E(N) - (A_1 \cup \dots \cup A_{n-1})$. Since $|A_{n-1} \cup X| \leq k_3 + f_3(\gamma, q, k_3 + \delta)$ and $N|_{A_n} = (N_1|_B) \setminus (A_{n-1} \cup X)$, $N|_{A_n}$ has branch-width at least k_2 ; as required. \square

Proof of Lemma 5.1. Let $m = \gamma q^{2\delta}$, $k = \binom{m+1}{2}$, and $f_1(\delta, \gamma, q) = f_4(\delta, \gamma, q, k, k, \delta)$. Now let M be a matroid in $\mathcal{U}(q) \cap \mathcal{U}^*(q)$ such that M has branch-width at least $f_1(\delta, \gamma, q)$ and neither M nor M^* contains a (δ, γ) -frame. By Lemma 5.4, there exists a minor N_1 of M and a partition $(A_1, A_2, \dots, A_\delta)$ of $E(N_1)$ such that $N|_{A_1}, \dots, N|_{A_\delta}$ each have branch-width at least k , and $\lambda_{N_1}(A_1 \cup \dots \cup A_i) \leq \delta$ for all $i \in \{1, \dots, \delta - 1\}$. Now, by Theorem 2.6, for each $i \in \{1, \dots, \delta\}$ there exists a circuit $C_i \subseteq A_i$ of N_1 of length at least m . Let $N = N|(C_1 \cup \dots \cup C_\delta)$. For each $i \in \{1, \dots, \delta\}$, we have

$$\begin{aligned} \lambda_N(C_i) &\leq \lambda_N(C_1 \cup \dots \cup C_{i-1}) + \lambda_N(C_{i+1} \cup \dots \cup C_\delta) \\ &= \lambda_N(C_1 \cup \dots \cup C_{i-1}) + \lambda_N(C_1 \cup \dots \cup C_i) \\ &\leq \lambda_{N_1}(A_1 \cup \dots \cup A_{i-1}) + \lambda_{N_1}(A_1 \cup \dots \cup A_i) \\ &\leq 2\delta. \end{aligned}$$

It follows easily by definitions that

$$r_N^*(C_i) = \lambda_N(C_i) - 1 + r^*(N|C_i) \leq 2\delta.$$

Thus, there exists a series class $S_i \subseteq C_i$ with $|S_i| \geq \gamma$. So $(N, \{S_1, \dots, S_\delta\})$ is a (δ, γ) -frame. \square

6. Nets

Let f be an integer valued function defined on the set of positive integers. A matroid M is called (m, f) -connected if whenever (A, B) is a separation of order $\ell < m$, then either $|A| \leq f(\ell)$ or $|B| \leq f(\ell)$. The following result was proved in [3].

Lemma 6.1. *Let $g(\ell) = (6^{\ell-1} - 1)/5$ for all positive integers ℓ . If M is a minor-minimal matroid with branch-width k , then M is $(k + 1, g)$ -connected.*

We are finally ready to prove the main result.

Theorem 6.2. *For all positive integers δ, γ and $q \geq 2$, there exists an integer k such that if M is a matroid in $\mathcal{U}(q) \cap \mathcal{U}^*(q)$ with branch-width at least k , then M or M^* contains a (δ, γ) -net.*

Proof. Let $\gamma' = g(\gamma - 1) + 1$ and let $k = f_1(\delta, \gamma', q)$. Now let M be a matroid in $\mathcal{U}(q) \cap \mathcal{U}^*(q)$ with branch-width at least k . Evidently, we may assume that M is minor-minimal with branch-width k . Thus, by Lemma 6.1, M is $(k + 1, g)$ -connected. By Lemma 5.1 and duality, we may assume that M contains a (δ, γ') -frame (N, \mathcal{P}) . Consider a pair of distinct sets $P_1, P_2 \in \mathcal{P}$. Let (X_1, X_2) be a partition of $E(M)$ with $P_1 \subseteq X_1$ and $P_2 \subseteq X_2$. Now, $|X_1|, |X_2| \geq g(\gamma - 1) + 1$. Thus, $\lambda_M(X_1) \geq \gamma$. It follows that $\kappa_M(P_1, P_2) \geq \gamma$. That is, (N, \mathcal{P}) is a (δ, γ) -net in M . \square

References

- [1] R.E. Bixby, W.H. Cunningham, Matroid optimization and algorithms, in: R. Graham, M. Grötschel, L. Lovász (Eds.), Handbook of Combinatorics, Elsevier, Amsterdam, 1995.
- [2] J.S. Dharmatilake, A min-max theorem using matroid separations, in: Matroid Theory, Seattle, WA, 1995, in: Contemp. Math., vol. 197, Amer. Math. Soc., Providence, RI, 1996, pp. 333–342.
- [3] J.F. Geelen, A.M.H. Gerards, N. Robertson, G.P. Whittle, On the excluded-minors for the matroids of branch-width k , J. Combin. Theory Ser. B 88 (2003) 261–265.
- [4] T. Johnson, N. Robertson, P.D. Seymour, Connectivity in binary matroids, handwritten manuscript.
- [5] J.P.S. Kung, Extremal matroid theory, in: N. Robertson, P.D. Seymour (Eds.), Graph Structure Theory, Amer. Math. Soc., Providence, RI, 1993, pp. 21–62.
- [6] B. Oporowski, Partitioning matroids with only small cocircuits, Combin. Probab. Comput. 11 (2002) 191–197.
- [7] J.G. Oxley, Matroid Theory, Oxford Univ. Press, New York, 1992.
- [8] N. Robertson, P.D. Seymour, Graph minors V: excluding a planar graph, J. Combin. Theory Ser. B 41 (1986) 92–114.
- [9] N. Robertson, P.D. Seymour, Graph minors X: Obstructions to tree-decomposition, J. Combin. Theory Ser. B 52 (1991) 153–190.
- [10] W.T. Tutte, Menger's theorem for matroids, J. Res. Nat. Bur. Standards, B. Math. Math. Phys. B 69 (1965) 49–53.