

# On 2-coverings and 2-packings of laminar families

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## Abstract

Let  $\mathcal{H}$  be a laminar family of subsets of a groundset  $V$ . A  $k$ -cover of  $\mathcal{H}$  is a multiset of edges,  $C$ , such that for every subset  $S$  in  $\mathcal{H}$ ,  $C$  has at least  $k$  edges (counting multiplicities) that have exactly one end in  $S$ . A  $k$ -packing of  $\mathcal{H}$  is a multiset of edges,  $P$ , such that for every subset  $S$  in  $\mathcal{H}$ ,  $P$  has at most  $k \cdot u(S)$  edges (counting multiplicities) that have exactly one end in  $S$ . Here,  $u$  assigns an integer capacity to each subset in  $\mathcal{H}$ .

Our main results are:

1. Given a  $k$ -cover  $C$  of  $\mathcal{H}$ , there is an efficient algorithm to find a 1-cover contained in  $C$  of size  $\leq k|C|/(2k-1)$ . For 2-covers, the factor of  $2/3$  is best possible.
2. Given a 2-packing  $P$  of  $\mathcal{H}$ , there is an efficient algorithm to find a 1-packing contained in  $P$  of size  $\geq |P|/3$ . The factor of  $1/3$  for 2-packings is best possible.

All of these results extend to the case where the edges have nonnegative weights. These results are based on efficient algorithms for finding appropriate colorings of the edges in a  $k$ -cover or a 2-packing, respectively.

These results imply approximation algorithms for some NP-hard problems in connectivity augmentation and related topics. In particular, we have a  $4/3$ -approximation algorithm for the following problem: (*TPC*) Given a tree  $T$  and a set of nontree edges  $E$  that forms a cycle on the leaves of  $T$ , find a minimum-size subset  $E'$  of  $E$  such that  $T + E'$  is 2-edge connected.

Also, we show that the following two problems are NP-hard: (1) *TPC*. (2) Given a 2-packing  $P$  of a capacitated laminar family  $\mathcal{H}$ ,  $u$ , find a maximum-size 1-packing that is contained in  $P$ .

**Keywords:** laminar family of subsets, 1-covers, 2-covers, 1-packings, 2-packings, NP-hard, approximation algorithm, connectivity augmentation, 2-edge connected graph.

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# 1 Introduction

## Coverings and packings of laminar families by edges

Let  $\mathcal{H}$  be a laminar family of subsets of a groundset  $V$ . In detail, let  $V$  be a groundset, and let  $\mathcal{H} = \{A_1, A_2, \dots, A_q\}$  be a set of distinct subsets of  $V$  such that for every  $1 \leq i, j \leq q$ ,  $A_i \cap A_j$  is exactly one of  $\emptyset$ ,  $A_i$  or  $A_j$ . A  $k$ -cover of  $\mathcal{H}$  is a multiset of edges,  $C$ , such that for every subset  $S$  in  $\mathcal{H}$ ,  $C$  has at least  $k$  edges (counting multiplicities) that have exactly one end in  $S$ . A  $k$ -packing of  $\mathcal{H}$  is a multiset of edges,  $P$ , such that for every subset  $S$  in  $\mathcal{H}$ ,  $P$  has at most  $k \cdot u(S)$  edges (counting multiplicities) that have exactly one end in  $S$ . Here,  $u$  assigns an integer capacity to each subset in  $\mathcal{H}$ .

Our main results are:

1. Given a  $k$ -cover  $C$  of  $\mathcal{H}$ , there is an efficient algorithm to find a 1-cover contained in  $C$  of size  $\leq k|C|/(2k - 1)$ . For 2-covers, the factor of  $2/3$  is best possible.
2. Given a 2-packing  $P$  of  $\mathcal{H}$ , there is an efficient algorithm to find a 1-packing contained in  $P$  of size  $\geq |P|/3$ . The factor of  $1/3$  is best possible.

All of these results extend to the weighted case, where the edges have nonnegative weights.

Also, we show that the following two problems are NP-hard: (1) Given a 2-cover  $C$  of  $\mathcal{H}$ , find a minimum-size 1-cover that is contained in  $C$ . (2) Given a 2-packing  $P$  of  $\mathcal{H}$ ,  $u$ , find a maximum-size 1-packing that is contained in  $P$ .

The upper bound of  $2/3$  on the ratio of the minimum size of a 1-cover versus the size of a (containing) 2-cover is tight. To see this, consider the complete graph  $K_3$ , and the laminar family  $\mathcal{H}$  consisting of three singleton sets. Let the 2-cover be  $E(K_3)$ . A minimum 1-cover has 2 edges from  $K_3$ . The same example, with unit capacities for the three singleton sets in  $\mathcal{H}$ , shows that the ratio of the maximum size of a 1-packing versus the size of a (containing) 2-packing may equal  $1/3$ . There is an infinite family of similar examples, where the 1-cover/2-cover ratio is  $\geq 2/3$  and the 1-packing/2-packing ratio is  $\leq 1/3$ , see Section 4.1.

An edge is said to *cover* a subset  $S$  of  $V$  if the edge has exactly one end in  $S$ . Our algorithm for finding a small-size 1-cover from a given 2-cover constructs a “good” 3-coloring of (the edges of) the 2-cover. In detail, the 3-coloring is such that for every subset  $S$  in the laminar family, at least two different colors appear among the edges covering  $S$ . The desired 1-cover is obtained by picking the two smallest (least weight) color classes. Similarly, our algorithm for finding a large-size 1-packing from a given 2-packing constructs a 3-coloring of (the edges of) the 2-packing such that for every subset  $S$  in the laminar family, at most  $u(S)$  of the edges covering  $S$  have the same color. The desired 1-packing is obtained by picking the largest (most weight) color class.

## A linear programming relaxation

Consider the natural integer programming formulation (IP) of our minimum 1-cover problem. Let the given  $k$ -cover be denoted by  $E$ . There is a (nonnegative) integer variable  $x_e$  for each edge  $e \in E$ . For each subset  $S \in \mathcal{H}$ , there is a constraint  $\sum_{e \in \delta(S)} x_e \geq 1$ , where  $\delta(S)$  denotes the set of

edges covering  $S$ . The objective function is to minimize  $\sum_e w_e x_e$ , where  $w_e$  is the weight of edge  $e$ . Let (LP) be the following linear program obtained by relaxing all of the integrality constraints on the variables.

$$(LP) \quad z_{LP} = \text{minimize} \quad \sum_e w_e x_e \quad \text{subject to} \quad \left\{ \sum_{e \in \delta(S)} x_e \geq 1, \forall S \in \mathcal{H}; \quad x_e \geq 0, \forall e \in E \right\}.$$

Clearly, (LP) is solvable in polynomial time. The  $k$ -cover gives a feasible solution to (LP) by fixing  $x_e = 1/k$  for each edge  $e$  in the  $k$ -cover.

For the minimum 1-cover problem, Theorem 3 below shows that the optimal value of the integer program (IP) is  $\leq 4/3$  times the optimal value of a half-integral solution to the LP relaxation (LP). (A feasible solution  $x$  to (LP) is called *half-integral* if  $x_e \in \{0, \frac{1}{2}, 1\}$ , for all edges  $e$ .) There are examples where the LP relaxation has a unique optimal solution that is *not* half-integral. See Figure 1 for such examples. For the maximum 1-packing problem, Theorem 6 shows that the optimal value of the integer program is  $\geq 1/3$  times the optimal value of a half-integral solution to the LP relaxation.

Recall that a laminar family  $\mathcal{H}$  may be represented as a tree  $T = T(\mathcal{H})$ . ( $T$  has a node for  $V$  as well as for each set  $A_i \in \mathcal{H}$ , and  $T$  has an edge  $A_i A_j$  if  $A_j \in \{V\} \cup \mathcal{H}$  is the smallest set containing  $A_i \in \mathcal{H}$ .)

Two special cases of the minimum 1-cover problem are worth mentioning. (i) If the laminar family  $\mathcal{H}$  is such that the tree  $T(\mathcal{H})$  is a path, then the LP relaxation has an integral optimal solution. This follows because the constraints matrix of the LP relaxation is essentially a network matrix, see [CCPS 98, Theorem 6.28], and hence the matrix is totally unimodular; consequently, every extreme point solution (basic feasible solution) of the LP relaxation is integral. (ii) If the laminar family  $\mathcal{H}$  is such that the tree  $T(\mathcal{H})$  is a star (i.e., the tree has one nonleaf node, and that is adjacent to all the leaf nodes) then the LP relaxation has a half-integral optimal solution. This follows because in this case the LP relaxation is essentially the same as the linear program of the fractional matching polytope, which has half-integral extreme point solutions, see [CCPS 98, Theorem 6.13].

## Equivalent problems

Let  $\mathcal{H}$  be a laminar family on a groundset  $V$ , and let  $E$  be a  $k$ -cover or a  $k$ -packing of  $\mathcal{H}$ . Let  $T = T(\mathcal{H})$  be the tree representing  $\mathcal{H}$ . We can define an edge set on  $V(T)$  that corresponds to  $E$ . Consider an edge  $e \in E$  and note that among the sets of  $\mathcal{H}$  covered by  $e$ , there are either two inclusionwise minimal sets, say  $S_e, S'_e$ , or one, say  $S_e$ , or none (in this case  $e$  is redundant). Then the edge (on  $V(T)$ ) corresponding to  $e$  is either  $S_e S'_e$  or  $S_e V$ . Let us continue to use  $E$  to denote the “image” of  $E$  on  $V(T)$ . Note that  $E$  is disjoint from  $E(T)$ .

The problem of finding a minimum 1-cover of a laminar family  $\mathcal{H}$  from among the multiedges of a  $k$ -cover  $E$  may be reformulated as a connectivity augmentation problem. The problem is to find a minimum weight subset of edges  $E'$  contained in  $E$  such that  $T + E' = (V(T), E(T) \cup E')$  is 2-edge connected; we may assume that  $E'$  has no multiedges. Instead of taking  $T$  to be a tree, we may take  $T$  to be a connected graph. This gives the problem *CBRA* which was initially studied by Eswaran & Tarjan [ET 76], and by Frederickson & Ja'ja' [FJ 81]; see Section 4.1.

Similarly, the problem of finding a maximum 1-packing of a capacitated laminar family  $\mathcal{H}$ ,  $u$  from among the multiedges of a  $k$ -packing  $E$  may be reformulated as follows. Let  $T = T(\mathcal{H})$  be the tree representing  $\mathcal{H}$ , and let the tree edges have (nonnegative) integer capacities  $u : E(T) \rightarrow \mathbb{Z}$ ; the capacity of a set  $A_i \in \mathcal{H}$  corresponds to the capacity of the tree edge  $a_i$  representing  $A_i$ . The  $k$ -packing  $E$  corresponds to a set of demand edges. The problem is to find a maximum integral multicommodity flow  $x : E \rightarrow \mathbb{Z}$  where the source-sink pairs (of the commodities) are as specified by  $E$ . In more detail, the objective is to maximize the total flow  $\sum_{e \in E} x_e$ , subject to the capacity constraints, namely, for each tree edge  $a_i$  the sum of the  $x$ -values over the demand edges in the cut given by  $T - a_i$  is  $\leq u(a_i)$ , and the constraints that  $x$  is integral and  $\geq 0$ . This problem has been studied by Garg, Vazirani and Yannakakis [GVY 97].

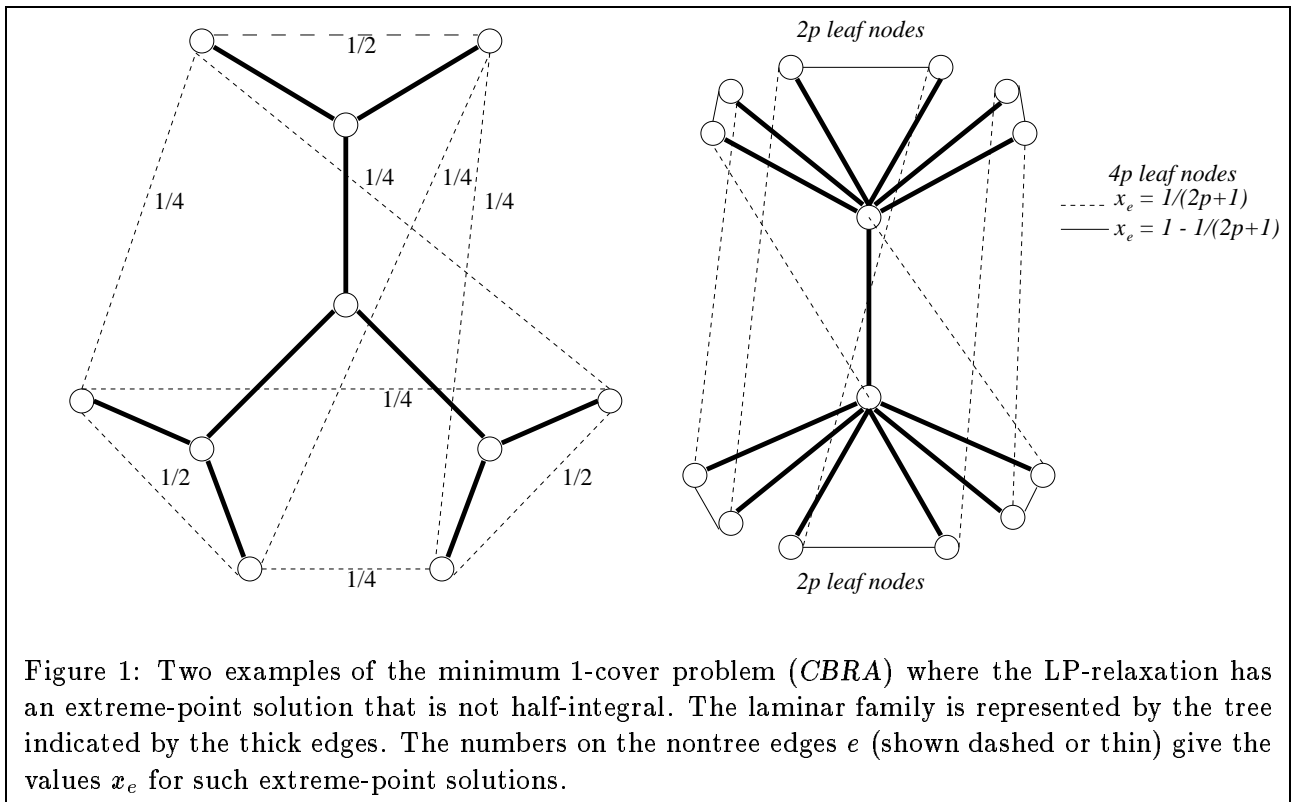


Figure 1: Two examples of the minimum 1-cover problem (CBRA) where the LP-relaxation has an extreme-point solution that is not half-integral. The laminar family is represented by the tree indicated by the thick edges. The numbers on the nontree edges  $e$  (shown dashed or thin) give the values  $x_e$  for such extreme-point solutions.

### Approximation algorithms for NP-hard problems in connectivity augmentation

Our results on 2-covers and 2-packings imply improved approximation algorithms for some NP-hard problems in connectivity augmentation and related topics. Frederickson and Ja'ja' [FJ 81] showed that problem CBRA is NP-hard and gave a 2-approximation algorithm. Later, Khuller and Vishkin [KV 94] gave another 2-approximation algorithm for a generalization, namely, find a minimum-weight  $k$ -edge connected spanning subgraph of a given weighted graph. Subsequently, Garg et al [GVY 97, Theorem 4.2] showed that problem CBRA is max SNP-hard, implying that there is no polynomial-time approximation scheme for CBRA modulo the  $P \neq NP$  conjecture. Currently, the

best approximation guarantee known for *CBRA* is 2.

Our work is partly motivated by the question of whether or not the approximation guarantee for problem *CBRA* can be improved to be strictly less than 2 (i.e., to  $2 - \epsilon$  for a constant  $\epsilon > 0$ ). We give a  $4/3$ -approximation algorithm for an NP-hard problem that is a special case of *CBRA*, namely, the tree plus cycle (*TPC*) problem. See Section 4.1.

Garg, Vazirani and Yannakakis [GVY 97] show that the above maximum 1-packing problem (equivalently, the above multicommodity flow problem) is NP-hard and they give a 2-approximation algorithm. In fact, they show that the optimal value of an integral 1-packing  $z_{IP}$  is  $\geq 1/2$  times the optimal value of a fractional 1-packing  $z_{LP}$ . We do not know whether the factor  $1/2$  here is tight.

It should be noted that the maximum 1-packing problem for the special case of unit capacities (i.e.,  $u(A_i) = 1, \forall A_i \in \mathcal{H}$ ) is polynomial-time solvable. If the capacities are either one or two, and the tree  $T(\mathcal{H})$  representing the laminar family  $\mathcal{H}$  has height two (i.e., every tree path has length  $\leq 3$ ), then the problem may be NP-hard, see [GVY 97, Lemma 4.3].

Further discussion on related topics may be found in the survey papers by Frank [F 94], Goemans & Williamson [GW 96], Hochbaum [Hoc 96], and Khuller [Kh 96]. Jain [J 98] has interesting recent results, including a 2-approximation algorithm for an important generalization of problem *CBRA*.

## Notation

For a multigraph  $G = (V, E)$  and a node set  $S \subseteq V$ , let  $\delta_E(S)$  denote the multiset of edges in  $E$  that have exactly one end node in  $S$ , and let  $d_E(S)$  denote  $|\delta_E(S)|$ ; so  $d_E(S)$  is the number of multiedges in the cut  $(S, V - S)$ .

A  $\rho$ -approximation algorithm for a combinatorial optimization problem runs in polynomial time and delivers a solution whose value is always within the factor  $\rho$  of the optimum value. The quantity  $\rho$  is called the *approximation guarantee* of the algorithm.

## 2 Obtaining a 1-cover from a $k$ -cover

This section has our main result on  $k$ -covers, namely, there exists a 1-cover whose size (or weight) is at most  $k/(2k - 1)$  times the size (or weight) of a given  $k$ -cover. The main step (Proposition 2) is to show that there exists a “good”  $(2k - 1)$ -coloring of any  $k$ -cover. We start with a preliminary lemma.

**Lemma 1** *Let  $V$  be a set of nodes, and let  $\mathcal{H}$  be a laminar family on  $V$ . Let  $E$  be a minimal  $k$ -cover of  $\mathcal{H}$ . Then there exists a set  $X \in \mathcal{H}$  such that  $d_E(X) = k$  and no proper subset  $Y$  of  $X$  is in  $\mathcal{H}$ .*

**Proof:** Since  $E$  is minimal, there exists at least one set  $X \in \mathcal{H}$  with  $d_E(X) = k$ . We call a node set  $X \subseteq V$  a *tight set* if  $d_E(X) = k$ . Consider an inclusionwise minimal tight set  $X$  in  $\mathcal{H}$ . Suppose there exists a  $Y \subsetneq X$  such that  $Y \in \mathcal{H}$ . If each edge of  $E$  that covers  $Y$  also covers  $X$ , then we have  $d_E(Y) = k$ . But this contradicts our choice of  $X$ . Thus there exists an edge  $xy \in E$  covering  $Y$  with  $x, y \in X$ . By the minimality of  $E$ ,  $xy$  must cover a tight set  $Z \in \mathcal{H}$ . Since  $\mathcal{H}$  is a laminar

family,  $Z$  must be a proper subset of  $X$ . This contradiction to our choice of  $X$  proves the lemma.  $\square$

**Proposition 2** *Let  $V$  be a set of nodes, and let  $\mathcal{H}$  be a laminar family on  $V$ . Let  $E$  be a minimal  $k$ -cover of  $\mathcal{H}$ . Then there is a  $(2k - 1)$ -coloring of (the edges in)  $E$  such that*

- (i) *each set  $X \in \mathcal{H}$  is covered by edges of at least  $k$  different colors, and*
- (ii) *for every node  $v$  with  $d_E(v) \leq k$ , all of the edges incident to  $v$  have distinct colors.*

**Proof:** The proof is by induction on  $|\mathcal{H}|$ . For  $|\mathcal{H}| = 1$  the result holds since there are  $k$  edges in  $E$  (since  $E$  is minimal) and these can be assigned different colors. (For  $|\mathcal{H}| = 0$ ,  $|E| = 0$  so the result holds. However, even if  $E$  is nonempty, it is easy to color the edges in an arbitrary order to achieve property (ii).)

Now, suppose that the result holds for laminar families of cardinality  $\leq N$ . Consider a laminar family  $\mathcal{H}$  of cardinality  $N + 1$ , and let  $E$  be a minimal  $k$ -cover of  $\mathcal{H}$ . By Lemma 1, there exists a tight set  $A \in \mathcal{H}$  (i.e.,  $d_E(A) = k$ ) such that no  $Y \subsetneq A$  is in  $\mathcal{H}$ . We contract the set  $A$  to one node  $v_A$ , and accordingly update the laminar family  $\mathcal{H}$ . Then we remove the singleton set  $\{v_A\}$  from  $\mathcal{H}$ . Let the resulting laminar family be  $\mathcal{H}'$ , and note that it has cardinality  $N$ . Clearly,  $E$  is a  $k$ -cover of  $\mathcal{H}'$ . Let  $E' \subseteq E$  be a minimal  $k$ -cover of  $\mathcal{H}'$ . By the induction hypothesis,  $E'$  has a  $(2k - 1)$ -coloring that satisfies properties (i) and (ii), i.e.,  $E'$  has a good  $(2k - 1)$ -coloring.

If the node  $v_A$  is incident to  $\geq k$  edges of  $E'$ , then note that  $E'$  with its  $(2k - 1)$ -coloring is good with respect to  $\mathcal{H}$  (i.e., properties (i) and (ii) hold for  $\mathcal{H}$  too). To see this, observe that  $k \leq d_{E'}(v_A) \leq d_E(v_A) = k$ , so  $d_{E'}(v_A) = k$ , hence, the  $k$  edges of  $E'$  incident to  $v_A$  get distinct colors by property (ii). Then, for the original node set  $V$ , the  $k$  edges of  $E'$  covering  $A$  get  $k$  different colors.

Now focus on the case when  $d_{E'}(v_A) < k$ . Clearly, each edge in  $E - E'$  is incident to  $v_A$ , since each edge in  $E$  not incident to  $v_A$  covers some tight set that is in both  $\mathcal{H}$  and  $\mathcal{H}'$ . We claim that the remaining edges of  $E - E'$  incident to  $v_A$  can be colored and added to  $E'$  in such a way that  $E$  with its  $(2k - 1)$ -coloring is good with respect to  $\mathcal{H}$ .

It is easy to assign colors to the edge (or edges) of  $E - E'$  such that the  $k$  edges of  $E$  incident to  $v_A$  get different colors. The difficulty is that property (ii) has to be preserved, that is, we must not “create” nodes of degree  $\leq k$  that are incident to two edges of the same color. It turns out that this extra condition is easily handled as follows. Let  $e \in E - E'$  be an edge incident to  $v_A$ , and let  $w \in V$  be the other end node of  $e$ . If  $w$  has degree  $\leq k$  for the current subset of  $E$ , then  $e$  is incident to  $\leq (2k - 2)$  other edges; since  $(2k - 1)$  colors are available, we can assign  $e$  a color different from the colors of all the edges incident to  $e$ . Otherwise ( $w$  has degree  $> k$  for the current subset of  $E$ ), the other edges incident to  $w$  impose no coloring constraint on  $e$ , and we assign  $e$  a color different from the colors of the other edges incident to  $v_A$ ; this is easy since  $d_E(v_A) = k$ . See Figure 2 for an illustration of the last part of the proof for  $k = 2$ .  $\square$

**Remark:** In the above result, note that there may be nodes of  $V$  that are contained in no set  $X \in \mathcal{H}$ . Property (ii) applies to these nodes too.

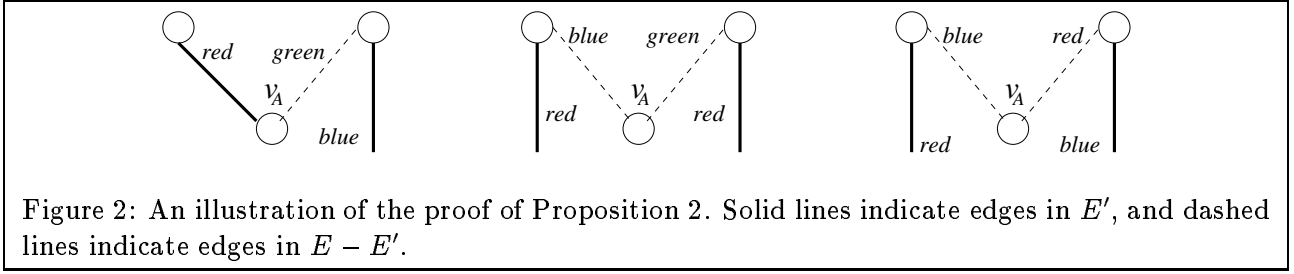


Figure 2: An illustration of the proof of Proposition 2. Solid lines indicate edges in  $E'$ , and dashed lines indicate edges in  $E - E'$ .

**Theorem 3** *Let  $V$  be a node set, and let  $\mathcal{H}$  be a laminar family on  $V$ . Let  $E$  be a  $k$ -cover of  $\mathcal{H}$ , and let each edge  $e \in E$  have a nonnegative weight  $w(e)$ . Then there is a 1-cover of  $\mathcal{H}$ , call it  $E'$ , such that  $E' \subseteq E$  and  $w(E') \leq k w(E)/(2k - 1)$ . Moreover, there is an efficient algorithm that given  $E$  finds  $E'$ ; the running time is  $O(\min(k|V|^2, k^2|V|))$ .*

**Proof:** We construct a good  $(2k - 1)$ -coloring of the  $k$ -cover  $E$  by applying Proposition 2 to a minimal  $k$ -cover  $\tilde{E} \subseteq E$  and then “extending” the good  $(2k - 1)$ -coloring of  $\tilde{E}$  to  $E$ . That is, we partition  $E$  into  $(2k - 1)$  subsets such that each set  $X$  in  $\mathcal{H}$  is covered by edges from at least  $k$  of these subsets. We take  $E'$  to be the union of the cheapest  $k$  of the  $(2k - 1)$  subsets. Clearly, the weight of  $E'$  is at most  $k/(2k - 1)$  of the weight of  $E$ , and (by property (i) of Proposition 2)  $E'$  is a 1-cover of  $\mathcal{H}$ .

Consider the time complexity of the construction in Proposition 2. Let  $n = |V|$ ; then note that  $|\mathcal{H}| \leq 2n$  and  $|E| \leq 2kn$ . The construction is easy to implement in time  $O(|\mathcal{H}| \cdot |E|) = O(kn^2)$ . Also, for  $k < n$ , the time complexity can be improved to  $O(k^2 \cdot |\mathcal{H}|) = O(k^2n)$ . To see this, note that for each set  $A \in \mathcal{H}$  we assign colors to at most  $k$  of the edges covering  $A$  after we contract  $A$  to  $v_A$ , and for each such edge  $e$  we examine at most  $(2k - 2)$  edges incident to  $e$ .  $\square$

### 3 Obtaining a 1-packing from a 2-packing

This section has our main result on 2-packings, namely, there exists a 1-packing whose size (or weight) is at least  $1/3$  times the size (or weight) of a given 2-packing. First, we show that there is no loss of generality in assuming that the 2-packing forms an Eulerian multigraph. Then we give a 3-coloring for the edges of the 2-packing such that for each set  $S$  in the laminar family at most  $u(S)$  edges covering  $S$  have the same color. We take the desired 1-packing to be the biggest color class.

**Lemma 4** *Let  $V$  be a set of nodes, let  $\mathcal{H}$  be a laminar family on  $V$ , and let  $u : \mathcal{H} \rightarrow \mathbb{Z}$  assign an integral capacity to each set in  $\mathcal{H}$ . Let  $E$  be a 2-packing of  $\mathcal{H}$ ,  $u$ , i.e., for all sets  $A_i \in \mathcal{H}$ ,  $d_E(A_i) \leq 2u(A_i)$ . If  $E$  is a maximal 2-packing, then the multigraph  $G = (V, E)$  is Eulerian.*

**Proof:** If  $G$  is not Eulerian, then it has an even number ( $\geq 2$ ) of nodes of odd degree. Let  $A \in \{V\} \cup \mathcal{H}$  be an inclusionwise minimal set that contains  $\geq 2$  nodes of odd degree. For every proper subset  $S$  of  $A$  that is in  $\mathcal{H}$  and that contains an odd-degree node, note that  $d_E(S)$  is odd, hence, this quantity is strictly less than the capacity  $2u(S)$ . Consequently, we can add an edge (or

another copy of the edge)  $vw$  where  $v, w$  are odd-degree nodes in  $A$  to get  $E \cup \{vw\}$  and this stays a 2-packing of  $\mathcal{H}$ ,  $u$ . This contradicts our choice of  $E$ , since  $E$  is a maximal 2-packing. Consequently,  $G$  has no nodes of odd degree, i.e.,  $G$  is Eulerian.  $\square$

**Remark:** For a 2-packing  $E$ , if the multigraph  $(V, E)$  is not Eulerian, then we can repeatedly add edges to  $E$  as described in the above proof, till we get a 2-packing whose multigraph is Eulerian. Although we do not use this, we mention that the new edges are added to  $E$  such that each set in  $\mathcal{H}$  is covered by at most one new edge.

**Proposition 5** *Let  $G = (V, E)$  be an Eulerian multigraph, and let  $\mathcal{P}$  be a pairing of the edges such that for each node  $v$ ,  $\mathcal{P}$  partitions the edges incident to  $v$  into pairs. Let  $\mathcal{H}$  be a laminar family of node sets on  $V$ . Then there is a 3-coloring of  $E$  such that*

- (i) *for each cut  $\delta_E(A_i)$ ,  $A_i \in \mathcal{H}$ , at most half of the edges have the same color, and*
- (ii) *for each edge-pair  $e, f$  in  $\mathcal{P}$ , the edges  $e$  and  $f$  have different colors.*

**Proof:** For every edge-pair in  $\mathcal{P}$ , note that the two edges have a common end node. Let  $\mathcal{P}$  be a set of triples  $[v, e, f]$ , where  $e$  and  $f$  are paired edges incident to the node  $v$ . Note that an edge  $e = vw$  may occur in two triples  $[v, e, f]$  and  $[w, e, g]$ . Then  $\mathcal{P}$  partitions  $E$  into one or more (edge disjoint) subgraphs  $Q_1, Q_2, \dots$ , where each subgraph  $Q_j$  is a connected Eulerian multigraph. To see this, focus on the Eulerian tour given by fixing the successor of any edge  $e = vw$  to be the other edge in the triple  $[w, e, f] \in \mathcal{P}$ , assuming  $e$  is oriented from  $v$  to  $w$ ; each such Eulerian tour gives a subgraph  $Q_j$ .

If  $\mathcal{H} = \emptyset$ , then we color each subgraph  $Q_j$  with 3 colors such that no two edges in the same edge-pair in  $\mathcal{P}$  get the same color. This is easy: We traverse the Eulerian tour of  $Q_j$  given by  $\mathcal{P}$ , and alternately assign the colors red and blue to the edges in  $Q_j$ , and if necessary, we assign the color green to the last edge of  $Q_j$ .

Otherwise, we proceed by induction on the number of sets in  $\mathcal{H}$ . We take an inclusionwise minimal set  $A \in \mathcal{H}$ , shrink it to a single node  $v_A$ , and update  $G = (V, E)$ ,  $\mathcal{H}$  and  $\mathcal{P}$  to  $G' = (V', E')$ ,  $\mathcal{H}'$  and  $\mathcal{P}'$ . Here,  $\mathcal{H}' = \mathcal{H} - \{A\}$ , i.e., the singleton set  $\{v_A\}$  is not kept in  $\mathcal{H}'$ . Also, we add new edge pairs to  $\mathcal{P}'$  to ensure that all edges incident to  $v_A$  are paired. For a node  $v \notin A$ , all its triples  $[v, e, f] \in \mathcal{P}$  are retained in  $\mathcal{P}'$ . Consider the pairing of all the edges incident to  $v_A$  in  $G'$ . For each triple  $[v, e, f] \in \mathcal{P}$  such that  $v \in A$  and each of  $e, f$  has one end node in  $V - A$  (so  $e, f$  are both incident to  $v_A$  in  $G'$ ), we replace the triple by  $[v_A, e, f]$ . We arbitrarily pair up the remaining edges incident to  $v_A$  in  $G'$ .

By the induction hypothesis, there exists a good 3-coloring for  $G', \mathcal{H}', \mathcal{P}'$ . It remains to 3-color the edges with both ends in  $A$ . For this, we shrink the nodes in  $V - A$  to a single node  $v_B$ , and update  $G = (V, E), \mathcal{P}, \mathcal{H}$ , to  $G'' = (V'', E''), \mathcal{P}'', \mathcal{H}''$ ; note that  $\mathcal{H}''$  is the empty family and so may be ignored. We also keep the 3-coloring of  $\delta_{E'}(v_A) = \delta_{E''}(v_B)$ . Our final goal is to extend this 3-coloring to a good 3-coloring of  $E''$  respecting  $\mathcal{P}''$ . We must check that this can always be done. Consider the differently-colored edge pairs incident to  $v_B$ . Consider any connected Eulerian subgraph  $Q_j$  containing one of these edge pairs  $e_1, e_2$ ; the corresponding triple in  $\mathcal{P}''$  is  $[v_B, e_1, e_2]$ . Let  $\tilde{Q}_j$  be a minimal walk of (the Eulerian tour of)  $Q_j$  starting with  $e_2$  and ending with an edge



$f$  incident to  $v_B$  (possibly,  $f = e_1$ ). The number of internal edges in  $\tilde{Q}_j$  is  $\equiv 0$  or  $1 \pmod{2}$ , and the two terminal edges either have the same color or not. If the number of internal edges in  $\tilde{Q}_j$  is nonzero, then it is easy to assign one, two, or three colors to these edges such that every pair of consecutive edges gets two different colors; see Figure 3. The remaining case is when  $\tilde{Q}_j$  has no internal edges, say,  $\tilde{Q}_j = v_B, e_2, w, f, v_B$ , where  $w$  is a node in  $A$ . Then edges  $e_2, f$  are paired via the common end-node  $w$ , i.e., the triple  $[w, e_2, f]$  is present in both  $\mathcal{P}''$  and  $\mathcal{P}$ . Then, by our construction of  $\mathcal{P}'$  from  $\mathcal{P}$ , the triple  $[v_A, e_2, f]$  is in  $\mathcal{P}'$ , and so edges  $e_2$  and  $f$  (which are paired in  $\mathcal{P}'$  and present in  $\delta_{E'}(v_A) = \delta_{E''}(v_B)$ ) must get different colors. Hence, a good 3-coloring of  $G', \mathcal{H}', \mathcal{P}'$  can always be extended to give a good 3-coloring of  $\tilde{Q}_j$ , and the construction may be repeated to give a good 3-coloring of  $Q_j$ .

Finally, note that  $E''$  is partitioned by  $\mathcal{P}''$  into several connected Eulerian subgraphs  $Q_1, Q_2, \dots$ , where some of these subgraphs contain edges of  $\delta_{E''}(v_B)$  and others do not. Clearly, the good 3-coloring of  $G', \mathcal{H}', \mathcal{P}'$  can always be extended to give a good 3-coloring of each of  $Q_1, Q_2, \dots$ , and thus we obtain a good 3-coloring of  $G, \mathcal{H}, \mathcal{P}$ .  $\square$

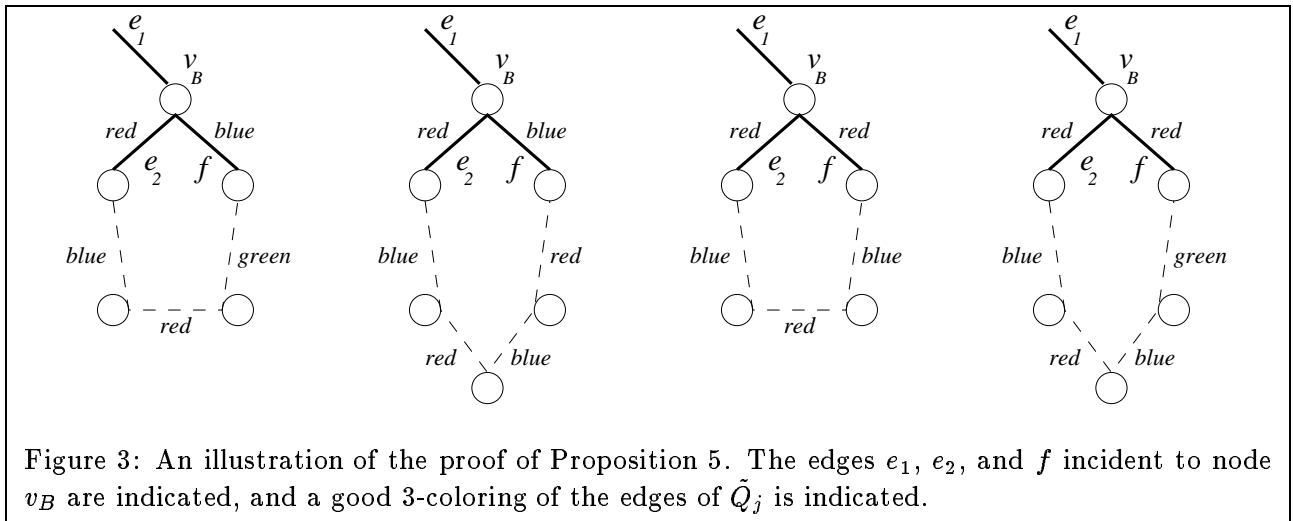


Figure 3: An illustration of the proof of Proposition 5. The edges  $e_1, e_2$ , and  $f$  incident to node  $v_B$  are indicated, and a good 3-coloring of the edges of  $\tilde{Q}_j$  is indicated.

**Theorem 6** *Let  $V$  be a node set, let  $\mathcal{H}$  be a laminar family on  $V$ , and let  $u : \mathcal{H} \rightarrow \mathbb{Z}$  assign an integer capacity to each set in  $\mathcal{H}$ . Let  $E$  be a 2-packing of  $\mathcal{H}$ , and let each edge  $e \in E$  have a nonnegative weight  $w(e)$ . Then there is a 1-packing of  $\mathcal{H}$ , call it  $E'$ , such that  $E' \subseteq E$  and  $w(E') \geq w(E)/3$ . Moreover, there is an efficient algorithm that given  $E$  finds  $E'$ ; the running time is  $O(|V| \cdot |E|)$ .*

**Proof:** If the multigraph  $(V, E)$  is not Eulerian, then we use the construction in Lemma 4 to add a set of edges to make the resulting multigraph Eulerian without violating the 2-packing constraints. We assign a weight of zero to each of the new edges. Let us continue to use  $E$  to denote the edge set of the resulting multigraph.

We construct a good 3-coloring of the 2-packing  $E$  by applying Proposition 5. Let  $F$  be the most expensive of the three “color classes;” so, the weight of  $F$ ,  $w(F)$ , is  $\geq w(E)/3$ . Note that  $F$  is a 1-packing of  $\mathcal{H}$ ,  $u$  by property (i) in the proposition since for every set  $A_i \in \mathcal{H}$ , we have

$d_F(A_i) \leq d_E(A_i)/2 \leq u(A_i)$ . Finally, we discard any new edges in  $F$  (i.e., the edges added by the construction in Lemma 4) to get the desired 1-packing.

Consider the time complexity of the whole construction. It is easy to see that the construction in Proposition 5 for the minimal set  $A \in \mathcal{H}$  takes linear time. This construction may have to be repeated  $|\mathcal{H}| = O(|V|)$  times. Hence, the overall running time is  $O(|V| \cdot |E|)$ .  $\square$

## 4 Applications to connectivity augmentation and related topics

This section applies our covering result (Theorem 3) to the design of approximation algorithms for some NP-hard problems in connectivity augmentation and related topics. The main application is to problem *CBRA*, which is stated below. Problem *CBRA* is equivalent to some other problems in this area, and so we immediately get some more applications.

### 4.1 Problems *CBRA* and *TPC*

Recall problem *CBRA*: given a connected graph  $T = (V, F)$ , and a set of “supply” edges  $E$  with nonnegative weights  $w : E \rightarrow \mathbb{R}_+$ , the goal is to find a minimum-weight subset  $E'$  of  $E$  such that  $T + E' = (V, F \cup E')$  is 2-edge-connected. One application of Theorem 3 is to give a 4/3-approximation algorithm for the special case of *CBRA* when the LP relaxation has an optimal solution that is half-integral.

**Theorem 7** *Given a half-integral solution to the LP relaxation of *CBRA* of weight  $z$ , there is an  $O(|V|)$ -time algorithm to find an integral solution (i.e., a feasible solution of *CBRA*) whose weight is  $\leq \frac{4}{3}z$ .*

**Proof:** Problem *CBRA* may be restated as the problem of finding a minimum-weight 1-cover of a laminar family  $\mathcal{H}$ , where the 1-cover must be chosen from the set of supply edges  $E$  and each supply edge has a nonnegative weight. To specify  $\mathcal{H}$ , fix any node  $r \in V$  to be the root of  $T$ , and focus on the cut edges of  $T$ , call them  $f_1, f_2, \dots$ . For each of these cut edges  $f_1, f_2, \dots$ , let  $A_i$  be the (node set of the) component of  $T - f_i$  that does not contain  $r$ . We take  $\mathcal{H} = \{A_1, A_2, \dots\}$ .

Let  $x : E \rightarrow \{0, \frac{1}{2}, 1\}$  be a half-integral solution to the LP relaxation of *CBRA*, and let  $z = \sum_e w_e x_e$ . Then  $x$  corresponds to a 2-cover  $C$  of  $\mathcal{H}$ , where  $C$  has zero, one or two copies of a supply edge  $e$  iff  $x_e = 0, 1$ , or  $2$ . By Theorem 3,  $C$  contains a 1-cover  $C'$  whose weight is  $\leq 4z/3$ , and moreover,  $C'$  can be computed in time  $O(|V|)$ .  $\square$

We have sharper results for the following special case of problem *CBRA*.

*Tree Plus Cycle Problem (TPC):*

INSTANCE: A tree  $T = (W, F)$  whose set of leaf nodes is  $V \subseteq W$ , a “supply” cycle  $Q = (V, E)$  on the leaves of  $T$  (i.e.,  $d_E(v) = 2, \forall v \in V$ ), and a positive integer  $N$ .

QUESTION: Is there a set of edges  $E' \subseteq E$  with  $|E'| \leq N$  such that  $T + E' = (W, F \cup E')$  is 2-edge-connected?

The proof of the next result is given in the next section.

**Proposition 8** *Problem TPC is NP-complete.*

**Corollary 9** *There exists a  $\frac{4}{3}$ -approximation algorithm for problem TPC. Moreover, there exists a feasible solution  $E' \subseteq E(Q)$  of size  $\leq 2|V(Q)|/3$ .*

**Proof:** Consider the LP relaxation of problem TPC; it is easy to verify that an optimal solution is given by  $x_e = 1/2$  for all supply edges  $e \in E(Q)$ . Now, the result follows directly from Theorem 7.  $\square$

**Remarks:**

- Corollary 9 may be restated as follows. Given a laminar family  $\mathcal{H}$  on a groundset  $V$  (with  $\emptyset \notin \mathcal{H}$ ,  $V \notin \mathcal{H}$ ), and a “supply” cycle  $Q = (V, E)$ , there is a 1-cover of  $\mathcal{H}$ ,  $E' \subseteq E$ , such that  $|E'| \leq \frac{2}{3}|V(Q)|$ .

Let  $n$  denote  $|V(Q)|$ , and let the (sequence of nodes in the) cycle  $Q$  be denoted  $v_1, v_2, \dots, v_n, v_1$ . A  $Q$ -interval  $[v_i, v_{i+\ell}]$  is a set of consecutive nodes,  $\{v_i, v_{i+1}, v_{i+2}, \dots, v_{i+\ell}\}$ , indexing modulo  $n$ .

If  $\mathcal{H}$  consists of all the  $Q$ -intervals not containing a fixed node  $v \in V(Q)$ , then the minimum 1-cover has size  $n - 1$ , showing that the result fails to hold for intersecting families.

If  $\mathcal{H}$  contains the  $Q$ -intervals  $[v_1, v_i]$  for  $1 \leq i \leq n - 1$ , then the minimum 1-cover not containing the supply edge  $v_n v_1$  has size  $n - 1$ . This shows that in the result, one cannot take the supply graph  $Q = (V, E)$  to be a path instead of a cycle.

- As stated in the introduction, the constant  $2/3$  in Corollary 9 is sharp. Moreover, there is an infinite family of examples such that the 1-cover/2-cover ratio is  $\geq 2/3$  and the 1-packing/2-packing ratio is  $\leq 1/3$ .

Here is a construction for such an infinite family of examples. Start with a cycle  $Q'$  of length  $3N$ , and let the node set be  $V'$ , so  $|V'| = 3N$ . Now, add edges (diagonals) between nodes of  $Q'$  in such a way that the resulting graph  $G'$  stays outer-planar and the nodes are partitioned into  $N$  node-disjoint triangles ( $K_3$ 's). (There are several ways to do this, e.g., place  $N$  triangles in a row and add a pair of disjoint edges between every two consecutive triangles.) Note that the minimum node (vertex) cover of  $G'$  has cardinality  $2N$ , and so the maximum independent (node) set of  $G'$  has cardinality  $|V'| - 2N = N$ .

The tree  $T$  for problem TPC is obtained as follows. Let us identify  $G'$  with its outer-planar drawing. Take the planar dual of  $G'$ , and replace the node corresponding to the outer face of  $G'$  by  $3N$  nodes such that each node is incident to one dual-edge. The resulting graph is the tree  $T$ . We take the tree edges of  $T$  to have unit capacities. Take  $Q$  to be a cycle on the leaves of  $T$  where the ordering of the edges in  $Q$  corresponds to the ordering of the nodes in  $Q'$ , and we associate each edge of  $Q$  with the corresponding node of  $Q'$ . Let  $E = E(Q)$ , and note that  $E$  is a 2-cover of  $T$ , as well as a 2-packing of  $T$ .

Every 1-cover of  $T$  corresponds to a node cover of  $G'$ . Consequently, the minimum size of a 1-cover is  $2N$ , while the 2-cover has size  $3N$ . Similarly, every 1-packing of  $T$  corresponds to an independent (node) set of  $G'$ , and so the maximum size of a 1-packing is  $N$  while the 2-packing has size  $3N$ .

## 4.2 Minimum-weight odd-connectivity augmentation

Given a  $(2k - 1)$ -edge-connected graph  $T = (V, F)$ , where  $k \geq 1$  is an integer, and a set of “supply” edges  $E$  with nonnegative weights  $w : E \rightarrow \mathbb{R}_+$ , the goal is to find a minimum weight subset  $E'$  of  $E$  such that  $G' = (V, F + E')$  is  $(2k)$ -edge-connected. Note that the edge connectivity of  $T$ , namely,  $(2k - 1)$ , is odd.

This problem is equivalent to problem *CBRA* because all the  $(2k - 1)$ -cuts (minimum cuts) of  $T$  can be represented by means of a laminar family. (This follows easily from the uncrossing result on pairs of node sets that give minimum cuts of  $T$ .)

## 4.3 Deorienting mixed graphs

A *mixed graph*  $G = (V, F, A)$  on node set  $V$  has a set of undirected edges  $F$  as well as a set of directed arcs  $A$ . For a set  $A'$  of arcs let  $g(A')$  denote the underlying set of edges of  $A'$ , that is, the edges obtained by “deorienting”  $A'$ . For a mixed graph  $G = (V, F, A)$  we define  $g(G) = (V, F \cup g(A))$ . The mixed graph is  $k$ -edge connected if for every node set  $S \subsetneq V$ ,  $S \neq \emptyset$ , there are  $\geq k$  edges or arcs with tails in  $S$  and heads in  $V - S$ .

In the *mixed graph deorientation problem* a  $k$ -edge-connected mixed graph  $G = (V, F, A)$  is given, and the goal is to find a smallest subset  $A' \subseteq A$  of arcs such that  $G' = (V, F \cup g(A'))$  is  $k$ -edge-connected.

Observe that the mixed graph deorientation problem is NP-hard, by a reduction from problem *TPC*. (The tree  $T$  of *TPC* gives the undirected subgraph of  $G$ , and the directed subgraph of  $G$  is obtained by fixing the same orientation for all of the edges in the supply cycle  $Q$ .)

The next result follows from Theorem 7.

**Corollary 10** *Let  $G = (V, F, A)$  be a 2-edge-connected mixed graph such that  $(V, F)$  is connected. Then there exists a subset  $A'$  of  $A$  with  $|A'| \leq 2|A|/3$  such that  $(V, F \cup g(A'))$  is 2-edge-connected.*

## 5 NP-completeness results

This section has the proofs of two NP-completeness results.

First, we show that problem *TPC* (tree plus cycle) is NP-complete. It is convenient to reformulate *TPC* in terms of a laminar family rather than a tree.

*Laminar Family Plus Cycle Problem (LPC):*

INSTANCE: A laminar family  $\mathcal{H}$  on a node set  $V$ , a cycle  $Q = (V, E)$  on  $V$ , and a positive integer  $N$ . (Assume  $\emptyset, V \notin \mathcal{H}$ .)

QUESTION: Is there a 1-cover  $E'$  of  $\mathcal{H}$  such that  $E' \subseteq E$  and  $|E'| \leq N$ ?

We give a polynomial-time reduction from the 3-dimensional matching problem to problem *LPC*.

*3-Dimensional Matching Problem (3DM):*

INSTANCE: Three disjoint sets  $W, X, Y$ , of cardinality  $q$  each, and a set of 3-edges (triples)  $(w_i x_j y_k) \in W \times X \times Y$  called  $M$ . Let  $p = |M|$ .

QUESTION: Does  $M$  contain a (perfect) 3-dimensional matching  $M'$ , i.e., is there an  $M' \subseteq M$  with  $|M'| = q$  such that the 3-edges in  $M'$  are pairwise disjoint?

**Theorem 11** *Problem LPC is NP-complete.*

**Proof:** A laminar family on  $n$  nodes has at most  $2n$  members, hence it is easy to check whether a given set of edges of  $Q$  covers  $\mathcal{H}$  or not. Thus *LPC* is in NP.

We reduce the NP-complete 3-dimensional matching (*3DM*) problem to *LPC*. Our reduction is based on the proof of [FJ 81, Theorem 2] due to Frederickson and Ja'ja'. Note that if  $|M| < q$ , then there is no 3-dimensional matching. Let  $d_M(x_j)$  and  $d_M(y_k)$  denote the number of 3-edges of  $M$  containing  $x_j \in X$  and  $y_k \in Y$ , respectively. Let  $p$  denote  $|M|$ .

Construct a connected graph  $T$  as follows. First, as in [FJ 81], build a star with a “root”  $r$  and  $3q$  leaves  $\{w_1, \dots, w_q, x_1, \dots, x_q, y_1, \dots, y_q\}$  corresponding to the elements of  $W \cup X \cup Y$ . Then for each 3-edge  $(w_i x_j y_k)$  of  $M$  add two nodes  $a_{ijk}$  and  $\bar{a}_{ijk}$  to  $T$  and add the edges  $w_i a_{ijk}, w_i \bar{a}_{ijk}$  to  $T$ . Now replace each of the  $2q$  nodes corresponding to elements of  $X$  and  $Y$  by complete graphs denoted by  $X_1, \dots, X_q, Y_1, \dots, Y_q$  as follows. Each complete subgraph of this type has  $d_M(x_j)8q$  nodes (or  $d_M(y_k)8q$  nodes), and is partitioned into  $d_M(x_j)$  parts (or  $d_M(y_k)$  parts) of cardinality  $8q$  each. We call each of these parts a *lane*. By a *leaf* (of  $T$ ) we mean a 2-edge-connected component of  $T$  whose cut has exactly one edge of  $T$ . The leaves are given by  $X_1, \dots, X_q, Y_1, \dots, Y_q$ , and also each 3-edge  $(w_i x_j y_k)$  of  $M$  gives two leaves  $a_{ijk}, \bar{a}_{ijk}$ . Note that the graph  $T$  is connected and has  $2p + 2q$  leaves. See Figure 4.

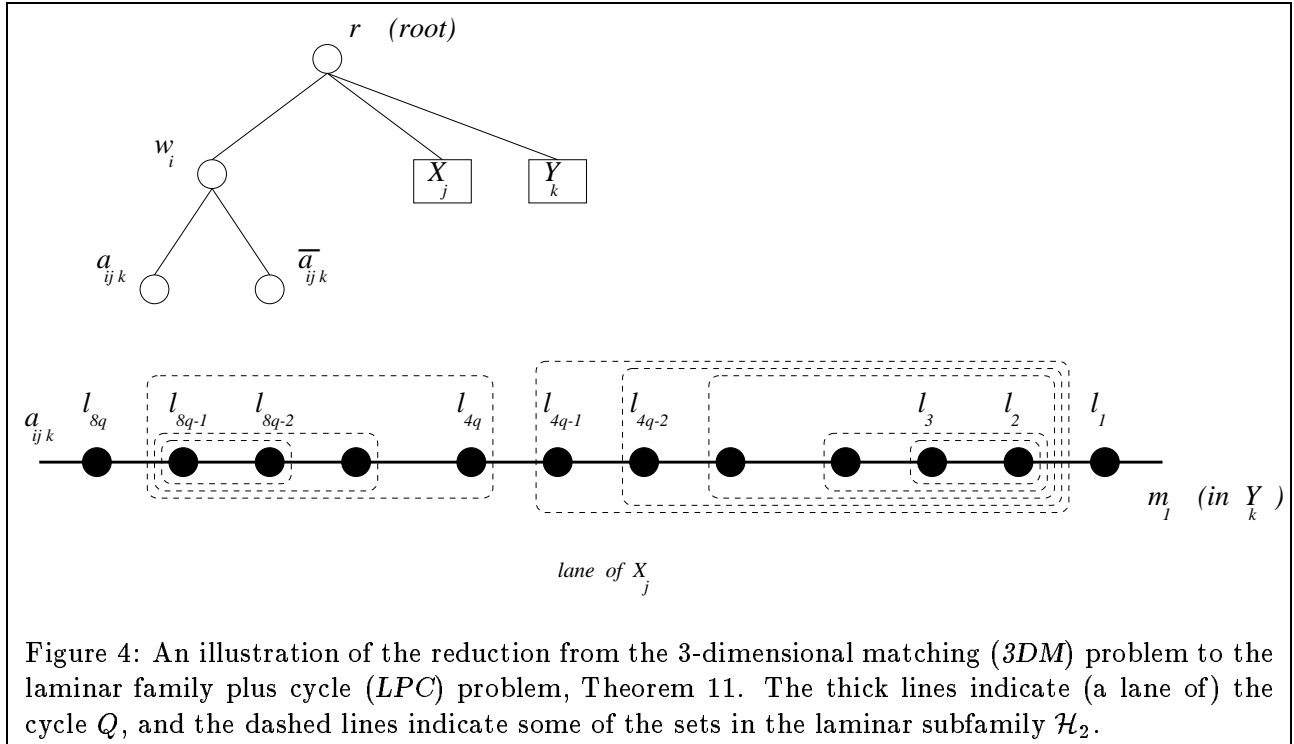


Figure 4: An illustration of the reduction from the 3-dimensional matching (*3DM*) problem to the laminar family plus cycle (*LPC*) problem, Theorem 11. The thick lines indicate (a lane of) the cycle  $Q$ , and the dashed lines indicate some of the sets in the laminar subfamily  $\mathcal{H}_2$ .

The next step is to define the cycle  $Q$ . The nodes of  $Q$  are the nodes of the leaves of  $T$ . Hence,  $|V(Q)| = p(16q + 2)$ . First, we define  $p$  disjoint paths of  $Q$  such that each has  $16q + 2$  nodes (so each

of these paths has length  $16q + 1$ ). Every 3-edge  $(w_i x_j y_k)$  of  $M$  defines such a path as follows: take the  $8q$  nodes (and edges connecting the consecutive ones)  $l_1 l_2 \dots l_{8q}$  of a lane of  $X_j$  in an arbitrary order, then take the edges  $l_{8q} a_{ijk}$ ,  $a_{ijk} \bar{a}_{ijk}$ ,  $\bar{a}_{ijk} m_{8q}$  for some node  $m_{8q}$  of some lane of  $Y_k$ , in this order, and then take the other nodes of this lane  $m_{8q-1}, \dots, m_1$  in an arbitrary order. The lanes are chosen in such a way that these paths are pairwise disjoint. This can be done, since the lanes are pairwise disjoint and each  $X_j$  (or  $Y_k$ ) has  $d_M(x_j)$  lanes (or  $d_M(y_k)$  lanes). Now fix a cyclic ordering  $e_1, \dots, e_p$  of the 3-edges of  $M$  and construct the cycle  $Q$  by adding the missing  $p$  edges in such a way that the end of the path corresponding to  $e_s = (w_i x_j y_k)$  (that is, a node  $m_1$  of a lane in  $Y_k$ ) is connected to the first node of the path corresponding to  $e_{s+1} = w_{i'} x_{j'} y_{k'}$  (that is, to a node  $l_1$  of a lane of  $X_{j'}$ ) for  $1 \leq s \leq p$ . Note that each of these edges connects a nonsingleton leaf  $X_j$  to a nonsingleton leaf  $Y_k$ .

The last part of the reduction consists of defining a laminar family  $\mathcal{H}$  on  $V(Q)$ . We define  $\mathcal{H}$  by defining two subfamilies  $\mathcal{H}_1$  and  $\mathcal{H}_2$ . Let  $\mathcal{H}_1 := \{S \cap V(Q) : d_T(S) = 1, r \notin S, S \subseteq V(T)\}$  contain intersections of  $V(Q)$  and the node sets of those minimum cuts of  $T$  that do not contain the root. It is easy to see that this family is laminar.  $\mathcal{H}_2$  consists of  $2p$  disjoint collections, each of them defined on the nodes of a lane of a nonsingleton leaf of the form  $X_j$  or  $Y_k$  as follows. Let us fix such a subgraph, say  $X_j$ . (The definition is similar for all the  $2q$  subgraphs  $X_1, \dots, X_q, Y_1, \dots, Y_q$ .) Focus on a lane  $l_1, \dots, l_{8q}$  of  $X_j$ , where the numbering follows the ordering of these nodes in  $Q$ . (Hence  $l_{8q}$  is connected to some leaf  $a_{ijk}$  and  $l_1$  is connected to some node  $m_1$  in some  $Y_k$ .) This lane adds the following node sets to  $\mathcal{H}_2$ : the singletons  $l_1, \dots, l_{8q}$ , and the node sets of the intervals of  $Q$  such that the end node pairs are either  $(l_{8q-1}, l_{8q-s})$  ( $2 \leq s \leq 4q$ ) or  $(l_{4q-r}, l_2)$  ( $1 \leq r \leq 4q - 3$ ). Each lane of every nonsingleton leaf  $X_j, Y_k$  ( $1 \leq j, k \leq q$ ) adds a similar collection to  $\mathcal{H}_2$ . Clearly, every collection of this type is laminar, and the collections are defined on pairwise disjoint sets of nodes, where each of these sets is included in an inclusionwise minimal set of  $\mathcal{H}_1$ . Therefore  $\mathcal{H}$  is a laminar family on  $V(Q)$ , where  $\mathcal{H} := \mathcal{H}_1 \cup \mathcal{H}_2$ . Note that each node of  $Q$  belongs to  $\mathcal{H}$  as a singleton set.

Observe the following important property, that follows from the structure of  $\mathcal{H}$  and the fact that every node of  $Q$  belongs to  $\mathcal{H}$ . See Figure 4 for an illustration. Let  $E' \subseteq E(Q)$  be a 1-cover of  $\mathcal{H}$ . Then

- (\*) if the edge  $l_{8q} l_{8q-1}$  (or similarly  $l_1 l_2, m_{8q} m_{8q-1}, m_1 m_2$ ) for some lane in a nonsingleton leaf  $X_j$  or  $Y_k$  is not in  $E'$ , then  $|E'| \geq |V(Q)|/2 + 2q - 1$ .

It is easy to see that our reduction is polynomial. We claim that there exists a solution to the given instance of  $3DM$  (that is, a set of  $q$  pairwise disjoint 3-edges of  $M$ ) if and only if  $\mathcal{H}$  has a 1-cover of size at most  $p + 8pq + q = |V(Q)|/2 + q$ .

First observe that a set  $E'$  is a 1-cover if and only if  $T + E'$  is 2-edge-connected and  $E'$  covers each member of  $\mathcal{H}_2$ . Let  $T^*$  arise from  $T$  by contracting the nonsingleton leaves (that is, the node sets  $X_1, \dots, X_q, Y_1, \dots, Y_q$ , that are 2-edge-connected) to singletons. Similarly, let  $Q^*$  arise from  $Q$  by contracting the nonsingleton leaves and deleting the edges connecting these nonsingleton leaves from  $Q$ . As verified in [FJ 81], there is a 3-dimensional matching if and only if there is set  $E^*$  of  $p + q$  edges in  $Q^*$  such that  $T^* + E^*$  is 2-edge-connected.

Suppose that there exists a 3-dimensional matching  $M' \subseteq M$ . Then there exists a set  $E^*$  of size  $p + q$  which makes  $T^*$  2-edge-connected and it is easy to see that there exists a set  $E''$  of independent edges in  $Q$  which covers  $\mathcal{H}_2$ . Hence  $|E''| = 16qp/2 = 8pq$ . Now  $E' := E^* \cup E''$  covers  $\mathcal{H}$  and  $|E'| = 8pq + p + q$ , as required.

To see the other direction, suppose that  $\mathcal{H}$  has a 1-cover  $E'$  of size at most  $|V(Q)|/2 + q$ . Thus the number of nodes of degree 2 in  $(V(Q), E')$  is at most  $2q$ . By (\*), each of the “important edges” (the first and last edges in  $Q$  of each lane) belong to  $E'$ . Since  $E'$  is a 1-cover, by our previous observation  $T + E'$  is 2-edge-connected. Hence, for each cut edge  $rw_i$  of  $T$  ( $1 \leq i \leq q$ ), the corresponding cut is covered by  $E'$ . Therefore for some pair  $j, k$  at least two of the edges  $a_{ijk}x_j, a_{ijk}\bar{a}_{ijk}, \bar{a}_{ijk}y_k$  are in  $E'$ . The paths of length three induced by these sets of edges are pairwise disjoint for the  $q$  cut edges  $rw_i$  ( $1 \leq i \leq q$ ). Thus the existence of these edges and the “important edges” in  $E'$  shows that there are  $2q$  nodes of degree 2 in  $(V(Q), E')$  just among the nodes of these paths of length three. Moreover, since  $T + E'$  is 2-edge-connected, there is at least one edge in  $E'$  entering each nonsingleton leaf  $X_j, Y_k$ . By the existence of the “important edges”, at least one end node of such an entering edge has degree 2 in  $(V(Q), E')$ . Since we have at most  $2q$  nodes of degree 2, these observations show that there are no edges in  $E'$  connecting nonsingleton leaves and the edges of  $E'$  within some nonsingleton leaf (complete subgraph) are independent. Therefore the set  $E^*$  corresponding to  $E'$  in  $Q^*$  makes  $T^*$  2-edge-connected and  $|E^*| = |E'| - (|V(Q)| - 2p)/2 \leq |V(Q)|/2 + q - |V(Q)|/2 + p = p + q$ . This implies that there exists a 3-dimensional matching in  $M$ .  $\square$

**Proposition 8** *Problem TPC is NP-complete.*

**Corollary 12** *The following problem is NP-hard: given a 2-cover  $C$  of a laminar family  $\mathcal{H}$ , find a minimum-size 1-cover that is contained in  $C$ .*

**Proposition 13** *The following problem is NP-hard: given a 2-packing  $P$  of a capacitated laminar family  $\mathcal{H}, u$ , find a maximum-size 1-packing that is contained in  $P$ .*

**Proof:** Garg et al [GVY 97] gave a polynomial reduction from the 3-dimensional matching (3DM) problem to the problem of finding a maximum integral multicommodity flow in a capacitated tree. The latter problem is the same as the following problem (P1): given an edge set  $E$ , a laminar family  $\mathcal{H}$ , and a capacity  $u(A_i)$  for each set  $A_i \in \mathcal{H}$ , find a maximum-size subset  $E'$  of  $E$  such that  $E'$  is a 1-packing of  $\mathcal{H}, u$ . Our goal is to modify the proof in [GVY 97] to show that the problem stays NP-hard even if  $E$  is a 2-packing of  $\mathcal{H}, u$ . We need the result that problem 3DM stays NP-hard even if each node occurs in at most three triples. Here is a sketch of the reduction from this restricted version of 3DM to the restricted version of (P1).

We refer the reader to Lemma 4.3 and Figure 2 in [GVY 97], and sketch the modifications to that proof. The three disjoint node sets in 3DM are denoted  $X, Y, Z$ , and  $n$  denotes  $|X| = |Y| = |Z|$ . Also, we use the notation  $X = \{x_1, \dots, x_n\}$ ,  $Y = \{y_1, \dots, y_n\}$ ,  $Z = \{z_1, \dots, z_n\}$ . Let  $r$  denote the root of the tree (the top node in [GVY 97, Figure 2]);  $r$  has  $3n$  children,  $x_1, \dots, x_n, y_1, \dots, y_n, z_1, \dots, z_n$ . We change the capacities of all the tree edges  $rx_i$  from 2 to 3, and we change the capacities of all the tree edges  $ry_j$  and  $rz_k$  from 1 to 2. For each of the nodes  $y_j \in Y$  and  $z_k \in Z$ , we add another copy  $y'_j$  or  $z'_k$  and we add tree edges  $ry'_j$  or  $rz'_k$  of capacity 1. Also, we add a nontree edge  $y_j y'_j$  or  $z_k z'_k$ . Thus, the set of nontree edges  $E$  is the union of the corresponding set in [GVY 97, Lemma 4.3] and  $\{y_1 y'_1, \dots, y_n y'_n, z_1 z'_1, \dots, z_n z'_n\}$ . Each  $y_j$  and each  $z_k$  is incident to at most 4 nontree edges, and each  $x_i$  has at most 3 children  $x_{i,1}, x_{i,2}, x_{i,3}$ . Now, observe that  $E$  is a 2-packing of the capacitated tree.

We claim that the above modifications have essentially no effect on a maximum integral 1-packing; the detailed verification is left to the reader. Then [GVY 97, Lemma 4.3] applies to our reduction too, and so the instance of *3DM* has  $t$  disjoint triples if and only if the instance of (P1) has a 1-packing of objective value  $t + |S| + 2n$ , where  $|S|$  is the number of triples. It follows that the restricted version of problem (P1) is NP-hard.  $\square$

## 6 Conclusions

We suspect that our bounds on the ratios for 1-covers versus 2-covers and for 1-packings versus 2-packings hold in general.

**1-COVER CONJECTURE:** Consider the integer program for a minimum weight 1-cover of a laminar family and its LP relaxation (see Section 1). We conjecture that the ratio of the optimal values is at most  $4/3$ .

**1-PACKING CONJECTURE:** Consider the integer program for a maximum weight 1-packing of a capacitated laminar family and its LP relaxation (see Section 1). We conjecture that the ratio of the optimal values is at least  $2/3$ .

Another interesting question is to find sufficient conditions on the laminar family  $\mathcal{H}$  (or, on the tree  $T(\mathcal{H})$  representing  $\mathcal{H}$ ) such that the LP relaxation has  $\frac{1}{k}$ -integral extreme point solutions. As noted in Section 1, the LP relaxation has integral extreme point solutions iff  $T(\mathcal{H})$  is a path.

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