

# MATROIDS DENSER THAN A PROJECTIVE GEOMETRY

PETER NELSON

ABSTRACT. The *growth-rate function* for a minor-closed class  $\mathcal{M}$  of matroids is the function  $h$  where, for each non-negative integer  $r$ ,  $h(r)$  is the maximum number of elements of a simple matroid in  $\mathcal{M}$  with rank at most  $r$ . The Growth-Rate Theorem of Geelen, Kabell, Kung, and Whittle shows, essentially, that the growth-rate function is always either linear, quadratic, exponential with some prime power  $q$  as the base, or infinite. Moreover, if the growth-rate function is exponential with base  $q$ , then the class contains all  $\text{GF}(q)$ -representable matroids, and so  $h(r) \geq \frac{q^r - 1}{q - 1}$  for each  $r$ . We characterise the classes that satisfy  $h(r) = \frac{q^r - 1}{q - 1}$  for all sufficiently large  $r$ . As a consequence, we determine the eventual value of the growth rate function for most classes defined by excluding lines, free spikes and/or free swirls.

## 1. INTRODUCTION

The *principal extension* of a flat  $F$  in a matroid  $M$  by an element  $e \notin E(M)$  is the matroid  $M'$  such that  $M = M' \setminus e$  and  $F$  is the unique minimal flat of  $M$  for which  $e \in \text{cl}_{M'}(F)$ . We write  $\widehat{\text{PG}}(n - 1, q; k)$  for the principal extension of  $\text{PG}(n - 1, q)$  by a rank- $k$  flat. We prove the following:

**Theorem 1.1.** *Let  $q$  be a prime power and let  $\ell \geq 2$  and  $n \geq 2$  be integers. If  $M$  is a simple matroid with  $|M| > |\text{PG}(r(M) - 1, q)|$  and  $r(M)$  is sufficiently large, then  $M$  has a minor isomorphic to  $U_{2, \ell+2}$ ,  $\widehat{\text{PG}}(n - 1, q; 2)$ ,  $\widehat{\text{PG}}(n - 1, q; n)$ , or  $\text{PG}(n - 1, q')$  for some  $q' > q$ .*

This result first appeared in [6] and essentially follows from material in [3], but our proof is much shorter due to the use of the matroidal density Hales-Jewett theorem [4].

---

*Date:* September 2, 2014.

*1991 Mathematics Subject Classification.* 05B35.

*Key words and phrases.* matroids, growth rates.

This research was partially supported by a grant from the Office of Naval Research [N00014-12-1-0031].

Theorem 1.1 has several corollaries related to the growth rate functions of minor-closed classes. For a nonempty minor-closed class of matroids  $\mathcal{M}$ , the *growth rate function*  $h_{\mathcal{M}}(n) : \mathbb{Z}_0^+ \rightarrow \mathbb{Z} \cup \{\infty\}$  is the function whose value at each integer  $n$  is the maximum number of elements in a simple matroid  $M \in \mathcal{M}$  with  $r(M) \leq n$ . Clearly  $h_{\mathcal{M}}(n) = \infty$  for all  $n \geq 2$  if  $\mathcal{M}$  contains all simple rank-2 matroids; in all other cases, growth rate functions are quite tightly controlled by a theorem of Geelen, Kabell, Kung and Whittle:

**Theorem 1.2** (Growth rate theorem). *Let  $\mathcal{M}$  be a nonempty minor-closed class of matroids not containing all simple rank-2 matroids. There exists  $c \in \mathbb{R}$  such that either:*

- (1)  $h_{\mathcal{M}}(n) \leq cn$  for all  $n$ ,
- (2)  $\binom{n+1}{2} \leq h_{\mathcal{M}}(n) \leq cn^2$  for all  $n$ , and  $\mathcal{M}$  contains all graphic matroids, or
- (3) there is a prime power  $q$  so that  $\frac{q^n-1}{q-1} \leq h_{\mathcal{M}}(n) \leq cq^n$  for all  $n$ , and  $\mathcal{M}$  contains all  $\text{GF}(q)$ -representable matroids.

Our main result thus applies to the densest matroids in all class of type (3) for which the lower bound  $h_{\mathcal{M}}(n) \geq \frac{q^n-1}{q-1}$  does not eventually hold with equality.

**Minor-closed classes.** We now give a version of our main theorem in terms of minor-closed classes, and state several corollaries. For each prime power  $q$ , let  $\mathcal{L}(q)$  denote the class of  $\text{GF}(q)$ -representable matroids. Let  $\mathcal{L}^\circ(q)$  denote the closure under minors and isomorphism of  $\{\widehat{\text{PG}}(n-1, q; n) : n \geq 2\}$ . Let  $\mathcal{L}^\lambda(q)$  denote the closure under minors and isomorphism of  $\{\widehat{\text{PG}}(n-1, q; 2) : n \geq 2\}$ . Our main theorem can be restated as follows:

**Theorem 1.3.** *Let  $q$  be a prime power. If  $\mathcal{M}$  is a minor-closed-class of matroids such that  $\frac{q^n-1}{q-1} < h_{\mathcal{M}}(n) < \infty$  for infinitely many  $n$ , then  $\mathcal{M}$  contains  $\mathcal{L}^\circ(q)$ ,  $\mathcal{L}^\lambda(q)$  or  $\mathcal{L}(q')$  for some  $q' > q$ .*

One can easily determine the growth rate functions of  $\mathcal{L}^\circ(q)$  and  $\mathcal{L}^\lambda(q)$ ; we have  $h_{\mathcal{L}^\circ(q)}(n) = \frac{q^{n+1}-1}{q-1}$  and  $h_{\mathcal{L}^\lambda(q)}(n) = \frac{q^{n+1}-1}{q-1} - q$  for all  $n \geq 2$ . For any  $q' > q$ , the growth rate function of  $\mathcal{L}(q')$  dominates both these functions for large  $n$ , so the following is immediate:

**Theorem 1.4.** *Let  $q$  be a prime power. If  $\mathcal{M}$  is a minor-closed class of matroids so that  $h_{\mathcal{M}}(n) > \frac{q^n-1}{q-1}$  for infinitely many  $n$ , then  $h_{\mathcal{M}}(n) \geq \frac{q^{n+1}-1}{q-1} - q$  for all sufficiently large  $n$ .*

Let  $\ell \geq 2$  be an integer and  $\mathcal{U}(\ell)$  denote the class of matroids with no  $U_{2,\ell+2}$ -minor. Our next corollary is the main theorem of [3].

**Theorem 1.5.**  $h_{\mathcal{U}(\ell)}(n) = \frac{q^n - 1}{q - 1}$  for all sufficiently large  $n$ , where  $q$  is the largest prime power not exceeding  $\ell$ .

Let  $\Lambda_k$  denote the rank- $k$  free spike (see [2] for a definition); the next corollary determines the eventual growth rate function for any class defined by excluding a free spike and a line:

**Theorem 1.6.** Let  $\ell \geq 2$  and  $k \geq 3$  be integers. If  $\mathcal{M}$  is the class of matroids with no  $U_{2,\ell+2}$ - or  $\Lambda_k$ -minor, then  $h_{\mathcal{M}}(n) = \frac{p^n - 1}{p - 1}$  for all sufficiently large  $n$ , where  $p$  is the largest prime satisfying  $p \leq \min(\ell, k + 1)$ .

Let  $\Delta_k$  denote the rank- $k$  free swirl (again, see [2]). We do not obtain a complete version of Theorem 1.6 for swirls, but still obtain a result in a large range of cases. A *Mersenne prime* is a prime number of the form  $2^p - 1$  where  $p$  is also prime.

**Theorem 1.7.** Let  $2^p - 1$  and  $2^{p'} - 1$  be consecutive Mersenne primes, and let  $k$  and  $\ell$  be integers for which  $2^p \leq \ell < \min(2^{2p} + 2^p, 2^{p'})$  and  $k \geq \max(3, 2^p - 2)$ . If  $\mathcal{M}$  is the class of matroids with no  $U_{2,\ell+2}$ - or  $\Delta_k$ -minor, then  $h_{\mathcal{M}}(n) = \frac{2^{pn} - 1}{2^p - 1}$  for all sufficiently large  $n$ .

If  $p' > 2p$ , there is a range of values of  $\ell$  to which the above theorem does not apply. This does occur (for example, when  $(p, p') = (127, 521)$ ) and in fact, the growth rate function for  $\mathcal{M}$  can take a different eventual form for such an  $\ell$ ; we discuss this in Section 3.

For excluding both a free spike and a free swirl, we get a nice result:

**Theorem 1.8.** Let  $\ell \geq 3$  and  $k \geq 3$  be integers. If  $\mathcal{M}$  is the class of matroids with no  $U_{2,\ell+2}$ -,  $\Lambda_k$ - or  $\Delta_k$ -minor, then  $h_{\mathcal{M}}(n) = \frac{1}{2}(3^n - 1)$  for all sufficiently large  $n$ .

## 2. THE MAIN RESULT

In this section we prove Theorem 1.1. We use the notation of Oxley [7], writing  $\varepsilon(M)$  for the number of points in a matroid  $M$ . The following theorem from [4] is our main technical tool:

**Theorem 2.1** (Matroidal density Hales-Jewett theorem). *There is a function  $f : \mathbb{Z}^3 \times \mathbb{R} \rightarrow \mathbb{Z}$  so that, for every positive real number  $\alpha$ , every prime power  $q$  and for all integers  $\ell \geq 2$  and  $t \geq 2$ , if  $M \in \mathcal{U}(\ell)$  satisfies  $\varepsilon(M) \geq \alpha q^{r(M)}$  and  $r(M) \geq f(\ell, n, q, \alpha)$ , then  $M$  has an  $\text{AG}(n - 1, q)$ -restriction or a  $\text{PG}(n - 1, q')$ -minor for some  $q' > q$ .*

For an integer  $q \geq 2$ , a matroid  $M$  is  $q$ -dense if  $\varepsilon(M) > \frac{q^{r(M)} - 1}{q - 1}$ . We prove an easy lemma showing when  $q$ -density is lost by contraction:

**Lemma 2.2.** *Let  $q \geq 2$  be an integer. If  $M$  is a  $q$ -dense matroid and  $e \in E(M)$ , then either  $M/e$  is  $q$ -dense, or  $M$  has a  $U_{2,q+2}$ -restriction containing  $e$ .*

*Proof.* We may assume that  $M$  is simple. If  $|L| \geq q + 2$  for some line  $L$  through  $e$ , then  $M$  has a  $U_{2,q+2}$ -restriction containing  $e$ . Otherwise, no line through  $e$  contains  $q + 2$  points, so each point of  $M/e$  contains at most  $q$  elements of  $M$ . Therefore  $\varepsilon(M/e) \geq q^{-1}\varepsilon(M \setminus e) > \frac{q^{r(M)-1} - 1}{q - 1}$  and  $r(M/e) = r(M) - 1$ , so  $M/e$  is  $q$ -dense.  $\square$

A simple induction now gives a corollary originally due to Kung [5]:

**Corollary 2.3.** *If  $\ell \geq 2$  and  $M \in \mathcal{U}(\ell)$  then  $\varepsilon(M) \leq \frac{\ell^{r(M)} - 1}{\ell - 1}$ .*

We now reduce Theorem 1.1 to a case where all cocircuits are large:

**Lemma 2.4.** *Let  $t, \ell \geq 2$  be integers and let  $q$  be a prime power. If  $M \in \mathcal{U}(\ell)$  is a  $q$ -dense matroid so that  $(\sqrt{5} - 1)^{r(M)-1} \geq \ell^{t-1}$ , then  $M$  has a  $q$ -dense restriction  $M_0$  such that  $r(M_0) \geq t$  and every cocircuit of  $M_0$  has rank at least  $r(M_0) - 1$ .*

*Proof.* Let  $\varphi = \frac{1}{2}(1 + \sqrt{5})$ . Let  $r = r(M)$ , and let  $M_0$  be a minimal restriction of  $M$  so that  $\varepsilon(M_0) > \varphi^{r(M_0)-r} \frac{q^r - 1}{q - 1}$ . Let  $r_0 = r(M_0)$ . Since  $(\varphi/q)^{r_0-r} \geq 1$  and  $\varphi^{r_0-r} \leq 1$ , we have

$$\varepsilon(M_0) > \frac{1}{q-1} (\varphi^{r_0-r} q^r - \varphi^{r_0-r}) \geq \frac{q^{r_0} - 1}{q - 1},$$

so  $M_0$  is  $q$ -dense. Moreover,

$$\varepsilon(M_0) > \varphi^{r_0-r} q^{r-1} \geq \varphi^{1-r} 2^{r-1} = (\sqrt{5} - 1)^{r-1} \geq \ell^{t-1} > \frac{\ell^{t-1} - 1}{\ell - 1},$$

so  $r(M_0) \geq t$  by Corollary 2.3. Finally, if  $M_0$  had a cocircuit  $C$  of rank at most  $r(M_0) - 2$ , minimality would give

$$\varepsilon(M_0) = \varepsilon(M_0|C) + \varepsilon(M_0 \setminus C) \leq (\varphi^{-2} + \varphi^{-1}) \varphi^{r_0-r} \frac{q^r - 1}{q - 1};$$

since  $\varphi^{-2} + \varphi^{-1} = 1$ , this contradicts  $\varepsilon(M_0) > \varphi^{r_0-r} \frac{q^r - 1}{q - 1}$ .  $\square$

We now prove the lemma that gives one of two unavoidable minors in an arbitrary non-representable extension of a large projective geometry:

**Lemma 2.5.** *Let  $q$  be a prime power and  $m \geq 2$  be an integer. If  $M$  is a non-GF( $q$ )-representable extension of  $\text{PG}(2m - 1, q)$ , then  $M$  has a minor isomorphic to  $\widehat{\text{PG}}(m - 1, q; 2)$  or  $\widehat{\text{PG}}(m - 1, q; m)$ .*

*Proof.* Since every flat in a projective geometry is modular, we know that  $M$  is a principal extension of some flat  $F$  of  $\text{PG}(2m-1, q)$ . Let  $B$  be a basis for  $\text{PG}(n-1)$  containing a basis  $B_F$  for  $F$ . Since  $M$  is not  $\text{GF}(q)$ -representable, we have  $r_M(F) \leq 2$ . If  $r_M(F) \geq m$ , then  $\text{si}(M/(B-I)) \cong \widehat{\text{PG}}(m-1, q; m)$ , where  $I$  is an  $m$ -element subset of  $B_F$ . If  $r_M(F) < m$ , then  $|B - B_F| \geq m-2$ ; it now follows that  $\text{si}(M/(J_1 \cup J_2)) \cong \widehat{\text{PG}}(m-1, q; 2)$ , where  $J_1 \subseteq B_F$  and  $J_2 \subseteq B - B_F$  satisfy  $|J_1| = r_M(F) - 2$  and  $|J_2| = m - |J_1|$ .  $\square$

We now restate and prove Theorem 1.1:

**Theorem 2.6.** *Let  $q$  be a prime power and let  $m, \ell \geq 2$  be integers. If  $M \in \mathcal{U}(\ell)$  is  $q$ -dense and  $r(M)$  is sufficiently large, then  $M$  has a minor isomorphic to  $\widehat{\text{PG}}(m-1, q; 2)$ ,  $\widehat{\text{PG}}(m-1, q; m)$ , or  $\text{PG}(m-1, q')$  for some  $q' > q$ .*

*Proof.* Recall that the function  $f$  was defined in Theorem 2.1. Let  $n_1 = f(\ell, 2m+1, q, q^{-1})$  and let  $n_0$  be an integer so that  $(\sqrt{5}-1)^{n_0-1} \geq \ell^{n_1-1}$ . We show that the conclusion holds whenever  $r(M) \geq n_0$ .

Let  $M \in \mathcal{U}(\ell)$  be a  $q$ -dense matroid of rank at least  $n_0$ . By definition of  $n_0$  and Lemma 2.4,  $M$  has a  $q$ -dense restriction  $M_1$  such that  $r(M_1) \geq n_1$  and every cocircuit of  $M_1$  has rank at least  $r(M_1) - 1$ . Note that  $\varepsilon(M_1) > q^{-1}q^{r(M_1)}$ ; by Theorem 2.1 and the definition of  $n_1$ , the matroid  $M_1$  has an  $\text{AG}(2m, q)$ -restriction  $R$  or a  $\text{PG}(2m, q')$ -minor for some  $q' > q$ . In the latter case, the theorem holds. In the former case, let  $M_2$  be a minimal minor of  $M_1$  so that

- (1)  $R$  is a restriction of  $M_2$ ,
- (2) every cocircuit of  $M_2$  has rank at least  $r(M_2) - 2$ , and
- (3)  $M_2$  is either  $q$ -dense or has a  $U_{2, q+2}$ -restriction.

Note that  $r(M_2) \geq r(R) \geq 5$ , and that contracting any element not spanned by  $E(R)$  gives a matroid satisfying (1) and (2). We argue that  $R$  is spanning in  $M_2$ ; suppose not, and let  $e \in E(M_2) - \text{cl}_{M_2} E(R)$ . If  $M_2$  has a  $U_{2, q+2}$ -restriction  $M_2|L$  containing  $e$ , then since  $r(M_2) \geq 5$ , the set  $\text{cl}_{M_2}(L)$  contains no cocircuit of  $M_2$  and there is hence some  $f \in E(M_2) - (\text{cl}_{M_2}(E(R)) \cup \text{cl}_{M_2}(L))$ . Therefore  $(M/f)|L \cong U_{2, q+2}$ , contradicting minimality. Thus,  $M_2$  has no  $U_{2, q+2}$ -restriction containing  $e$ , so Lemma 2.2 implies that  $M_2/e$  is  $q$ -dense, again contradicting minimality; therefore  $R$  is spanning in  $M_2$ .

If  $f \in E(R)$ , then  $M_2/f$  is a rank- $2m$  matroid with a  $\text{PG}(2m-1, q)$ -restriction; it is thus enough to show that  $M_2/f$  is non- $\text{GF}(q)$ -representable for some such  $f$ , as the theorem then follows from Lemma 2.5. If  $M_2$  has a  $U_{2, q+2}$ -restriction  $M_2|L$ , then any  $f \in E(R) - L$

will do, since  $(M_2/f)|L$  is not  $\text{GF}(q)$ -representable. Otherwise, by Lemma 2.2, the matroid  $M_2/f$  is  $q$ -dense for any  $f \in E(R)$ ; again this implies non- $\text{GF}(q)$ -representability.  $\square$

### 3. LINES, SPIKES AND SWIRLS

In this section, we restate and prove our four corollaries.

**Theorem 3.1.** *If  $\ell \geq 2$  is an integer, then  $h_{\mathcal{U}(\ell)}(n) = \frac{q^n - 1}{q - 1}$  for all sufficiently large  $n$ , where  $q$  is the largest prime power not exceeding  $\ell$ .*

*Proof.* Note that  $\mathcal{L}(q) \subseteq \mathcal{U}(\ell)$ , giving  $\frac{q^n - 1}{q - 1} \leq h_{\mathcal{U}(\ell)}(n) < \infty$  for all  $n$ . If the result fails, then by Theorem 1.3 we have either  $U_{2,q^2+1} \in \mathcal{M}$  or  $U_{2,q'+1} \in \mathcal{M}$ , where  $q'$  is the smallest prime power such that  $q' > \ell$ . Clearly  $q^2 \geq q' \geq \ell + 1$ ; it follows that  $U_{2,\ell+2} \in \mathcal{U}(\ell)$ , a contradiction.  $\square$

Our other corollaries depend on representability of free spikes and swirls. It can be easily shown that the free spike  $\Lambda_k$  is representable over a field  $\text{GF}(q)$  if and only if there exist nonzero  $\alpha_1, \alpha_2, \dots, \alpha_{k-1}, \beta_1, \beta_2 \in \text{GF}(q)$  so that  $\beta_1 \neq \beta_2$  and no sub-multiset of the  $\alpha_i$  sum to  $\beta_1$  or  $\beta_2$ . The problem for  $\Delta_k$  is analogous, but with products in the multiplicative group  $\text{GF}(q)^*$ . Both problems are trivial unless the relevant group is of prime order, as one can choose the  $\alpha_i$  in a subgroup not containing the  $\beta_i$ . Similarly, if the group has size at least  $k + 2$ , one can choose the  $\alpha_i$  all equal. The details for the prime-order case were dealt with in [2, Lemma 11.6]; the following lemma summarises the consequences:

**Lemma 3.2.** *If  $k \geq 3$  is an integer and  $q \geq 3$  is a prime power, then*

- (1)  $\Lambda_k \in \mathcal{L}(q)$  and only if  $q$  is composite or  $k \leq q - 2$ .
- (2)  $\Delta_k \in \mathcal{L}(q)$  if and only if  $q - 1$  is composite or  $k \leq q - 3$ .

It is easy to see that  $\mathcal{L}^\lambda(q)$  contains every restriction of a matroid obtained from a matroid in  $\mathcal{L}(q)$  by principally truncating a line. Moreover,  $\mathcal{L}^\circ(q)$  contains all truncations of  $\text{GF}(q)$ -representable matroids. We can now show that these classes contain all free spikes:

**Lemma 3.3.** *If  $q$  is a prime power and  $k \geq 3$  is an integer, then  $\Lambda_k \in \mathcal{L}^\lambda(q) \cap \mathcal{L}^\circ(q)$ .*

*Proof.* Let  $G \cong K_{2,k}$  and let  $M = M(G)$ . The free spike  $\Lambda_k$  is the truncation of the regular matroid  $M$ , so  $\Lambda_k \in \mathcal{L}^\circ(q)$ . Let  $H$  be a  $K_{1,k}$ -subgraph of  $G$ . For each prime power  $q$ , let  $\widehat{M}$  be a  $\text{GF}(q)$ -representable extension of  $M$  by a point  $e$  spanned by  $E(H)$  but no proper subset of  $E(H)$ . Now we have  $\Lambda_k \cong \widehat{M}' \setminus e$ , where  $\widehat{M}'$  is obtained from  $\widehat{M}$  by

principally truncating the line spanned by  $\{e, f\}$  for some  $f \in E(H)$ . Therefore  $\Lambda_k \in \mathcal{L}^\lambda(q)$ .  $\square$

The same does not hold, however, for free swirls:

**Lemma 3.4.** *If  $q \geq 3$  is a prime power and  $k \geq 4$  is an integer, then*

- $\Delta_k \in \mathcal{L}^\lambda(q)$ .
- $\Delta_k \in \mathcal{L}^\circ(q)$  if and only if  $\Delta_k \in \mathcal{L}(q)$ .

*Proof.* Let  $L_1, L_2, \dots, L_k$  be copies of  $U_{2,4}$  so that  $|E(L_i) \cap E(L_{i+1})| = 1$  for each  $i \in \{1, \dots, k-1\}$  and  $E(L_i) \cap E(L_j) = \emptyset$  for  $|i-j| > 1$ . Let  $x_1 \in E(L_1) - E(L_2)$  and  $x_k \in E(L_k) - E(L_{k-1})$ . Let  $N_k$  be defined by the repeated 2-sum  $L_1 \oplus_2 L_2 \oplus_2 \dots \oplus_2 L_k$ . Clearly  $N_k \in \mathcal{L}(q)$ , and  $\widehat{N}_k \setminus \{x_1, x_k\} \cong \Delta_k$ , where  $\widehat{N}_k$  is the principal truncation of the line spanned by  $x_1$  and  $x_k$  in  $N_k$ . Therefore  $\Delta_k \in \mathcal{L}^\lambda(q)$ .

On the other hand, suppose that  $\Delta_k$  is in exactly one of  $\mathcal{L}(q)$  and  $\mathcal{L}^\circ(q)$ . Since  $\mathcal{L}(q) \subseteq \mathcal{L}^\circ(q)$ , it must be the case that  $\Delta_k$  is the truncation of a rank- $(k+1)$  matroid  $N \in \mathcal{L}(q)$ . Let  $E(\Delta_k) = P_1 \cup \dots \cup P_k$ , where the  $P_i$  are pairwise disjoint two-element sets so that the union of any two cyclically consecutive  $P_i$  is a circuit of  $\Delta_k$ , and the union of two any other  $P_i$  is independent in  $\Delta_k$ . Since  $r(N) \geq 5$  and  $\Delta_k$  is the truncation of  $N$ , we thus have  $N|(P_i \cup P_j) = \Delta_k|(P_i \cup P_j)$  for all distinct  $i$  and  $j$ . As  $P_i \cup P_{i+1}$  is a circuit of  $N$  for each  $i < k$ , an inductive argument gives  $r_N(P_1 \cup \dots \cup P_{k-1}) \leq k$ . However  $r_N(P_{k-1} \cup P_1 \cup P_k) \leq 4$  by submodularity, so  $P_k \subset \text{cl}_N(P_{k-1} \cup P_1)$  and  $r(N) \leq k$ , a contradiction.  $\square$

The fact that  $\mathcal{L}^\circ(q)$  need not contain all free swirls is the reason that Theorem 1.7 is more technical and less powerful than Theorem 1.6. We now restate and prove both these theorems:

**Theorem 3.5.** *Let  $k \geq 3$  and  $\ell \geq 2$  be integers. If  $\mathcal{M}$  is the class of matroids with no  $U_{2,\ell+2}$ - or  $\Lambda_k$ -minor, then  $h_{\mathcal{M}}(n) = \frac{p^n - 1}{p - 1}$  for all sufficiently large  $n$ , where  $p$  is the largest prime satisfying  $p \leq \min(\ell, k+1)$ .*

*Proof.* By Lemma 3.2, we have  $\Lambda_k \notin \mathcal{L}(p)$  and so  $\mathcal{L}(p) \subseteq \mathcal{M}$  and  $\frac{p^n - 1}{p - 1} \leq h_{\mathcal{M}}(n) < \infty$  for all  $n$ . If the result does not hold, then by Theorem 1.3 the class  $\mathcal{M}$  contains  $\mathcal{L}^\circ(p)$ ,  $\mathcal{L}^\lambda(p)$  or  $\mathcal{L}(q)$  for some prime power  $q > p$ . In the first two cases we have  $\Lambda_k \in \mathcal{M}$ , a contradiction. In the last case, since  $U_{2,\ell+2} \notin \mathcal{L}(q)$  and  $\Lambda_k \notin \mathcal{L}(q)$ , we know by Lemma 3.2 that  $q$  is prime and  $q \leq \max(\ell, k+1)$ ; this contradicts the maximality in our choice of  $p$ .  $\square$

**Theorem 3.6.** *Let  $2^p - 1$  and  $2^{p'} - 1$  be consecutive Mersenne primes, and let  $k$  and  $\ell$  be integers for which  $2^p \leq \ell < \min(2^{2^p} + 2^p, 2^{p'})$  and*

$k \geq \max(4, 2^p - 2)$ . If  $\mathcal{M}$  is the class of matroids with no  $U_{2,\ell+2}$ - or  $\Delta_k$ -minor, then  $h_{\mathcal{M}}(n) = \frac{2^{pn}-1}{2^p-1}$  for all sufficiently large  $n$ .

*Proof.* Since  $\ell \geq 2^p$  and  $k \geq 2^p - 2$ , we have  $U_{2,\ell+2} \notin \mathcal{L}(2^p)$  and  $\Lambda_k \notin \mathcal{L}(2^p)$ , so  $\mathcal{L}(2^p) \subseteq \mathcal{M}$ , giving  $2^{np} - 1 \leq h_{\mathcal{M}}(n) < \infty$  for all  $n$ . If the result fails, then  $\mathcal{M}$  contains  $\mathcal{L}^\circ(2^p)$ ,  $\mathcal{L}^\lambda(2^p)$  or  $\mathcal{L}(q)$  for some prime power  $q > 2^p$ . We have  $U_{2,2^{2p}+2^{p+1}} \in \mathcal{L}^\circ(2^p)$ , and  $\Delta_k \in \mathcal{L}^\lambda(2^p)$  by Lemma 3.4. If  $q - 1$  is composite, then  $\Delta_k \in \mathcal{L}(q)$ . If  $q - 1$  is prime, then  $q \geq 2^{p'}$ , so  $U_{2,2^{p'+1}} \in \mathcal{L}(q)$ . Since  $\ell < \min(2^{2p} + 2^p, 2^{p'})$ , we have  $U_{2,\ell+2} \in \mathcal{M}$  or  $\Lambda_k \in \mathcal{M}$  in all cases, a contradiction.  $\square$

We cannot hope for such a simple theorem applying to all  $\ell$ ; to see why, suppose that  $p' > 2p$  (for example, if  $(p, p') = (127, 521)$ ). Then if  $2^{2p} + 2^p \leq \ell < 2^{p'}$  and  $k \geq 2^p - 2$ , it follows from Lemmas 3.2 and 3.4 that  $\mathcal{L}^\circ(2^p) \subseteq \mathcal{M}$  but  $\mathcal{L}(q) \not\subseteq \mathcal{M}$  for all  $q > 2^p$ . The Growth rate theorem thus gives  $\frac{2^{p(n+1)}-1}{2^p-1} \leq h_{\mathcal{M}}(n) \leq c \cdot 2^{pn}$  for some constant  $c$ , so  $h_{\mathcal{M}}(n)$  does not eventually equal  $\frac{q^n-1}{q-1}$  for any prime power  $q$ .

Finally, we prove Theorem 1.8:

**Theorem 3.7.** *Let  $\ell \geq 3$  and  $k \geq 3$  be integers. If  $\mathcal{M}$  is the class of matroids with no  $U_{2,\ell+2}$ -,  $\Lambda_k$ - or  $\Delta_k$ -minor, then  $h_{\mathcal{M}}(n) = \frac{1}{2}(3^n - 1)$  for all sufficiently large  $n$ .*

*Proof.* As before, if the theorem fails,  $\mathcal{M}$  contains  $\mathcal{L}^\lambda(3)$ ,  $\mathcal{L}^\circ(3)$  or  $\mathcal{L}(q)$  for some  $q > 3$ . In the first two cases, we have  $\Lambda_k \in \mathcal{M}$ , and otherwise, since either  $q$  or  $q - 1$  is composite, we have  $\Lambda_k \in \mathcal{M}$  or  $\Delta_k \in \mathcal{M}$ , a contradiction.  $\square$

#### ACKNOWLEDGEMENTS

I thank Jim Geelen for bringing the corollaries regarding spikes and swirls to my attention.

#### REFERENCES

- [1] J. Geelen, J.P.S. Kung, G. Whittle, Growth rates of minor-closed classes of matroids, *J. Combin. Theory. Ser. B* 99 (2009) 420–427.
- [2] J. Geelen, J.G. Oxley, D. Vertigan, G. Whittle, Totally free expansions of matroids, *J. Combin. Theory. Ser. B* 84 (2002), 130–179.
- [3] J. Geelen, P. Nelson, The number of points in a matroid with no  $n$ -point line as a minor, *J. Combin. Theory. Ser. B* 100 (2010), 625–630.

- [4] J. Geelen, P. Nelson, A density Hales-Jewett theorem for matroids, *J. Combin. Theory. Ser. B*, to appear.
- [5] J.P.S. Kung, Extremal matroid theory, in: *Graph Structure Theory* (Seattle WA, 1991), *Contemporary Mathematics*, 147, American Mathematical Society, Providence RI, 1993, pp. 21–61.
- [6] P. Nelson, Exponentially Dense Matroids. Ph.D. Thesis, University of Waterloo, 2011.
- [7] J. G. Oxley, *Matroid Theory* (2nd edition), Oxford University Press, New York, 2011.

DEPARTMENT OF COMBINATORICS AND OPTIMIZATION, UNIVERSITY OF WATERLOO, WATERLOO, CANADA